

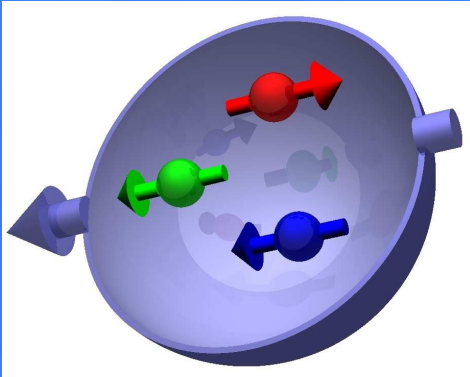
Where is the Spin of the Nucleon hidden?

E.C. Aschenauer

DESY-ZEUTHEN



The Spin Structure of the Nucleon



Naive Parton Model:

$$\Delta u_v + \Delta d_v = 1$$

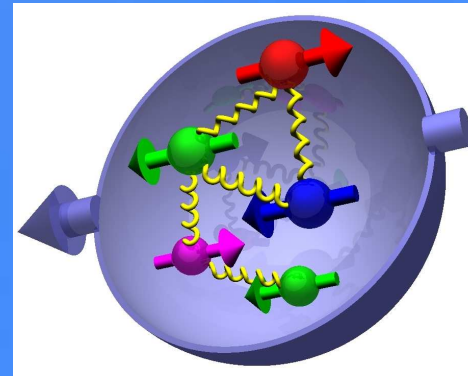
$$\Rightarrow \Delta u_v = \frac{4}{3}, \Delta d_v = \frac{-1}{3}$$

BUT

1988 EMC measured:

$$\Sigma_q = 0.123 \pm 0.013 \pm 0.019$$

\Rightarrow Spin Puzzle



F_2 from HERA tells:

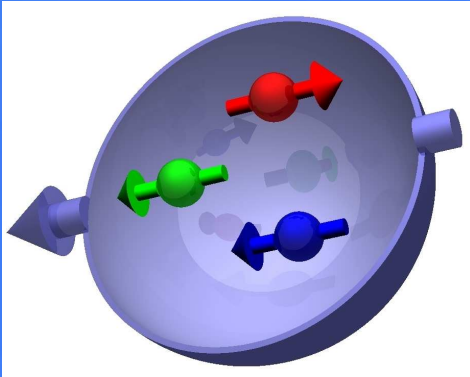
Gluons are important !

\Rightarrow sea quarks Δq_s

$\Rightarrow \Delta G$

$$\frac{1}{2} = \frac{1}{2} \underbrace{(\Delta u_v + \Delta d_v + \Delta q_s)}_{\Delta \Sigma = 0.201 \pm 0.103} + \underbrace{\Delta G}_{> 0} + L_q + L_g$$

The Spin Structure of the Nucleon



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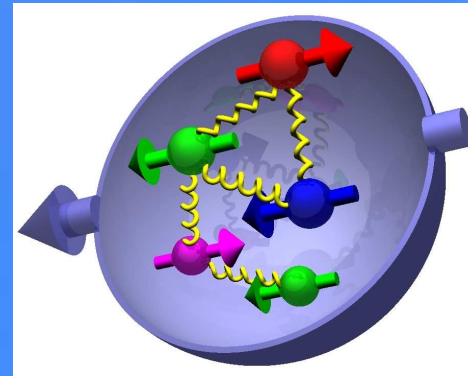
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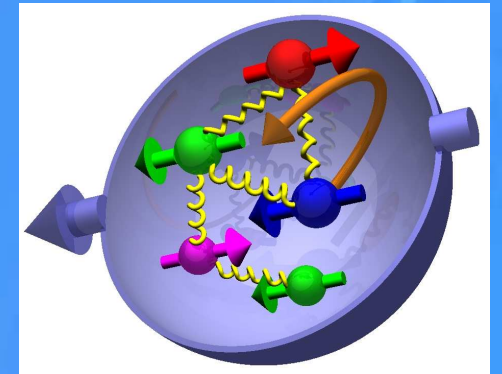


F_2 from HERA tells:

Gluons are important !

\Rightarrow sea quarks Δq_s

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Full description of J_q & J_g
needs
orbital angular momentum

$$\frac{1}{2} = \frac{1}{2} (\Delta u_v + \Delta d_v + \Delta q_s) + \Delta G + \underbrace{L_q + L_g}_{?}$$

The Hunt for L_q

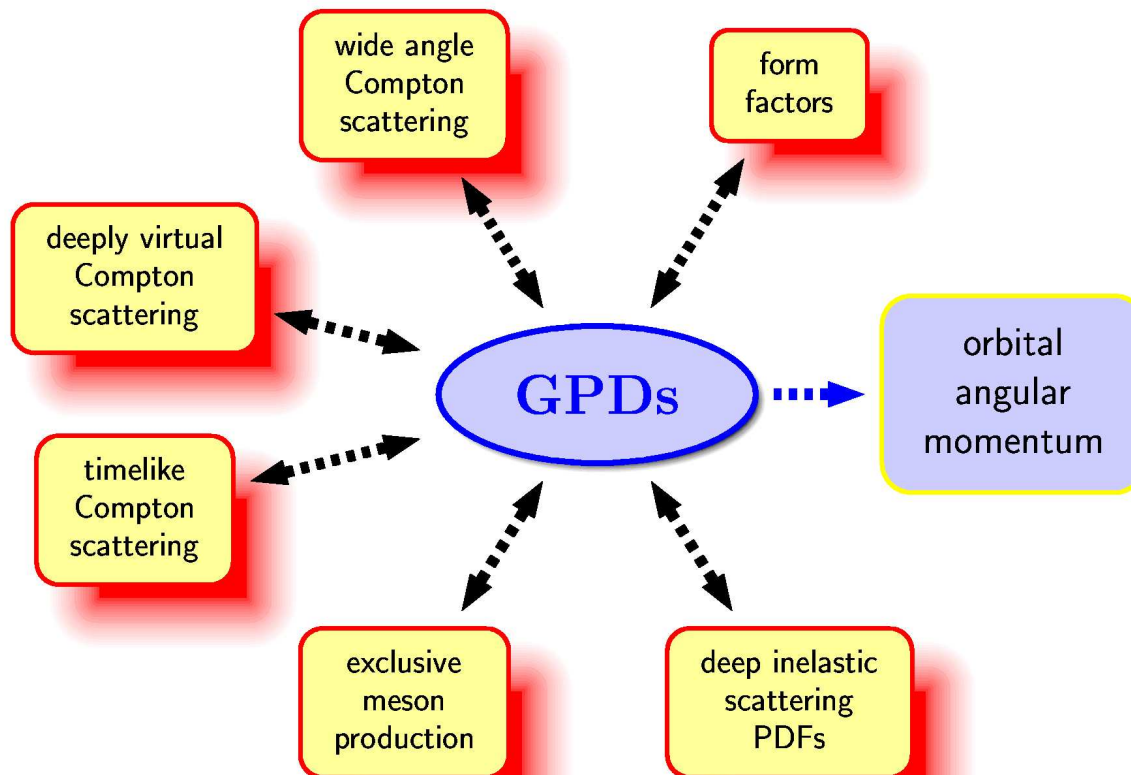
Study of hard **exclusive processes** leads to a new class of PDFs

Generalised Parton Distributions

$$H^q, E^q, \tilde{H}^q, \tilde{E}^q$$

⇒ possible access to orbital angular momentum

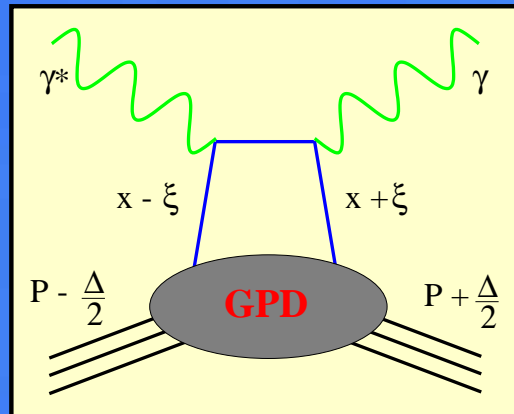
$$\frac{1}{2} \left(\int_{-1}^1 x dx (H^q + E^q) \right)_{t \rightarrow 0} = J_q$$



exclusive processes: all products of a reaction are detected
⇒ missing energy (ΔE) and missing Mass (M_x) = 0

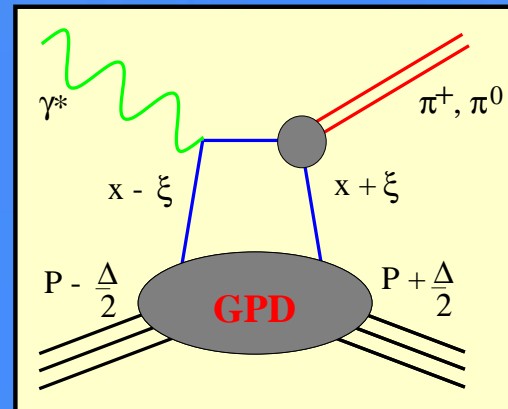
GPDs Introduction

quantum numbers of final state \Rightarrow select different GPDs



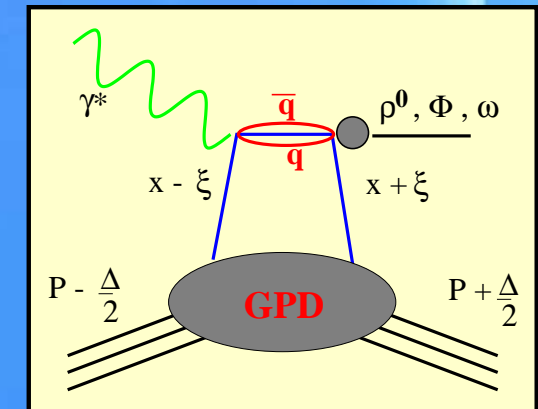
DVCS:

$$H^q, E^q, \tilde{H}^q, \tilde{E}^q$$



pseudo-scalar mesons

$$\tilde{H}^q, \tilde{E}^q$$



vector mesons

$$H^q, E^q$$

What does GPDs characterize?

unpolarized

$$H^q(x, \xi, t)$$

$$E^q(x, \xi, t)$$

polarized

$$\tilde{H}^q(x, \xi, t)$$

$$\tilde{E}^q(x, \xi, t)$$

conserve nucleon helicity

flip nucleon helicity

x, t, ξ defined on the light cone

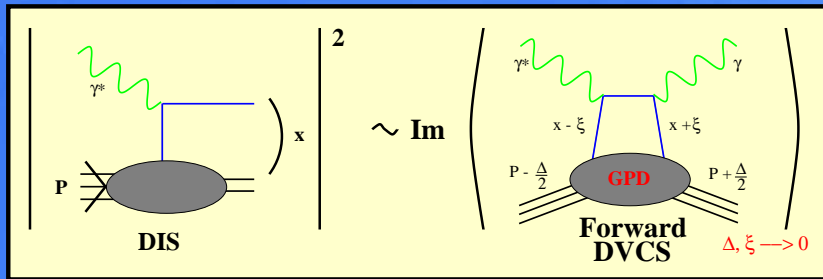
x : longitudinal momentum fraction

t : momentum transfer ($t = \Delta^2$)

ξ : exchanged longitudinal momentum fraction ($\xi = \frac{x_{Bj}/2}{1-x_{Bj}/2}$)

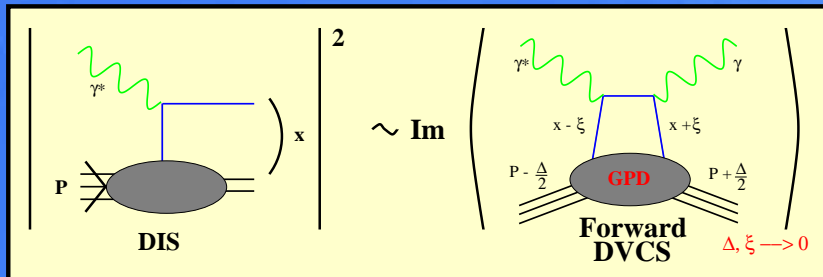
GPDs Introduction II

- Link to DIS:



GPDs Introduction II

- Link to DIS:



- standard PDFs

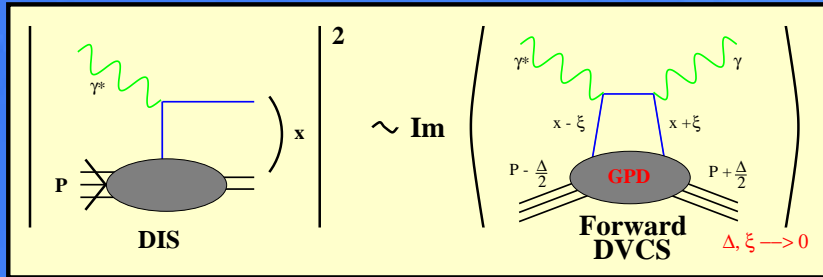
$$\implies \xi = 0 \text{ and } t = 0$$

$$H(\mathbf{x}, \xi = 0, t = 0) = q(\mathbf{x})$$

$$\tilde{H}(\mathbf{x}, \xi = 0, t = 0) = \Delta q(\mathbf{x})$$

GPDs Introduction II

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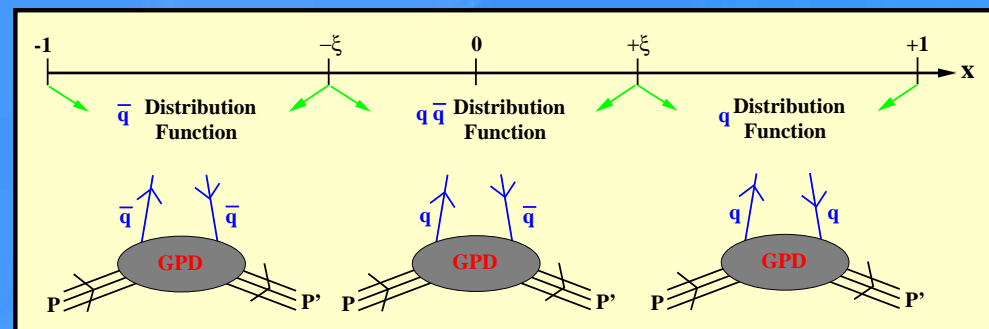
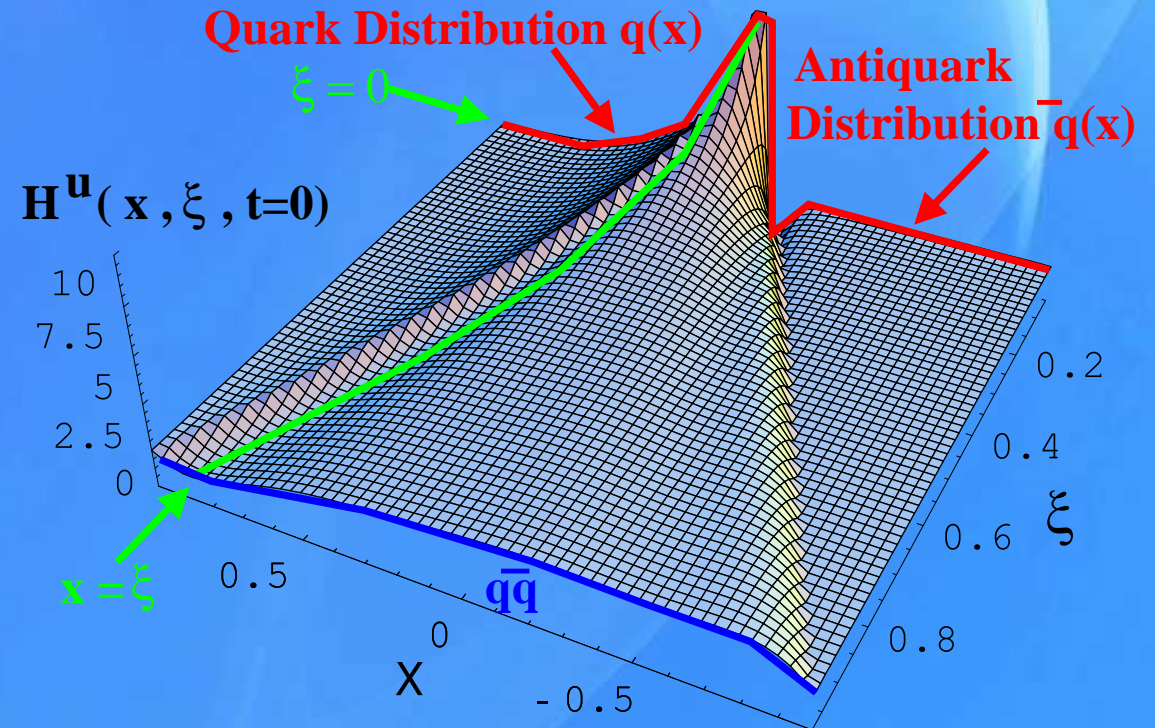
$\implies \xi = 0$ and $t = 0$

$$H(x, \xi = 0, t = 0) = q(x)$$

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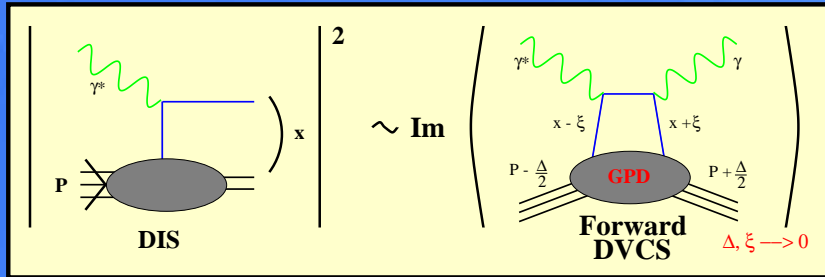
- E, \tilde{E} do **NOT** appear in DIS

\implies New Info !



GPDs Introduction II

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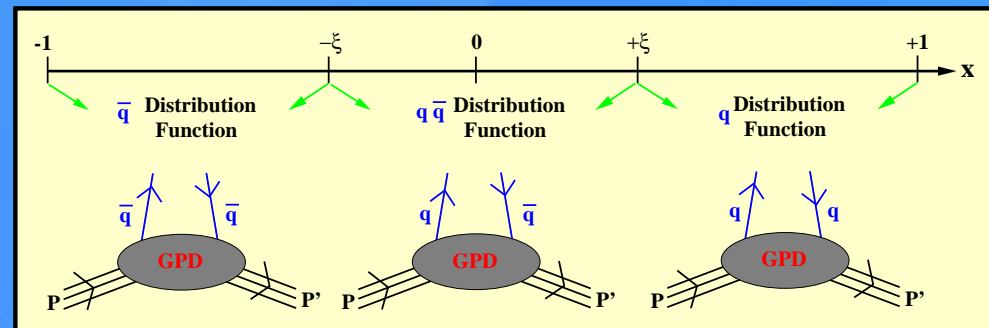
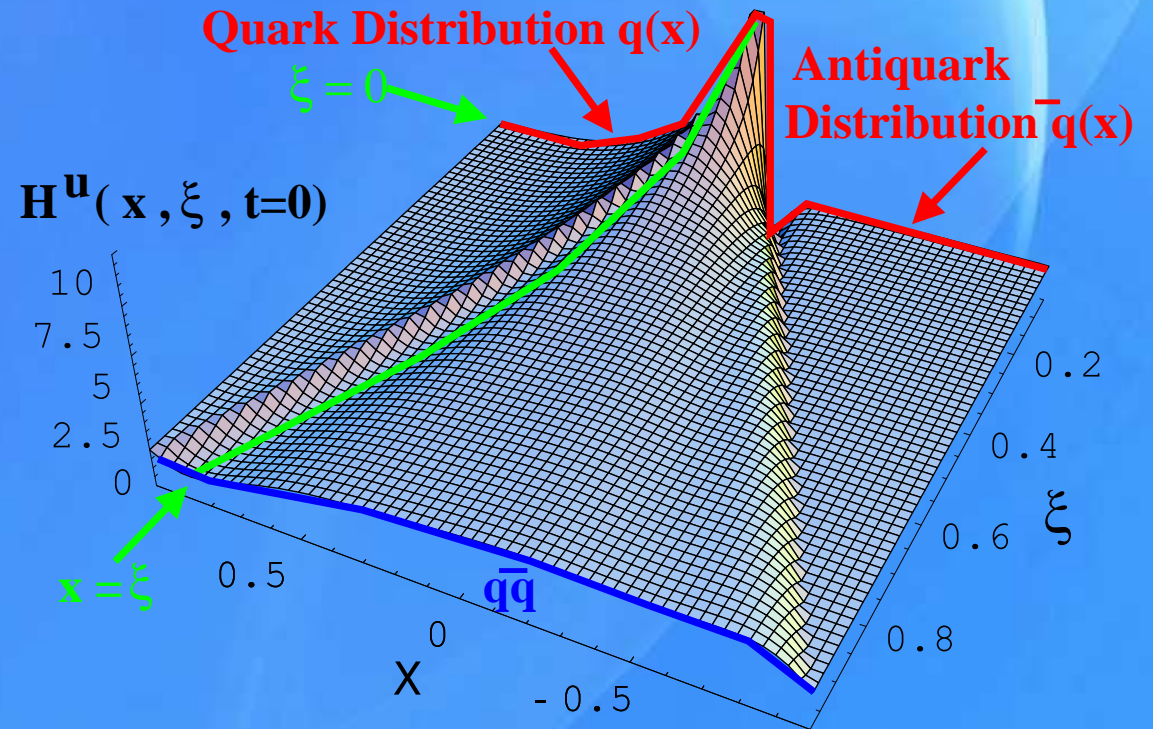
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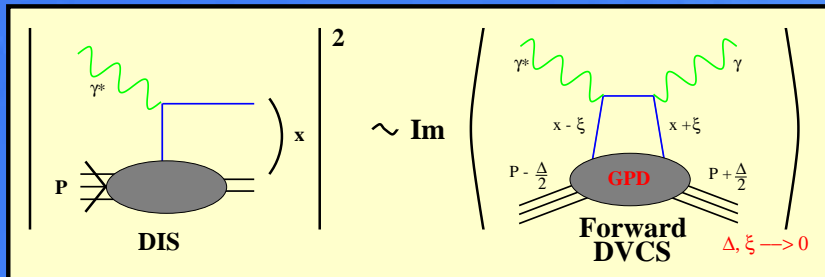
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- Study GPDs



GPDs Introduction II

- Link to DIS:



- standard PDFs

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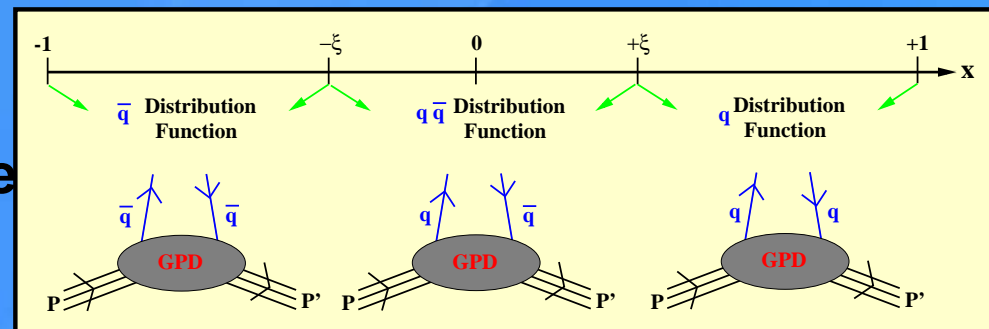
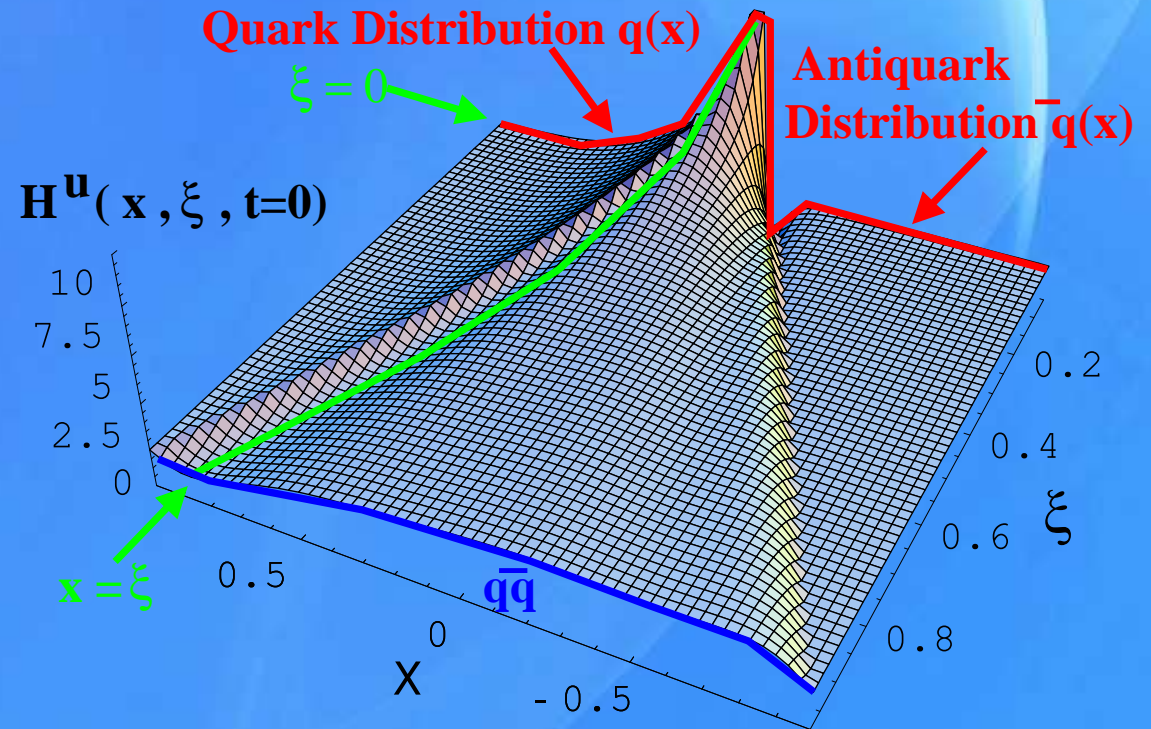
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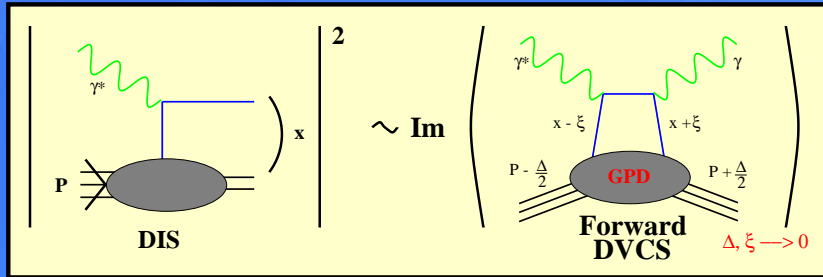
- Study GPDs

- with spin observables
- single spin azimuthal asymmetry



GPDs Introduction II

- Link to DIS:



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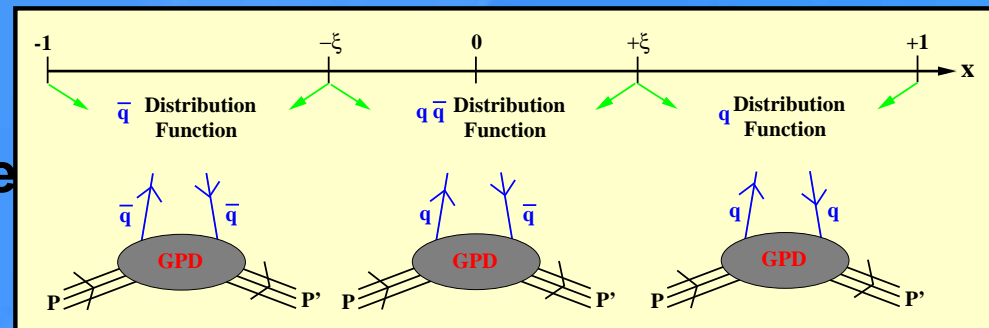
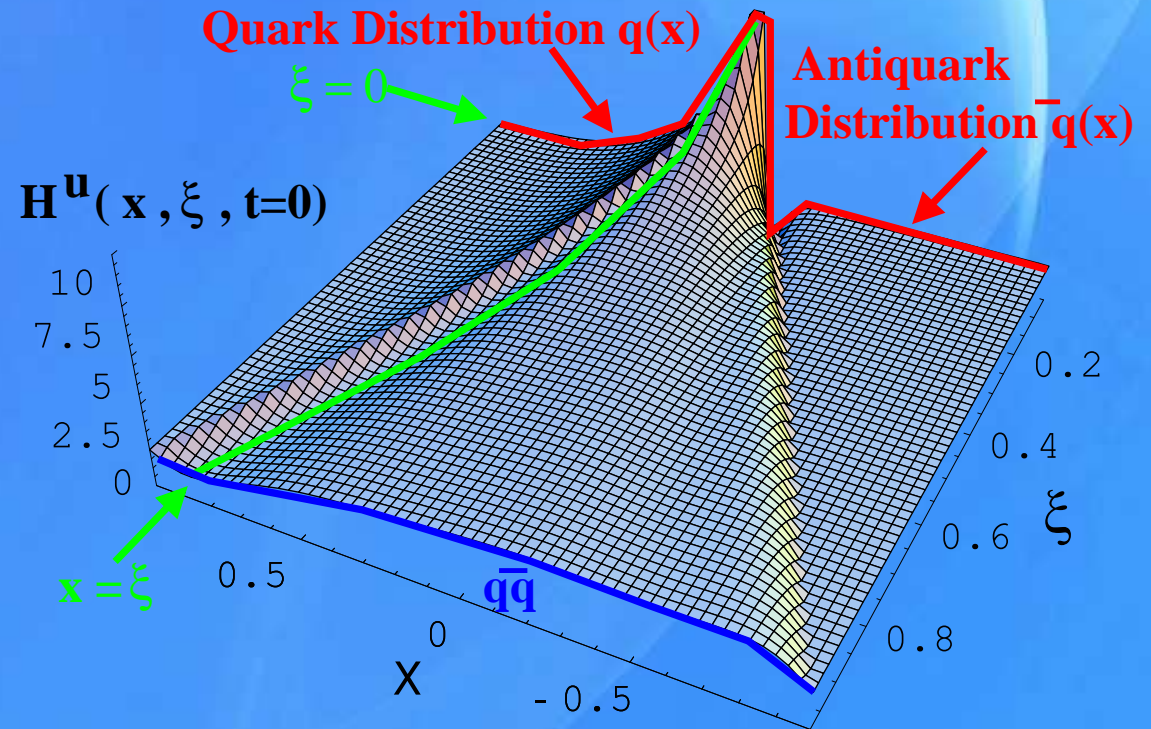
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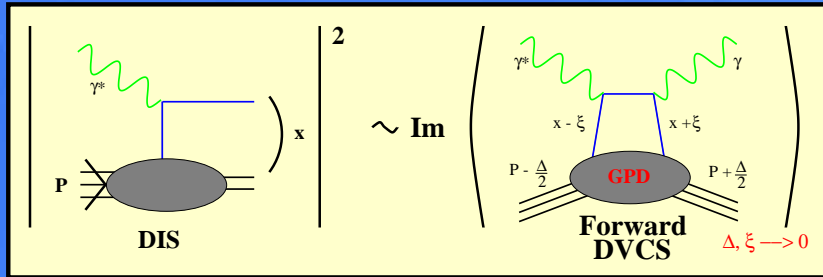
- Study GPDs

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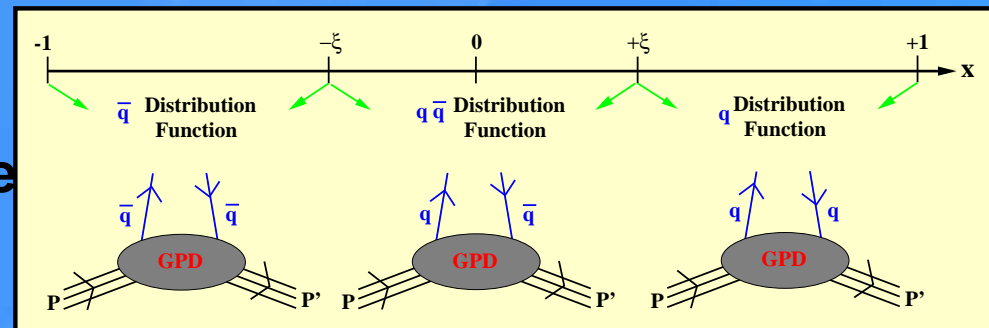
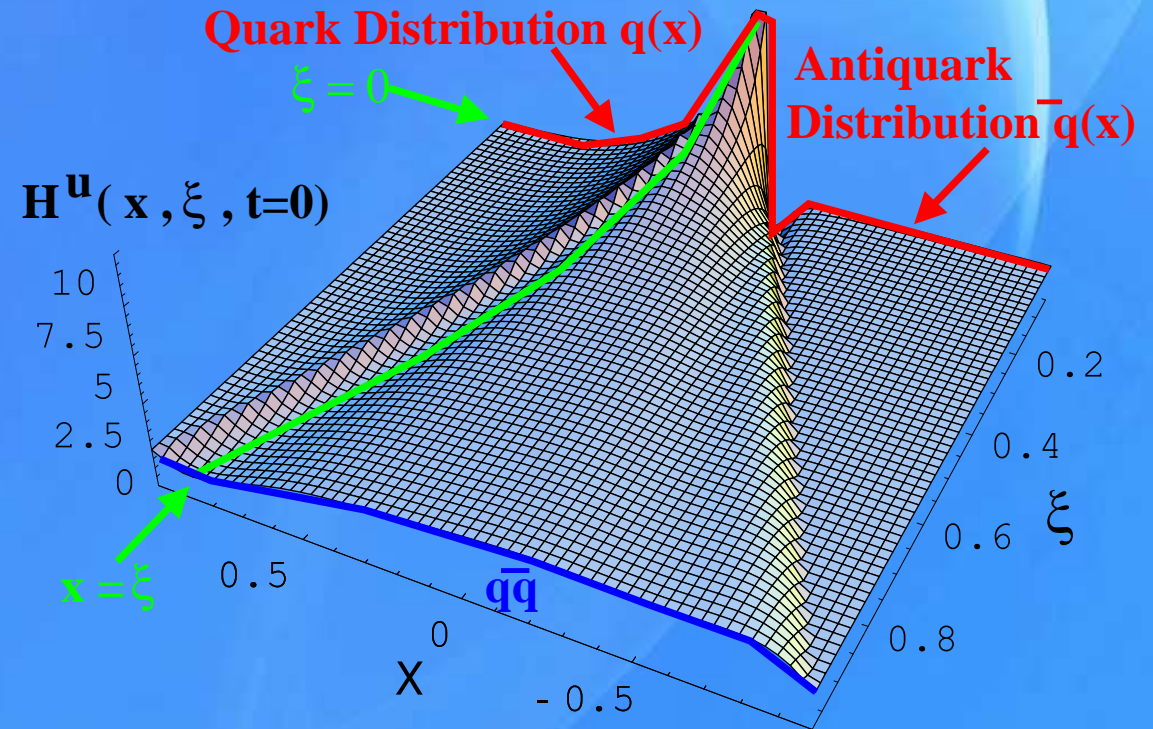
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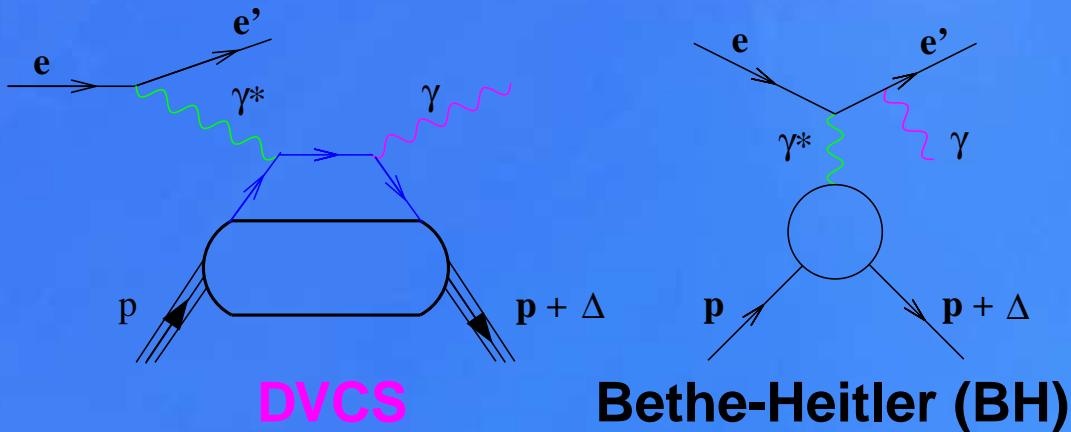
\implies New Info !

- Study GPDs

- with spin observables
- single spin azimuthal asymmetries
- azimuthal asymmetries
- via cross sections



DVCS $ep \rightarrow e'\gamma p$



$$d\sigma \propto |\mathcal{T}_{BH}|^2 + |\mathcal{T}_{DVCS}|^2 + (\mathcal{T}_{BH}^* \mathcal{T}_{DVCS} + \mathcal{T}_{DVCS}^* \mathcal{T}_{BH})$$

HERMES, JLAB:

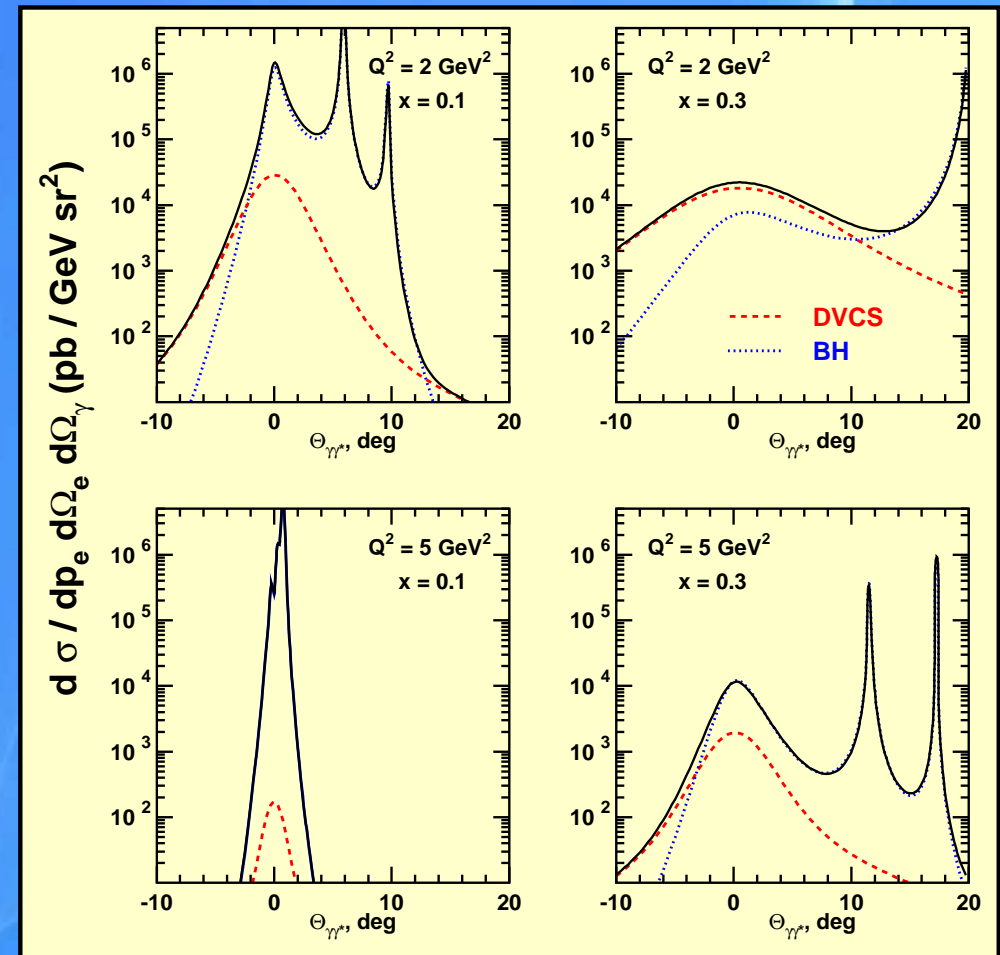
DVCS-BH interference:

⇒ use BH as a vehicle to study DVCS

H1, ZEUS:

measure DVCS cross section directly

**HERMES / JLAB kinematics:
BH cross section larger than DVCS**



[Korotkov, Nowak, hep-ph/0108077]



DVCS azimuthal asymmetries

$$d\sigma \propto |\mathcal{T}_{BH}|^2 + |\mathcal{T}_{DVCS}|^2 + (\mathcal{T}_{BH}^* \mathcal{T}_{DVCS} + \mathcal{T}_{DVCS}^* \mathcal{T}_{BH})$$

isolate **BH-DVCS interference** term \Rightarrow non-zero azimuthal asymmetries

- imaginary part \propto beam **helicity** asymmetry:

$$\begin{aligned} d\sigma_{e^+ \leftarrow} - d\sigma_{e^+ \rightarrow} &\propto \text{Im}(\mathcal{T}_{BH} \mathcal{T}_{DVCS}) \\ &\propto \sin \phi \Rightarrow H^u(x, \xi, t) \end{aligned}$$

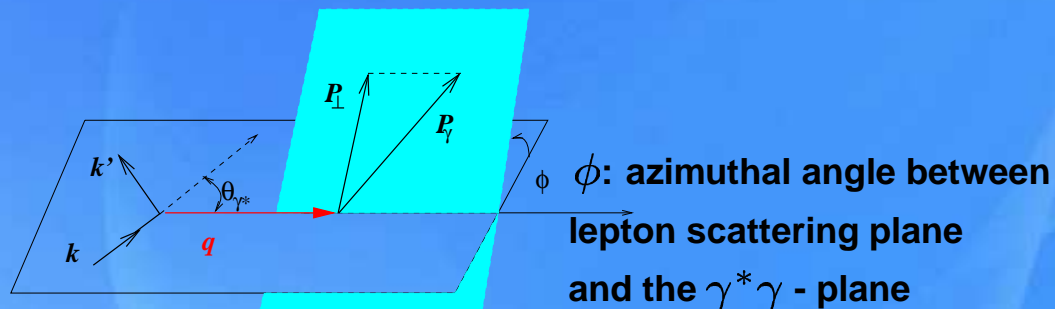
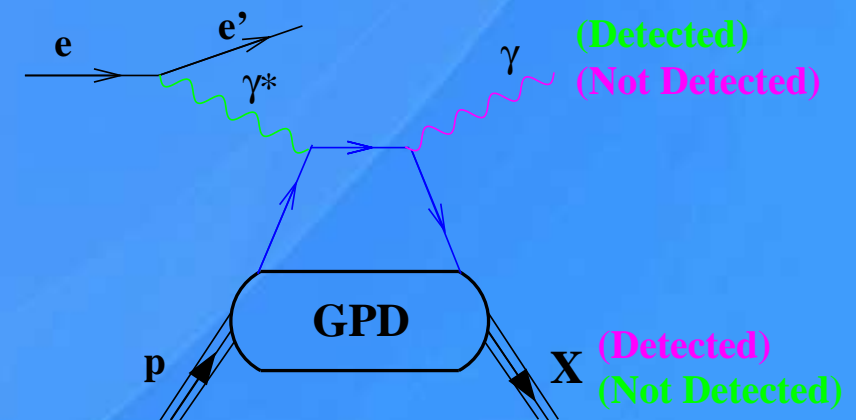
\Rightarrow asymmetry measured by **HERMES** and **JLAB**

- real part \propto beam **charge** asymmetry:

$$\begin{aligned} d\sigma_{e^+} - d\sigma_{e^-} &\propto \text{Re}(\mathcal{T}_{BH} \mathcal{T}_{DVCS}) \\ &\propto \cos \phi \Rightarrow H^u(x, \xi, t) \end{aligned}$$

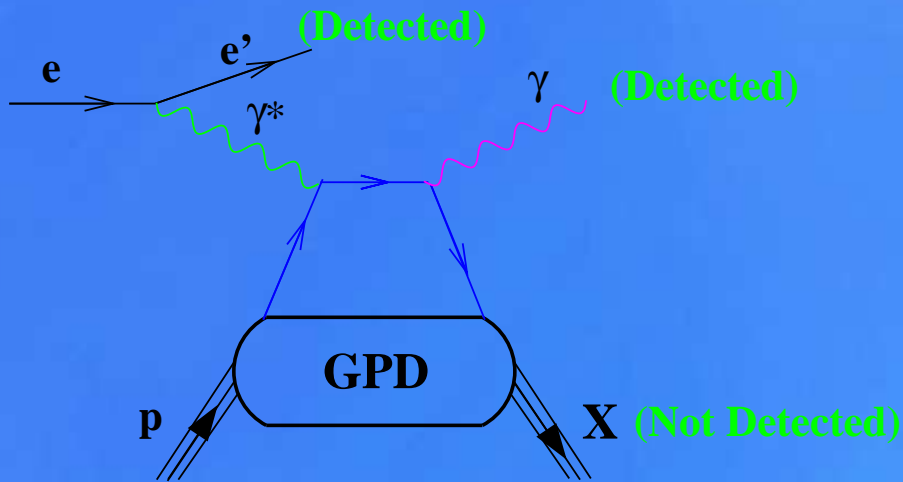
\Rightarrow asymmetry measured by **HERMES**

- no polarized target needed



DVCS at HERMES

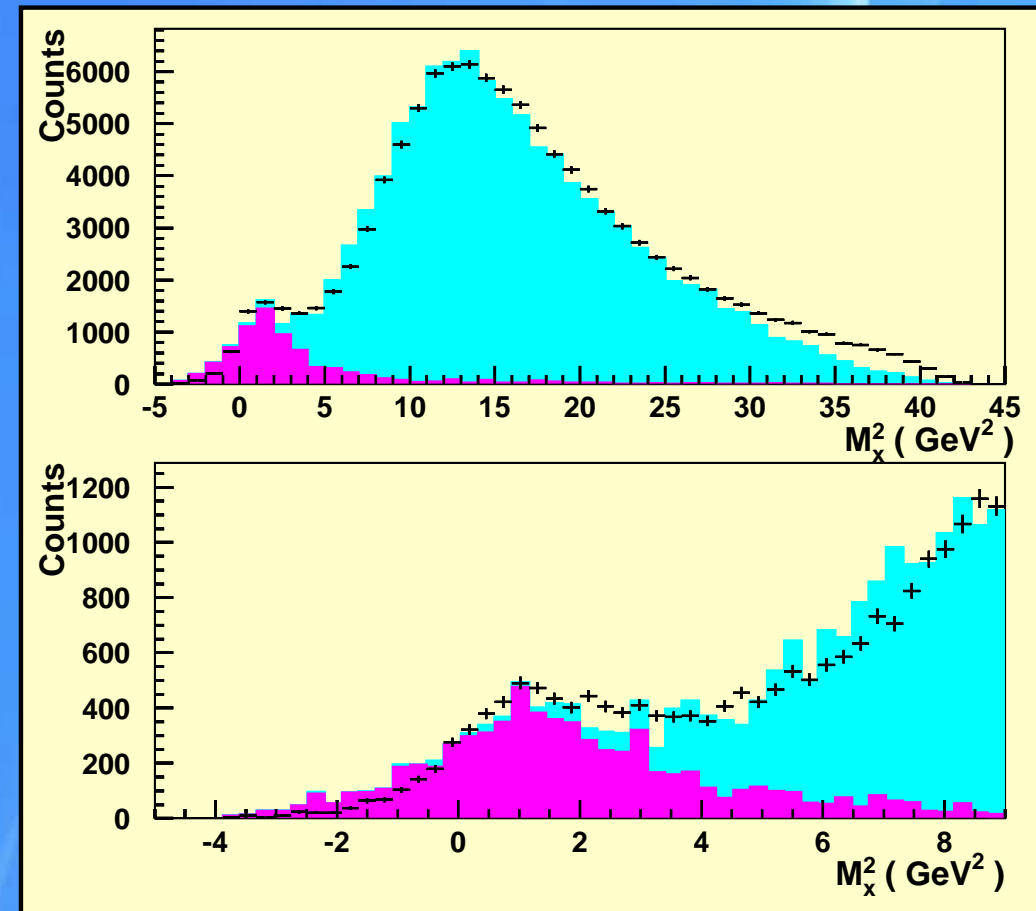
$$M_x(ep \longrightarrow e'\gamma + X)$$



⇒ Exclusivity has to be ensured by missing mass: $M_x^2 = (q + p - p_\gamma)^2 = M_p^2$

Energy resolution in exclusive region:

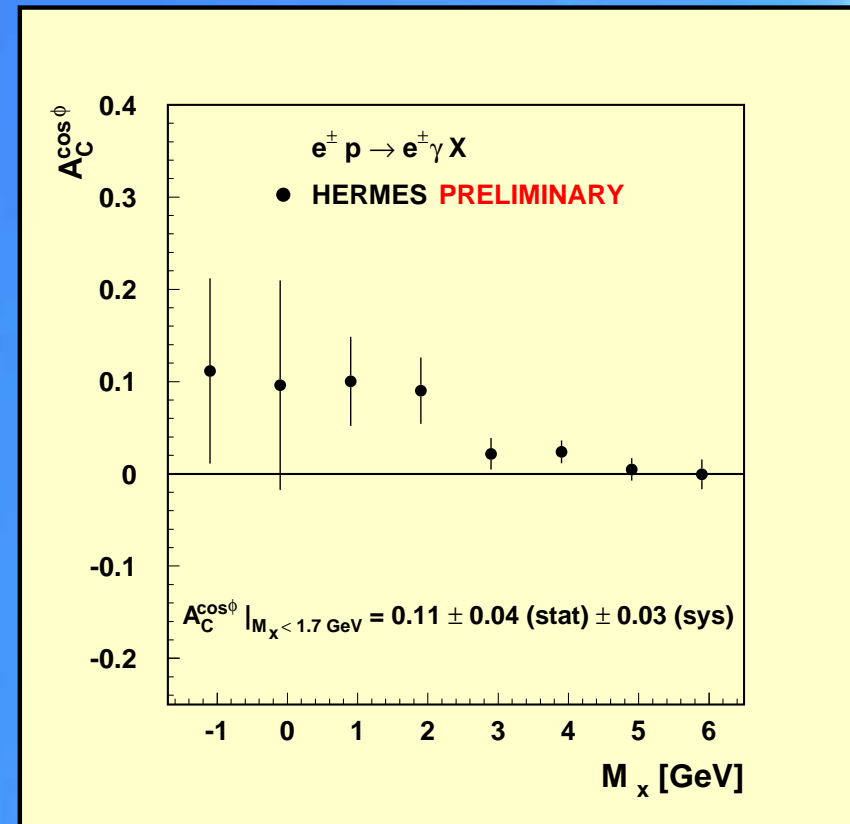
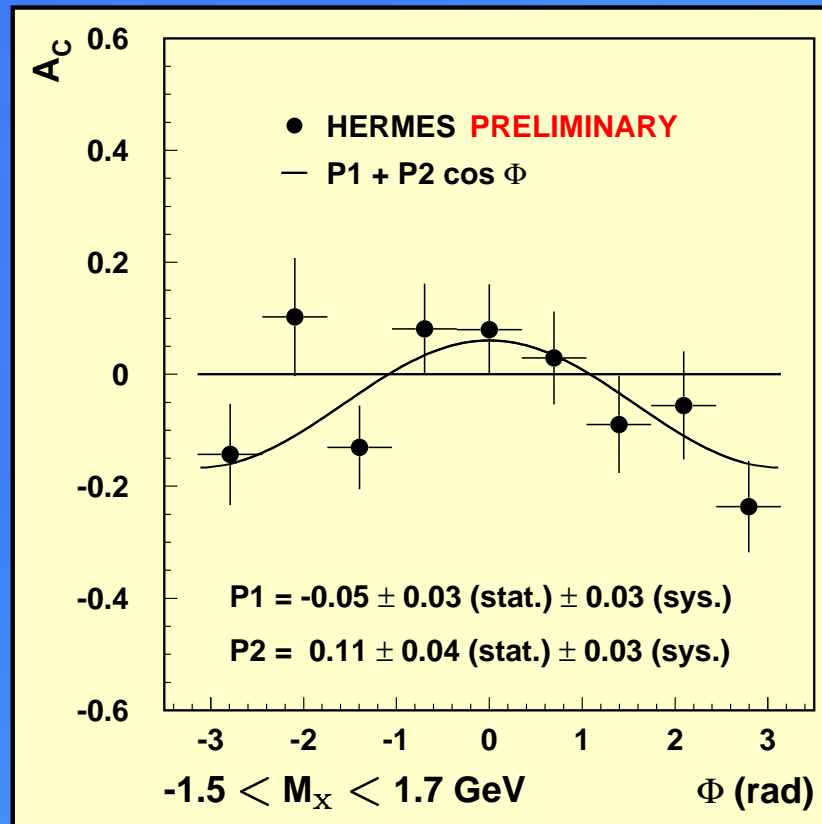
$$\Rightarrow \sigma(M_x) \approx 0.8 \text{ GeV}$$



Improve Exclusivity: detect recoil proton ⇒ planned for 2005/2006 data taking

DVCS beam charge asymmetry (BCA)

- $d\sigma_{e^+} - d\sigma_{e^-}$ sensitive to $\text{Re}(\mathcal{T}_{BH}\mathcal{T}_{DVCS}) \implies \cos\phi$

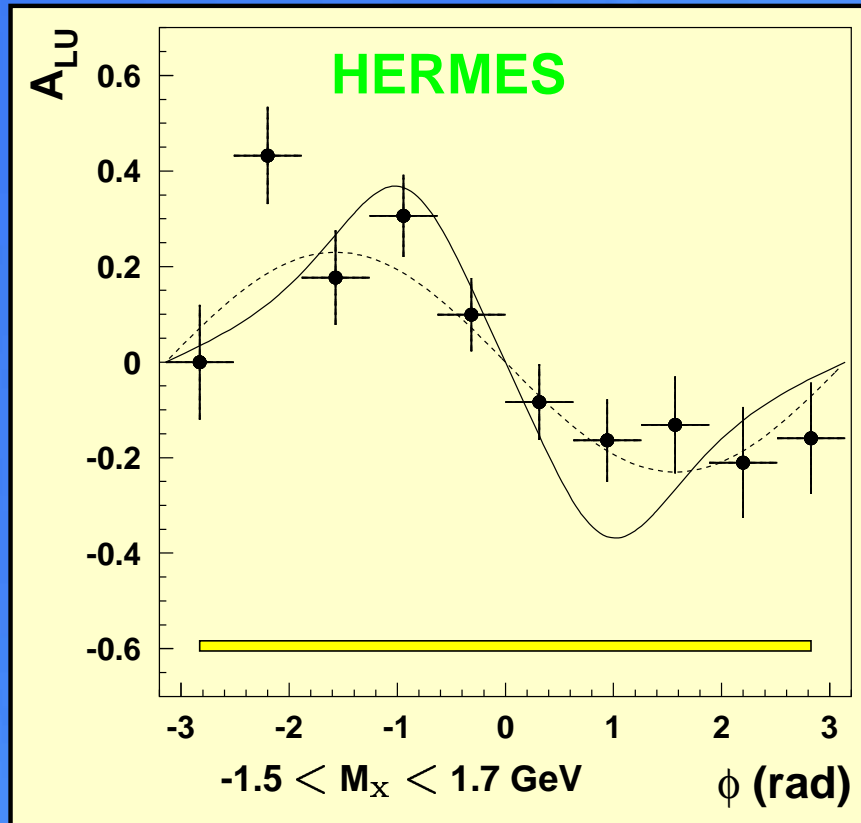


$$A_C(\phi) = \frac{N_{e^+}(\phi) - N_{e^-}(\phi)}{N_{e^+}(\phi) + N_{e^-}(\phi)}$$

- azimuthal asymmetry appears at $M_x \sim M_p$

DVCS single beam-spin asymmetry (BSA)

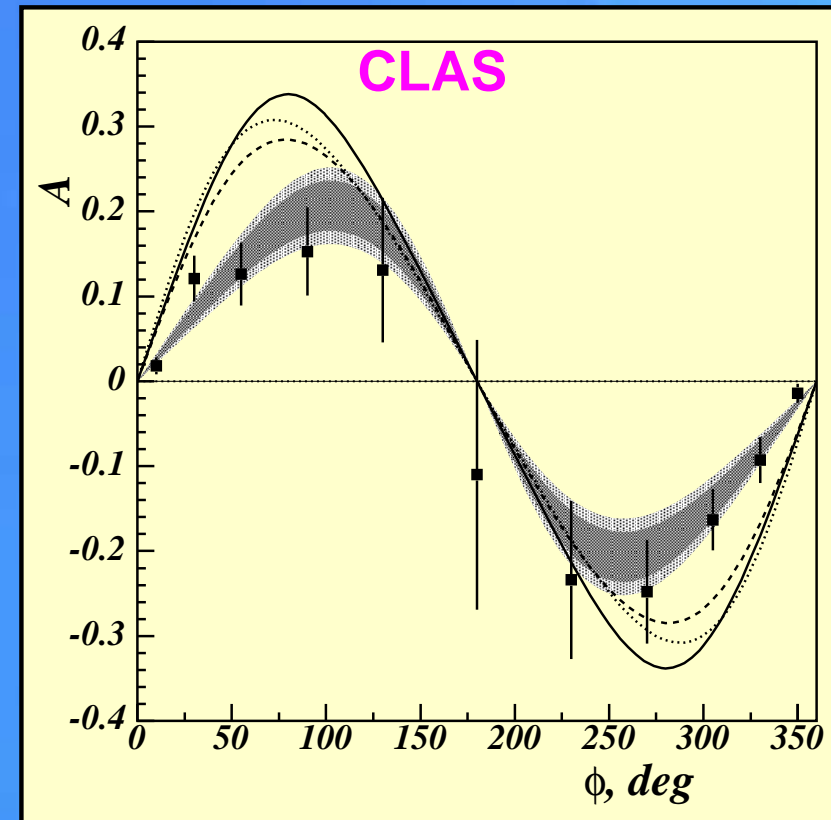
- $d\sigma_{e^+}^{\leftarrow} - d\sigma_{e^+}^{\rightarrow}$ sensitive to $\text{Im}(\mathcal{T}_{BH}\mathcal{T}_{DVCS}) \implies \sin\phi$



96/97: [PRL87 (2001), 182001]

$$A_{LU}^{\sin\phi}(\phi) = -0.18 \pm 0.03 \pm 0.03$$

at $\langle x \rangle = 0.11$, $\langle Q^2 \rangle = 2.5 \text{ GeV}^2$, $\langle -t \rangle = 0.27 \text{ GeV}^2$



[PRL87 (2001), 182002]

$$A_{LU}^{\sin\phi}(\phi) = -0.202 \pm 0.021 \pm 0.009$$

at $x = 0.15 - 0.25$, $Q^2 = 1 - 1.5 \text{ GeV}^2$,

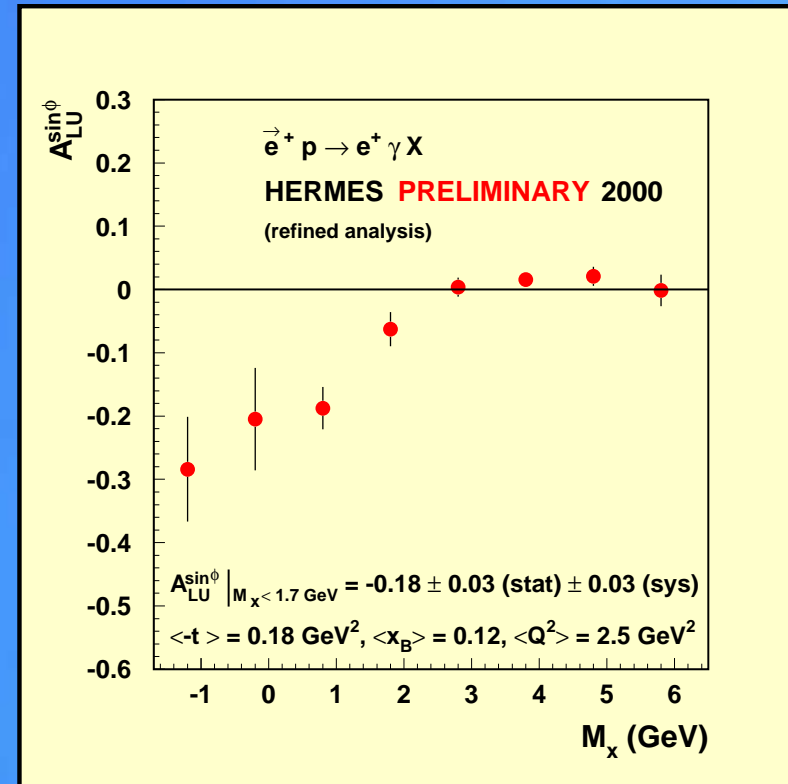
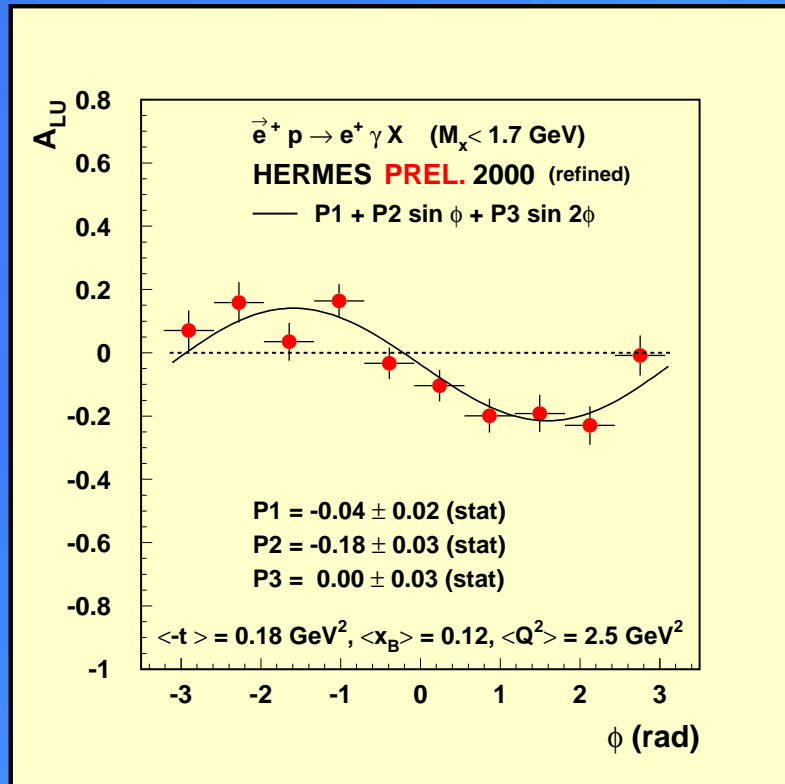
$-t = 0.1 - 0.25 \text{ GeV}^2$

GPD-calculation: [Kivel et al. Phys. Rev. D 63 (2001), 114014]



Much more data!

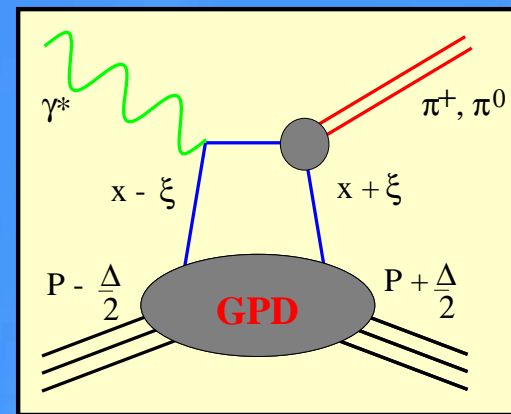
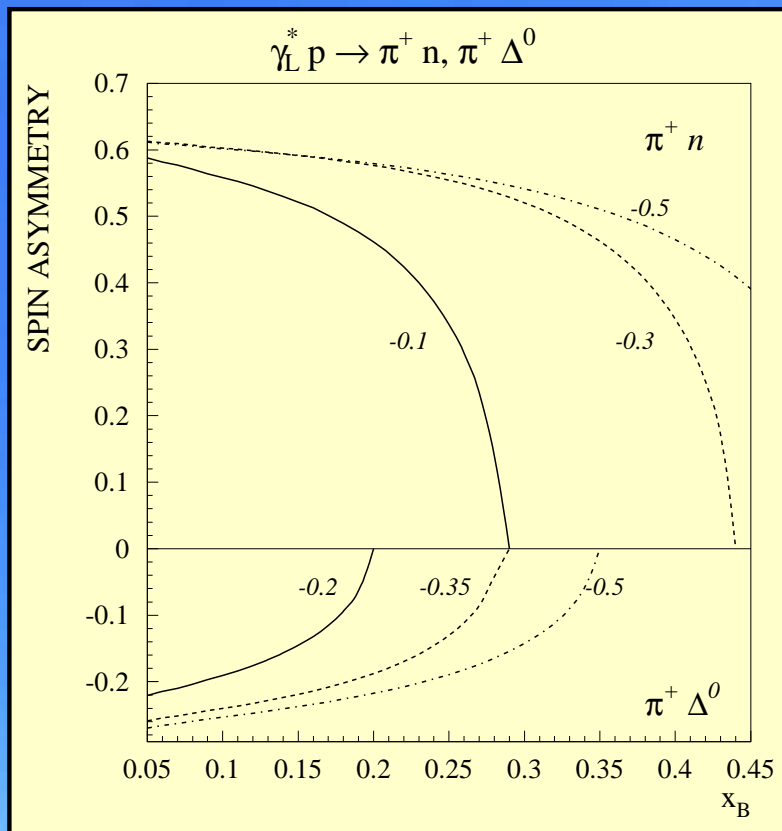
- $d\sigma_{e^+}^{\leftarrow} - d\sigma_{e^+}^{\rightarrow}$ sensitive to $\text{Im}(\mathcal{T}_{BH}\mathcal{T}_{DVCS}) \implies \sin\phi$



- all azimuthal asymmetries (BCA & BSA) appear at $M_x \sim M_p$
- enough statistics to study kinematic dependencies of GPDs
- DVCS data on polarized proton / deuterium target \implies access to \tilde{H}, \tilde{E}
- DVCS data on nuclear targets (D, ^3He , Ne, Kr) \implies coherent scattering on a nucleus ?!

Exclusive PS meson production $e p \rightarrow e' n \pi^+$

- cross section: $\sigma \propto |\mathbf{S}_T| \sin \phi \cdot \tilde{\mathbf{E}} \cdot \tilde{\mathbf{H}}$
- σ has an interference between pole and non-pole amplitudes:
 $\tilde{\mathbf{E}} \longleftarrow \pi - \text{FF}$ $\tilde{\mathbf{H}} \Longrightarrow \Delta q$
 \implies large single spin asymmetry expected for transverse polarized H-target



Lets see what the data say !

How to extract exclusive π^+

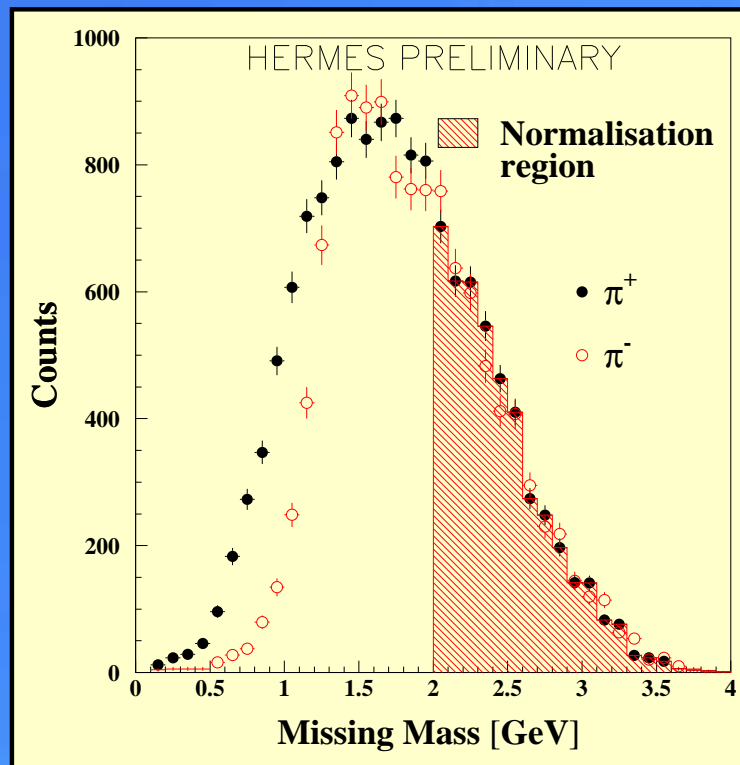
- Production mechanism: $e\vec{p} \rightarrow e' \underbrace{n}_{\text{not detected}} \pi^+$

How to extract exclusive π^+

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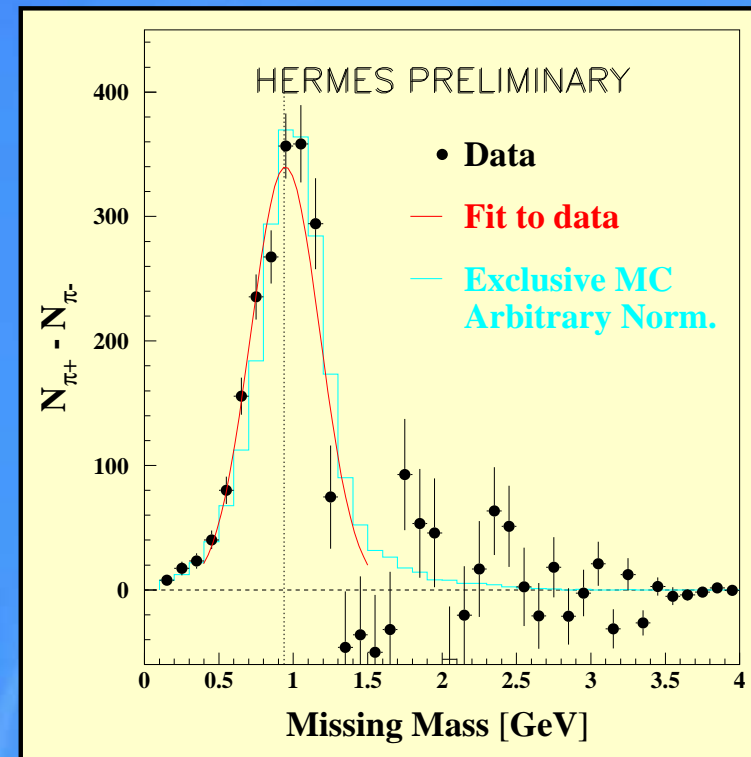
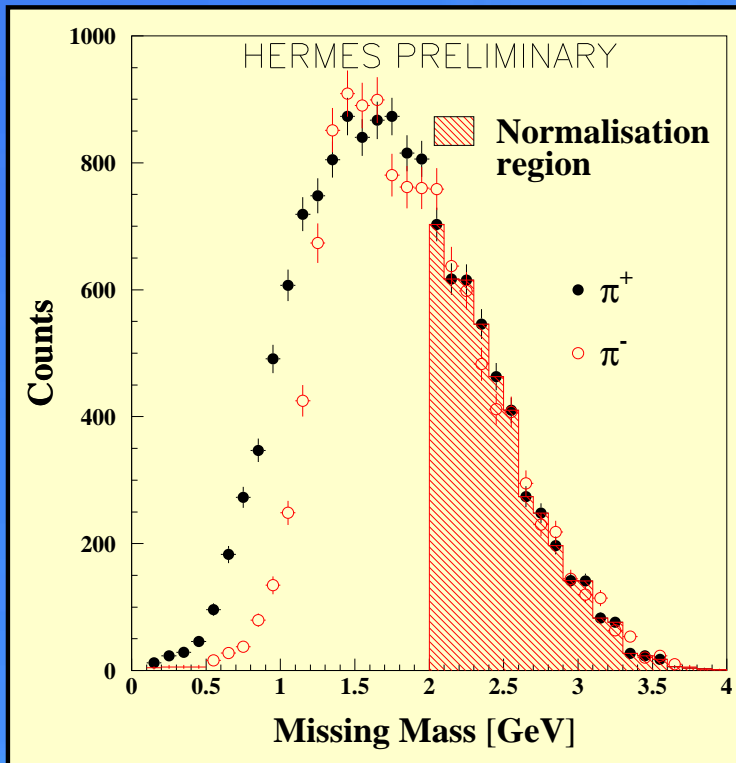
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 \Rightarrow Trick: exclusive $\pi^+ \sim M_x(\pi^+) - M_x(\pi^-)$



How to extract exclusive π^+

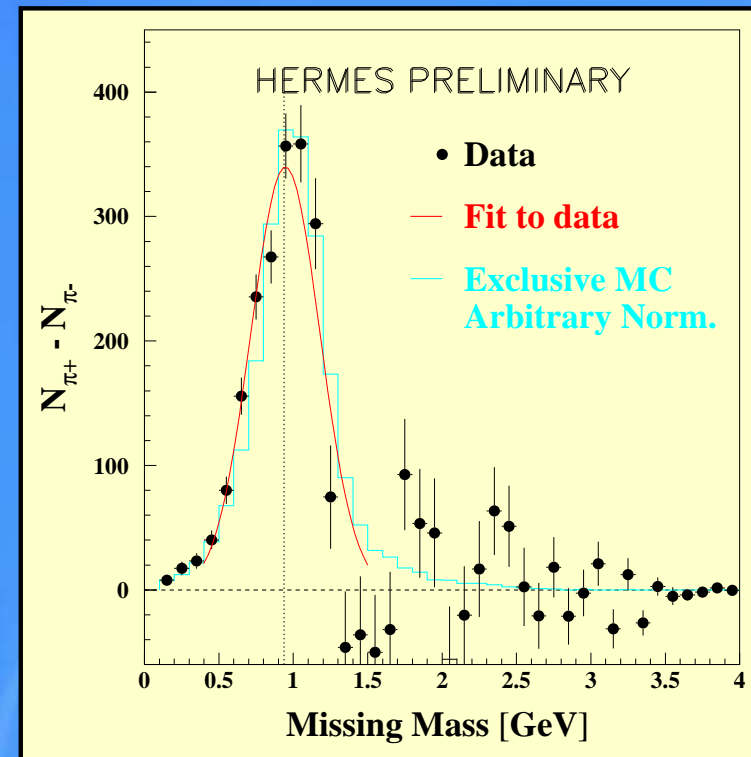
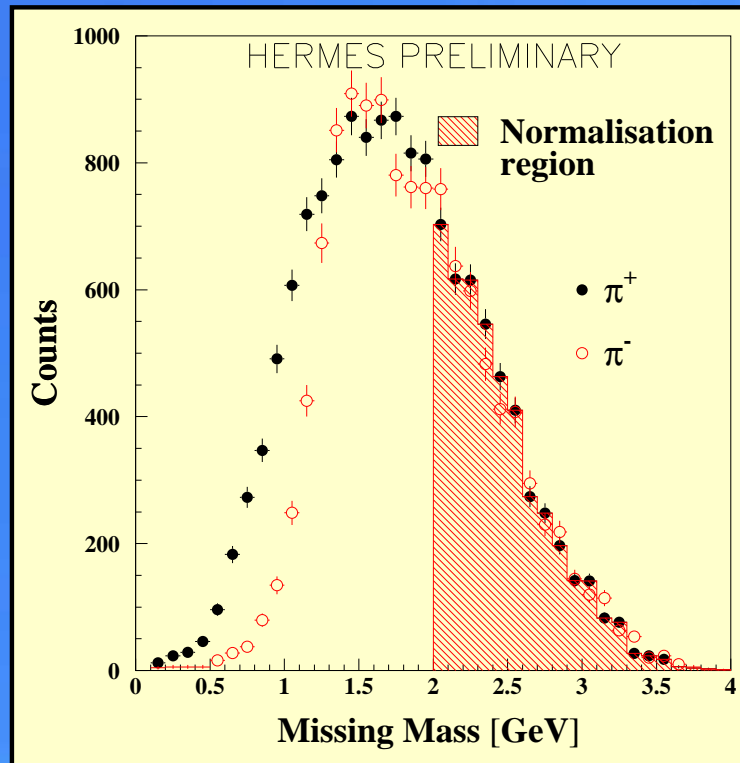
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clear peak at missing mass $\approx M_n$

How to extract exclusive π^+

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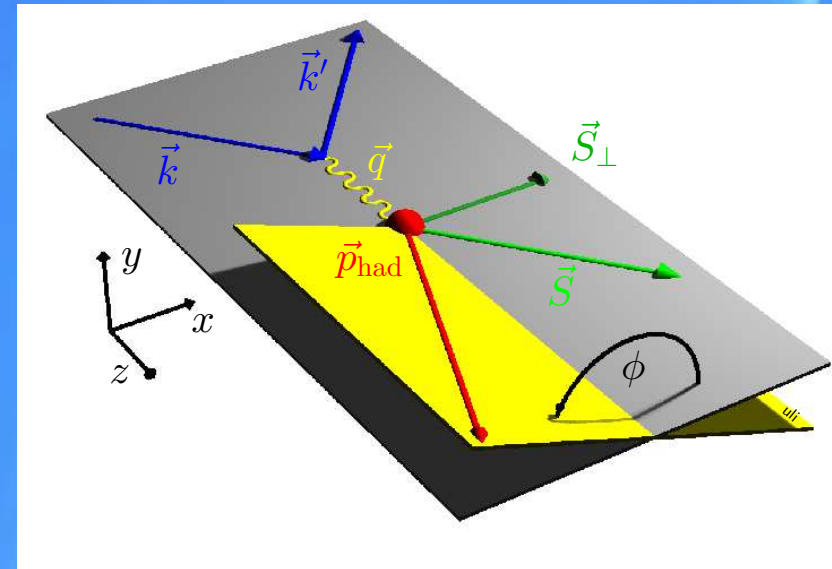
\Rightarrow Problematic: difference in relative contribution of resonant and non-resonant channels to π^+ , π^- yield

Exclusive π^+ target - SSA

- until 2001 no data with transverse polarized proton target available
⇒ use longitudinal polarized proton target

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⇒ transverse component to γ^*

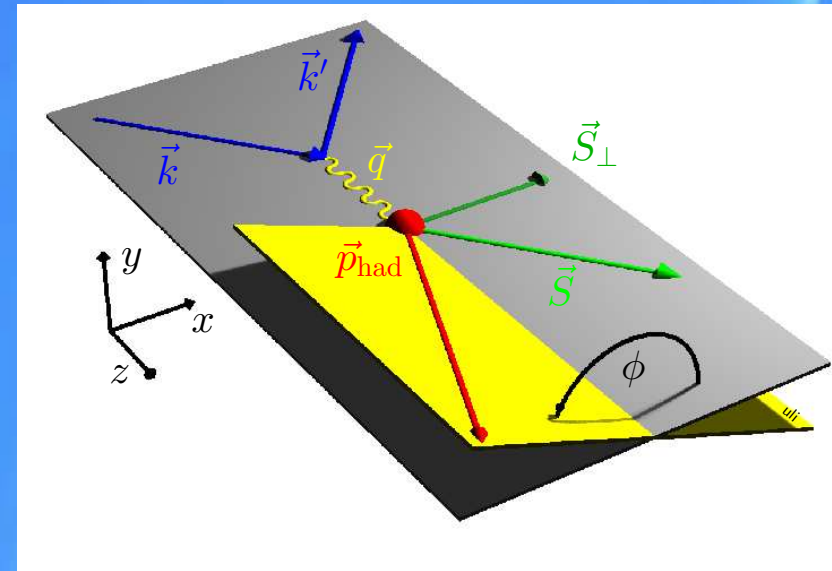
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- cross section:

$$\sigma_S \sim [S_{\perp}\sigma_L + S_{\parallel}\sigma_{LT}] \cdot A_{UL}^{\sin\phi} \sin\phi$$

unpolarized beam polarized target



\Rightarrow transverse component to γ^*

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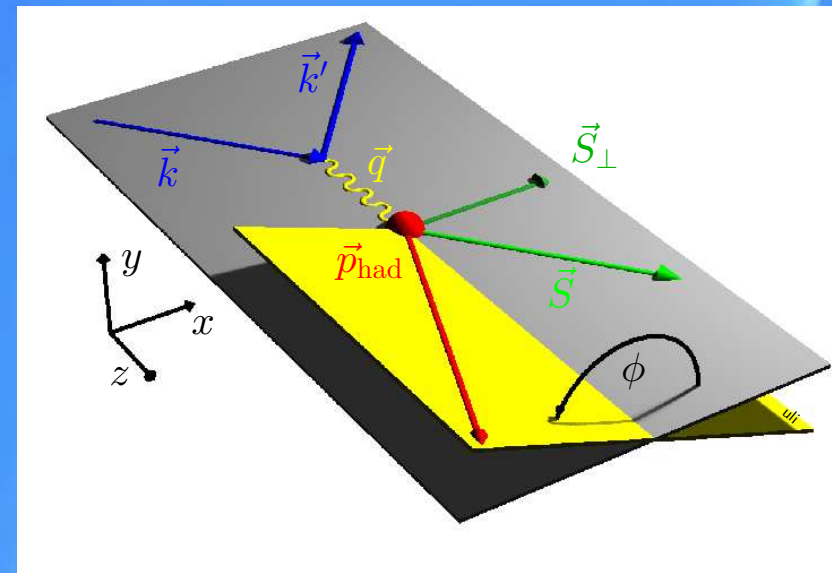
\swarrow \searrow
 unpolarized polarized
 beam target

σ_{LT} suppressed by $1/Q \dots$

but $S_{\parallel} > S_{\perp}$

HERMES:

$$S_{\perp}/S \sim 0.17$$



\Rightarrow transverse component to γ^*

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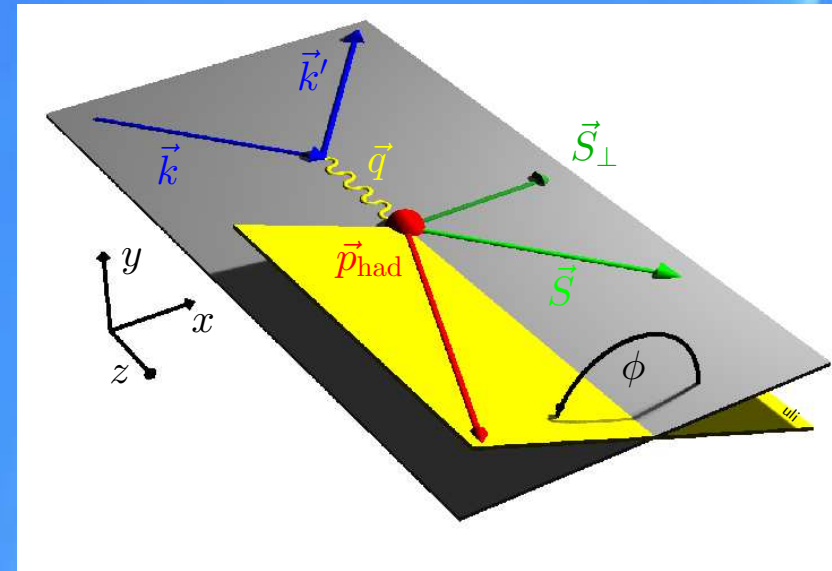
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HERMES:

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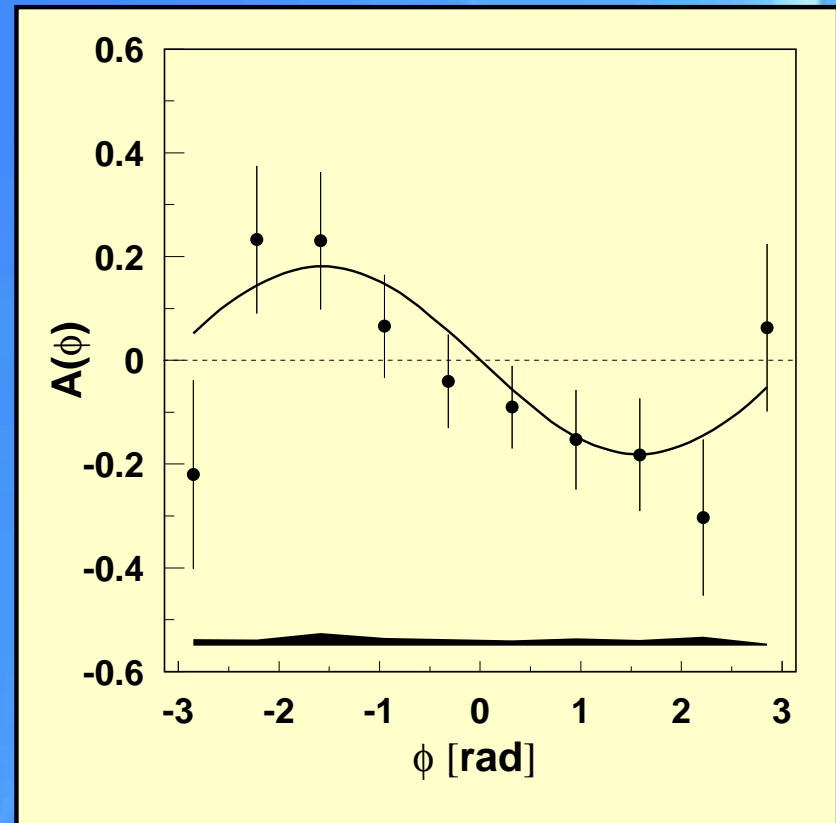
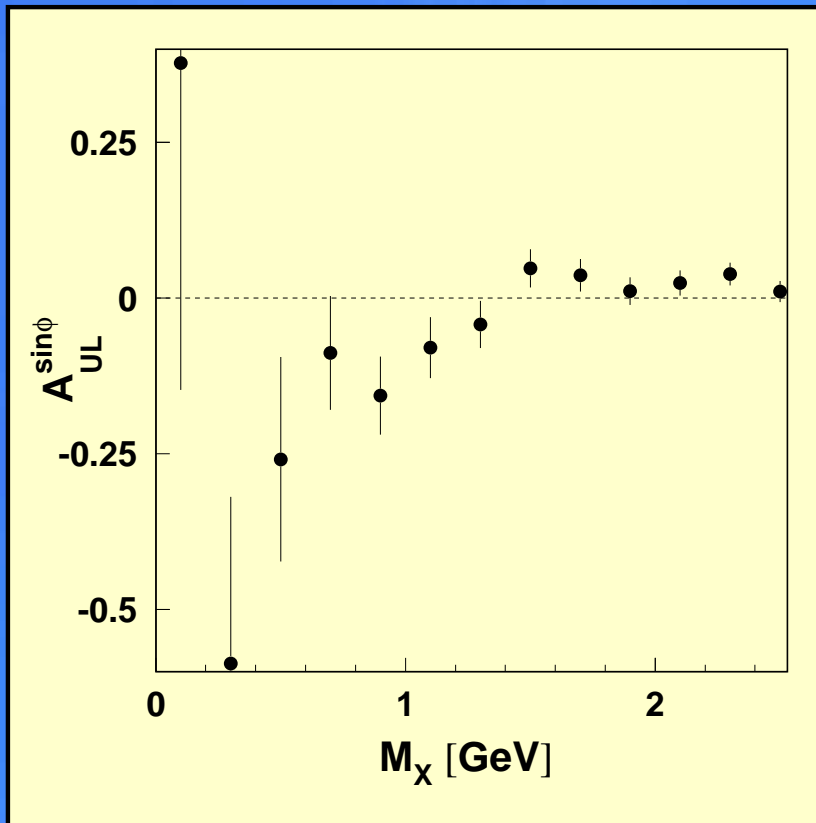
- Target Spin Asymmetry:

$$A_{UL}(\phi) = \frac{1}{\langle |P_t| \rangle} \cdot \frac{\sigma^{\leftarrow}(\phi) - \sigma^{\rightarrow}(\phi)}{\sigma^{\leftarrow}(\phi) + \sigma^{\rightarrow}(\phi)} = \frac{1}{\langle |P_t| \rangle} \cdot \frac{N^{\leftarrow}(\phi) - N^{\rightarrow}(\phi)}{N^{\leftarrow}(\phi) + N^{\rightarrow}(\phi)} \stackrel{\text{fit}}{=} A_{UL}^{\sin(\phi)} \cdot \sin(\phi)$$



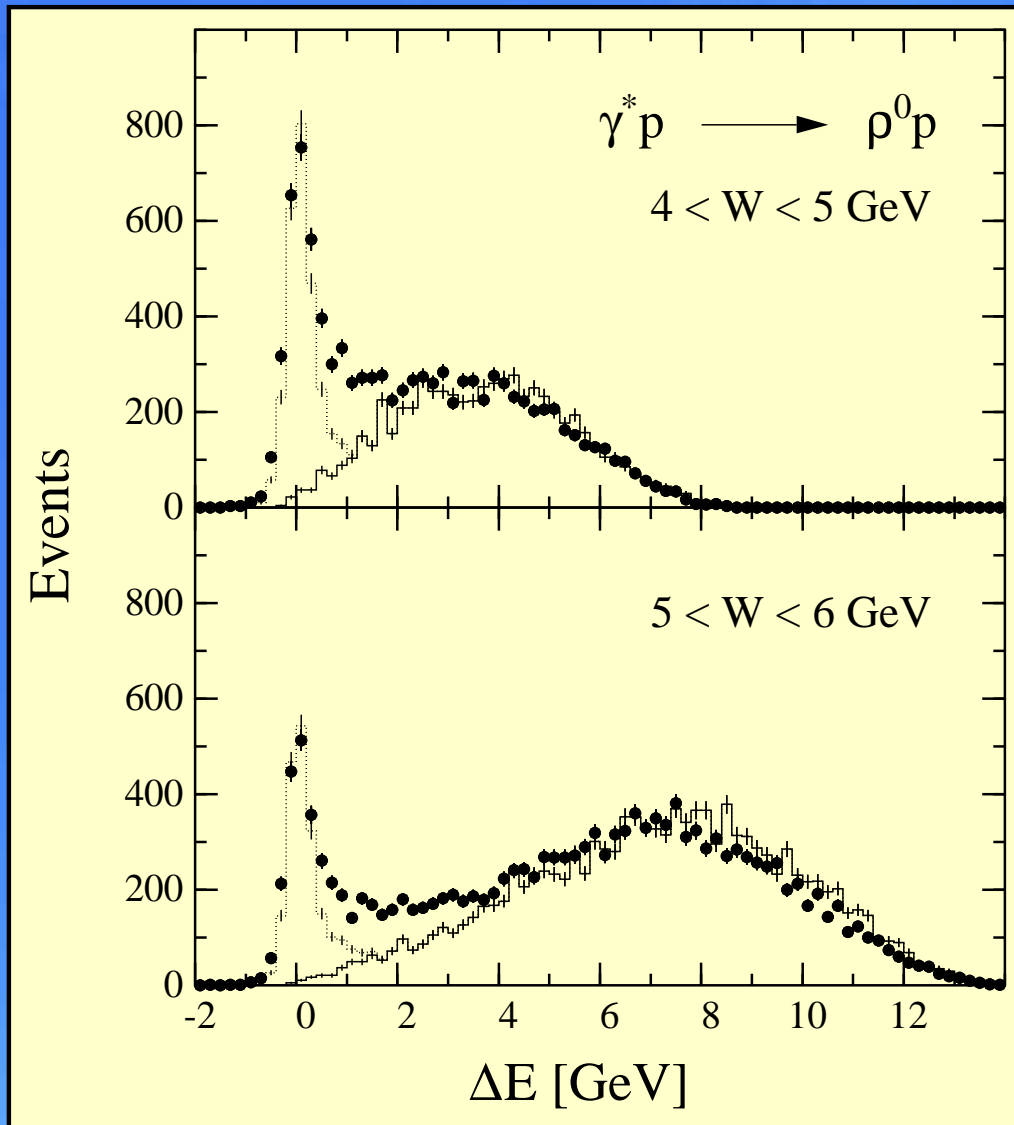
\Rightarrow transverse component to γ^*

Exclusive π^+ target - SSA-Results



- Asymmetry appears at $M_x = \text{Nucleon mass}$
- $A_{UL}^{\sin\phi} = -0.18 \pm 0.05 \pm 0.02$ at $\langle x \rangle = 0.15$, $\langle Q^2 \rangle = 2.2 \text{ GeV}^2$, $\langle t \rangle = -0.46 \text{ GeV}^2$
- more data needed \implies transverse polarized target to study \tilde{E}, \tilde{H}

Exclusive VM-production $ep \rightarrow e' \rho^0 / \phi p$



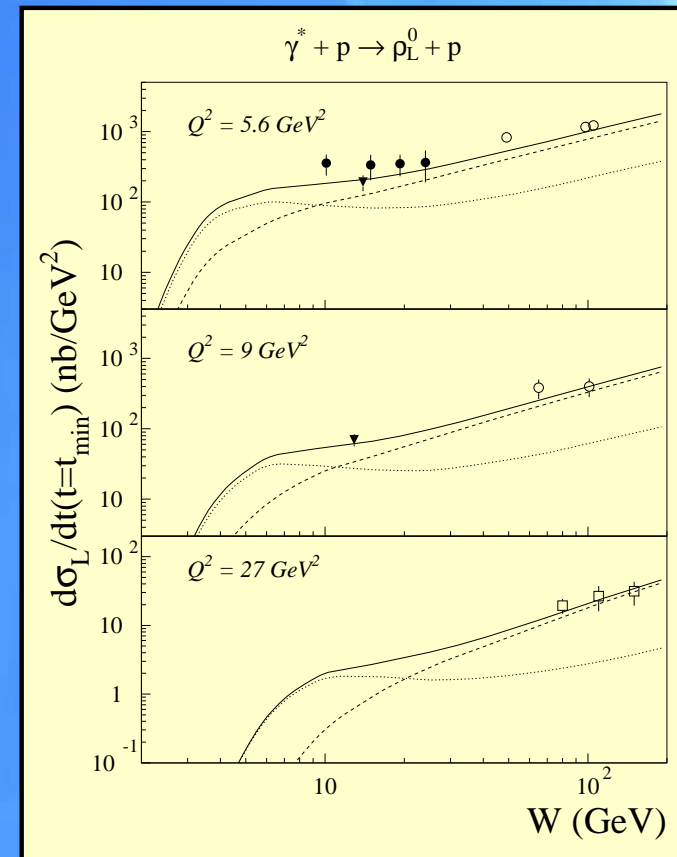
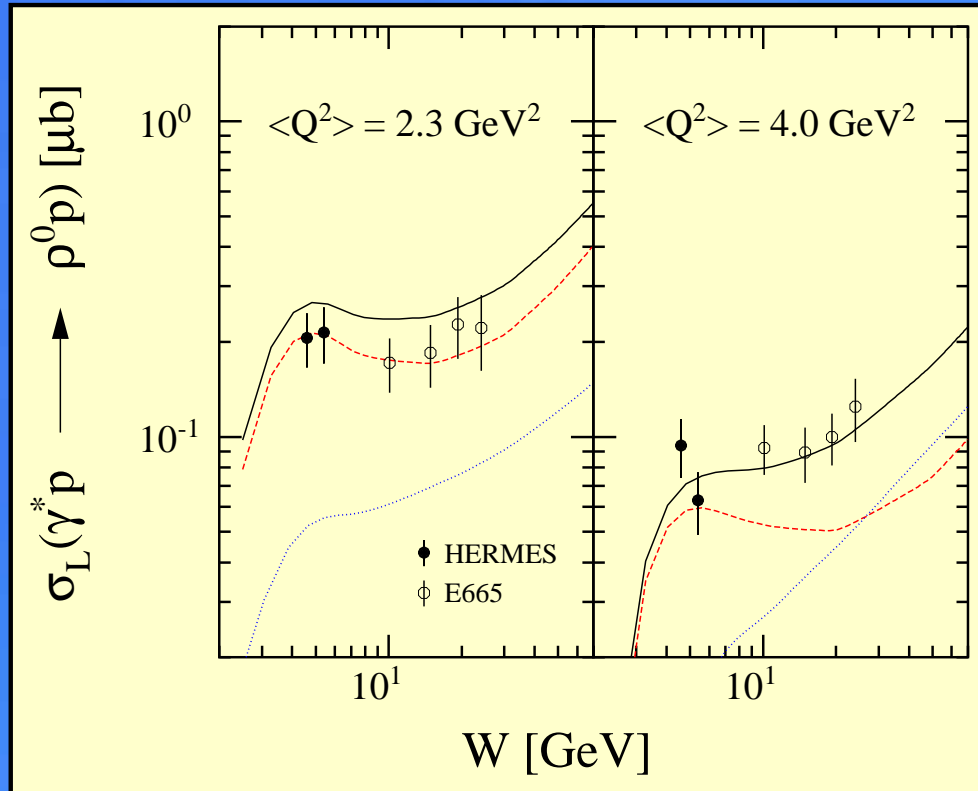
$$\rho^0 \rightarrow \pi^+ \pi^-$$

$$\phi \rightarrow K^+ K^-$$

- ⇒ Recoiling proton is not detected
- ⇒ good determination of exclusive channel using ΔE
- ⇒ DIS-‘background’ well described by Monte Carlo
- ⇒ deduce **longitudinal** cross section from decay angular distributions σ_L^p

$$\sigma_L^p \sim \frac{1}{2} \left(\frac{2}{3} H^u + \frac{1}{3} H^d \right)$$

Exclusive σ_L for ρ^0 -Production



[K. Goeke et al., hep-ph/0106012]

GPD calculations:

- quark exchange mechanism dominates

$\sigma_L(\gamma^* p \rightarrow \rho^0 p)$ at **low W^2**

- 2-gluon exchange mechanism dominates

$\sigma_L(\gamma^* p \rightarrow \rho^0 p)$ at **high W^2** and in $\sigma_L(\gamma^* p \rightarrow \phi p)$

Deep Inelastic Scattering Cross Section

Cross Section:
$$\frac{d^2\sigma}{d\Omega dE^2} = \frac{\alpha^2 E'}{Q^2 E} \underbrace{L_{\mu\nu}(k, q, s)}_{\text{leptonic}} \underbrace{W^{\mu\nu}(P, q, S)}_{\text{hadronic}}$$

$L_{\mu\nu}$: purely electromagnetic \implies calculable in QED

$$W^{\mu\nu} = -g^{\mu\nu} F_1(x, Q^2) + \frac{p^\mu p^\nu}{\nu} F_2(x, Q^2) + i\epsilon^{\mu\nu\lambda\sigma} \frac{q_\lambda}{\nu} (S_\sigma g_1(x, Q^2) + \frac{1}{\nu} (p \cdot q S_\sigma - S \cdot q p_\sigma) g_2(x, Q^2))$$

(for spin 1) + quadrupole terms (b_1, b_2, b_3, b_4)

$F_1, F_2, g_1, g_2 \implies$ Un- / Polarized Structure Functions

BUT

Quarks are relativistic, have intrinsic k_T , masses and correlations

DIS and SIDIS Cross Section

$$d\sigma = d\sigma_{UU}^0 + \frac{p_T}{Q} \cos \phi d\sigma_{UU}^1 + \lambda \frac{1}{Q} \sin \phi d\sigma_{LU}^2$$

$\searrow f_1$

$$+ S_L [\sin 2\phi d\sigma_{UL}^3 + \frac{1}{Q} \sin \phi d\sigma_{UL}^4] + \lambda S_L [\sigma_{LL}^5 + \frac{1}{Q} \cos \phi d\sigma_{LL}^6]$$

$\searrow h_{1L}^\perp \qquad \qquad \qquad \searrow g_{1L}$

$$+ S_T [\sin(\phi + \phi_S) d\sigma_{UT}^7 + \sin(3\phi - \phi_S) d\sigma_{UT}^8 + \frac{1}{Q} \sin(2\phi - \phi_S) d\sigma_{UT}^9]$$

$\searrow h_1 \qquad \qquad \qquad \searrow h_{1T}^\perp$

$$+ \lambda S_T [\cos(\phi - \phi_S) d\sigma_{LT}^{10} + \frac{1}{Q} \cos(2\phi - \phi_S) d\sigma_{LT}^{11}]$$

$\searrow g_{1T}$

\Rightarrow non zero **S**ingle **S**pin Azimuthal **A**symmetries

σ_{LT}

↙ ↘

Beam Target polarization



Twist-2 Quark DF & FF

Distribution Functions (DF)

$$f_1 = \text{circle with dot}$$

$$g_{1L} = \text{circle with dot and right arrow} - \text{circle with dot and left arrow}$$

$$h_{1T} = \text{circle with dot and up arrow} - \text{circle with dot and down arrow}$$

$$f_{1T}^\perp = \text{circle with dot and up arrow} - \text{circle with dot and down arrow}$$

$$h_1^\perp = \text{circle with dot and up arrow} - \text{circle with dot and down arrow}$$

$$h_{1L}^\perp = \text{circle with dot, right arrow, and up arrow} - \text{circle with dot, right arrow, and down arrow}$$

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Fragmentation Functions (FF)

$$D_1 = \text{circle with dot}$$

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$$H_{1T} = \text{circle with dot and up arrow} - \text{circle with dot and down arrow}$$

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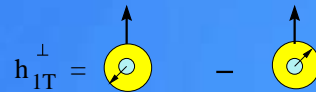
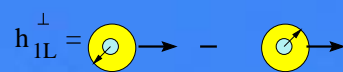
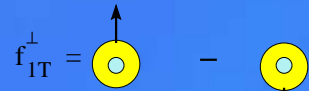
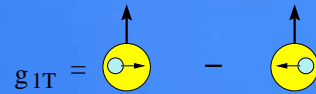
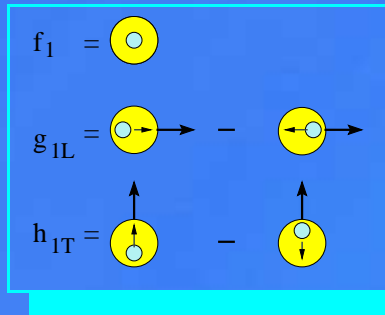
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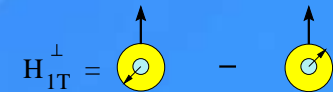
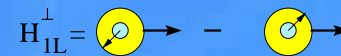
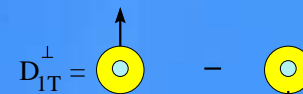
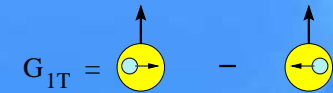
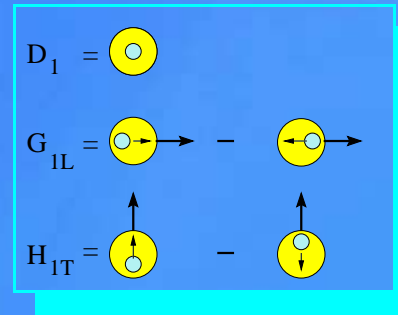
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Twist-2 Quark DF & FF

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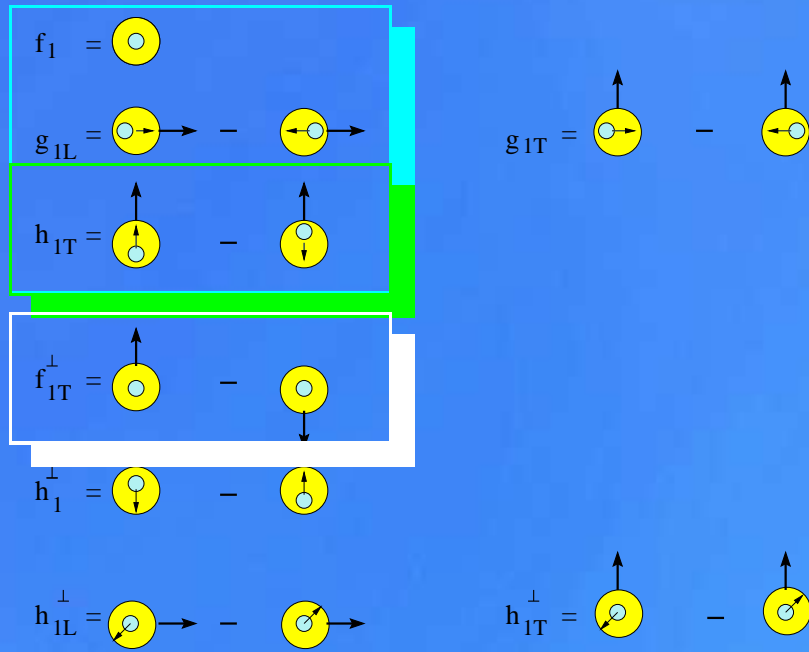


Fragmentation Functions (FF)

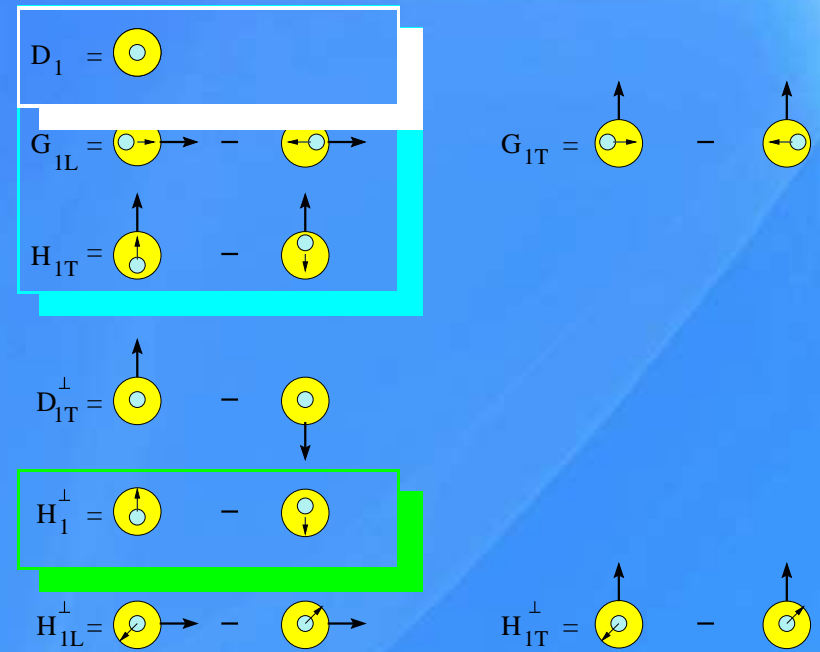


- survive k_t integration
- The others are sensitive to intrinsic $\langle k_t \rangle$ in the nucleon & in the fragmentation process

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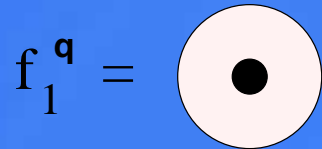


Fragmentation Functions (FF)



- survive k_t integration
- The others are sensitive to intrinsic $\langle k_t \rangle$ in the nucleon & in the fragmentation process
- f_{1T}^\perp : Sivers DF D_1 : std. unpol FF
- h_{1T} : transversity H_1^\perp : Collins FF

... surviving k_{\perp} integration



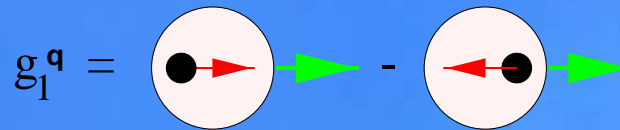
Unpolarized
quarks and nucleons

vector charge:

$$\langle PS | \bar{\psi} \gamma^{\mu} \psi | PS \rangle = \int_0^1 dx (q(x) - \bar{q}(x))$$

$q(x)$: spin averaged
well known

H1, ZEUS



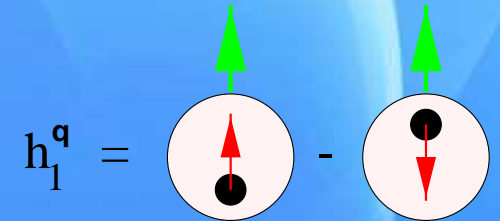
Longitudinally polarized
quarks and nucleons

axial charge:

$$\langle PS | \bar{\psi} \gamma^{\mu} \gamma_5 \psi | PS \rangle = \int_0^1 dx (\Delta q(x) + \Delta \bar{q}(x))$$

$\Delta q(x)$: helicity difference
known

SMC, HERMES,
COMPASS, RHIC



Transversely polarized
quarks and nucleons

tensor charge :

$$\langle PS | \bar{\psi} \sigma^{\mu\nu} \gamma_5 \psi | PS \rangle = \int_0^1 dx (\delta q(x) - \delta \bar{q}(x))$$

$\delta q(x)$: helicity flip
unmeasured

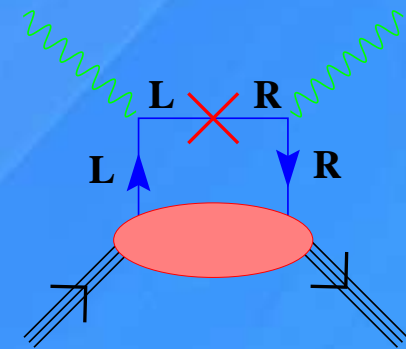
SMC, HERMES,
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Characteristics of Transversity

- **Non-relativistic quarks:** $\Delta q(x) = \delta q(x)$
⇒ δq probes **relativistic nature** of quarks
- **Angular momentum conservation**
⇒ Transversity has no gluon component
⇒ different Q^2 evolution than $\Delta q(x)$
- q and \bar{q} contribute with opposite sign to $\delta q(x)$
⇒ predominantly sensitive to valence quark polarization
- **Bounds:**
⇒ $|\delta q(x)| \leq q(x)$
⇒ **Soffer bound:** $|\delta q(x)| \leq \frac{1}{2}[q(x) + \Delta q(x)]$

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- **Transversity distribution CHIRAL ODD**
⇒ **No Access in Inclusive DIS !!!**



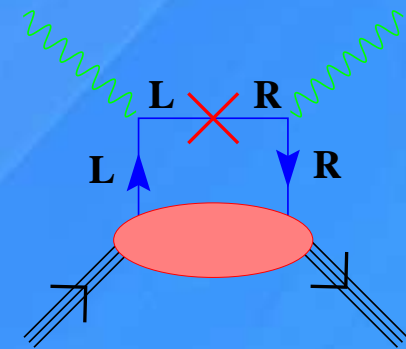
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NEED another chiral-odd object!



Characteristics of Transversity

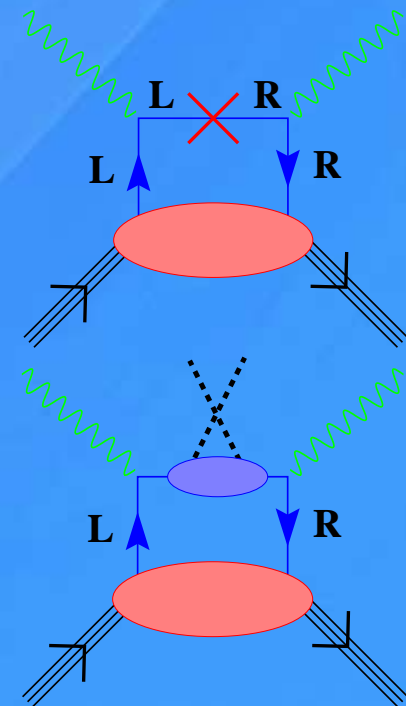
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NEED another chiral-odd object!

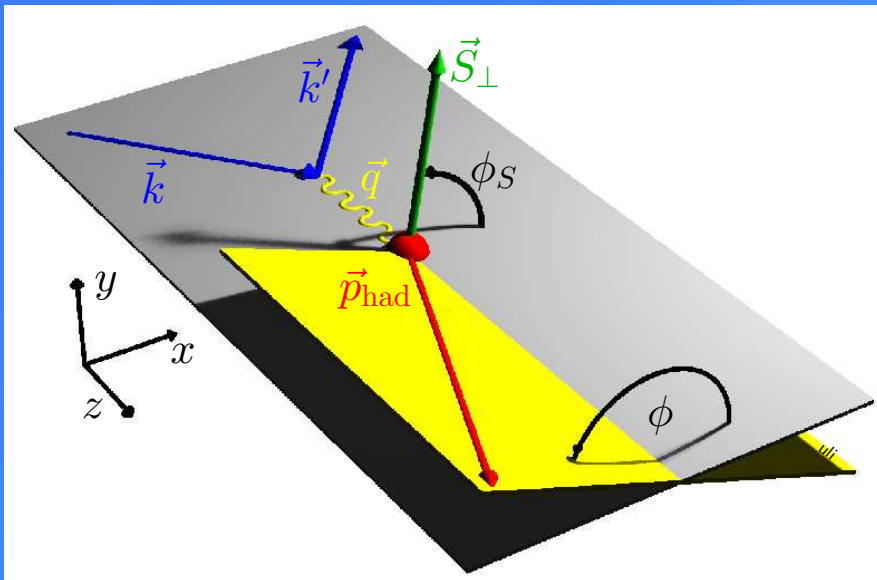
⇒ semi-inclusive DIS ⇒ H_1^\perp **Collins FF**



How can one measure Transversity

Single spin azimuthal asymmetries with a transverse polarized target

$$ep^\uparrow \longrightarrow e'\pi X$$



$\Phi = \phi + \phi_s$ Collins angle

$$\sigma^{ep \rightarrow ehX} = \sum_q f^{H \rightarrow q} \otimes \sigma^{eq \rightarrow eq} \otimes D^{q \rightarrow h}$$

\Downarrow \Downarrow
chiral-odd **chiral-odd**
DF **FF**

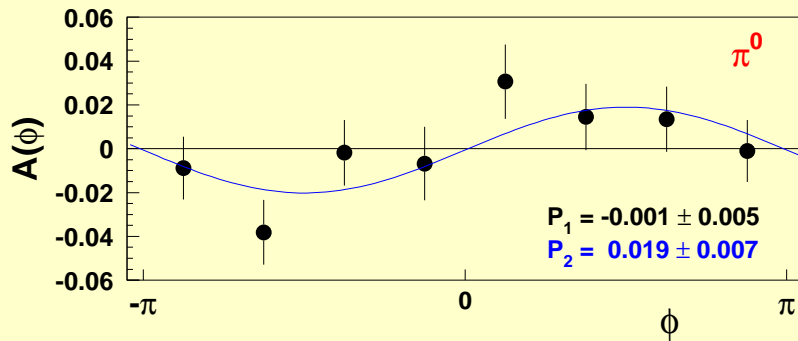
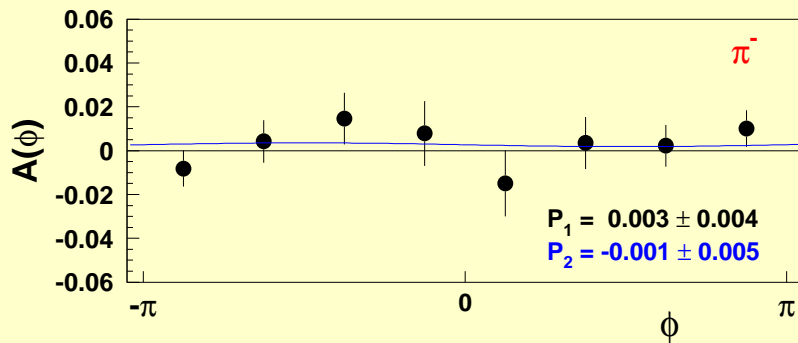
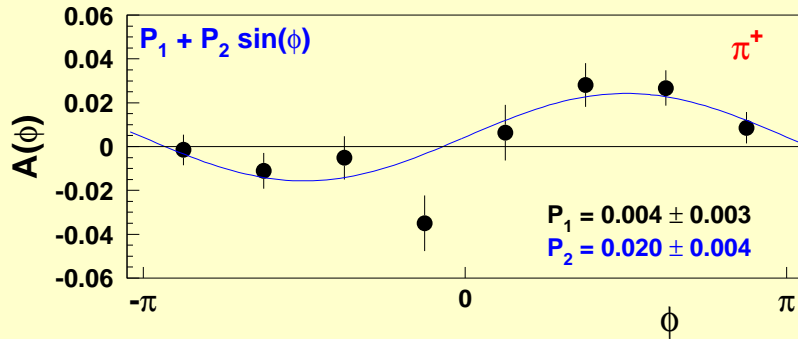
$$A_{UT}^{\sin \Phi} \propto \frac{\sum_{i=1}^{N^\uparrow} \sin \Phi - \sum_{i=1}^{N^\downarrow} \sin \Phi}{\frac{1}{2}(N^\uparrow + N^\downarrow)}$$

$$A_{UT}^{\sin \Phi} \propto \frac{\sum_q e_q^2 \delta q(x) H_1^{\perp, q}(z)}{\sum_q e_q^2 q(x) D_1^q(z)}$$

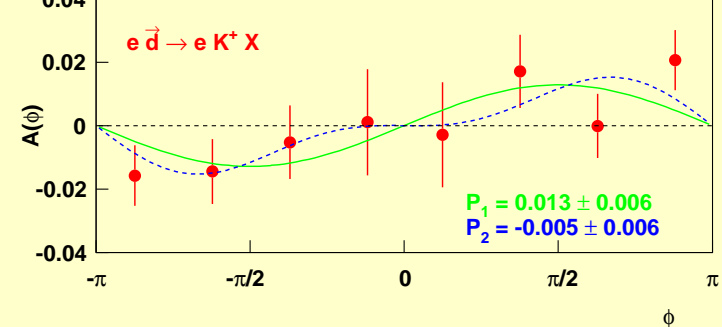
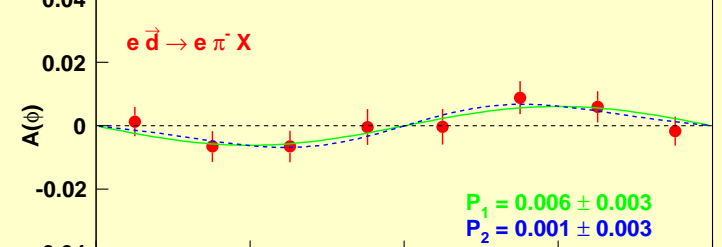
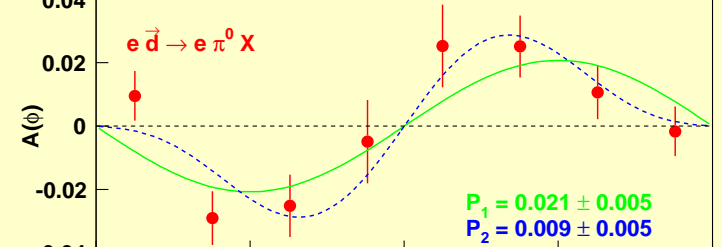
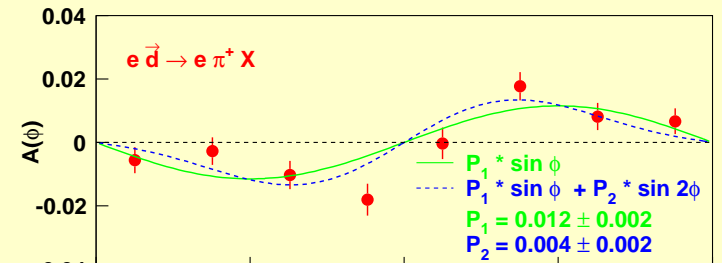
Can only measure $\delta q(x) \cdot H_1^{\perp, q}(z)$

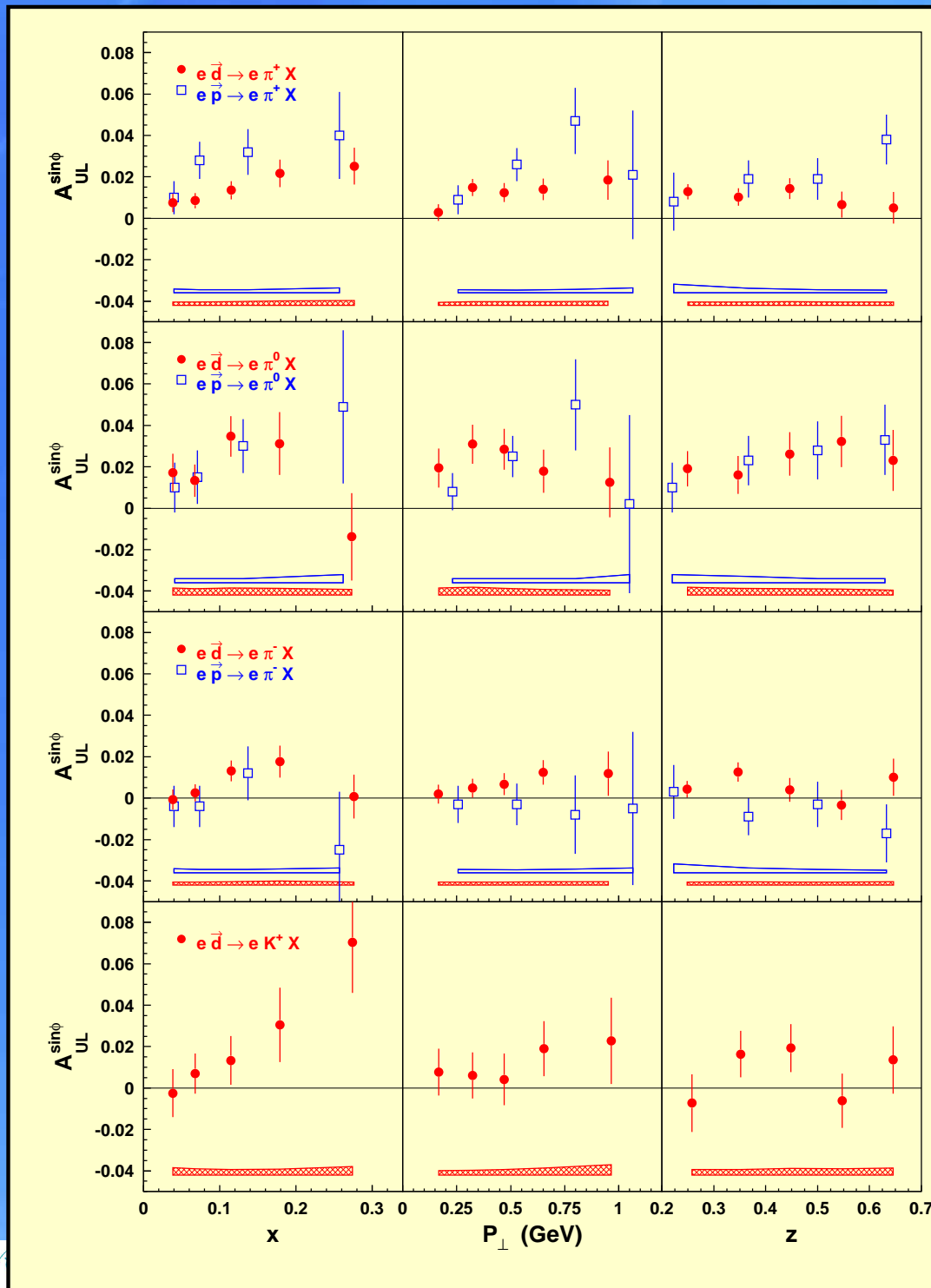
$H_1^{\perp, q}(z)$ from e^+e^- collider experiments, like Belle

PROTON



DEUTERON

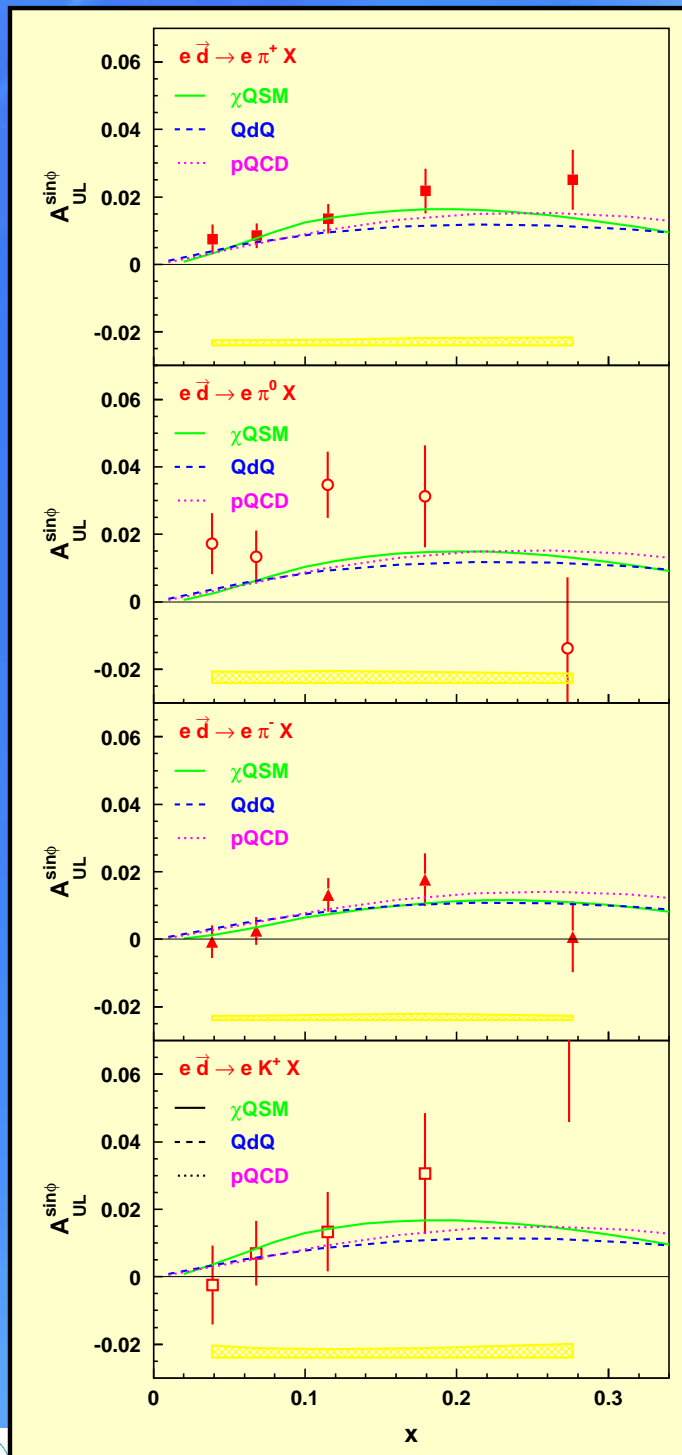




Original predictions by Collins:

- **Proton Target**
Larger for π^+ , π^0 than for π^-
(*u*-quark dominance)
- **Rise with x_{bj}**
(valence quark dominance)
- **Grow with p_{\perp} , peak around 1 GeV**
($\frac{H_1^{\perp}}{D_1} \propto \frac{M_c M_h}{M_c^2 + p_{\perp}^2}$ with $M_c \simeq 1$ GeV)
- **First SSA for Kaons**

What does theory tell?



- h_1 calculated in: χ QSM, QdQ, pQCD models
- sub-leading order terms ~ 0

- Collins FF H_1^\perp :

$$\chi\text{QSM: } \left| \frac{\langle H_1^\perp \rangle}{\langle D_1 \rangle} \right| = 12.5 \pm 1.4\%$$

QdQ, pQCD: 'Collins guess'

Challenges in Interpretation

Problem:

- have neglected the **Sivers - DF**

$$A_{UL}^{\sin(\phi)} \sim \mathbf{S}_{\perp} \sum_{\mathbf{f}, \bar{\mathbf{f}}} e_f^2 \mathbf{x} \mathbf{f}_{1T}^{\perp q}(\mathbf{x}) \cdot \mathbf{D}_1(\mathbf{z})$$

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longitudinally polarized target

⇒ **Sivers** and **Collins** effect **indistinguishable**

Challenges in Interpretation

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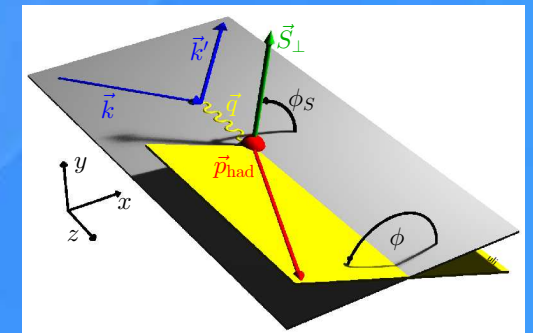
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longitudinally polarized target

⇒ Sivers and Collins effect indistinguishable

Transversely polarized target needed

- $\langle \sin \phi \rangle_{UT}$ becomes dominant
- Sivers and Collins distinguishable



$\langle \sin(\phi_h - \phi_s) \rangle$ moment

$\langle \sin(\phi_h + \phi_s) \rangle$ moment

$$\mathbf{A}_{UT}^{\sin(\phi_h - \phi_s)} \sim \mathbf{S}_{\perp} \sum_{\mathbf{f}, \bar{\mathbf{f}}} e_f^2 \mathbf{x} \mathbf{f}_{1T}^{\perp q}(\mathbf{x}) \cdot \mathbf{D}_1(\mathbf{z})$$

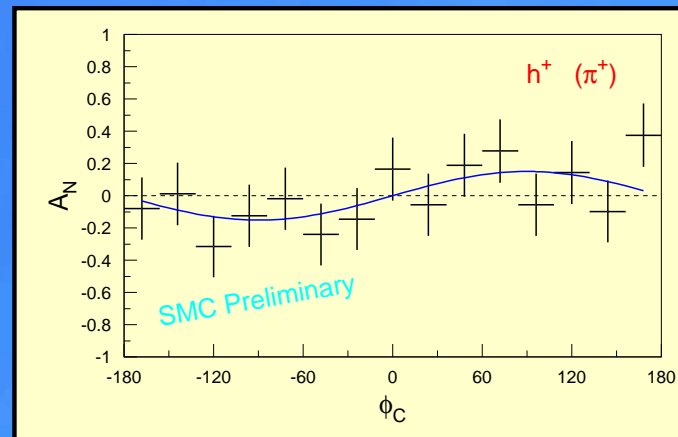
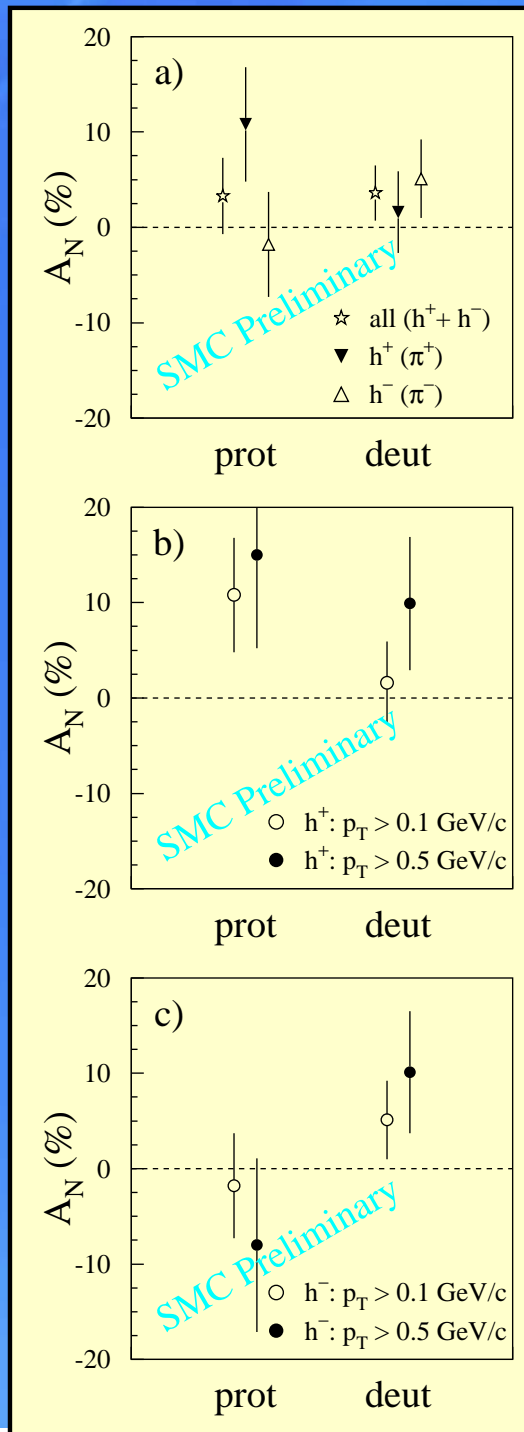
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Results from SMC

Data with transversely polarized target

$$d\sigma \sim (1 + A_N \sin(\phi_C)) d\phi_C$$

$$A_N = \frac{1}{P_T f D_{NN}} \frac{1}{\langle \sin(\phi_C) \rangle} \frac{N(\phi_C) - N(\phi_C + \pi)}{N(\phi_C) + N(\phi_C + \pi)}$$



Need more data \Rightarrow COMPASS, HERMES, RHIC

- **Orbital Angular Momentum** L_q

Summary



- **Orbital Angular Momentum L_q**
 - **GPDs: new avenue to study the structure of the nucleon**

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 - exclusive reactions are experimentally established
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$$\delta q \neq 0 \quad H_1^{\perp q} \neq 0$$
 - BUT:** Collins versus Sievers

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 - need data with transversely polarised targets
 - ⇒ **COMPASS, HERMES, RHIC**