

Measurement of the spin structure functions $g_1^{p,d,n}$ and the gluon helicity $\Delta g/g$ at HERMES

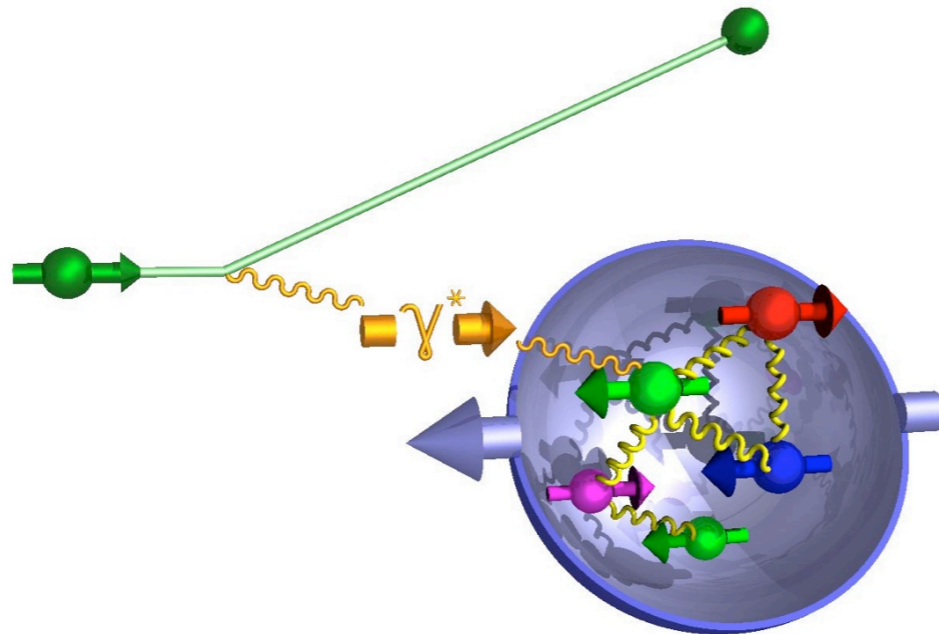
Achim Hillenbrand
(DESY)

for the  collaboration

- g_1 from inclusive longitudinal double-spin asymmetries
- $\Delta g/g$ from high- p_t hadrons

g_1 : Inclusive DIS

HERA:
 e^+ @ 27.6 GeV
 $P_B \sim 53\%$



long. pol. undiluted
 gas target:
 $H (P_z \sim 76\%, 85\%)$
 $D (P_z \sim 84\%)$

Cross section \rightarrow structure functions $\left\{ \begin{array}{ll} F_1, F_2 & \text{unpol} \\ g_1, g_2 & \text{pol} \\ b_1 \dots b_4 & \text{pol (spin-1)} \end{array} \right.$

$$g_1(x, Q^2) = \frac{1}{2} \sum_q e_q^2 [\Delta q(x, Q^2) + \Delta \bar{q}(x, Q^2)] \quad \text{in LO QCD}$$

$$\Delta q = q_{\Rightarrow} - q_{\Leftarrow}$$

Measured Inclusive Asymmetries

$$P_{zz} = 0.83 \pm 0.03 \quad A_{zz} \sim 0.01$$

$$\implies \frac{b_1^d}{F_1^d} = -\frac{3}{2} A_{zz}$$

(measured by HERMES)

$$\sigma = \sigma_{\text{unpol}} \left[1 + P_B P_z A_{\parallel} + \underbrace{\frac{1}{2} P_{zz} A_{zz}}_{\text{Deuterium}} \right]$$

measured DIS cross section

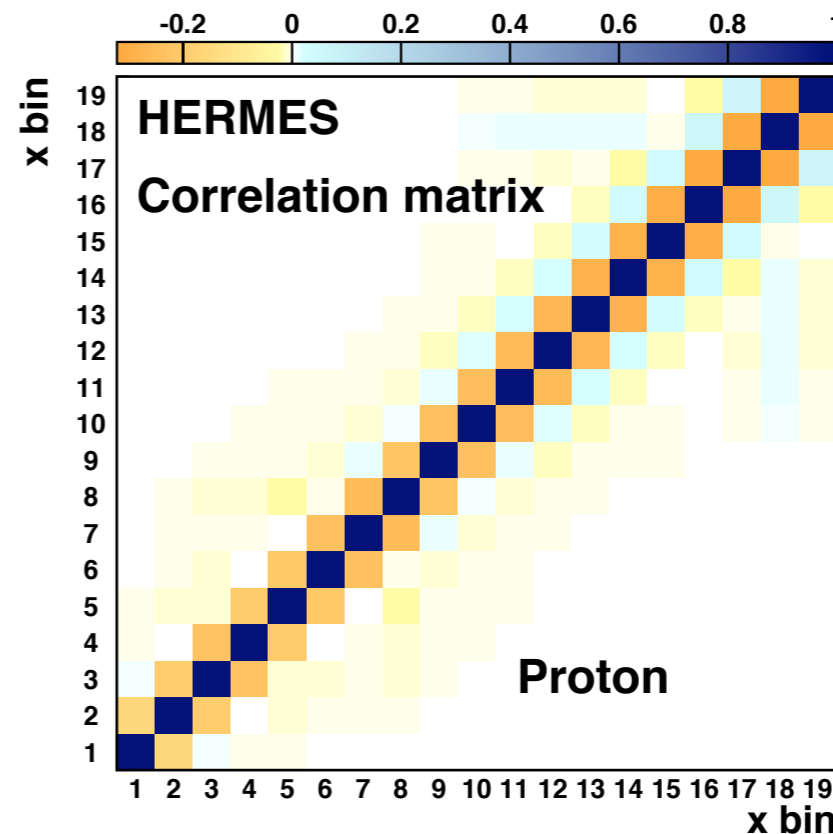
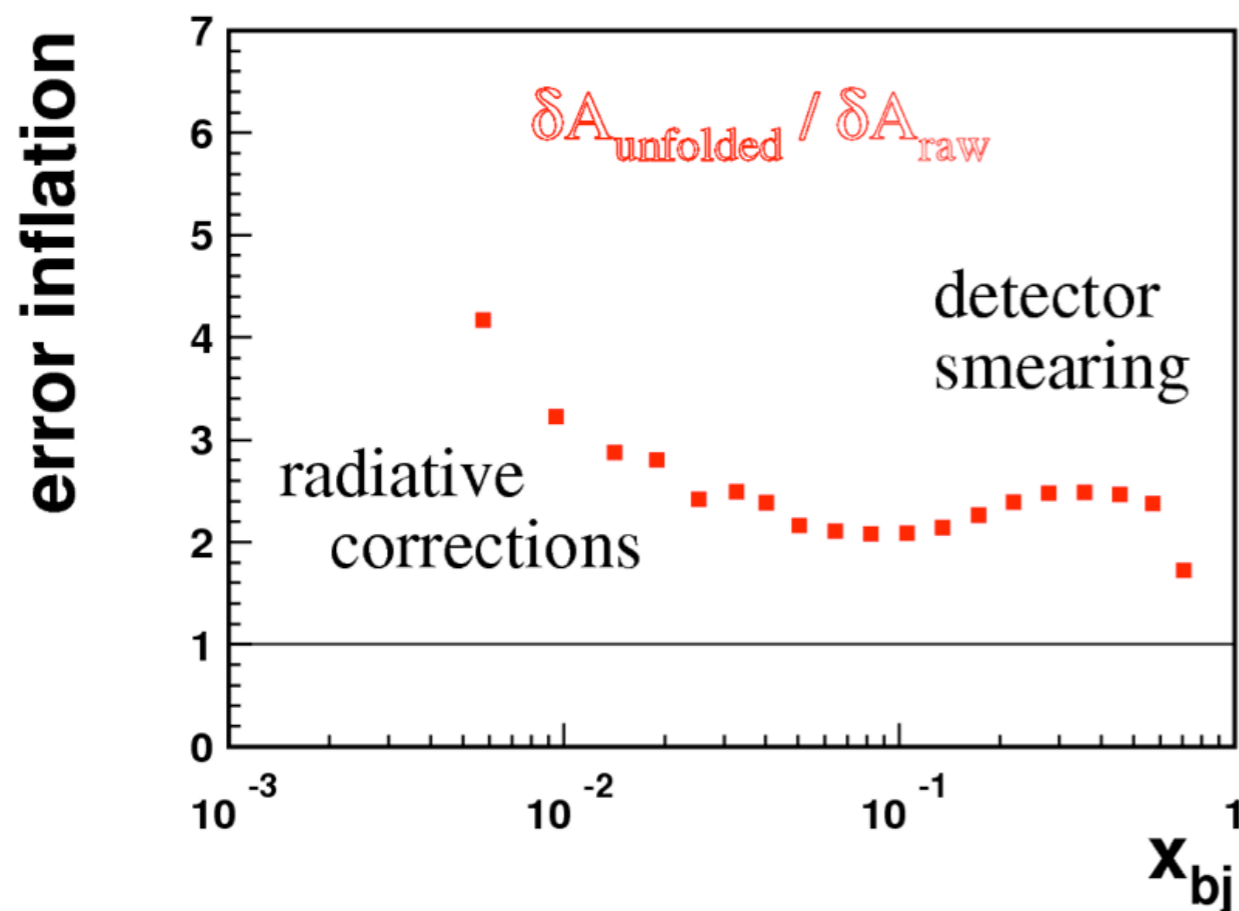
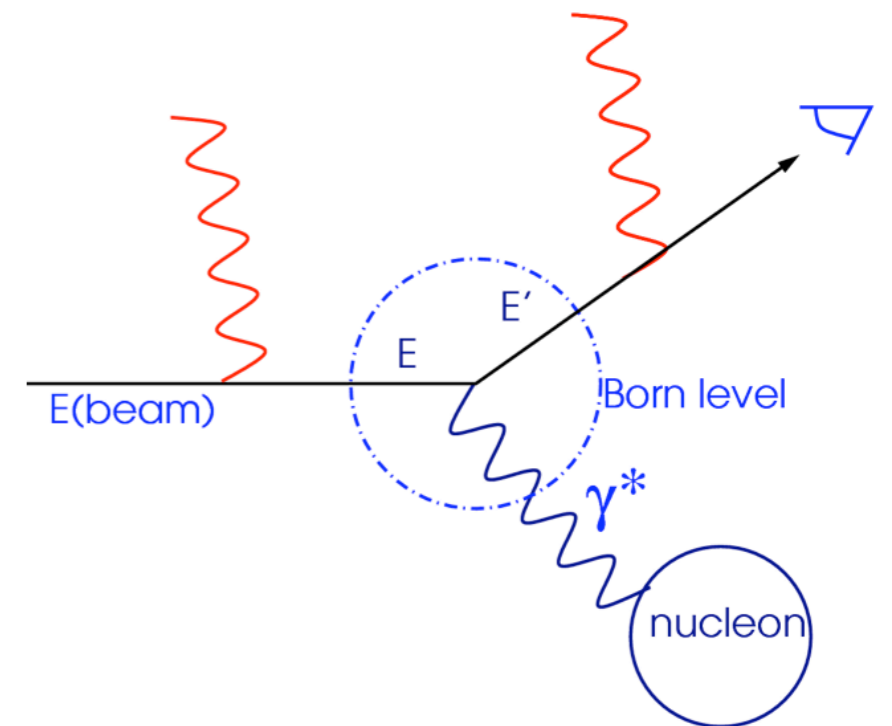
inclusive asymmetry:

$$A_{\parallel} = \frac{\sigma^{\leftarrow\Rightarrow} - \sigma^{\Rightarrow\leftarrow}}{\sigma^{\leftarrow\Rightarrow} + \sigma^{\Rightarrow\leftarrow}} = \frac{1}{P_B P_z} \cdot \frac{\frac{N^{\leftarrow\Rightarrow}}{L^{\leftarrow\Rightarrow}} - \frac{N^{\Rightarrow\leftarrow}}{L^{\Rightarrow\leftarrow}}}{\frac{N^{\leftarrow\Rightarrow}}{L^{\leftarrow\Rightarrow}} + \frac{N^{\Rightarrow\leftarrow}}{L^{\Rightarrow\leftarrow}}}$$

$$g_1(x, Q^2) = \underbrace{\frac{1}{1 - \frac{y}{2} - \frac{1}{4} y^2 \gamma}}_{\text{kinematic factors}} \left[\underbrace{\frac{Q^4}{8\pi\alpha^2 y}}_{\text{param.}} \underbrace{\frac{\partial^2 \sigma_{\text{unpol}}}{\partial x \partial Q^2}}_{\text{meas.}} A_{\parallel}(x, Q^2) + \underbrace{\frac{y}{2} \gamma^2}_{\text{kin. fac.}} \underbrace{g_2(x, Q^2)}_{\text{param.}} \right]$$

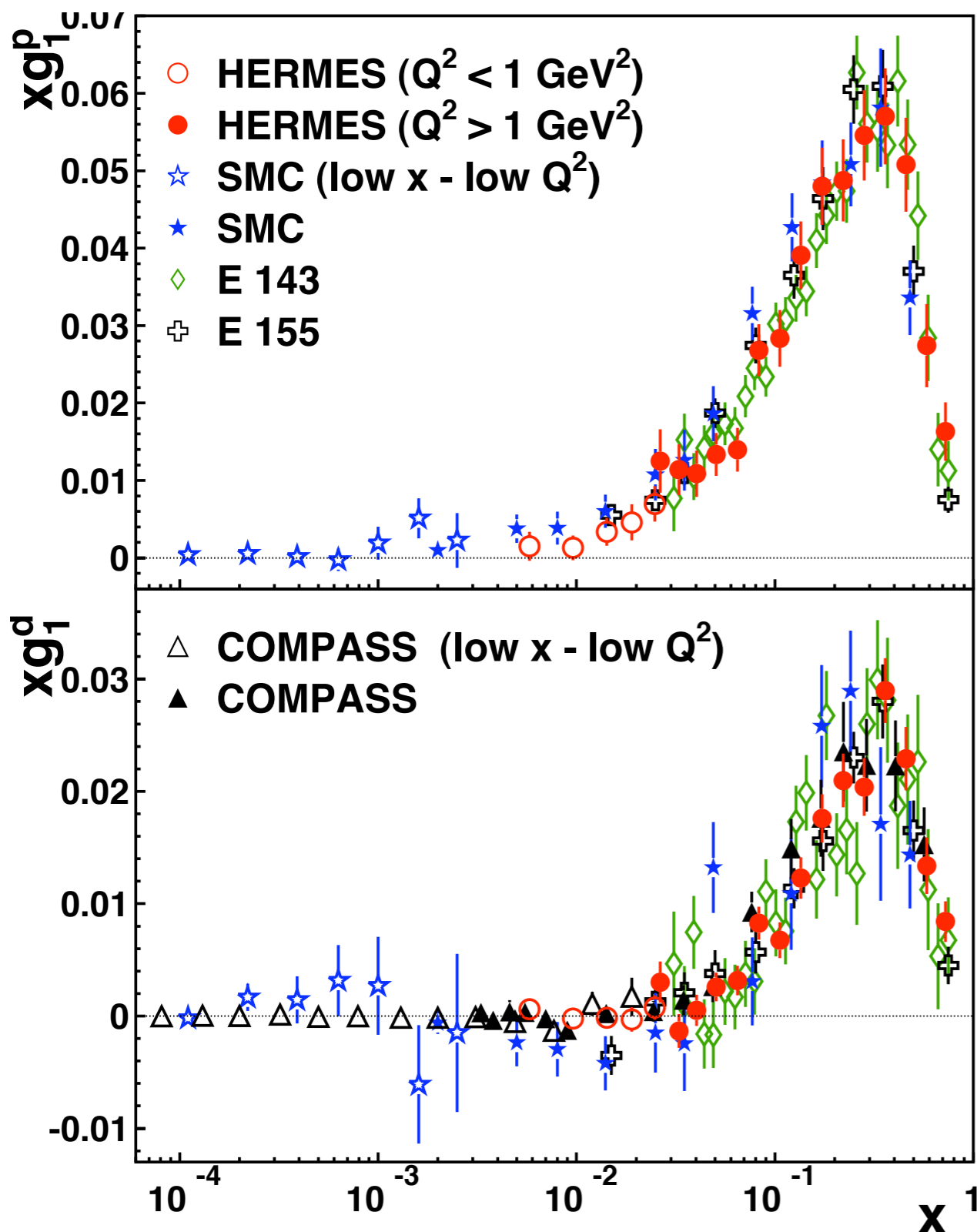
Unfolding of radiative effects

- Measured events have to be corrected for:
 - ▶ Background tail (radiation from (quasi)-elastic)
 - ▶ Radiation from DIS and detector smearing
- Event migration is simulated by Monte Carlo which includes a full detector description and a model for the cross section
- The approach is independent on the model for the asymmetry in the measured region



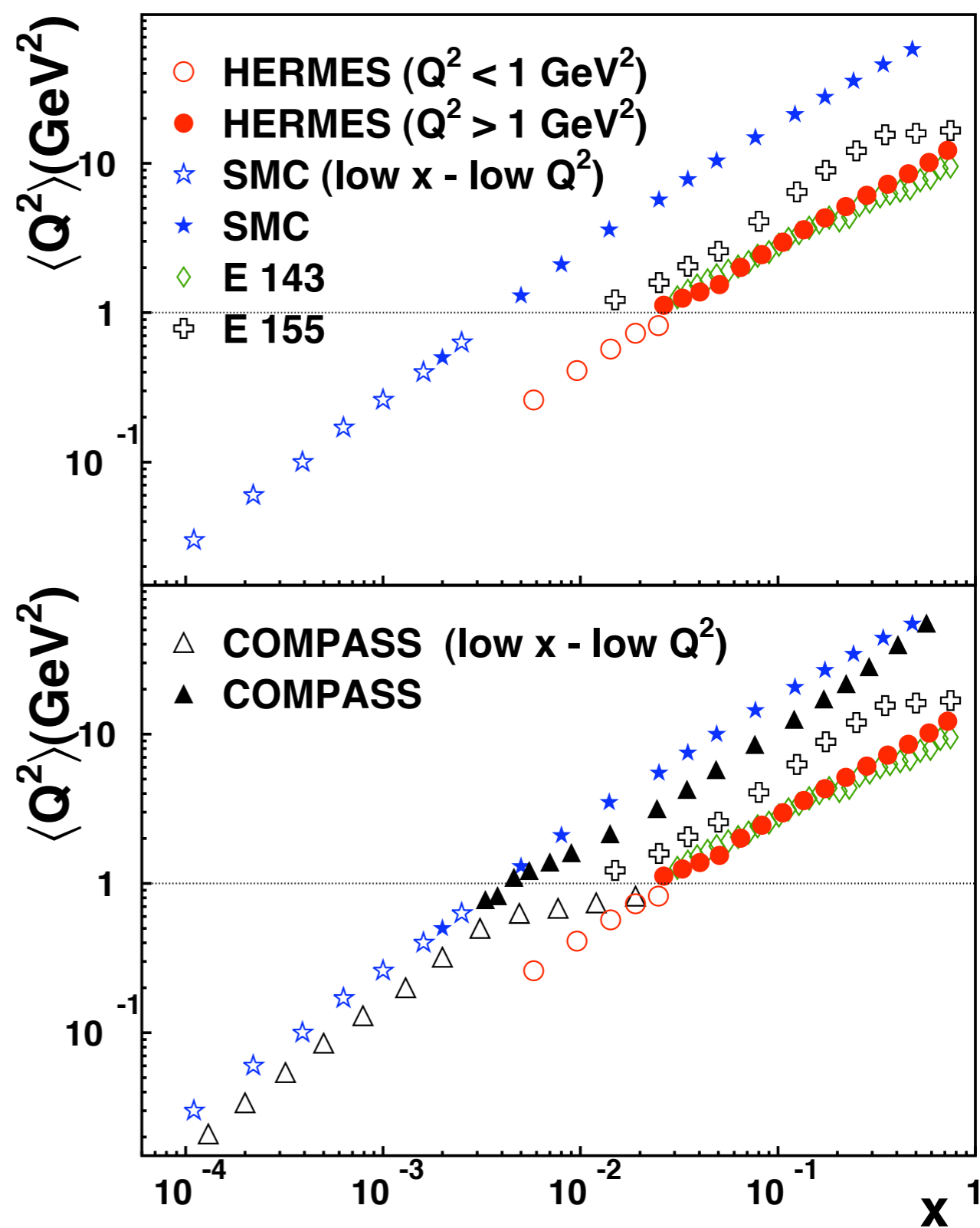
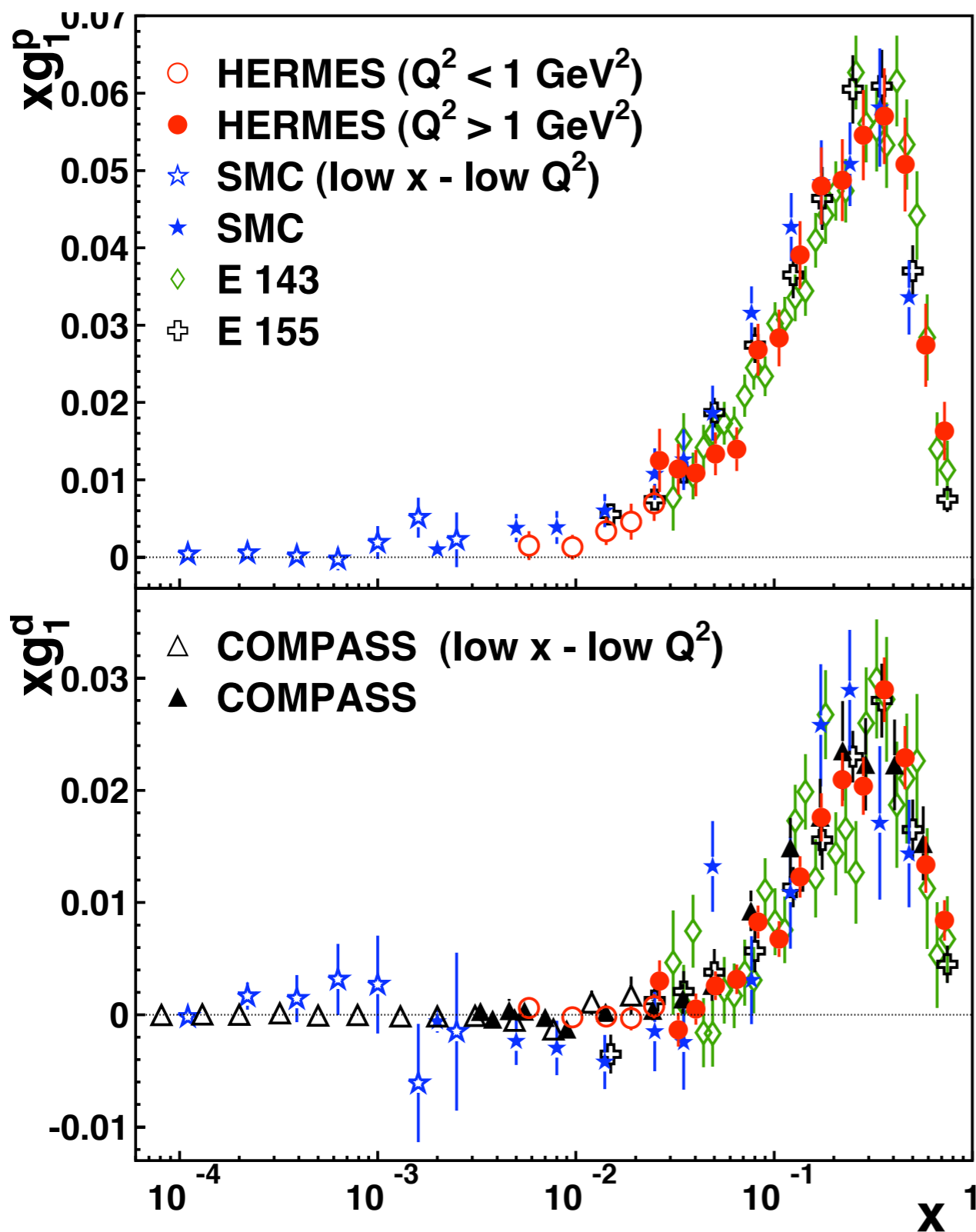
Correction introduces **statistical correlation**

g_1 : Results for p and d

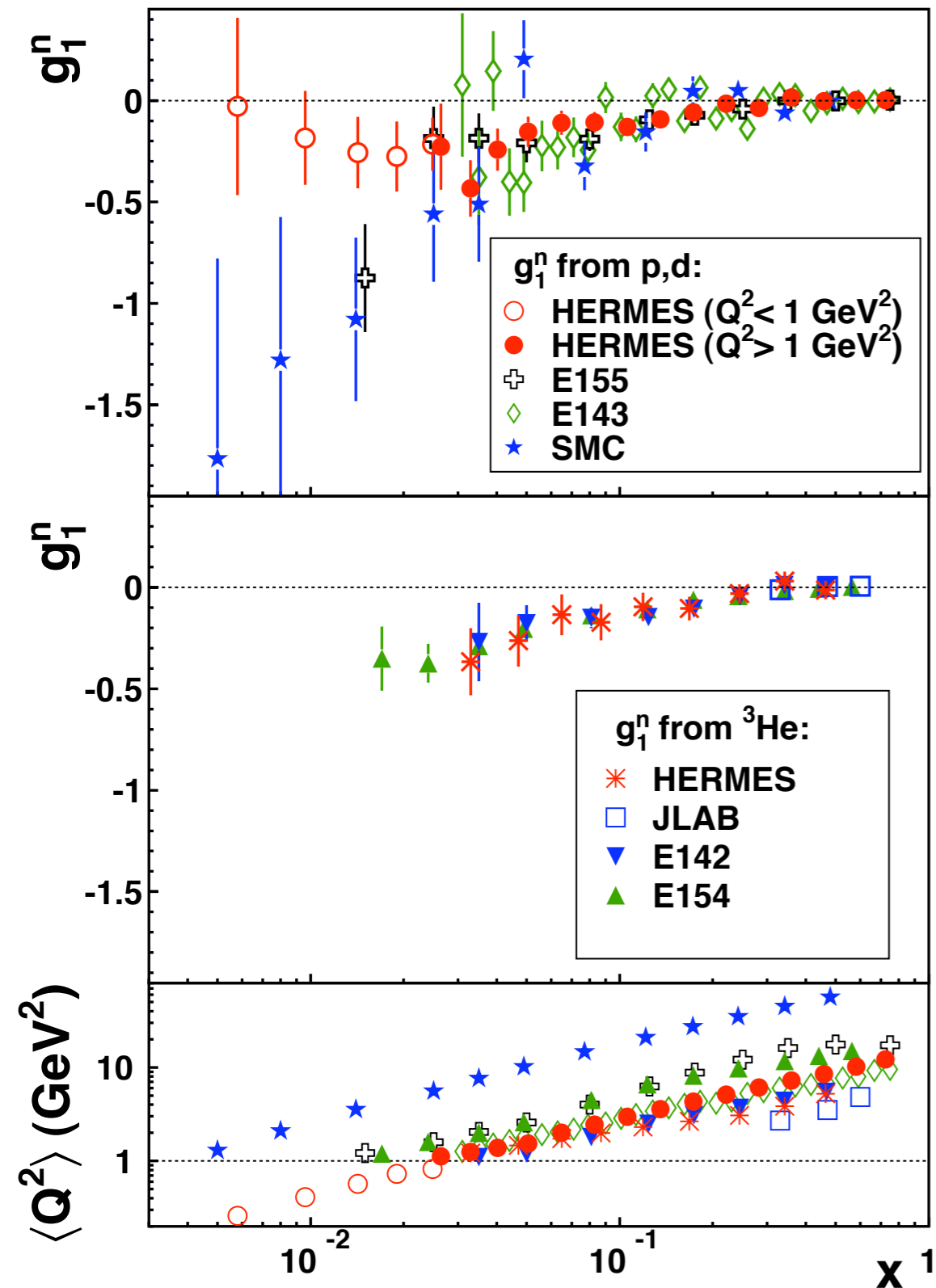


- HERMES points: **stat. and syst. errors added** in quadrature
 - ▶ **Stat. uncertainties** are **diagonal** elements of covariance matrix
 - ▶ **Syst. uncertainties** dominated by **target and beam polarisation**
- Deuteron data:
 - ▶ Most precise published data in valence x region
- Proton data:
 - ▶ Stat. precision comparable to previous data
- Q^2 different from SMC/COMPASS

g_1 : Results for p and d



g_1 : Neutron results

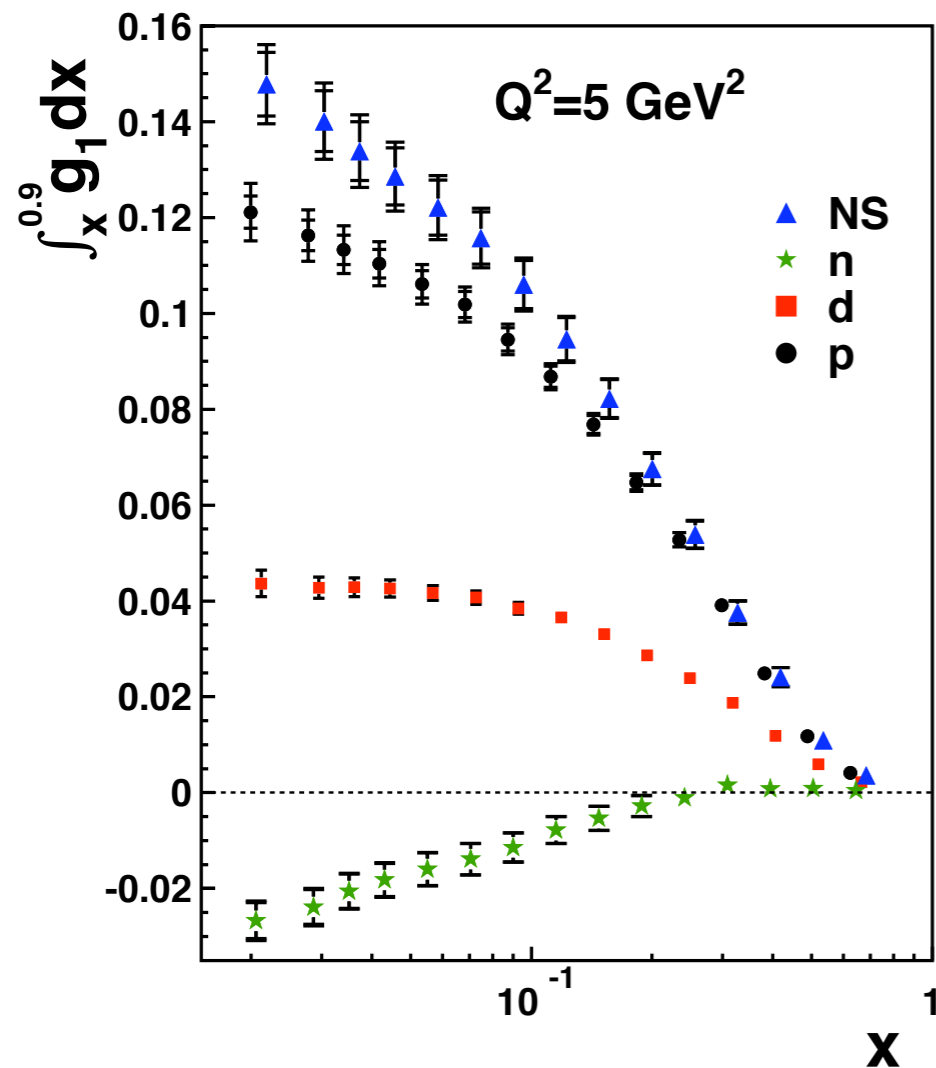


$$g_1^n = \frac{2}{1 - \frac{3}{2}\omega_D} \cdot g_1^d - g_1^p$$

$$\omega_D = 0.05 \pm 0.01$$

- g_1^n negative everywhere except at very high x
- Low- Q^2 data tends to zero at low x
 - ▶ Contrary to SMC data at higher Q^2

g_1 : Integrals



$$\Gamma_1^d = \int dx g_1^d$$

Assuming *saturation* in the deuteron integral:

➡ Use only deuteron data!

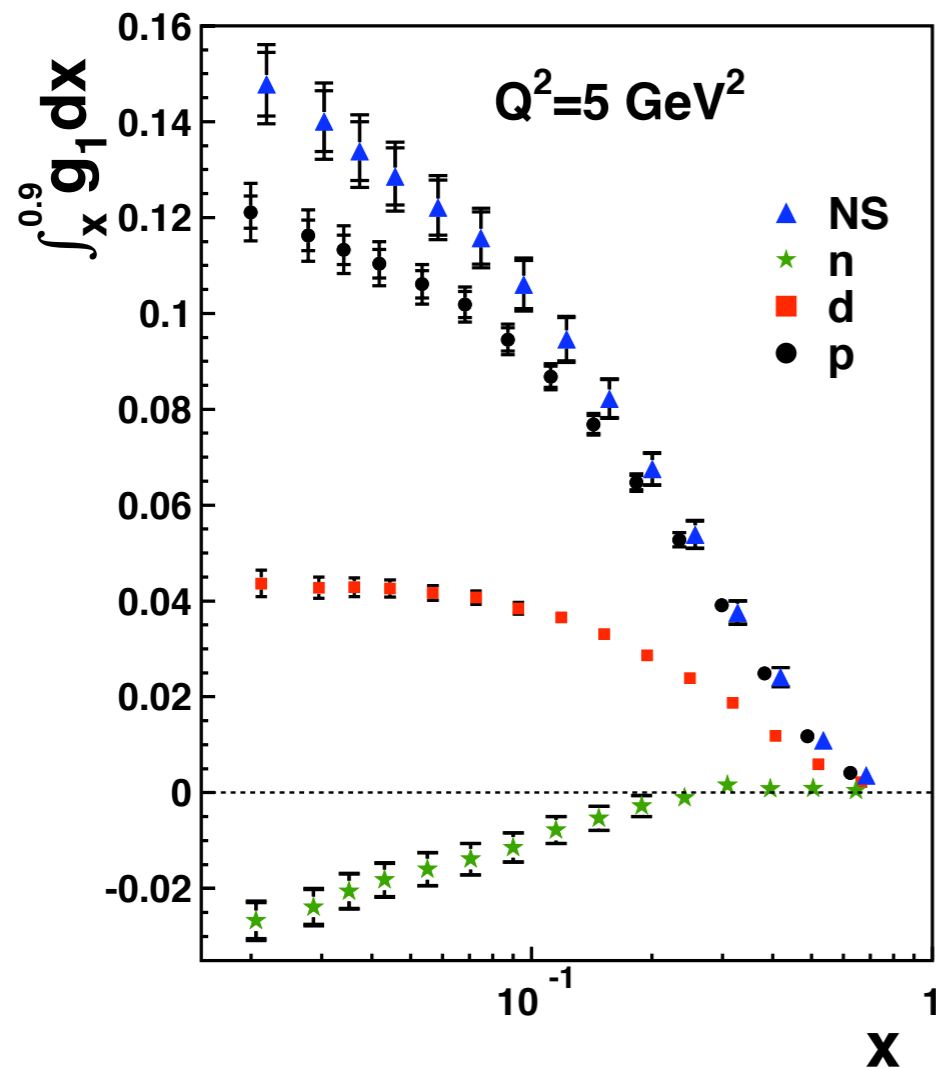
$$\Gamma_1^d = \left(1 - \frac{3}{2}\omega_D\right) \frac{1}{36} \left[4a_0 \Delta C_S^{\overline{MS}} + a_8 \Delta C_{NS}^{\overline{MS}}\right]$$

$$a_0 \stackrel{\overline{MS}}{=} \Delta\Sigma$$

in NNLO	central value	uncertainties		
		theor.	exp.	evol.
a_0	0.330	0.011	0.025	0.028
$\Delta u + \Delta \bar{u}$	0.842	0.004	0.008	0.009
$\Delta d + \Delta \bar{d}$	-0.427	0.004	0.008	0.009
$\Delta s + \Delta \bar{s}$	-0.085	0.013	0.008	0.009

$Q^2 = 5\text{GeV}^2$, NNLO in \overline{MS} scheme

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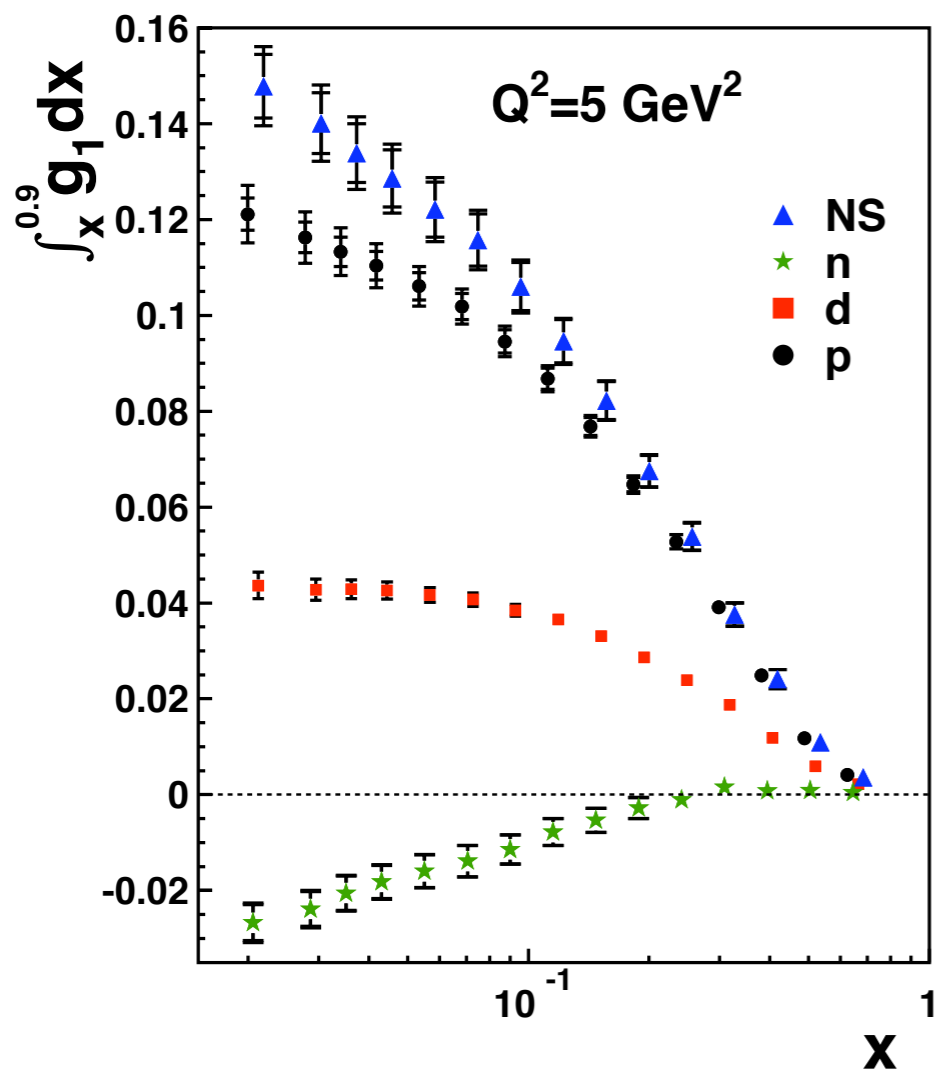
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from hyperon beta decay
($a_8 = 0.586 \pm 0.031$)

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from hyperon beta decay
($a_8 = 0.586 \pm 0.031$)

$$a_0 \stackrel{\overline{MS}}{=} \Delta\Sigma$$

$$\Delta u + \Delta \bar{u} = \frac{1}{6} [2a_0 + a_8 + 3a_3]$$

$$\Delta d + \Delta \bar{d} = \frac{1}{6} [2a_0 + a_8 - 3a_3]$$

$$\Delta s + \Delta \bar{s} = \frac{1}{3} [a_0 - a_8]$$

from neutron beta decay
($a_3 = 1.269 \pm 0.003$)

in NNLO	central value	uncertainties		
		theor.	exp.	evol.
a_0	0.330	0.011	0.025	0.028
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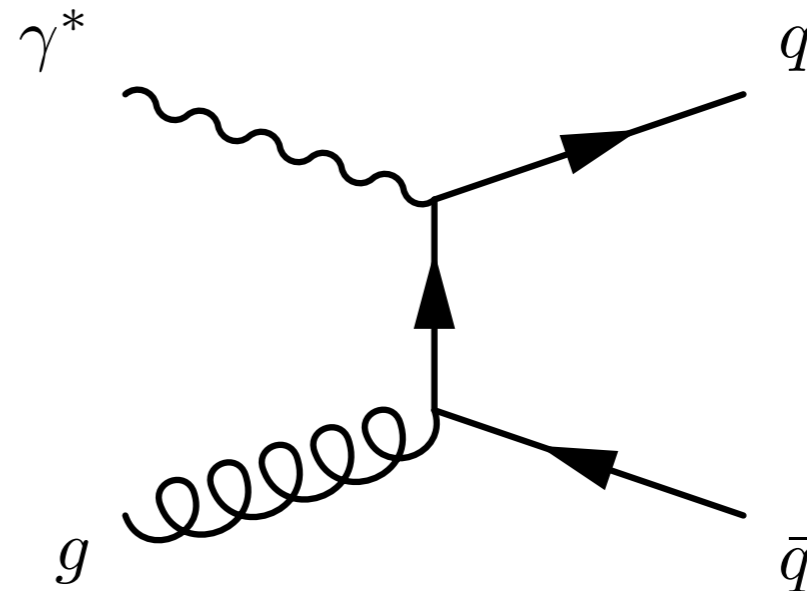
$Q^2 = 5\text{GeV}^2$, NNLO in \overline{MS} scheme

How to measure Δg ?

- Indirect from scaling violation of g_1
 - ▶ for fixed target experiment small x - Q^2 lever arm

- Direct measurement:
via process with the gluon of the nucleon in the initial state

Photon-Gluon-Fusion (PGF)



- Measure longitudinal double-spin asymmetries of high- p_t hadrons vs p_t for:

▶ “anti-tagged” data: scattered lepton not in acceptance (p_t with respect to the beam axis)

▶ “tagged” data: scattered lepton detected

▶ inclusive pairs of charged hadrons

} low statistics

Extraction Method

- Measured asymmetry is an incoherent superposition of different sub-process asymmetries:

$$A_{\parallel}^{\text{meas}}(p_t) = \sum_i f_i A_{\parallel}^i = f_{\text{Sig}} A_{\parallel}^{\text{Sig}} + f_{\text{Bg}} A_{\parallel}^{\text{Bg}} \quad f_i = \frac{\sigma_i}{\sigma_{\text{tot}}}$$

Signal: Gluon of the nucleon in the initial state

$$A_{\parallel}^{\text{Sig}}(p_t) \propto \int_{x(p_t)} dx \sigma(x, p_t) \hat{a}(x, p_t) \frac{\Delta g(x)}{g(x)}$$

Background: all other sub-processes \Rightarrow MC

- Two methods to extract $\Delta g/g$
 - ▶ Method I: $\Delta g(x)/g(x) = \text{const}$
 - ▶ Method II: $\Delta g(x)/g(x) = x(1+p_1(1-x)^2+p_2(1-x)^3)$

Models and Assumptions

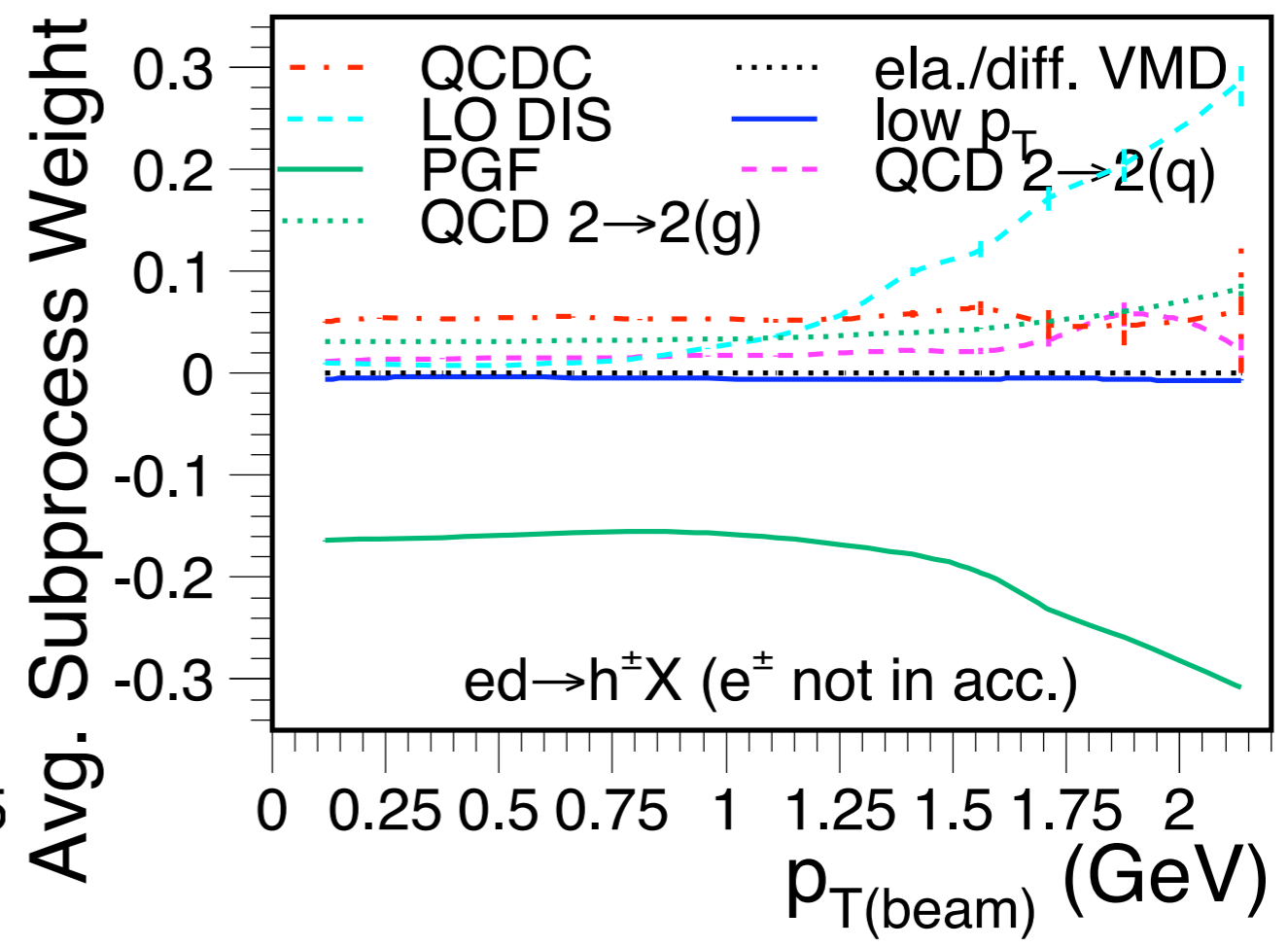
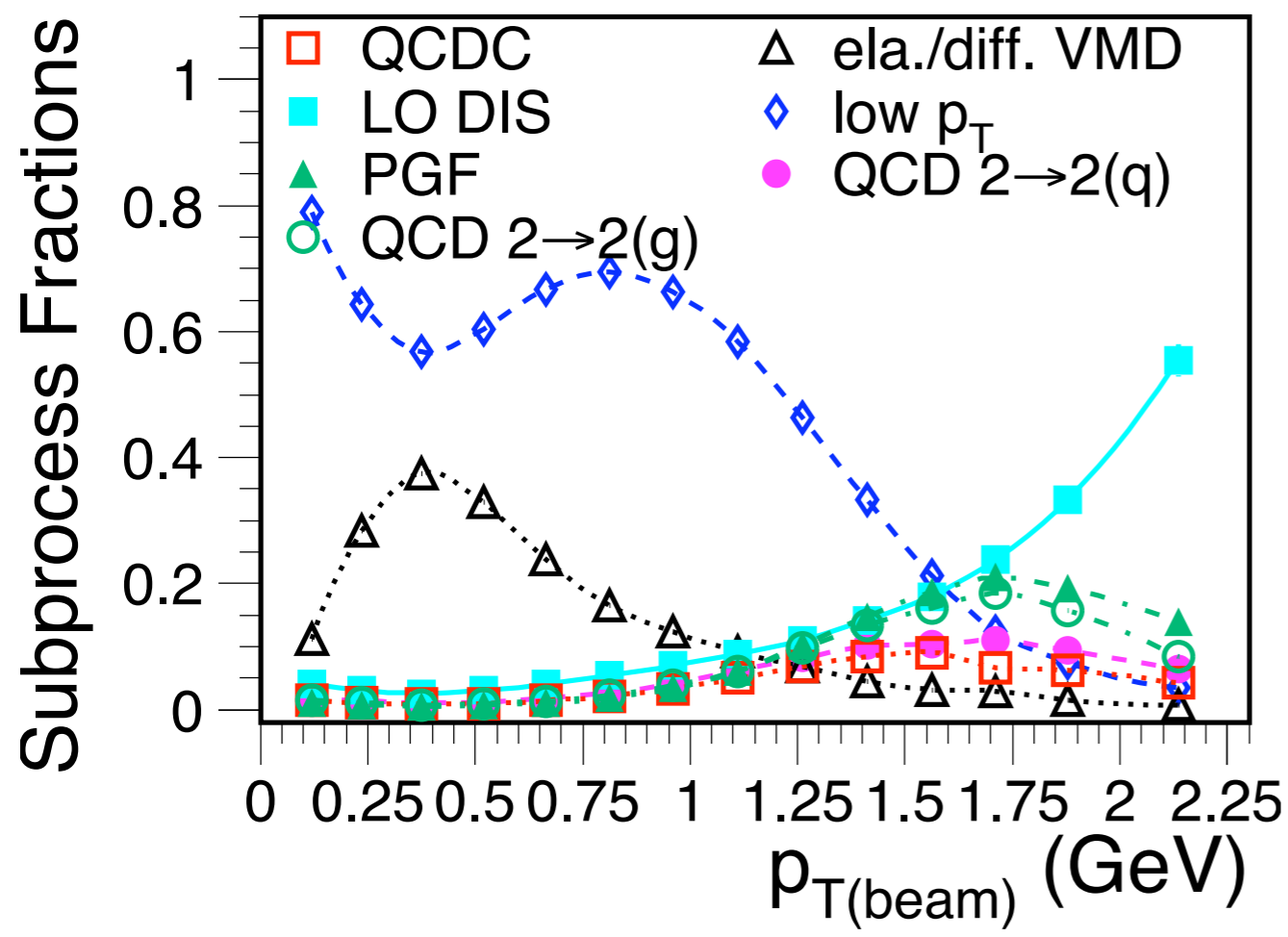
- MC model
 - ▶ PYTHIA 6.2 ,tuned and adapted for HERMES data
fragmentation process, exclusive ρ^0 cross section (VMD)
- provides
 - ▶ relative contributions f_i of the background and signal subprocesses in the relevant p_t range
 - ▶ background asymmetries and the hard subprocess asymmetries of the signal processes
 - weight calculated for every MC event
 - PDFs (**unpol/pol**): **CTEQ5L/GRSV2000** (nucleon), **SaS2/GRS** (photon)
 - Asymmetry assumptions for soft processes (soft VMD)
- Vary PDFs/assumptions for syst. error

Fractions and Asymmetries

(anti-tagged data)

Sub-process asymmetries
(using GRSV std.)

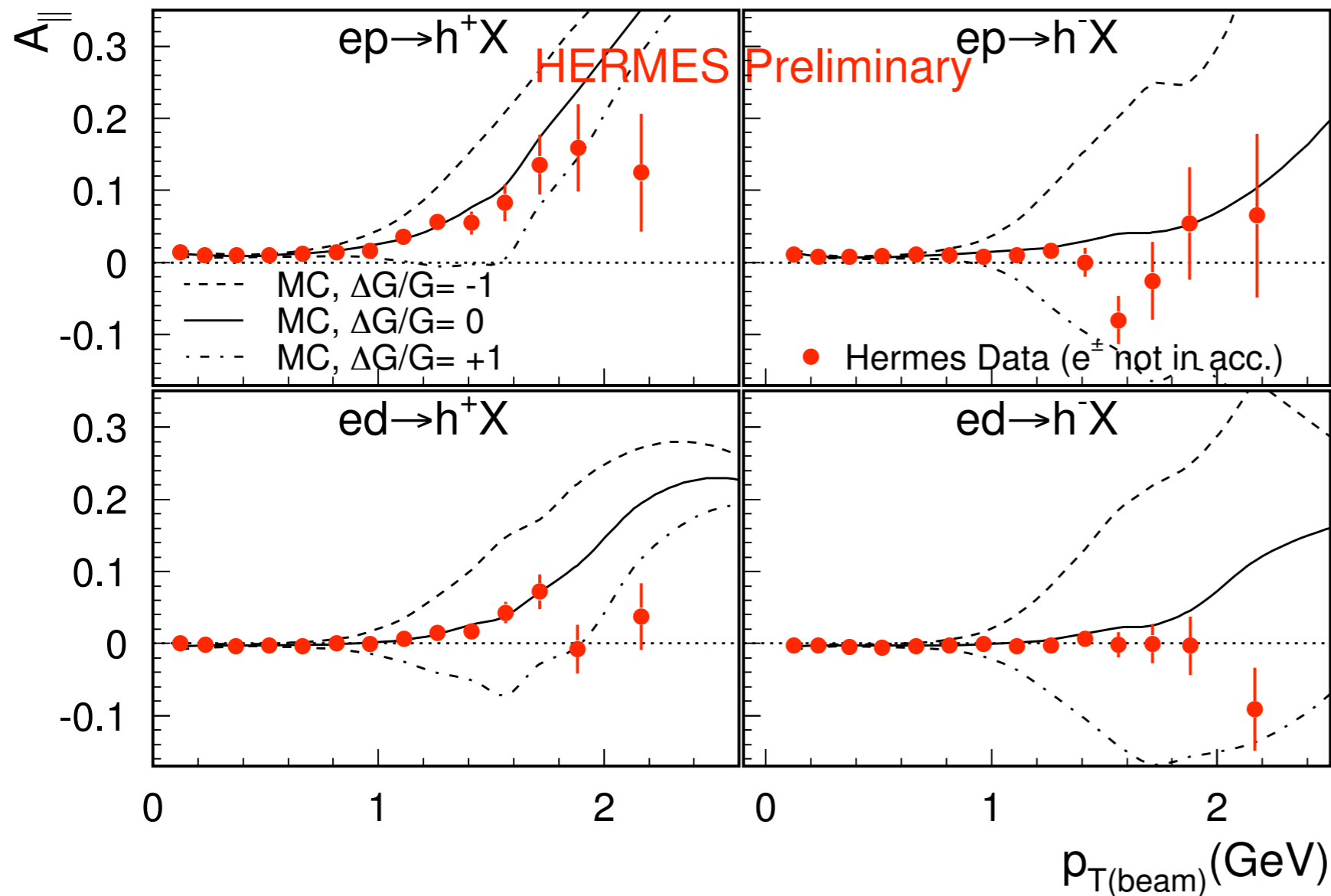
Sub-process fraction



- DIS dominating at high p_t
- Signal processes are PGF and QCD $2 \rightarrow 2(g)$ (resolved photon)

- Background processes have (mostly) small and positive asymmetries
- |PGF| increasing with p_t , negative (for positive dg/g from GRSV)

Asymmetries



- Anti-tagged data:
 - ▶ Scattered lepton not in acceptance
 - ▶ p_t measured with respect to beam axis
- Curves from MC + asymmetry model using:
 - ▶ $\Delta g/g(x) = 0$: central
 - ▶ $\Delta g/g(x) = -1$: upper
 - ▶ $\Delta g/g(x) = +1$: lower

$\Delta g/g(x) = 0$ asymmetry is due to quarks only!
 Gluons become important for the cross section (asymmetry) above $p_t \approx 1$ GeV

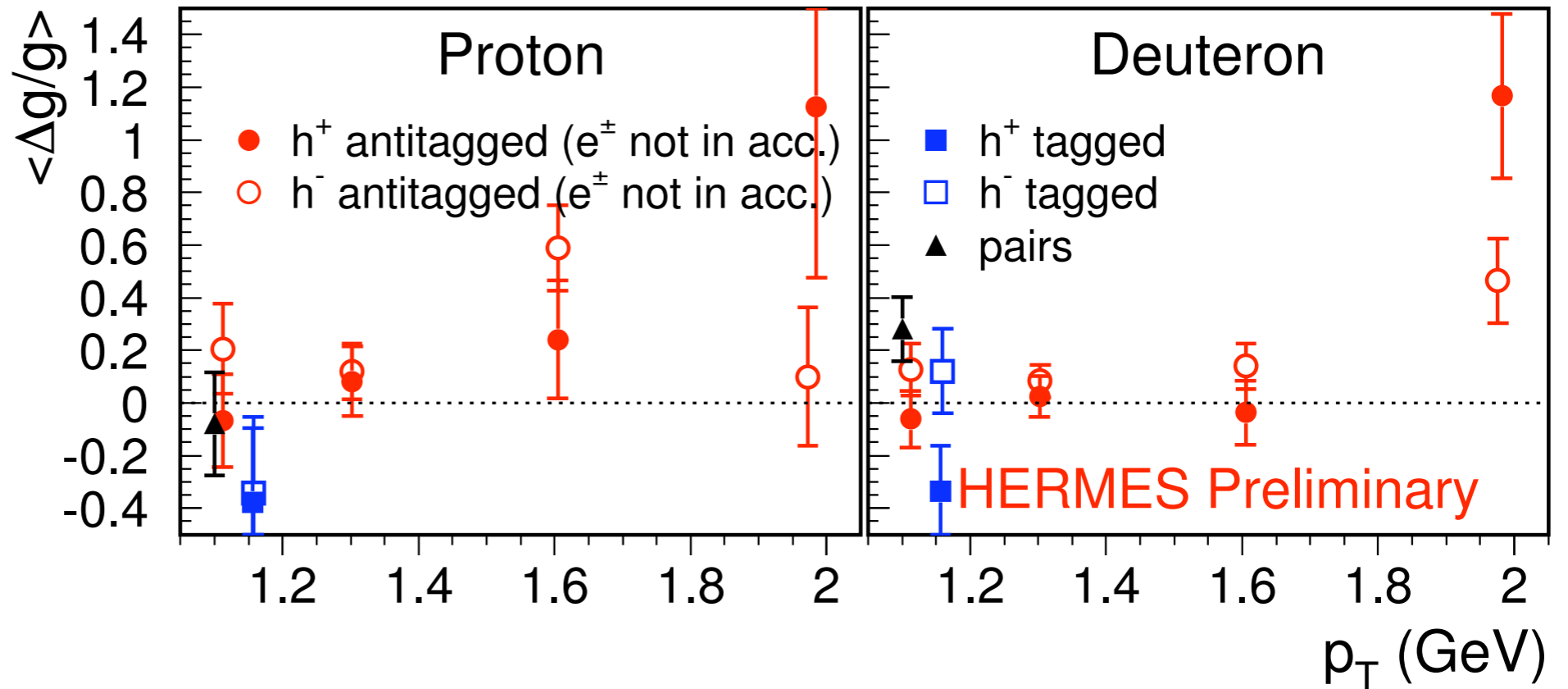
$\Delta g/g$: Method I

assume $\Delta g(x)/g(x)$ const. over x : $\langle \frac{\Delta g}{g} \rangle = \frac{1}{f_{\text{Sig}} \langle \hat{a} \rangle} \left[A_{\parallel}^{\text{meas}} - f_{\text{Bg}} A_{\parallel}^{\text{Bkg}} \right]$

h^+, h^- anti-tagged
4 points between
 $1.05 < p_t < 2.5$ GeV

h^+, h^- tagged
1 point for
 $p_t > 1$ GeV

h pairs
1 point for
 $\sum p_t^2 > 2 \text{ GeV}^2$



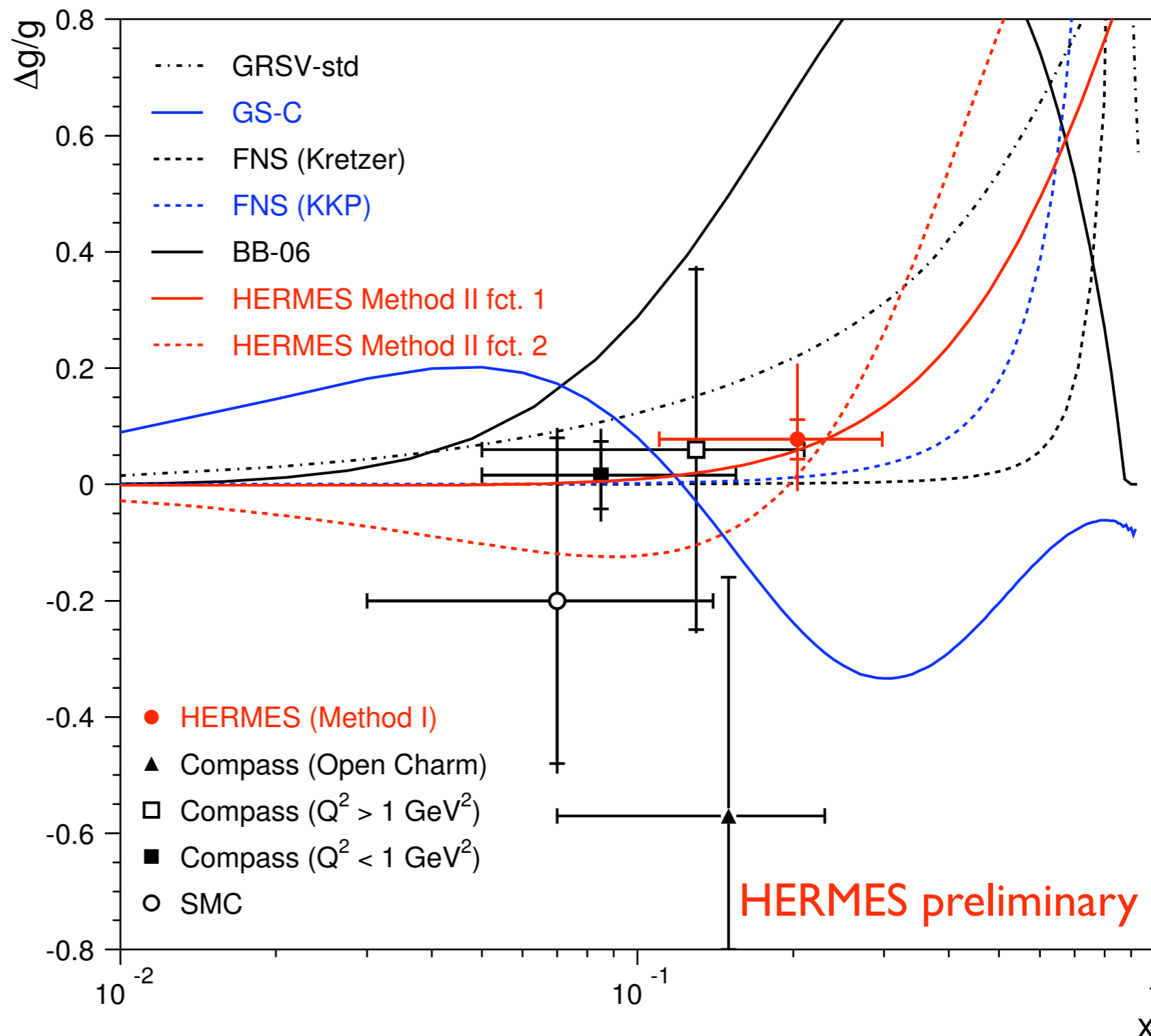
- Results for different data samples agree within statistics
- Dominating sample: Deuteron antitagged
 ↳ Used for Method II

$\Delta g/g$: World data

- Black and blue curves: pQCD fits to g_1
- Red curves (Method II): fit $\Delta g(x)/g(x)$ such that

$$A_{||}^{MC} = A_{||}^{meas}$$

- Sys. model uncert. dominating:
 - ▶ PDFs
 - ▶ PYHTIA model



Conclusions

- HERMES has measured g_1 for proton and deuteron for $0.0041 < x < 0.9$ and $0.18 < Q^2 < 20 \text{ GeV}^2$
- **Proton** data precision is **comparable** with CERN and SLAC
- **Deuteron** data is the **most precise** so far
- The deuteron integral is observed to saturate

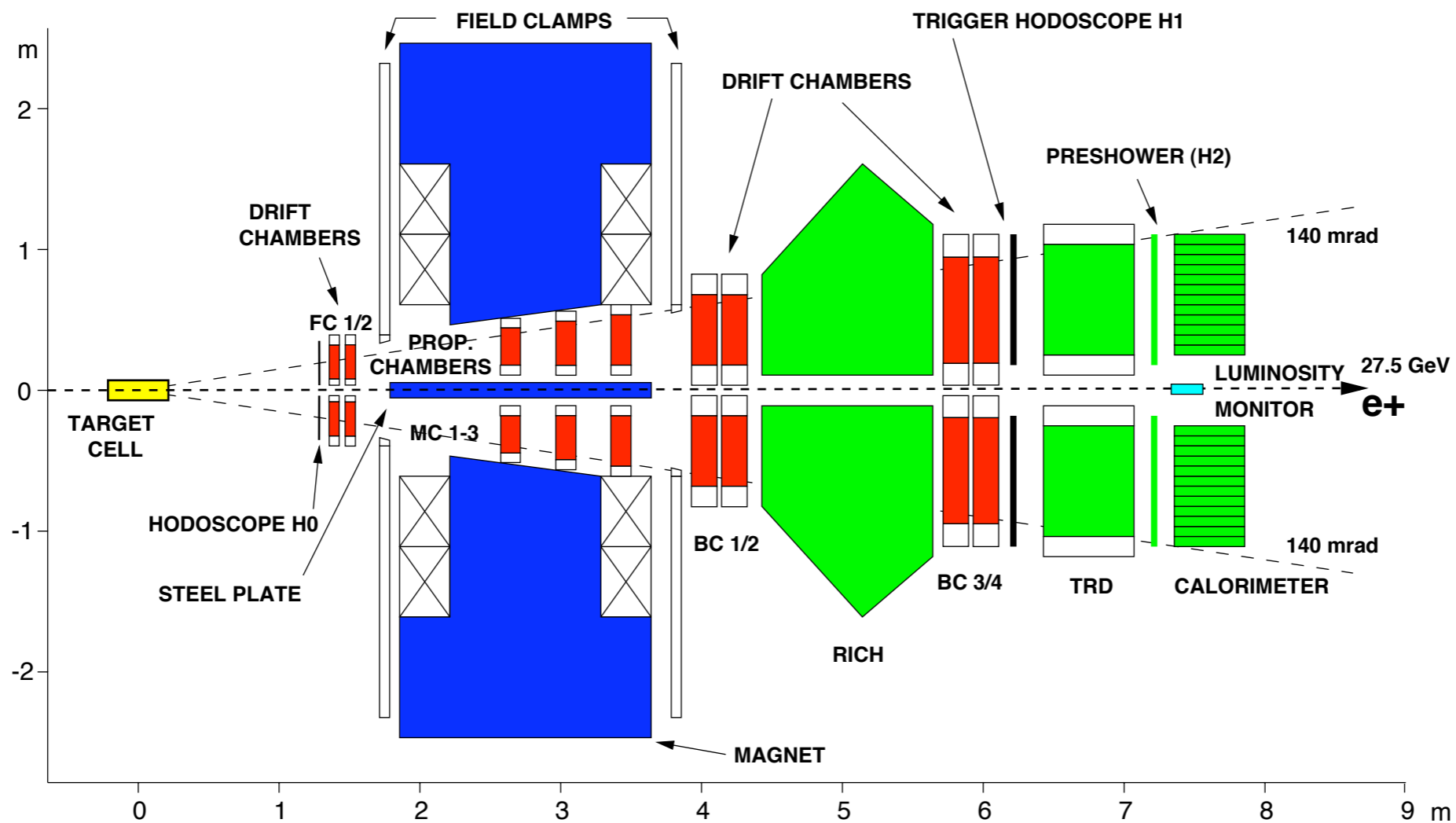
$$\Rightarrow a_0 = 0.330 \pm 0.011(\text{theor}) \pm 0.025(\text{exp.}) \pm 0.028(\text{evol})$$

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- $\Delta g/g$ has been extracted using two different methods
- $\Delta g/g$ is likely small
- Method I: $\frac{\Delta g}{g}(x, \mu^2) = 0.078 \pm 0.034(\text{stat}) \pm 0.011(\text{sys} - \text{exp}) \begin{matrix} +0.125 \\ -0.082 \end{matrix} (\text{sys} - \text{Models})$
- Method II: $\frac{\Delta g}{g}(x, \mu^2) = 0.071 \pm 0.034(\text{stat}) \pm 0.010(\text{sys} - \text{exp}) \begin{matrix} +0.127 \\ -0.105 \end{matrix} (\text{sys} - \text{Models})$

Additional slides

HERMES



Internal gas target: pol. : He, H, D

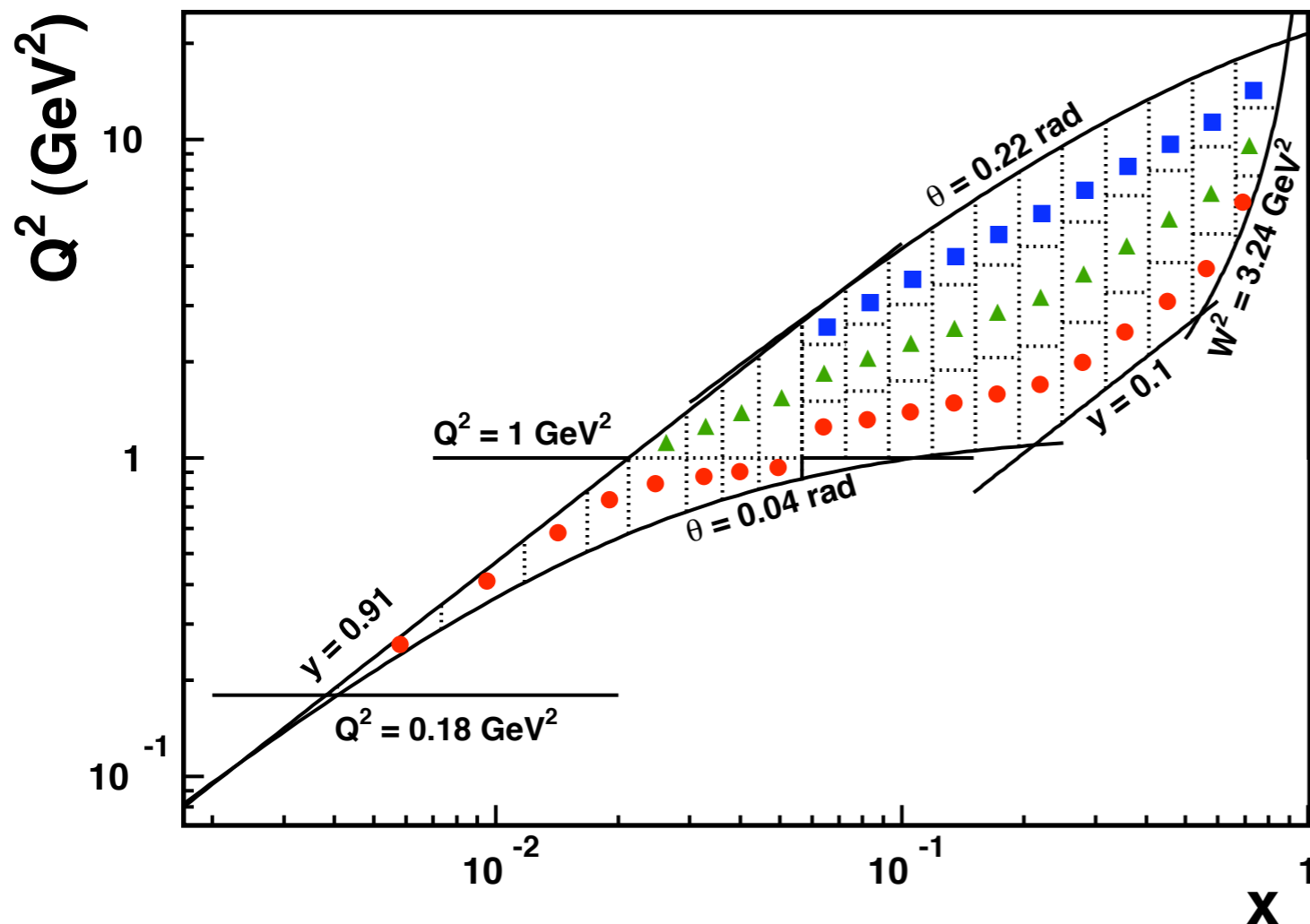
unpol: H₂, D₂, He, N₂, Ne, Kr, Xe

Particle ID: TRD, Preshower, Calorimeter, Cerenkov (until 1997), RICH (since 1998)

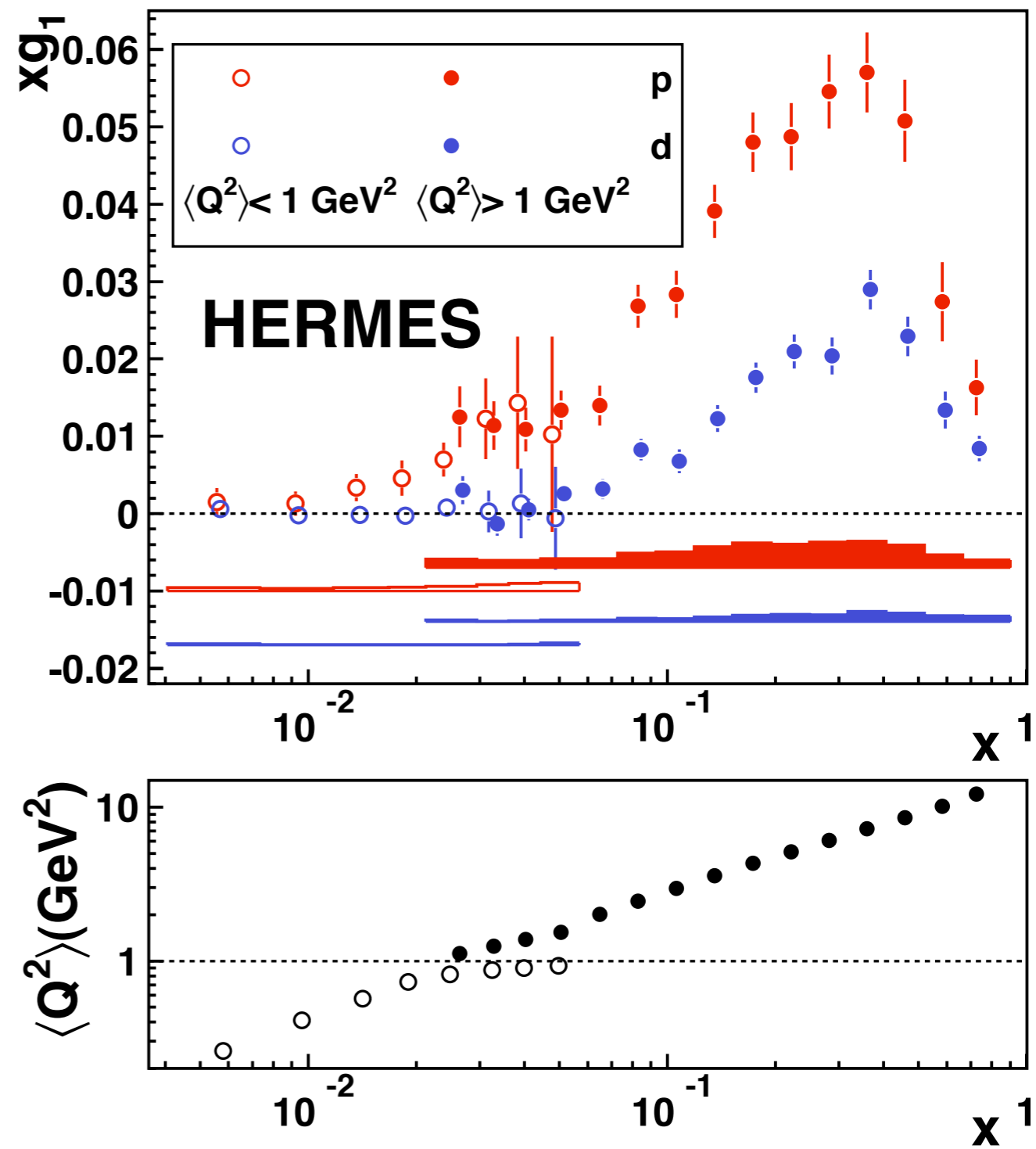
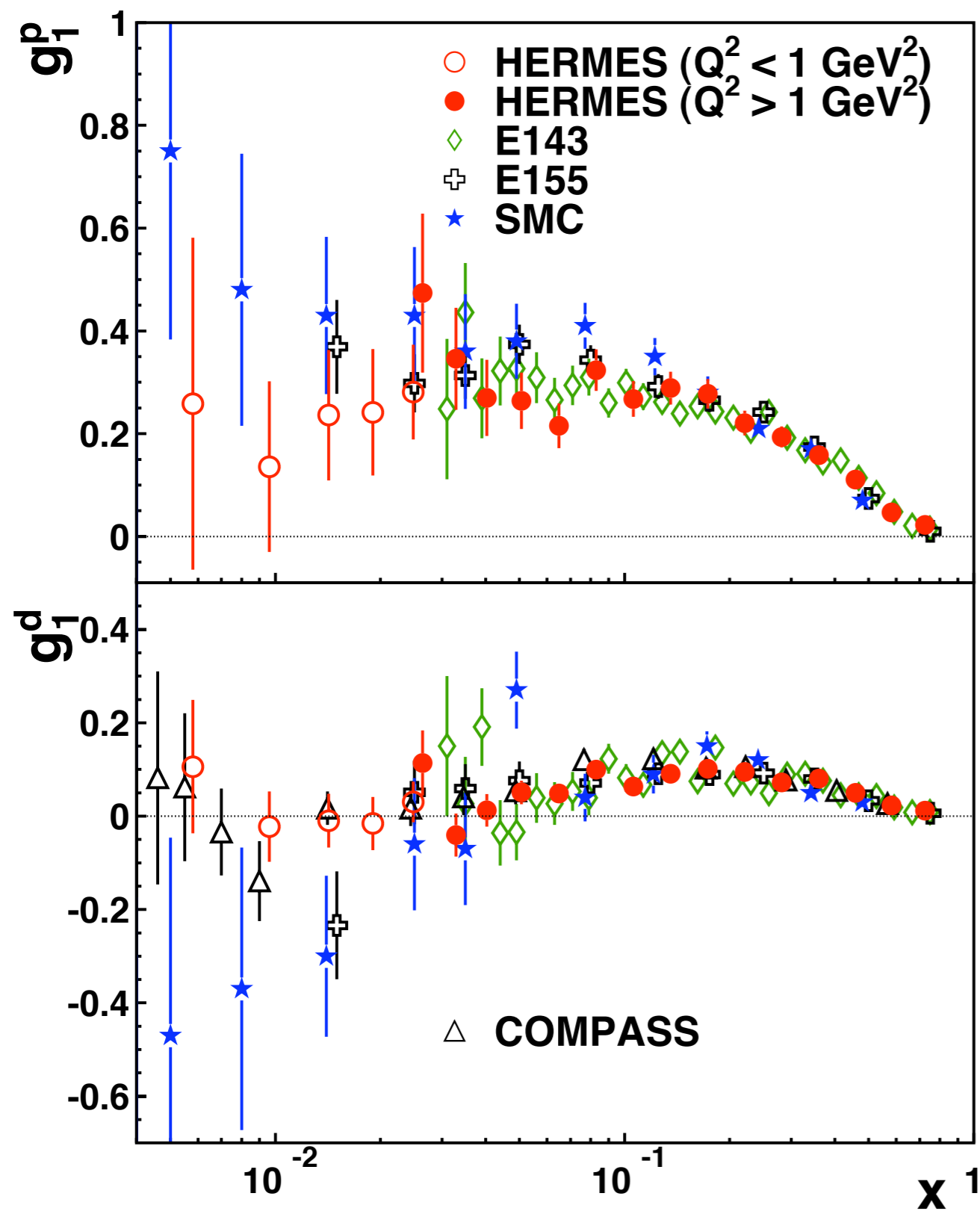
Reconstruction: $\Delta p/p < 2\%$, $\Delta\theta < 1$ mrad

g_1 : Data Set and Binning

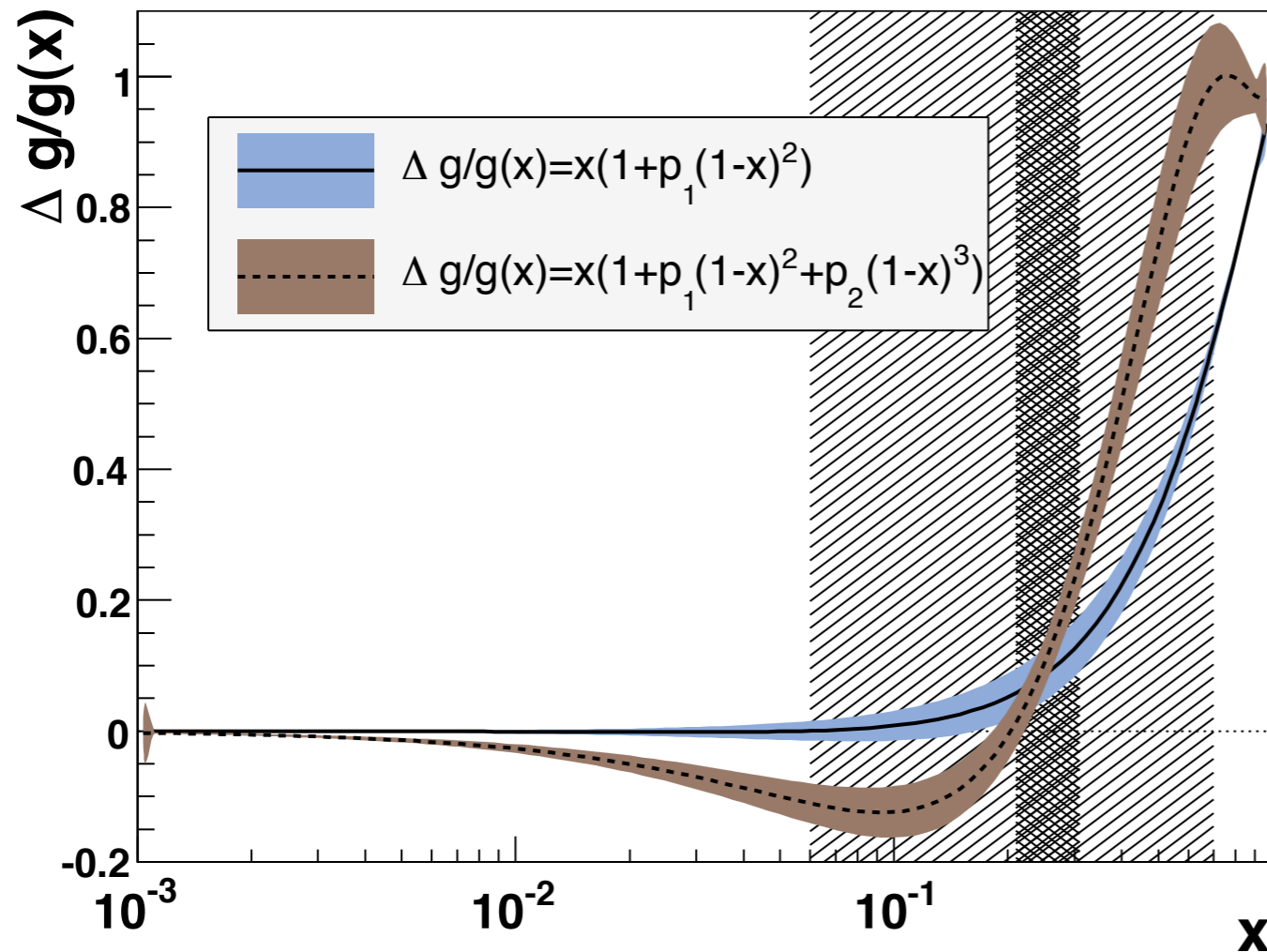
Target	Year	Luminosity (pb ⁻¹)	#	P _{Target} (%)	P _{Beam} (%)
H	1996	12.6	670,000	75.9±3.2	~53±1.8
H	1997	37.3	2,800,000	85.1±3.2	
D	2000	138.7	10,900,000	85.1±3.2(+) 84.0±3.1(-)	~53±1.0



$g_1^{p,d}$



Fit results (Method II)



- Light shaded area: range of data
- Dark shaded area: center of gravity for fit

- Functions are polynomials with 1 or 2 free parameters
- Fix $\Delta g/g \rightarrow x$ for $x \rightarrow 0$ and $\Delta g/g \rightarrow 1$ for $x \rightarrow 1$ (Brodsky et al.)
- $|\Delta g(x)/g(x)| < 1$ for all x
- Difference between functions is systematic uncertainty