
Semi-inclusive Deep Inelastic Scattering & Spin-flavour Decomposition

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- The helicity structure of the nucleon
- Spin dependent DIS
- Why Semi-Inclusive DIS?
- The *Purity* Formalism
- Experiments on Polarised SIDIS:
 - SMC
 - HERMES
 - COMPASS
- Outlook



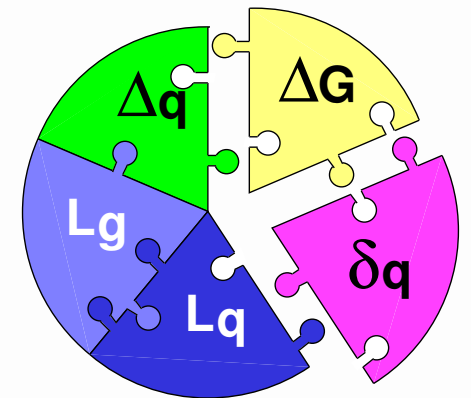
Helicity Structure of the Nucleon

- Helicity Sum Rule for nucleons:

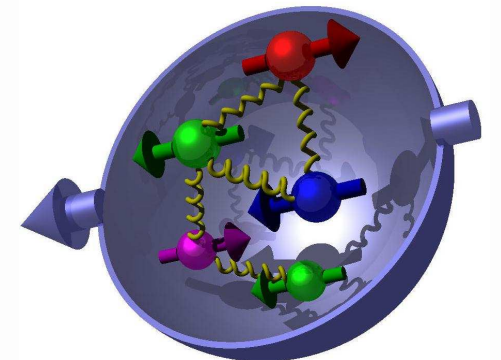
$$S_z = \frac{1}{2}\hbar = \frac{1}{2} \Delta\Sigma + \Delta G + L_q + L_g$$

where

$$\Delta\Sigma = \Delta u + \Delta\bar{u} + \Delta d + \Delta\bar{d} + \Delta s + \Delta\bar{s} \simeq \begin{cases} 0.14 \dots 0.2 & \text{measured} \\ 0.58 & \text{expected} \end{cases}$$



- The $\Delta q = \Delta u, \Delta\bar{u}, \dots$ are the first moments of the helicity densities $\Delta u(x), \Delta\bar{u}(x), \dots$
- Helicity density: $\Delta q(x) = q^+(x) - q^-(x)$



Models for Sea Quark Helicity Distributions

● Different models which predict $\Delta\bar{u}(x) \neq \Delta\bar{d}(x) \neq \Delta s(x)$

● Chiral quark–soliton model (χ QSM)

[Dressler et al., EPJ C14 (2000) 147]

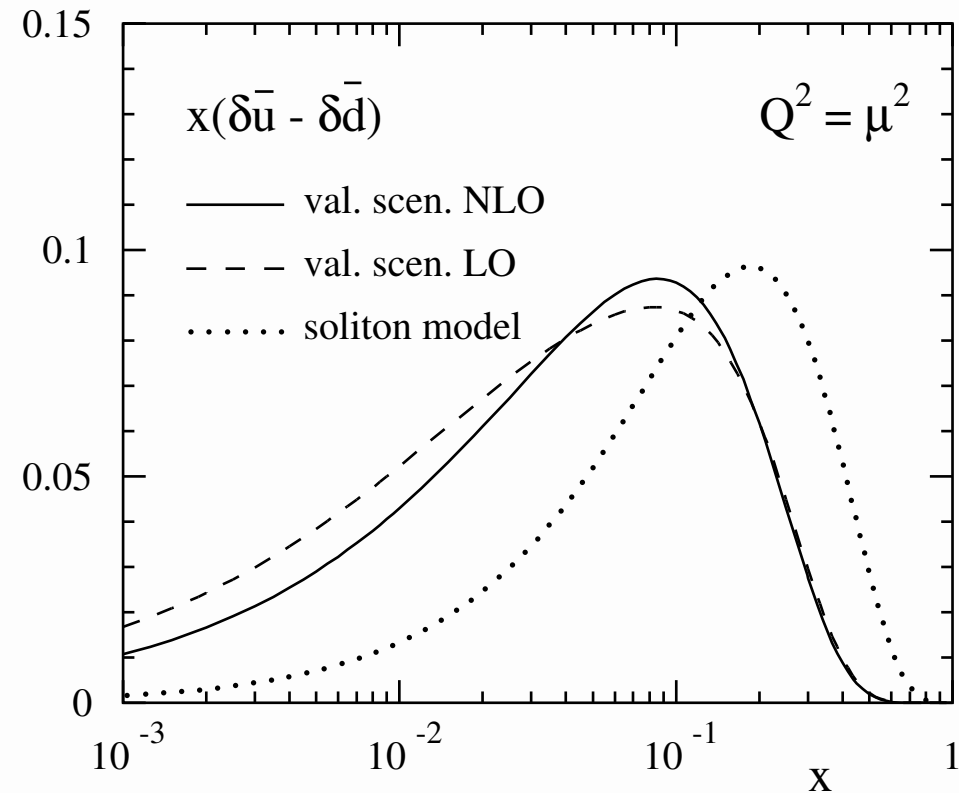
● Pauli blocking ansatz

[Glück et al., PRD 63 (2001) 094005]

● Statistical model

[Bourely et al., EPJ C23 (2002) 487]

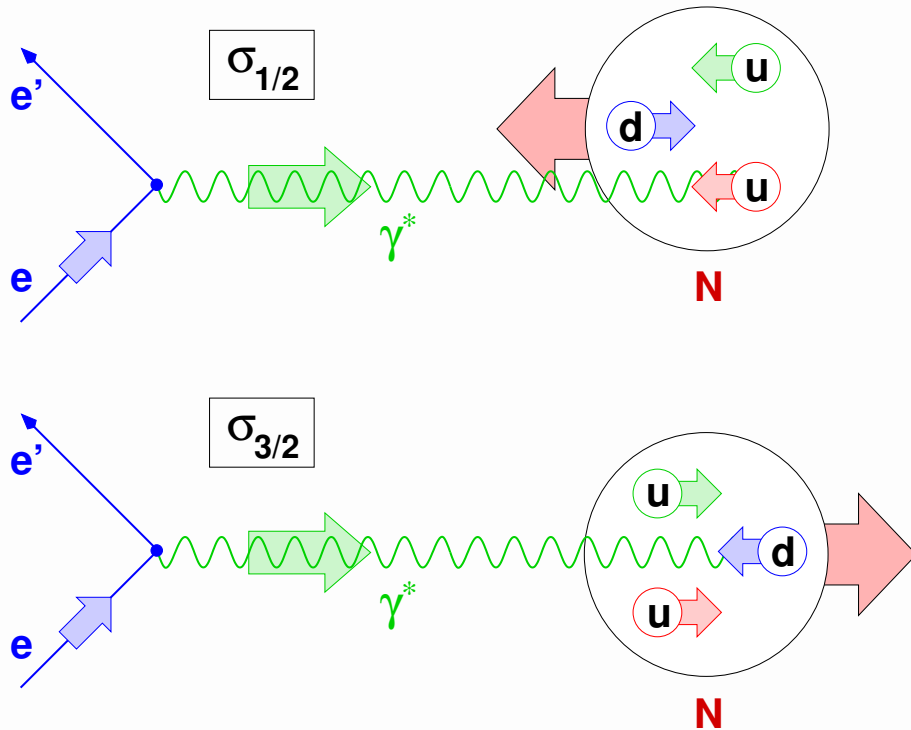
● ⋮



[Glück et al., PRD 63 (2001) 094005]



DIS Cross Section Asymmetries



- DIS $e + N \rightarrow e' + X$ in lowest order mediated by one-photon exchange
- Virtual photon γ^* can only couple to quarks of opposite helicity
- Can select $q^+(x)$ or $q^-(x)$ by orientation of target nucleon spin or helicity of incident lepton beam
- Define cross section asymmetry A_1 in the $\gamma^* N$ system:

$$A_1 = \frac{\sigma_{1/2} - \sigma_{3/2}}{\sigma_{1/2} + \sigma_{3/2}}$$

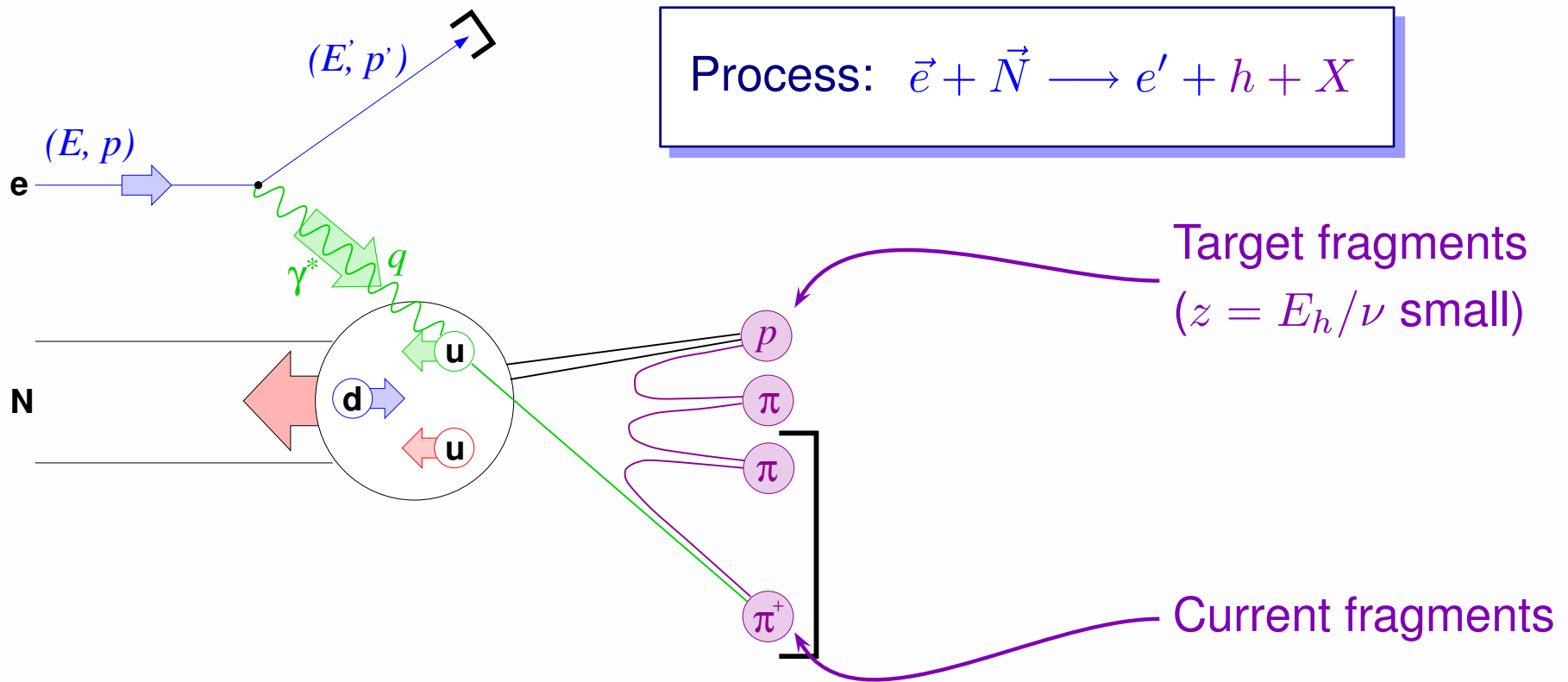
- In the QCD parton model:

$$A_1(x, Q^2) \stackrel{g_2=0}{\simeq} \frac{g_1(x, Q^2)}{F_1(x, Q^2)} = \frac{\sum_q e_q^2 \Delta q(x, Q^2)}{\sum_q e_q^2 q(x, Q^2)}$$

Weighted by e_q^2



SIDIS: Semi-Inclusive Deep Inelastic Scattering



Factorisation ansatz for cross section:

$$\sigma^h(x, Q^2, z) \propto \sum_{q=u, \bar{u}, \dots} e_q^2 q(x, Q^2) D_q^h(z, Q^2)$$

Different weights for
 $h = \pi^\pm, K^\pm, \dots$



SIDIS Cross Section Asymmetries

- Measured longitudinal cross section asymmetry

$$A_{\parallel} = \frac{1}{f \langle P_B P_T \rangle} \cdot \frac{(N/\mathcal{L})^{\leftarrow} - (N/\mathcal{L})^{\rightarrow}}{(N/\mathcal{L})^{\leftarrow} + (N/\mathcal{L})^{\rightarrow}},$$

N : Number of DIS events

\mathcal{L} : luminosity

P_B, P_T : beam and target polarisations

f : dilution factor

- Relation to asymmetry A_1 :

$$\frac{A_{\parallel}(x, Q^2)}{D(x, Q^2)[1 + \eta\gamma]} = A_1(x, Q^2) \stackrel{g_2=0}{\simeq} \frac{g_1(x, Q^2)}{F_1(x, Q^2)}$$

Contribution from F_L :

$$2x F_1 = F_2 \cdot \frac{1 + \gamma^2}{1 + R}$$

- With $D_{q^+}^{\pi, K}(z) = D_{q^-}^{\pi, K}(z)$:

$$A_1^h(x, Q^2) \stackrel{g_2=0}{\simeq} \frac{\sum_q e_q^2 \Delta q(x, Q^2) \int_{z_{\min}}^{z_{\max}} dz D_q^h(z, Q^2)}{\sum_q e_q^2 q(x, Q^2) \int_{z_{\min}}^{z_{\max}} dz D_q^h(z, Q^2)} \times \underbrace{\frac{1 + R(x, Q^2)}{1 + \gamma^2}}_{=: \mathcal{C}}$$



The Purity Formalism

- Rewrite photon–nucleon asymmetry $A_1^h(x, Q^2)$:

$$A_1^h(x, Q^2) = \sum_q \frac{e_q^2 q(x, Q^2) \int_{z_{\min}}^{z_{\max}} dz D_q^h(z, Q^2)}{\sum_{q'} e_{q'}^2 q'(x, Q^2) \int_{z_{\min}}^{z_{\max}} dz D_{q'}^h(z, Q^2)} \cdot \frac{\Delta q}{q}(x, Q^2)$$

where the “purity” is an unpolarised quantity.

- Need at least six independent asymmetry sets $A_1^h(x)$ to determine six unknown helicity distributions $\Delta u(x), \Delta \bar{u}(x), \Delta d(x), \Delta \bar{d}(x), \Delta s(x), \Delta \bar{s}(x)$
- Purity equation generally under–determined \rightarrow add symmetry assumptions, e.g.

$$\Delta u_s(x) = \Delta \bar{u}(x) = \Delta d_s(x) = \Delta \bar{d}(x) = \Delta s(x) = \Delta \bar{s}(x) \quad (\text{SMC 1998})$$

$$\frac{\Delta \bar{u}(x)}{\bar{u}(x)} = \frac{\Delta \bar{d}(x)}{\bar{d}(x)} = \frac{\Delta s(x)}{s(x)} = \frac{\Delta \bar{s}(x)}{\bar{s}(x)} \quad (\text{HERMES 1999})$$

$$\frac{\Delta s(x)}{s(x)} = \frac{\Delta \bar{s}(x)}{\bar{s}(x)} \quad (\text{HERMES 2002})$$



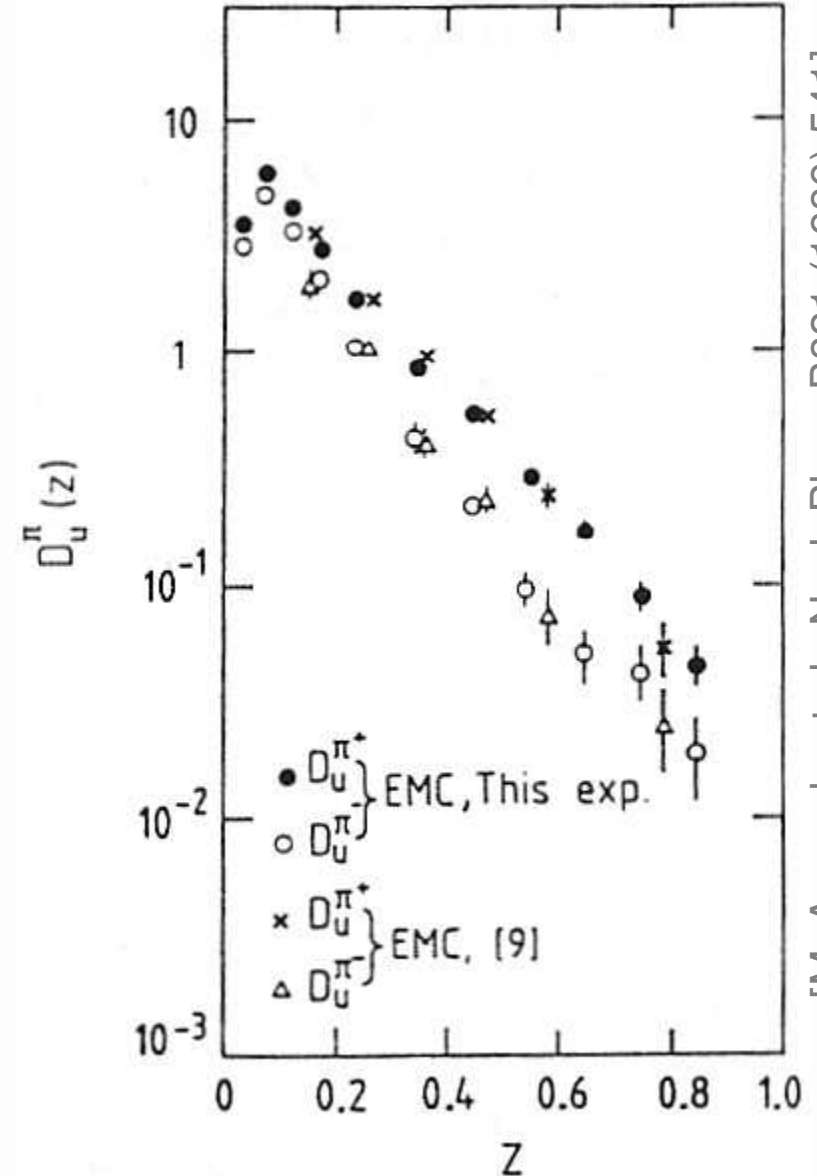
Generation of Purities (SMC)

$$\mathcal{P}_q^h(x, Q^2) = \frac{e_q^2 q(x, Q^2) \int_{z_{\min}}^{z_{\max}} dz D_q^h(z, Q^2)}{\sum_{q'} e_{q'}^2 q'(x, Q^2) \int_{z_{\min}}^{z_{\max}} dz D_{q'}^h(z, Q^2)}$$

- Unpolarised PDFs $q(x, Q^2)$ from GRV94LO or CTEQ3L
- Fragmentation functions $D_q^{h\pm}(z, Q^2)$ from EMC
- Minimising

$$\chi^2 = (\vec{A} - \mathcal{P}\vec{Q})^T (\text{Cov}_A)^{-1} (\vec{A} - \mathcal{P}\vec{Q})$$

$$\text{to obtain } \vec{Q} = \left(\frac{\Delta u_v}{u_v}(x), \frac{\Delta d_v}{d_v}(x), \frac{\Delta q_s}{q_s}(x) \right)$$

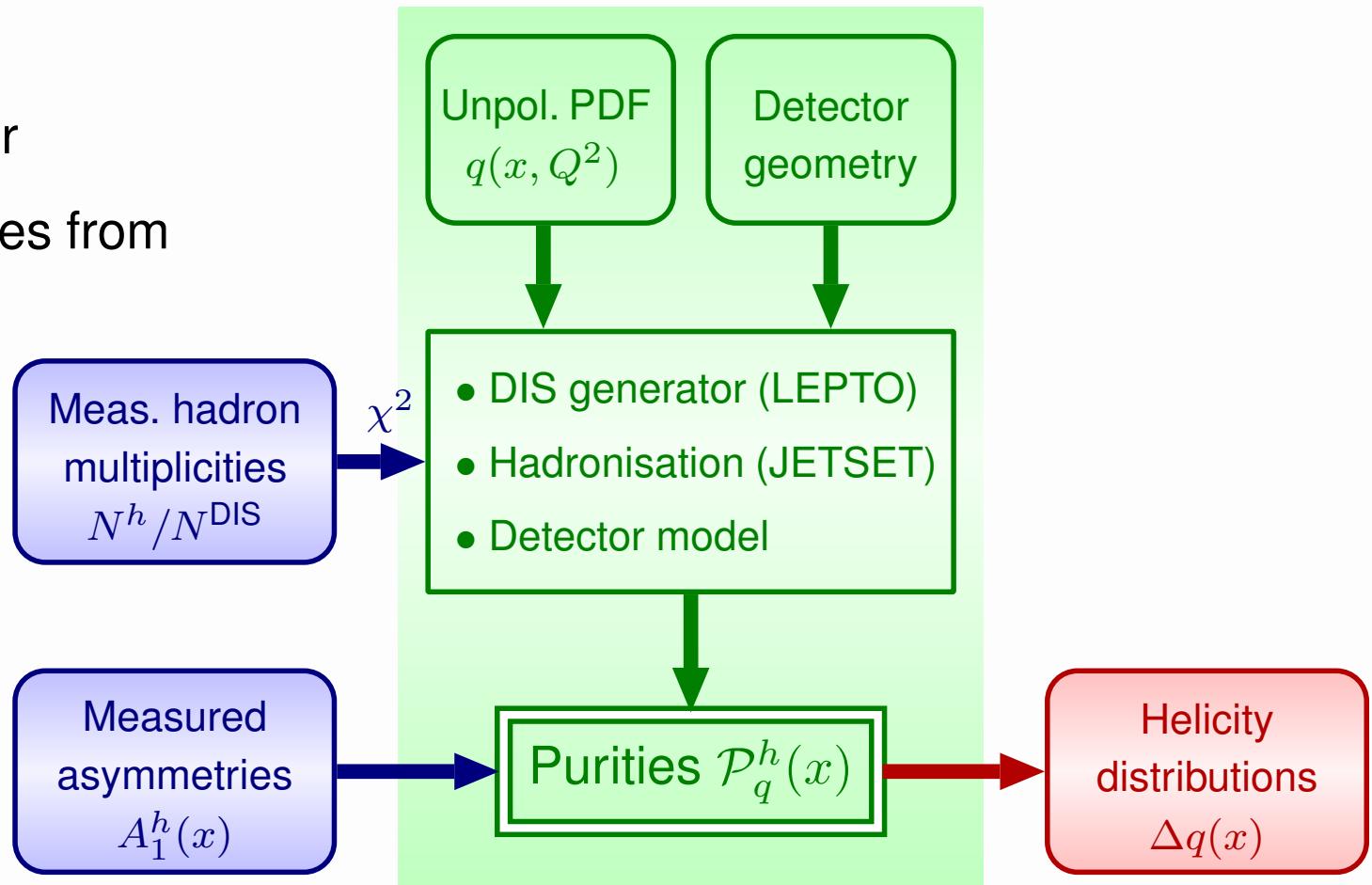


[M. Arneodo et al., Nucl. Phys. B321 (1989) 541]

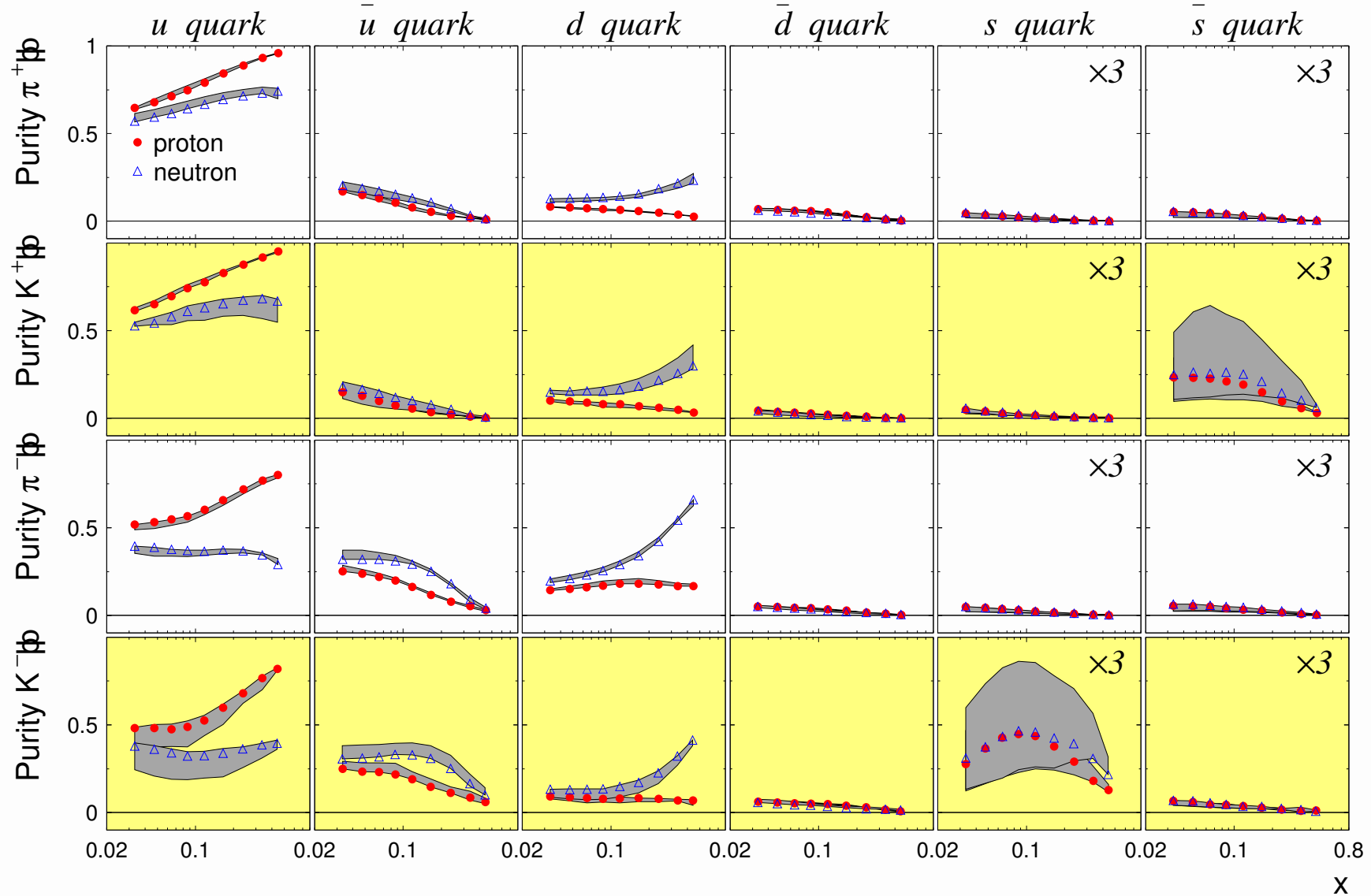


Generation of Purities (HERMES)

- Use Monte Carlo model of DIS process (LEPTO), fragmentation process (JETSET) and detector
- Systematic uncertainties from
 - Variation of fragmentation parameters
 - Use of alternative PDF set GRV98LO vs. CTEQ5L



Purities (HERMES)

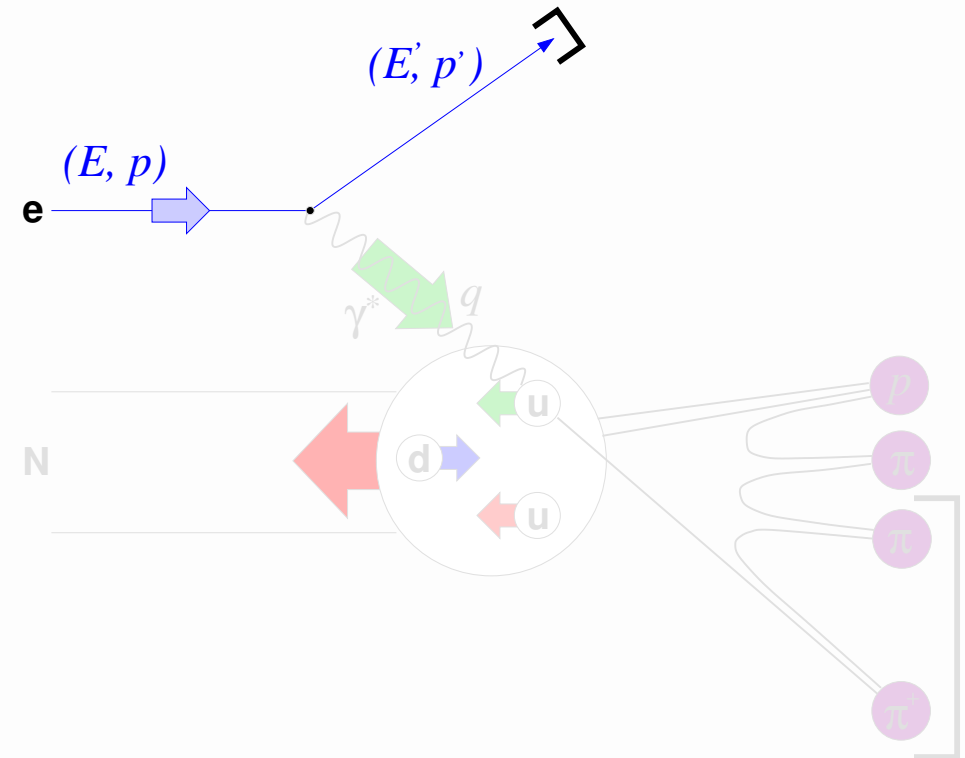


Syst. uncertainties from PDF sets (GRV98LO, CTEQ5L) and LUND parameters



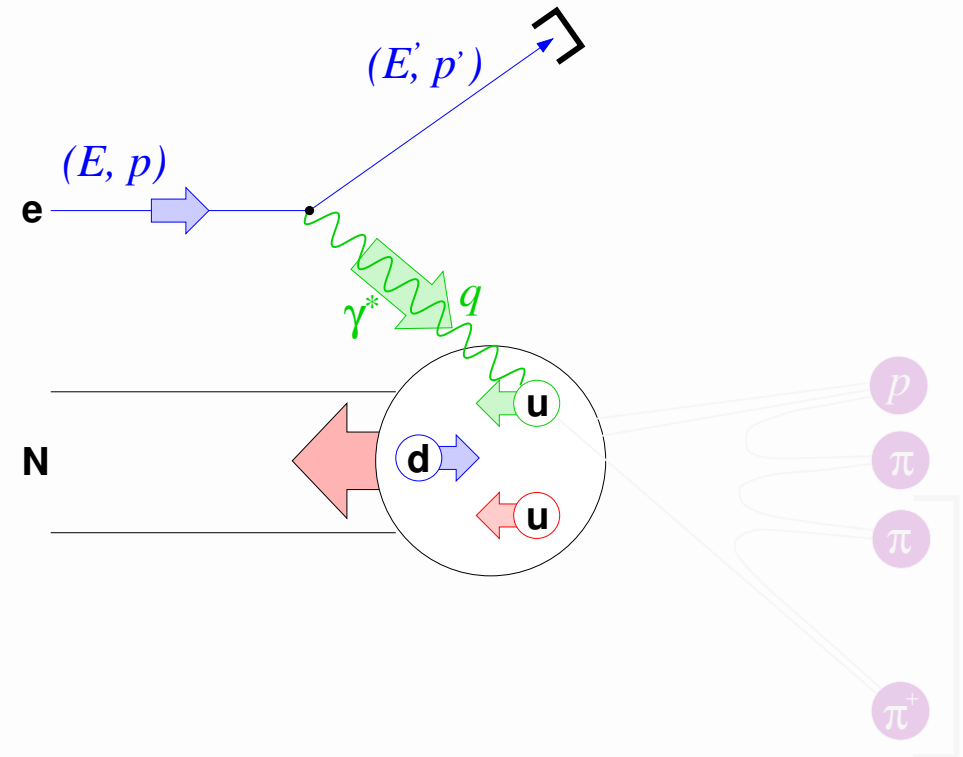
Experimental Prerequisites

- Longitudinally polarised lepton beam



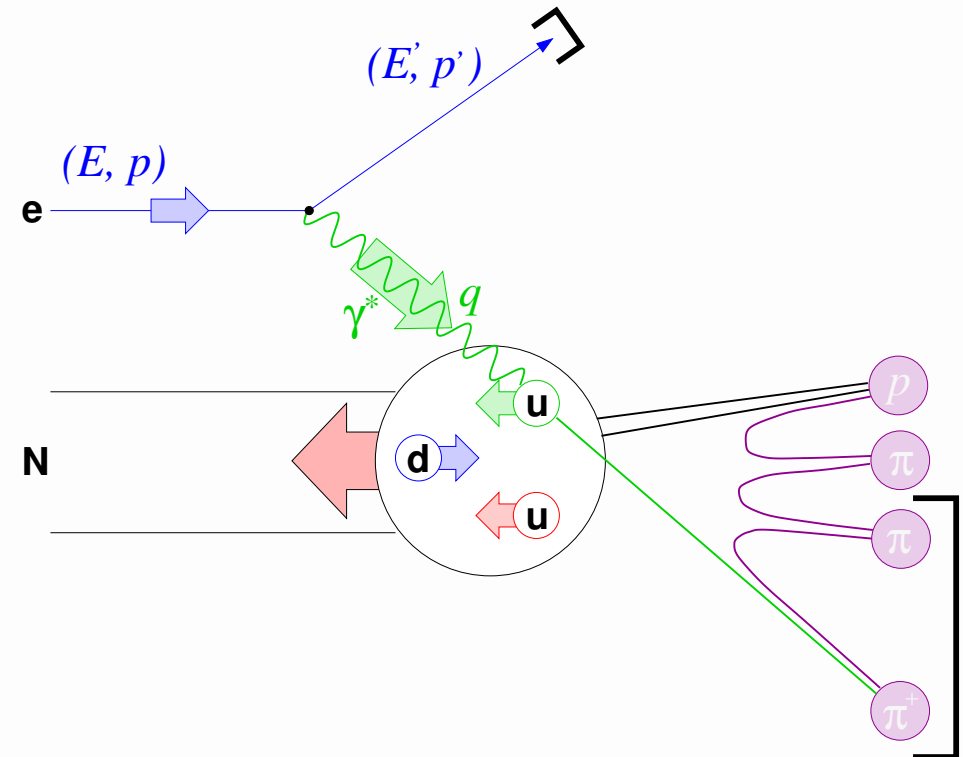
Experimental Prerequisites

- Longitudinally polarised lepton beam
- Longitudinally nuclear polarised target



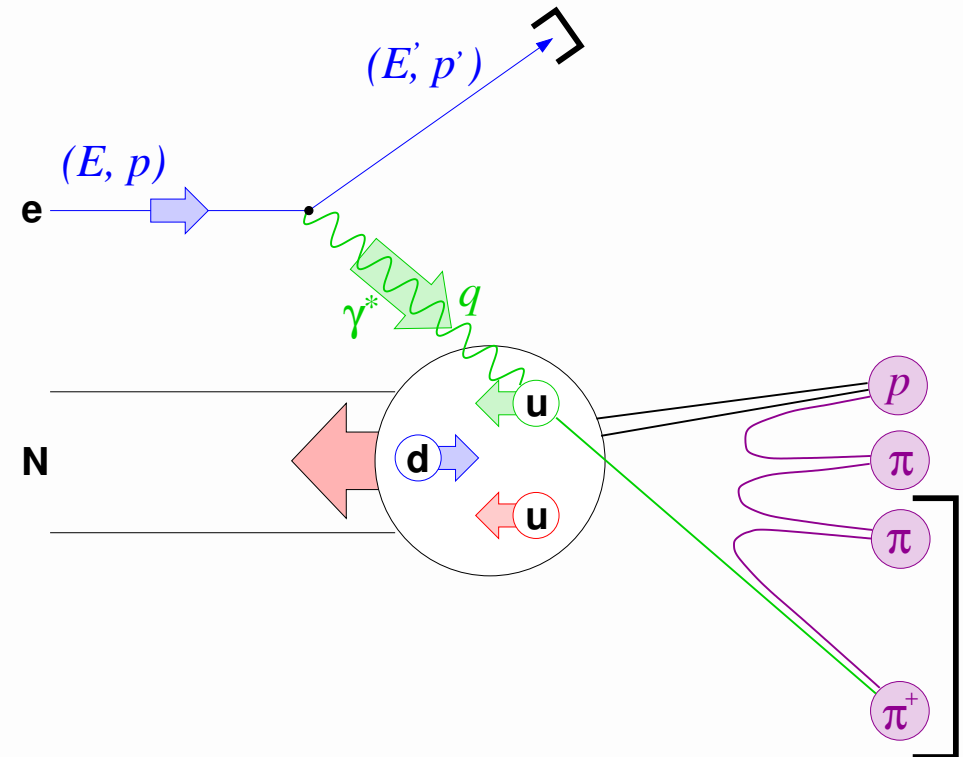
Experimental Prerequisites

- Longitudinally polarised lepton beam
- Longitudinally nuclear polarised target
- Large geometrical acceptance



Experimental Prerequisites

- Longitudinally polarised lepton beam
- Longitudinally nuclear polarised target
- Large geometrical acceptance
- Good particle identification



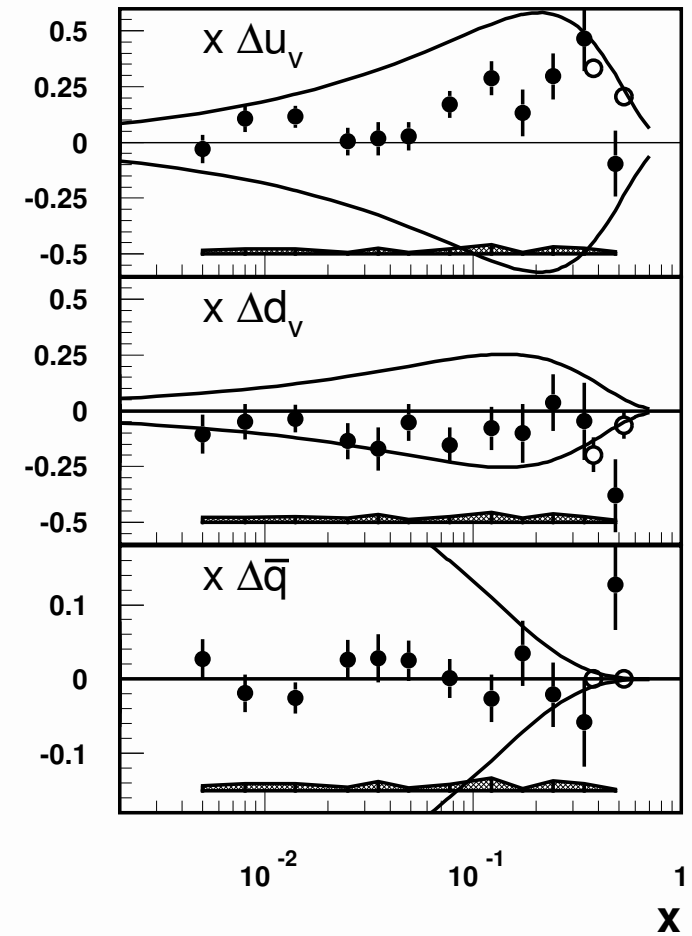
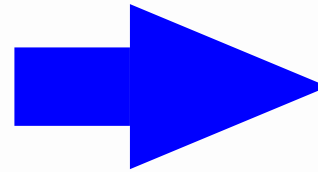
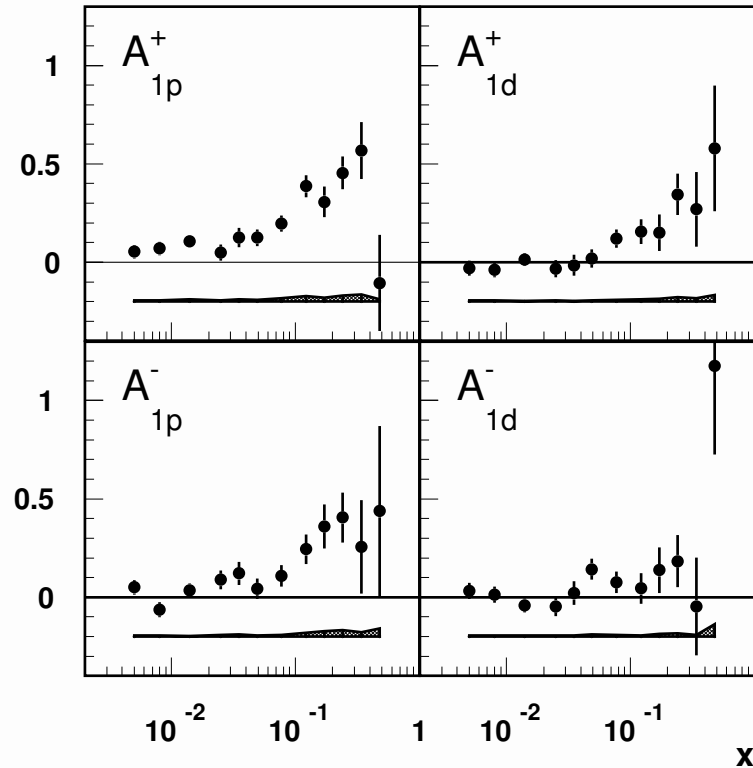
The SMC Experiment at CERN

- Longitudinally polarised μ -beam
 - $E_{\text{Beam}} = 100 / 190 \text{ GeV}$
 - $\langle P_{\text{Beam}} \rangle \approx 0.80$
- Longitudinally polarised solid state target
 - Target materials: Butanol ($\text{C}_4\text{H}_9\text{OH}$), d-Butanol ($\text{C}_4\text{D}_9\text{OD}$), Ammonia (NH_3)
 - $\langle P_{\text{Target}} \rangle \approx 0.88$ (0.50) for p-, (d-)target
 - Dilution factor $f = 0.07 \dots 0.16$
- Forward spectrometer with large acceptance and μ - h identification
- Data taking from 1993 to 1996



Results from the SMC Experiment

- Measured SIDIS asymmetries:



[B. Adeva et al., Phys. Lett. B420 (1998) 180]

- Covered range: $0.003 \leq x \leq 0.7$
- EMC fragmentation functions

$$\Delta \bar{q}(x) \equiv \Delta \bar{u}(x) = \Delta \bar{d}(x) = \Delta s(x) = \Delta \bar{s}(x)$$



The HERMES Experiment at DESY

- Longitudinally polarised $e^{+/-}$ -beam

- $E_{\text{Beam}} = 27.5 \text{ GeV}$

- $\langle P_{\text{Beam}} \rangle \approx 0.52$

- Longitudinally polarised internal gas target

- Target materials: \vec{H} , \vec{D} (+ many unpolarised gas types)

- $\langle P_{\text{Target}} \rangle \approx 0.88$ (0.82) for \vec{H} -, (\vec{D} -)target

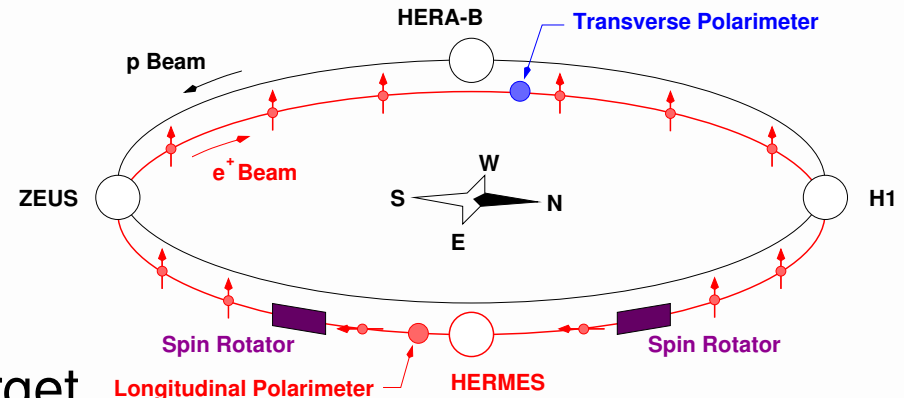
- Dilution factor $f = 1$

- Forward spectrometer with very good particle identification

- Threshold Čerenkov detector until 1997: π^{\pm} identification for $p_{\pi} > 4 \text{ GeV}$

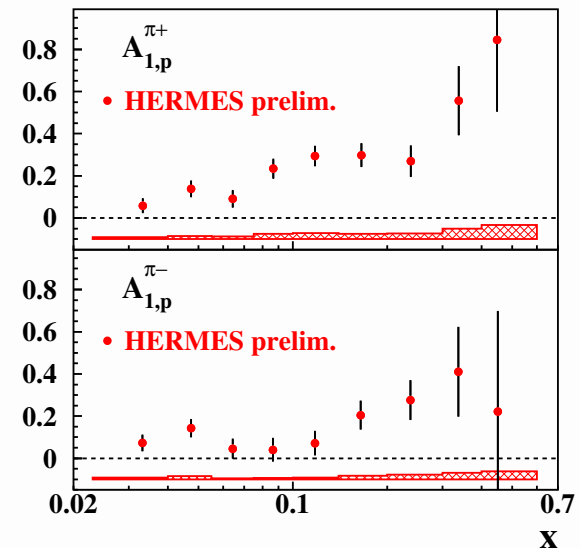
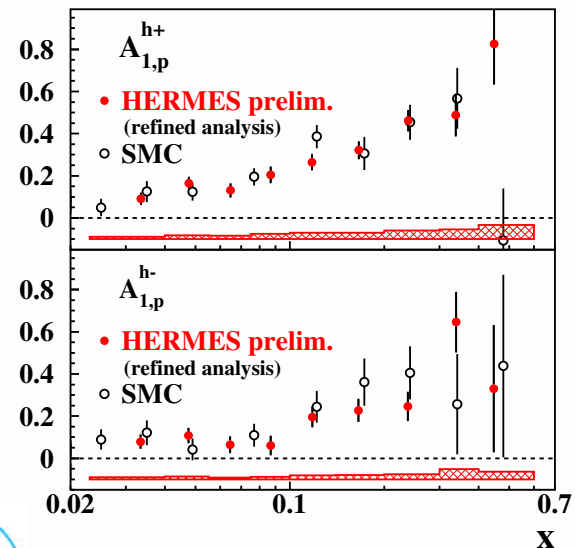
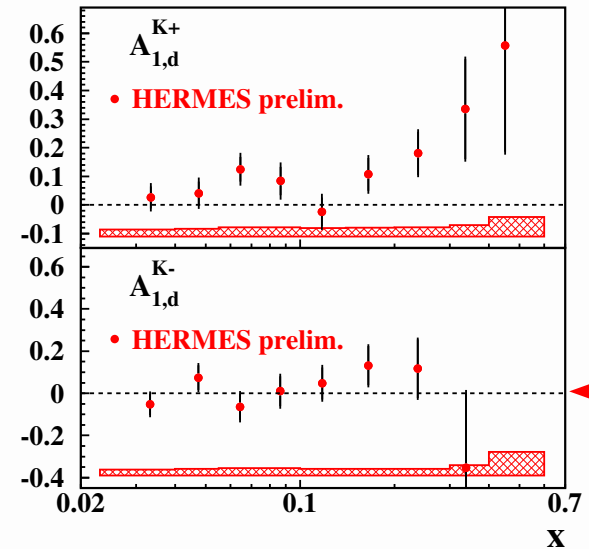
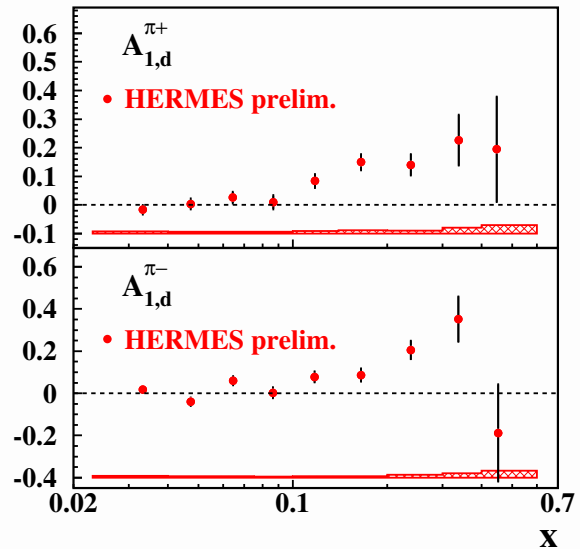
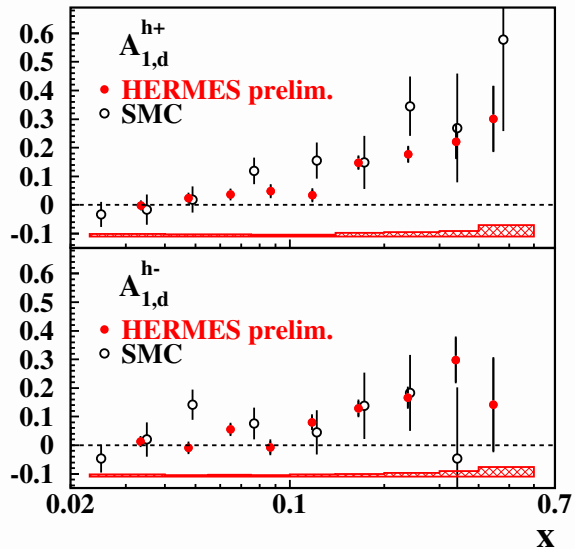
- Dual radiator RICH detector since 1998: π^{\pm} , K^{\pm} , p , \bar{p} identification over almost full momentum range

- Data taking with longitudinally polarised targets from 1996 to 2000 (*Run I*)



Results from the HERMES Experiment

Measured SIDIS asymmetries (preliminary)

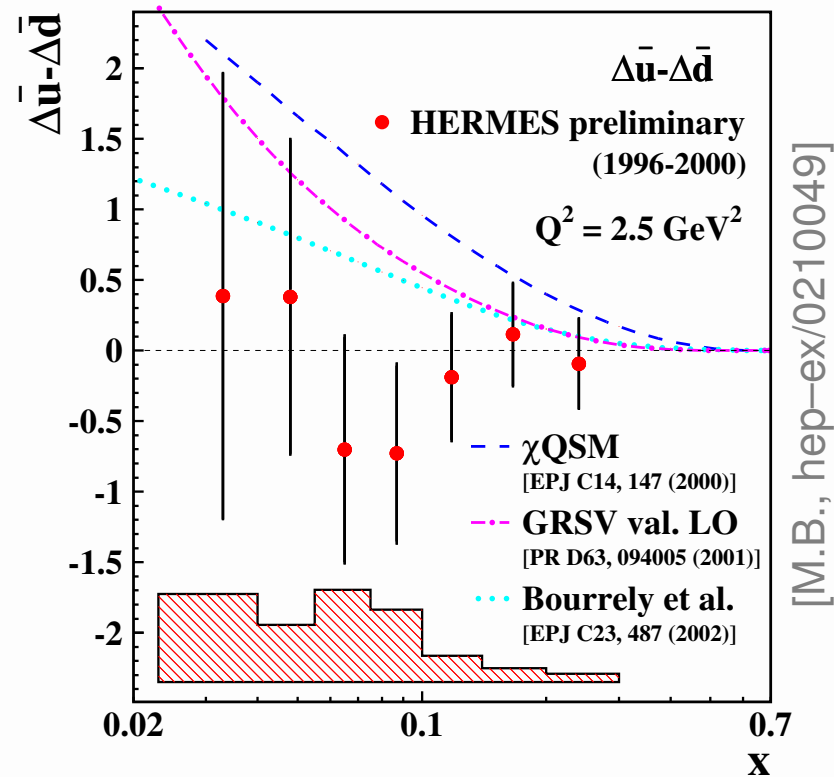
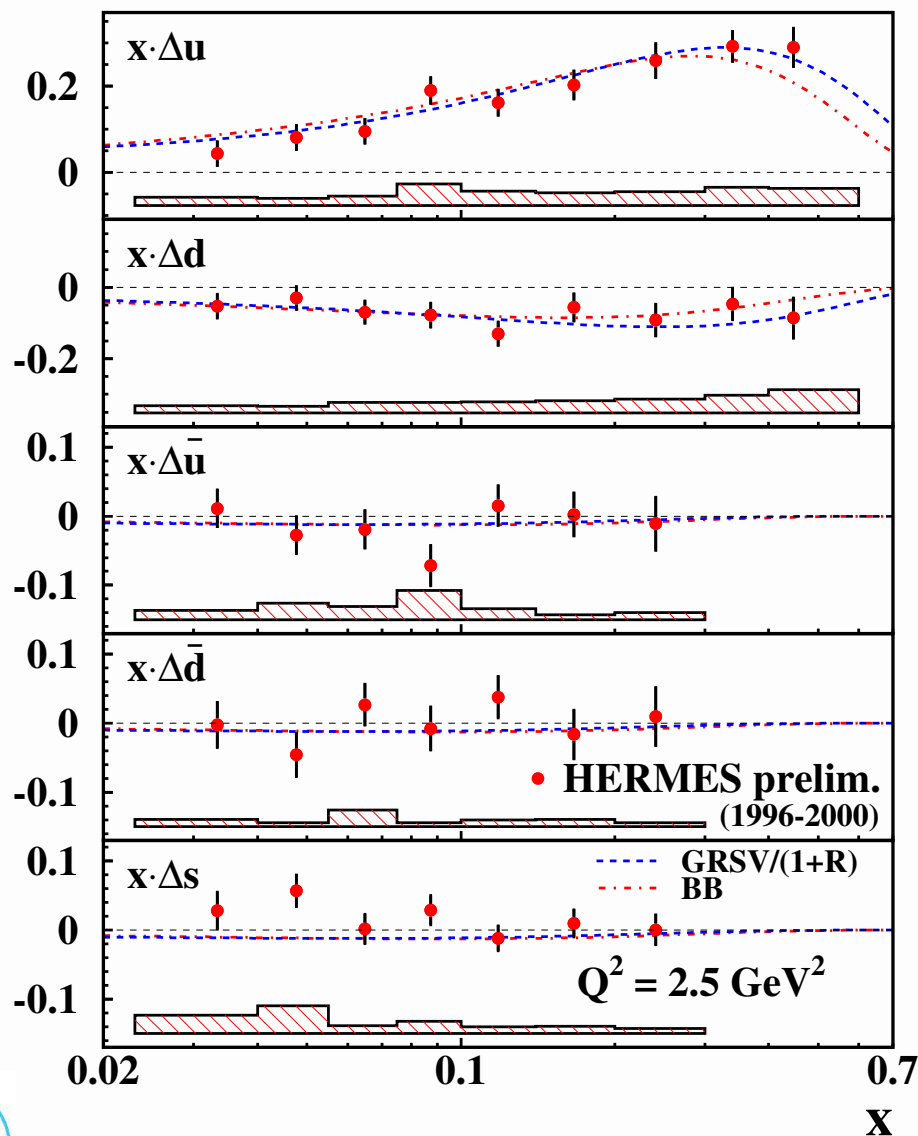


- $A_1^{K^-}(x) \approx 0!$
- $K^- = (\bar{u}s)$ is an all-sea object
- Covered range:
 $0.023 \leq x \leq 0.6$



Results from the HERMES Experiment (II)

Extracted helicity densities (preliminary)



[M.B., hep-ex/0210049]

- No indication for $\Delta s < 0$
- No significant $SU(2)$ breaking $\Delta \bar{u}(x) \neq \Delta \bar{d}(x)$



The COMPASS Experiment at CERN



- Beam and target setups similar to SMC experiment
- One RICH detector installed → good particle identification
- Higher \sqrt{s} than HERMES
 - Extend x -range to smaller values
 - Cover larger range in Q^2 → lever arm for QCD evolution
- Data taking with longitudinally polarised target started



Where Do We Stand?

- After one decade of SIDIS experiments:
 - $\Delta u(x)$, $\Delta d(x)$ known to good precision, consistent with NLO fits to inclusive data
 - First direct extractions of $\Delta\bar{u}(x)$, $\Delta\bar{d}(x)$, $\Delta s(x)$ yield no significant polarisation of sea quarks
 - $\Delta s(x)$ not negative \Leftrightarrow NLO fits to inclusive data
- Open questions:
 - Complementary results at higher \sqrt{s} (COMPASS) and from different processes (RHIC)
 - What is $\Delta G(x)$?
- RHIC: $\Delta\bar{u}(x)$, $\Delta\bar{d}(x)$ to lower x at largely improved precision, complementary measurement
- **New, exciting experimental data expected soon!**

