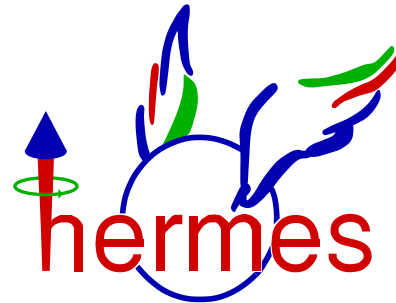


# Measurement of the Sivers Effect at Hermes

- Deep-Inelastic Scattering and the Sivers Function
- The HERMES Experiment
- Data and Monte Carlo Results of the Sivers Amplitude

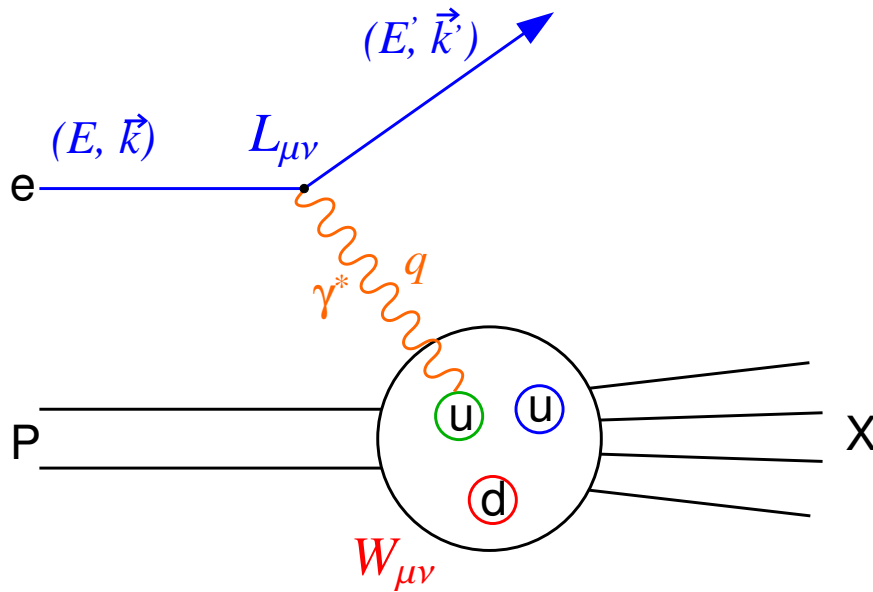


Ulrike Elschenbroich  
Universiteit Gent, België

Seminar in Regensburg  
July 19, 2005



# Deep-Inelastic Scattering



$$Q^2 = -q^2 = -(k - k')^2$$

$$\nu \stackrel{\text{lab}}{=} E - E'$$

$$x = \frac{Q^2}{2M\nu}$$

$$y = \frac{\nu}{E}$$

cross section:

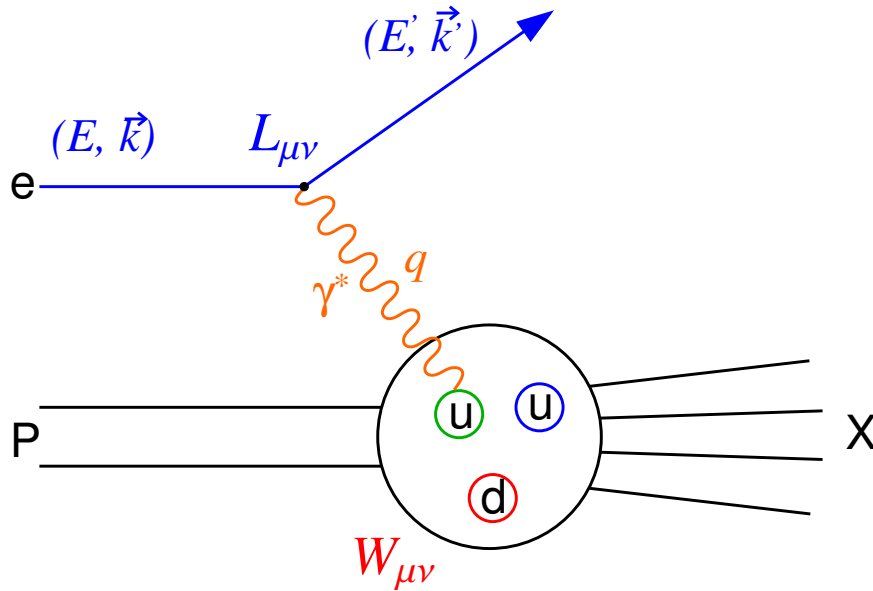
$$\frac{d^2\sigma}{d\Omega dE'} = \frac{\alpha^2}{MQ^4} \frac{E'}{E} L_{\mu\nu} W^{\mu\nu}$$

$L_{\mu\nu}$  leptonic tensor: purely electromagnetic  $\rightarrow$  calculable in QED

$W_{\mu\nu}$  hadronic tensor: parametrisations necessary



# Deep-Inelastic Scattering



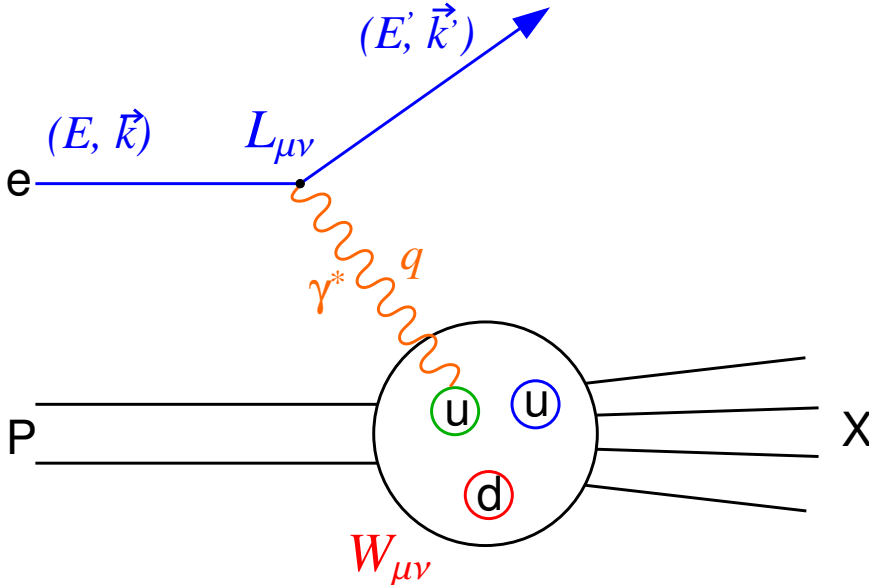
quark-parton model

$$Q^2 > M^2 \approx 1 \text{ GeV}^2$$

→ incoherent lepton scattering  
off a quark inside the nucleon



# Deep-Inelastic Scattering

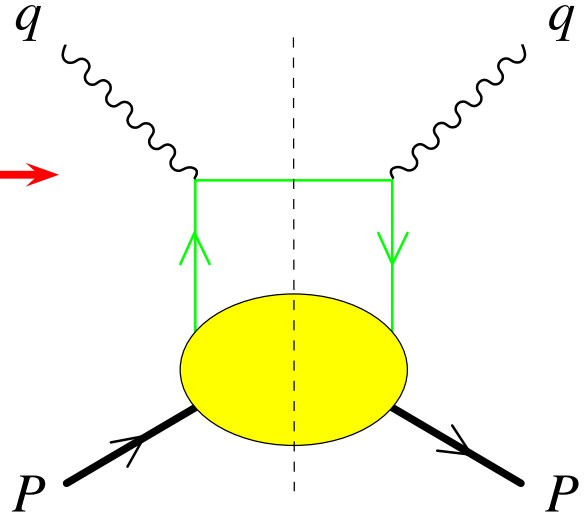


quark-parton model

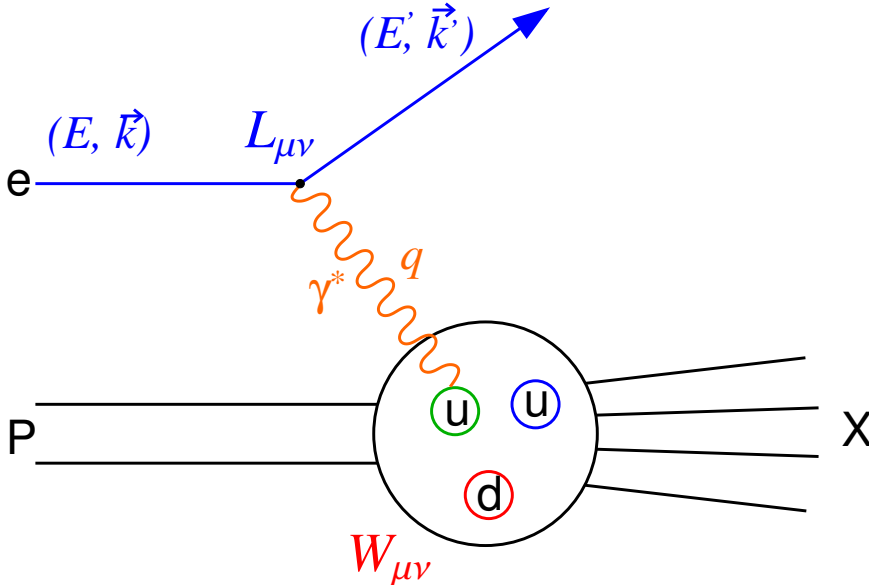
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# Deep-Inelastic Scattering



quark-parton model

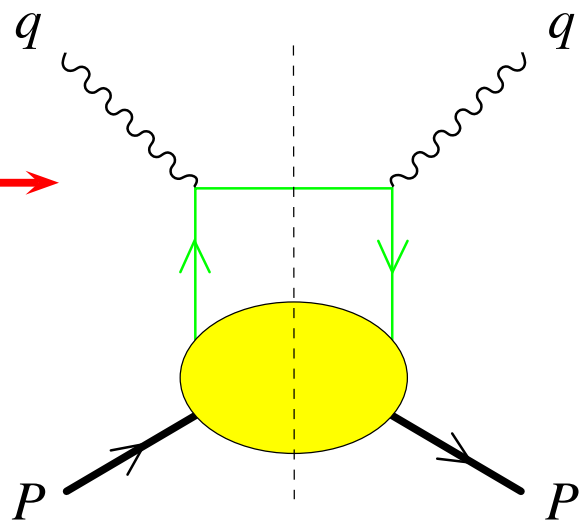
$$Q^2 > M^2 \approx 1 \text{ GeV}^2$$

→ incoherent lepton scattering off a quark inside the nucleon

$W_{\mu\nu}$  is represented by handbag diagram:

$$\sigma^{ep} \sim \sum_q \text{PDF}^q \otimes \sigma^{eq}$$

Parton Distribution Function








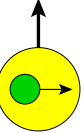
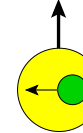
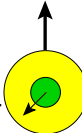

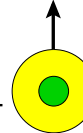
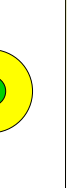
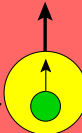



# Leading Twist Distribution Functions

T-even		T-odd	
$\chi$ -even	$\chi$ -odd	$\chi$ -even	$\chi$ -odd
$f_1$			$h_1^\perp$ -
$g_{1L}$ -	$h_{1L}^\perp$ -		
$g_{1T}$ -	$h_{1T}^\perp$ -	$f_{1T}^\perp$ -	additional index $q$ for flavour
	$h_{1T}$ -		



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$\chi$ -even	$\chi$ -odd	$\chi$ -even	$\chi$ -odd
$f_1$ 			$h_1^\perp$  - 
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	$h_{1T}$  - 		

all PDFs depend on  $x$  and initial quark transverse momentum  $\vec{p}_T$

3 PDFs survive integration over  $\vec{p}_T$ :

- 1 unpolarised DF  $f_1^q(x, \vec{p}_T^2) \rightarrow q(x)$  or  $f_1^q(x)$
- 2 helicity DF  $g_{1L}^q(x, \vec{p}_T^2) \rightarrow \Delta q(x)$  or  $g_1^q(x)$
- 3 transversity DF  $h_{1T}^q(x, \vec{p}_T^2) + \frac{\vec{p}_T^2}{2M} h_{1T}^{\perp q}(x, \vec{p}_T^2) \rightarrow \delta q(x)$  or  $h_1^q(x)$



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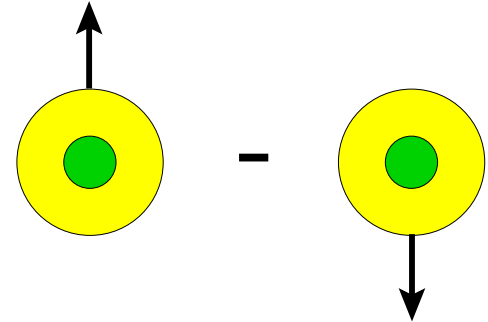
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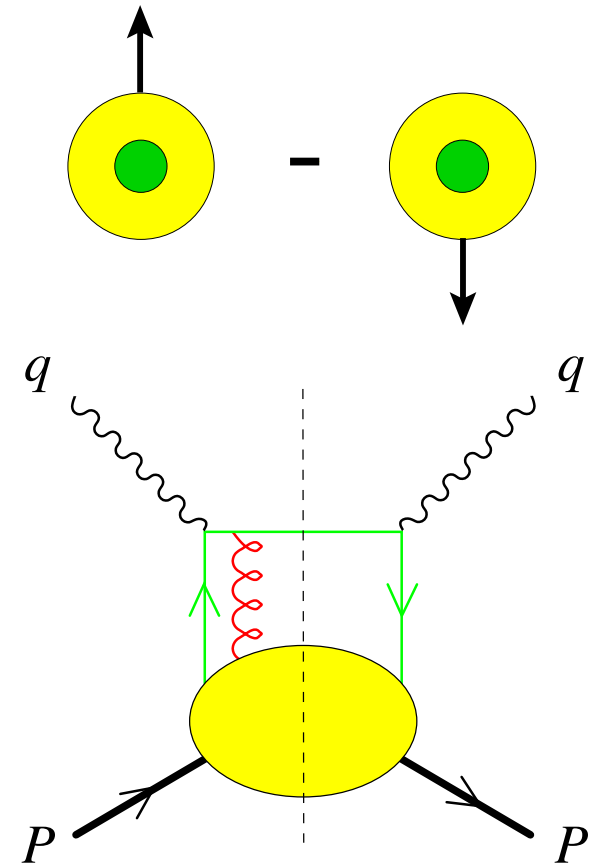
# Sivers Function $f_{1T}^\perp$

- describes correlation between intrinsic transverse quark momentum  $\vec{p}_T$  and transverse nucleon spin
- chiral-even function
- T-odd → forbids its existence?

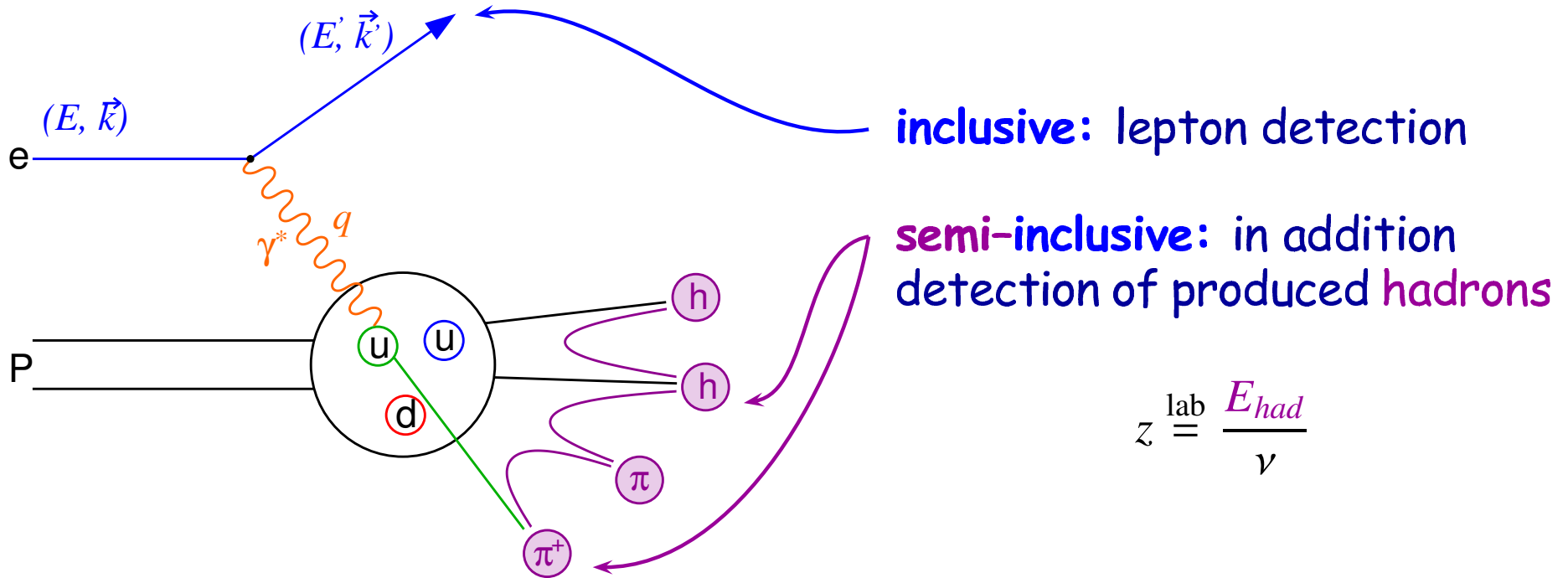


# Sivers Function $f_{1T}^\perp$

- describes correlation between intrinsic transverse quark momentum  $\vec{p}_T$  and transverse nucleon spin
- chiral-even function
- T-odd functions allowed due to **final state interactions (FSI)**: quark rescattering via a soft gluon  
time-reversal invariance condition change  
→ **naïve** T-odd
- non-zero Sivers function requires non-vanishing quark **orbital angular momentum** (contributing to nucleon spin)



# Semi-inclusive DIS



evaluation of the cross section in  $O(1/Q)$  contains quark distribution and **fragmentation** functions

$$\sigma^{ep \rightarrow eh} \sim \sum_q \mathbf{DF}^{p \rightarrow q} \otimes \sigma^{eq \rightarrow eq} \otimes \mathbf{FF}^{q \rightarrow h}$$



# Fragmentation Functions

T-even		T-odd	
$\chi$ -even	$\chi$ -odd	$\chi$ -even	$\chi$ -odd
$D_1$			$H_1^\perp$
$G_{1L}$	$H_{1L}^\perp$		
$G_{1T}$	$H_{1T}^\perp$	$D_{1T}^\perp$	additional index $q \rightarrow h$
	$H_1$		

all **FF** depend on  $z$  and final quark transverse momentum  $\vec{k}_T$

without measurement of polarisation of produced hadrons:

- unpolarised fragmentation function  $D_1(z)$
- Collins fragmentation function  $H_1^\perp(z, \vec{k}_T^2)$



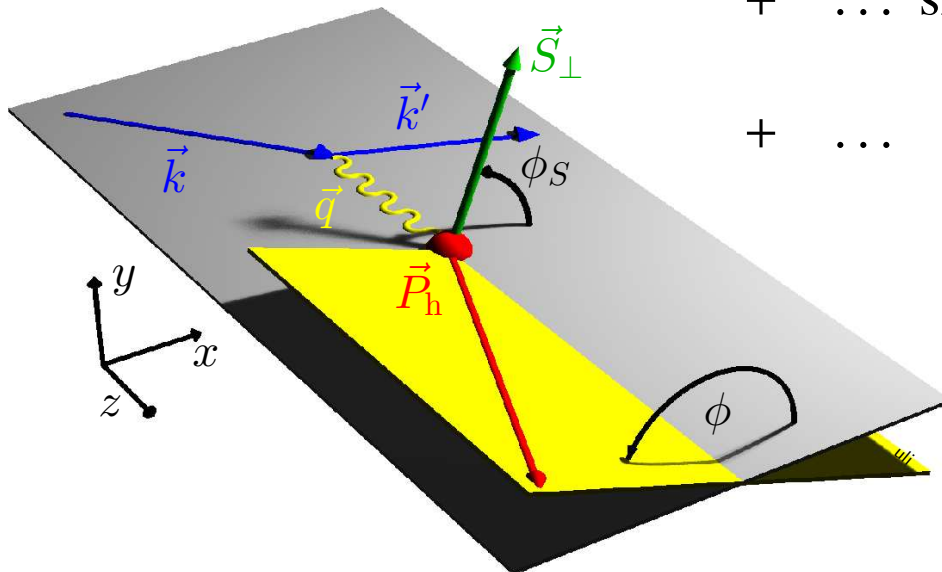
# SIDIS Cross Sections

unpolarised cross section:

$$\frac{d^6\sigma}{dx dz dy d\phi_S d^2P_{h\perp}} \sim \dots \sum_q e_q^2 f_1^q(x) \cdot D_1^q(z)$$

cross section for transverse polarised target:

$$\begin{aligned} \frac{d^6\sigma^{\uparrow} - d^6\sigma^{\downarrow}}{dx dz dy d\phi_S d^2P_{h\perp}} &\sim \dots \sin(\phi - \phi_S) \sum_q e_q^2 \mathcal{I} \left[ \dots f_{1T}^{\perp q}(x, \vec{p}_T^2) \cdot D_1^q(z, \vec{k}_T^2) \right] \\ &+ \dots \sin(\phi + \phi_S) \sum_q e_q^2 \mathcal{I} \left[ \dots h_1^q(x, \vec{p}_T^2) \cdot H_1^{\perp q}(z, \vec{k}_T^2) \right] \\ &+ \dots \end{aligned}$$



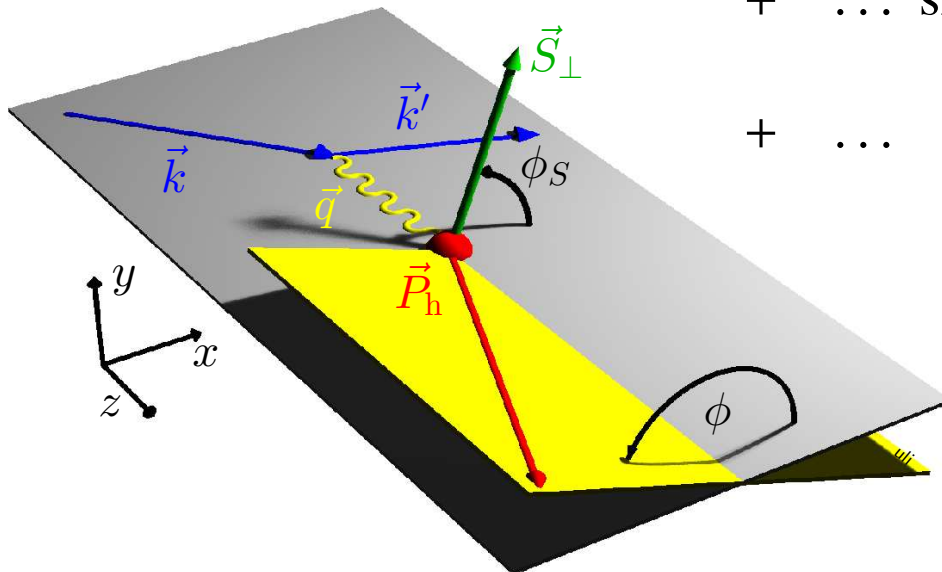
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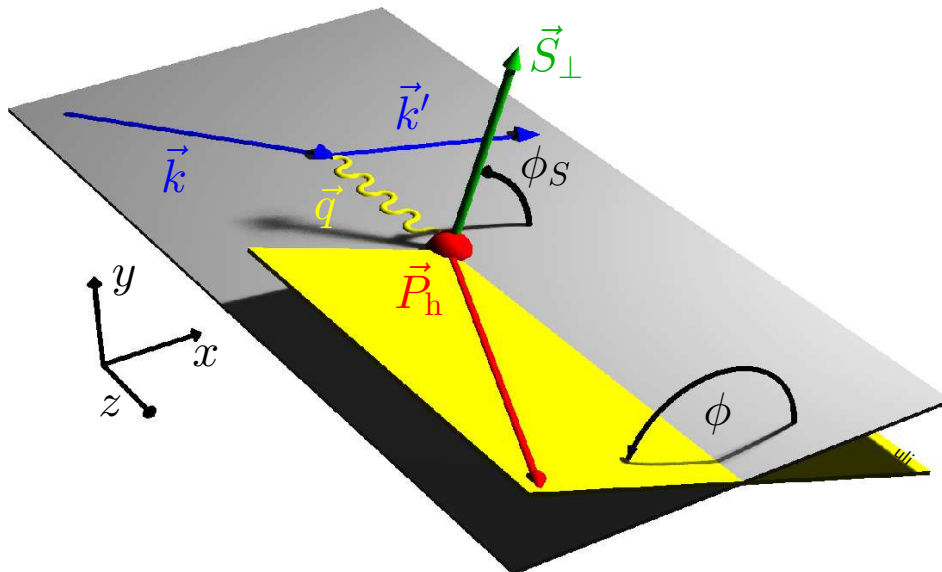


$\mathcal{I}[\dots]$ : convolution integral over quark transverse momenta  $\vec{p}_T$  and  $\vec{k}_T$



# Sivers Effect

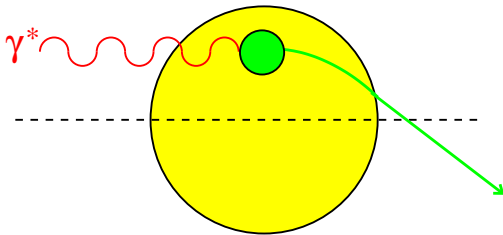
- $\phi - \phi_S$ : angle between production plane and transverse spin component
- $\sin(\phi - \phi_S)$ : left-right asymmetry in number of produced hadrons
- existence of  $f_{1T}^\perp$  proposed by Sivers in 1990 to explain single-spin asymmetries observed in proton-proton scattering



# Sivers Effect

- attractive **FSI** deflects quark towards centre of momentum  
→ left-right distribution asymmetry is converted  
in left-right momentum asymmetry

lensing effect

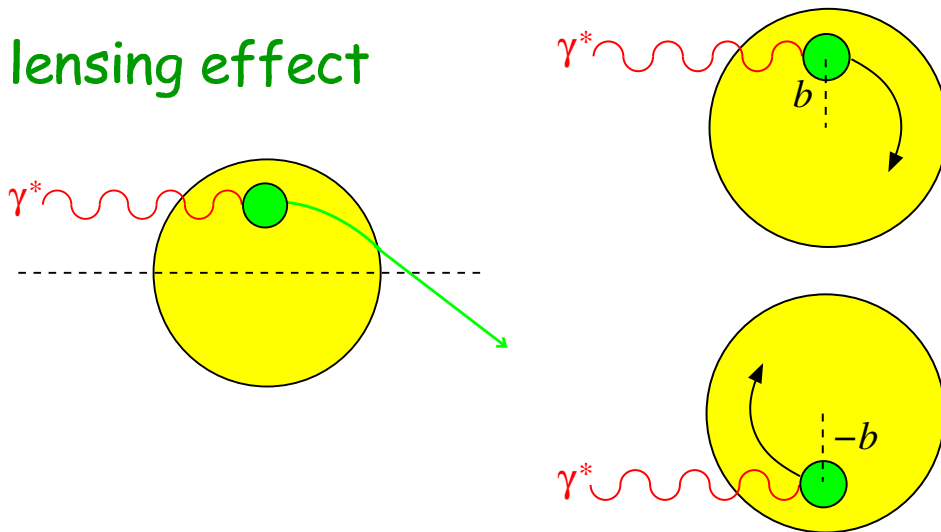




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- attractive **FSI** deflects quark towards centre of momentum  
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- impact parameter formalism [M. Burkardt, hep-ph/0309269]
  - orbital angular momentum of quarks  
→ virtual photon sees different  $x$  for different  $b$

lensing effect

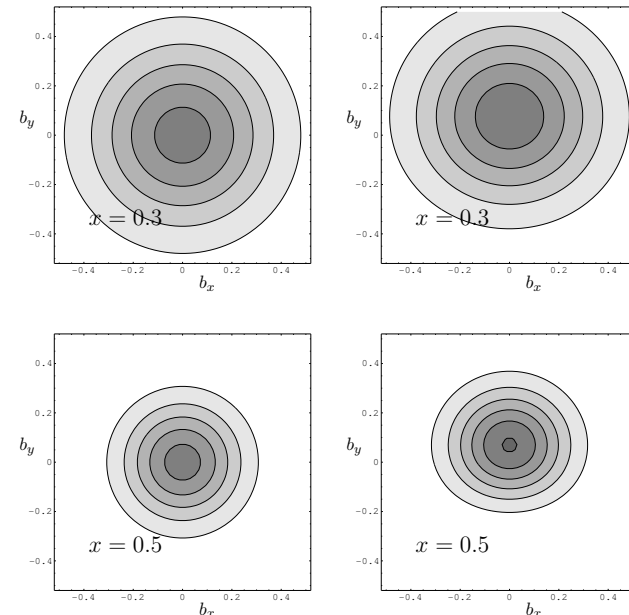
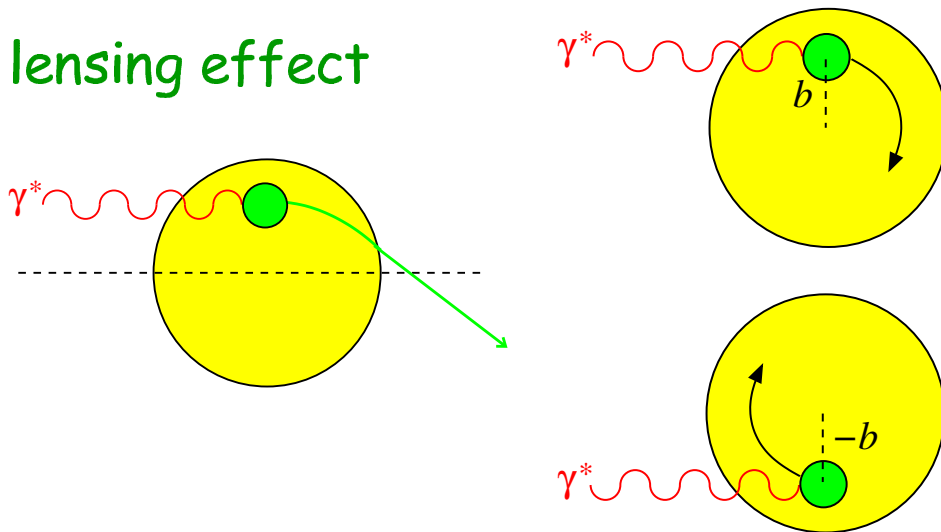


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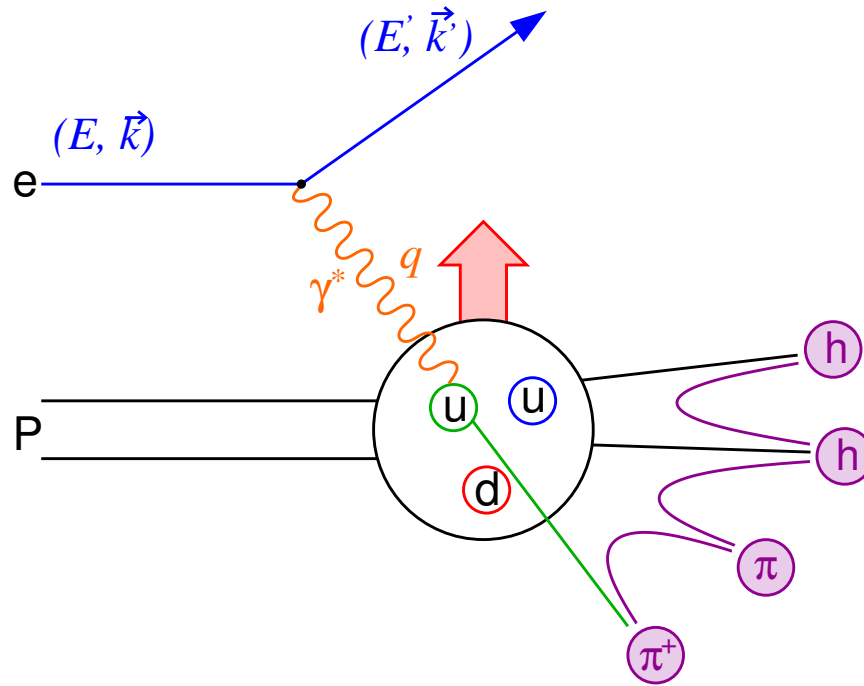
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  - orbital angular momentum of quarks  
 → virtual photon sees different  $x$  for different  $b$
  - quark distributions depend on impact parameter  $b$

$$u(x, b)$$

lensing effect



# The HERMES Experiment at HERA



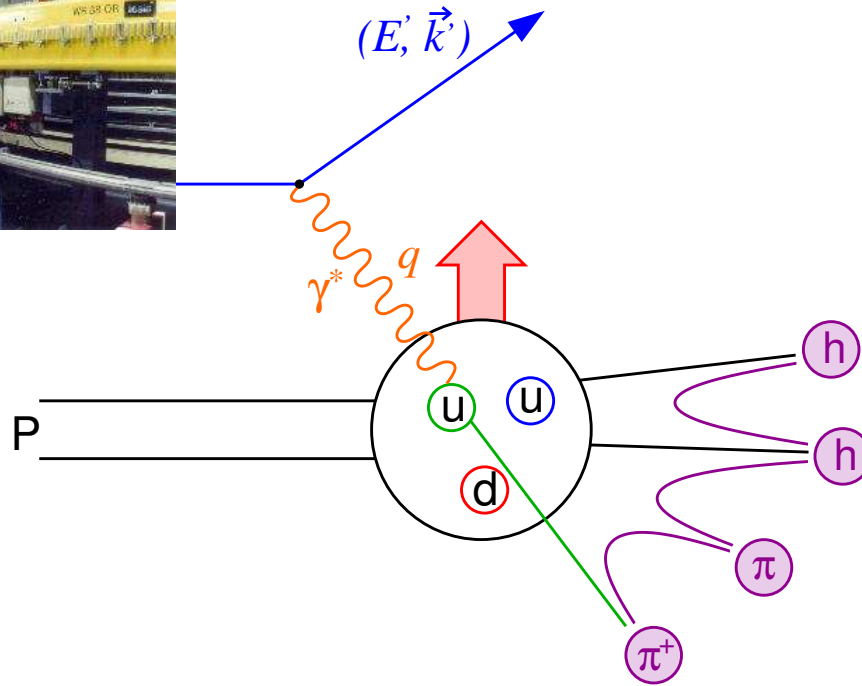
# The HERMES Experiment at HERA

HERA positron beam 27.5 GeV



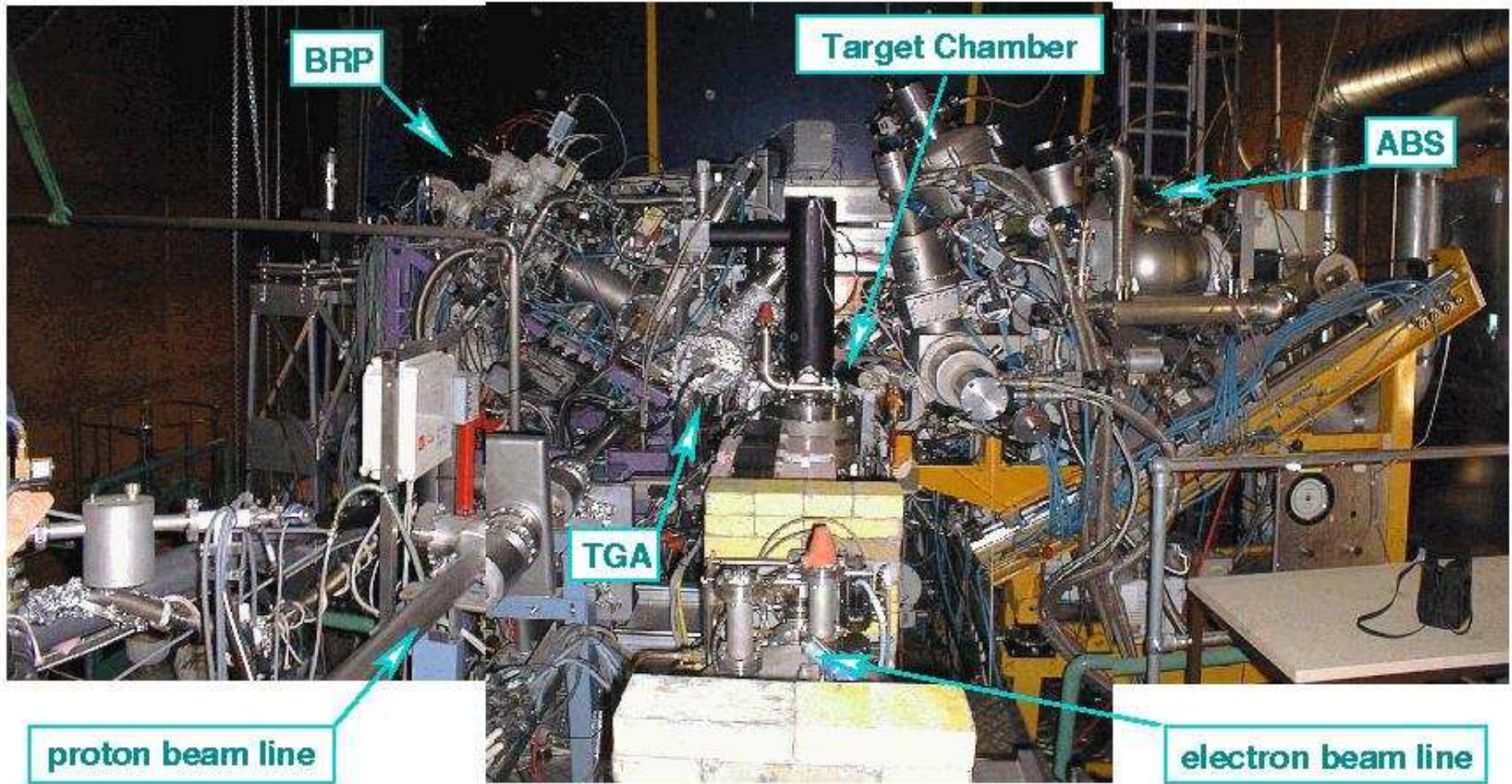
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# The HERMES Experiment at HERA

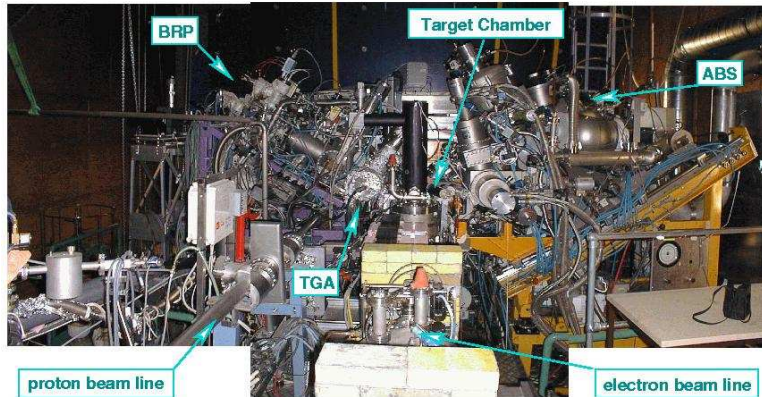
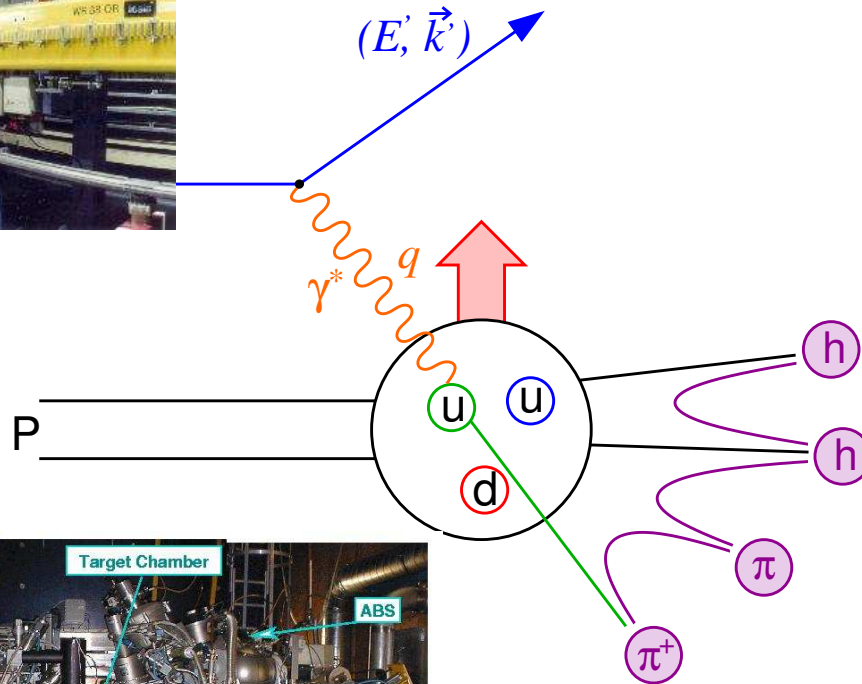


transversely polarised atomic Hydrogen  $\langle P \rangle \approx 80 \%$



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HERA positron beam 27.5 GeV



since 2002

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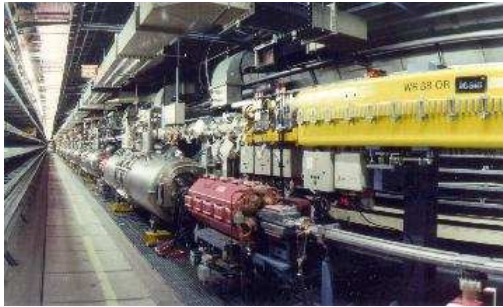
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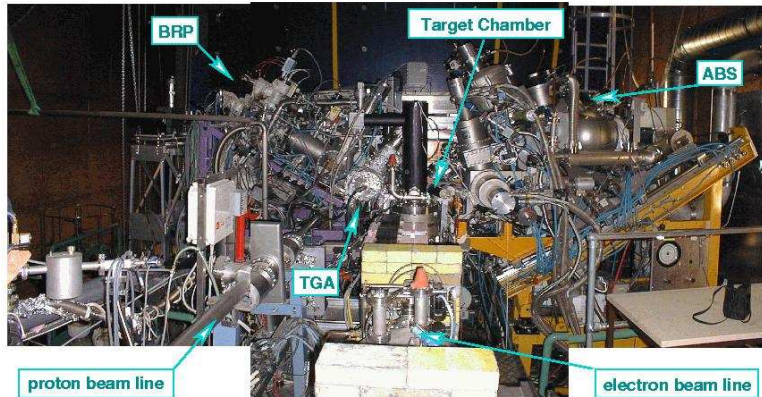
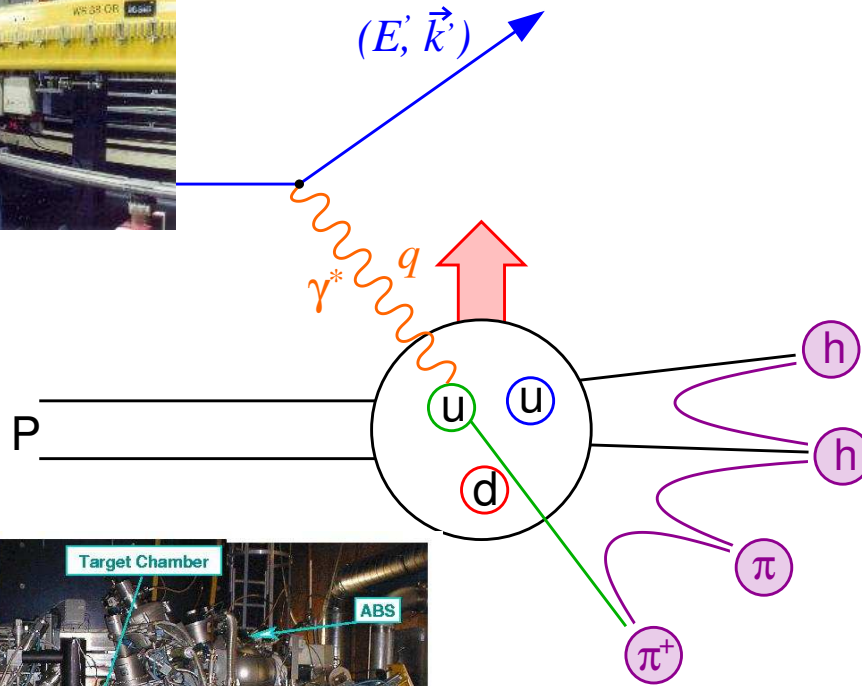


# The HERMES Experiment at HERA

HERA positron beam 27.5 GeV



HERMES spectrometer



since 2002

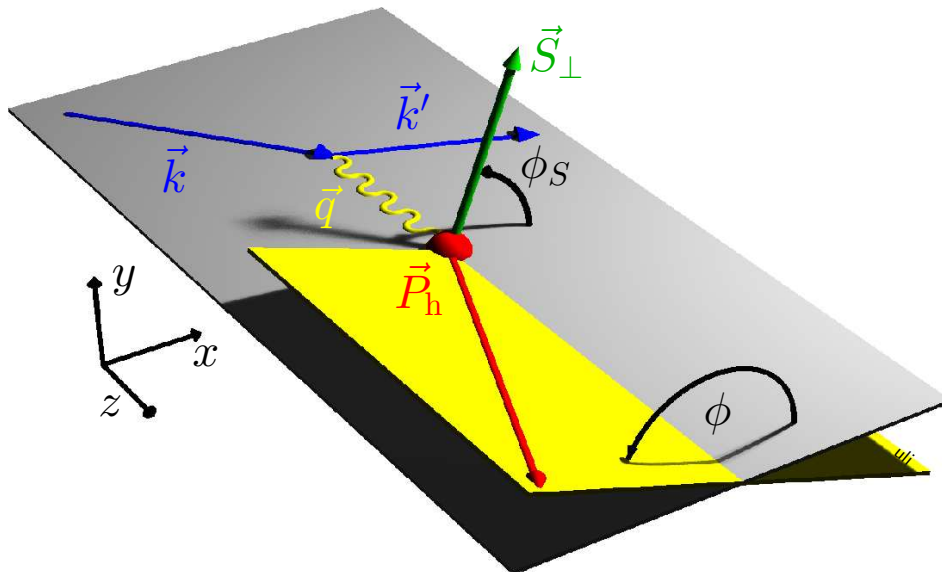
transversely polarised atomic Hydrogen  $\langle P \rangle \approx 80\%$



# Azimuthal Asymmetries

Measurement of cross section asymmetries depending on the azimuthal angles  $\phi$  and  $\phi_S$

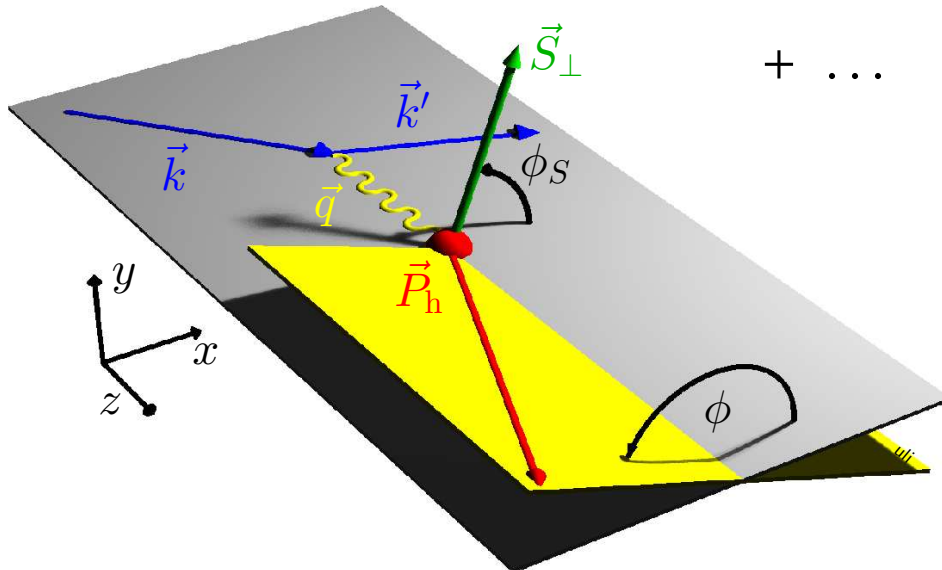
$$A_{\text{UT}}(\phi, \phi_S) = \frac{1}{S_{\perp}} \frac{N^{\uparrow}(\phi, \phi_S) - N^{\downarrow}(\phi, \phi_S)}{N^{\uparrow}(\phi, \phi_S) + N^{\downarrow}(\phi, \phi_S)}$$



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$$\begin{aligned}
 A_{\text{UT}}(\phi, \phi_S) &= \frac{1}{S_{\perp}} \frac{N^{\uparrow}(\phi, \phi_S) - N^{\downarrow}(\phi, \phi_S)}{N^{\uparrow}(\phi, \phi_S) + N^{\downarrow}(\phi, \phi_S)} \\
 &\sim \dots \sin(\phi - \phi_S) \frac{\sum_q e_q^2 \mathcal{I} \left[ \dots f_{1T}^{\perp q}(x, \vec{p}_T^2) \cdot D_1^q(z, \vec{k}_T^2) \right]}{\sum_q e_q^2 f_1^q(x) \cdot D_1^q(z)} \\
 &+ \dots \sin(\phi + \phi_S) \frac{\sum_q e_q^2 \mathcal{I} \left[ \dots h_1^q(x, \vec{p}_T^2) \cdot H_1^{\perp q}(z, \vec{k}_T^2) \right]}{\sum_q e_q^2 f_1^q(x) \cdot D_1^q(z)} \\
 &+ \dots
 \end{aligned}$$



# How to Disentangle . . .

...distribution and **fragmentation** functions?

Assume a Gaussian distribution for  $\vec{p}_T$  and  $\vec{k}_T$  dependence:

$$A_{\text{UT}}(\phi, \phi_S) \sim \dots \sin(\phi - \phi_S) \sum_q e_q^2 \cdot f_{1T}^{\perp(1/2)q}(x) \cdot D_1^q(z) \\ + \dots \sin(\phi + \phi_S) \sum_q e_q^2 \cdot h_1^q(x) \cdot H_1^{\perp(1/2)q}(z)$$

(1/2):  $\vec{p}_T^2, \vec{k}_T^2$  moment of  
distribution / fragmentation function



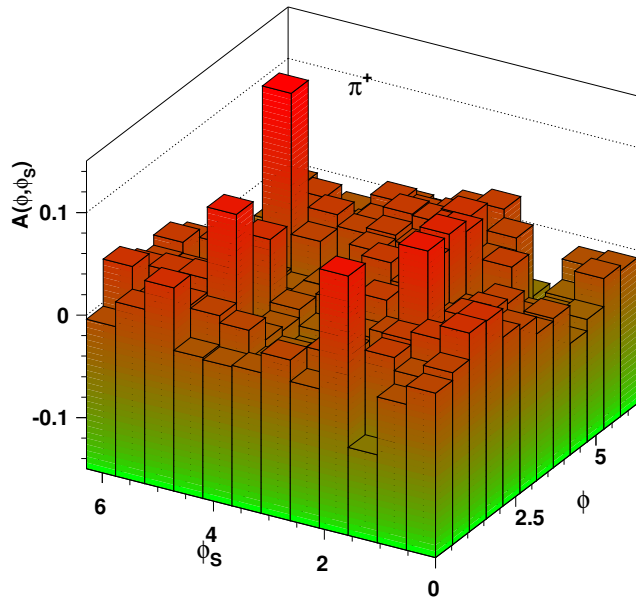
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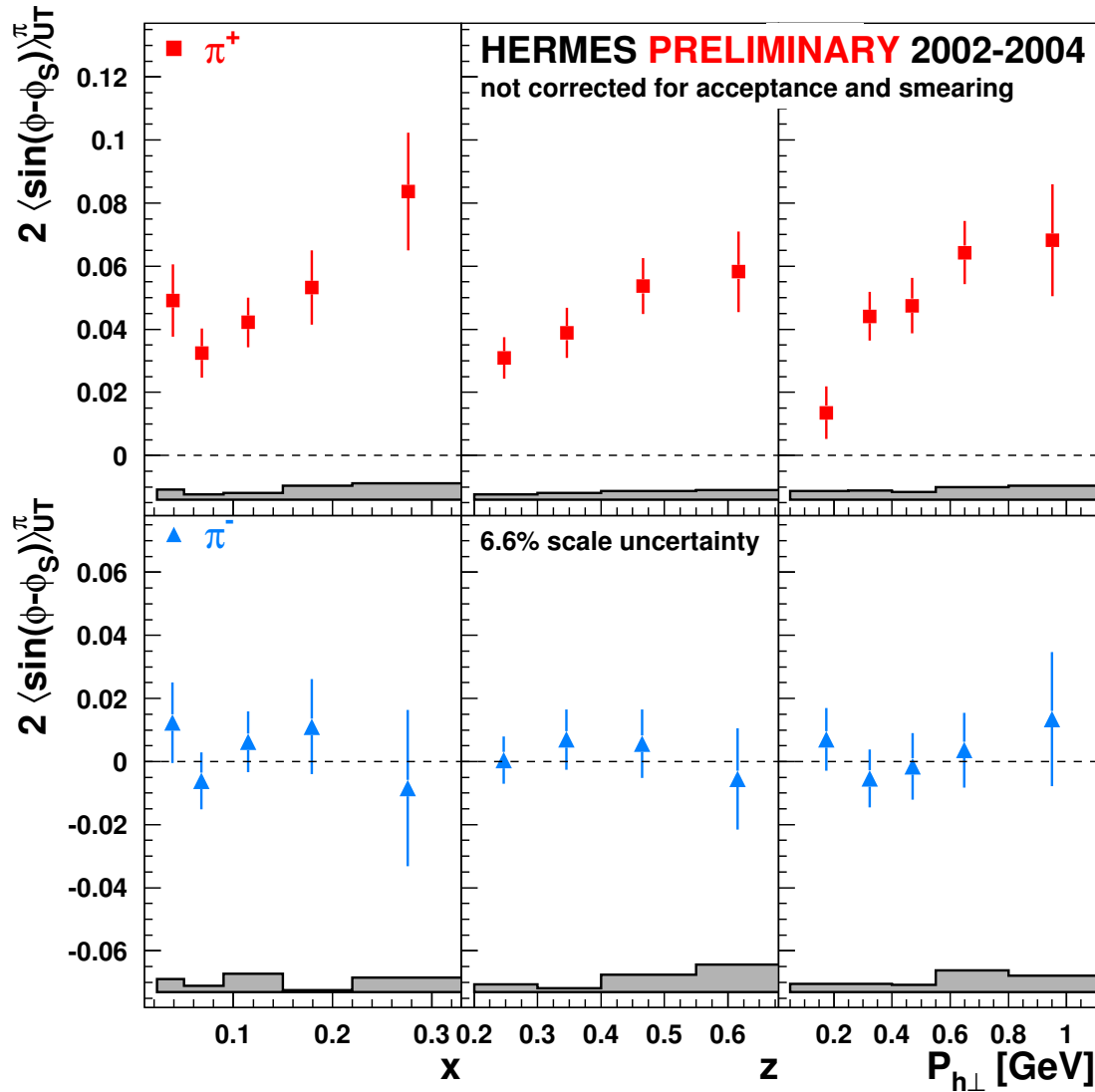
asymmetry amplitudes  $A_{\text{UT}}^{\sin(\phi - \phi_S)}$  and  $A_{\text{UT}}^{\sin(\phi + \phi_S)}$



bin  $A_{\text{UT}}(\phi, \phi_S)$  in  $12 \times 12$  bins,  
perform two dimensional fit



# Results for the Sivers Amplitudes



■ significantly positive for  $\pi^+$   
→ first hint of naive T-odd DF from DIS

▲  $\pi^-$  asymmetry consistent with zero



# Monte Carlo Generator . . .

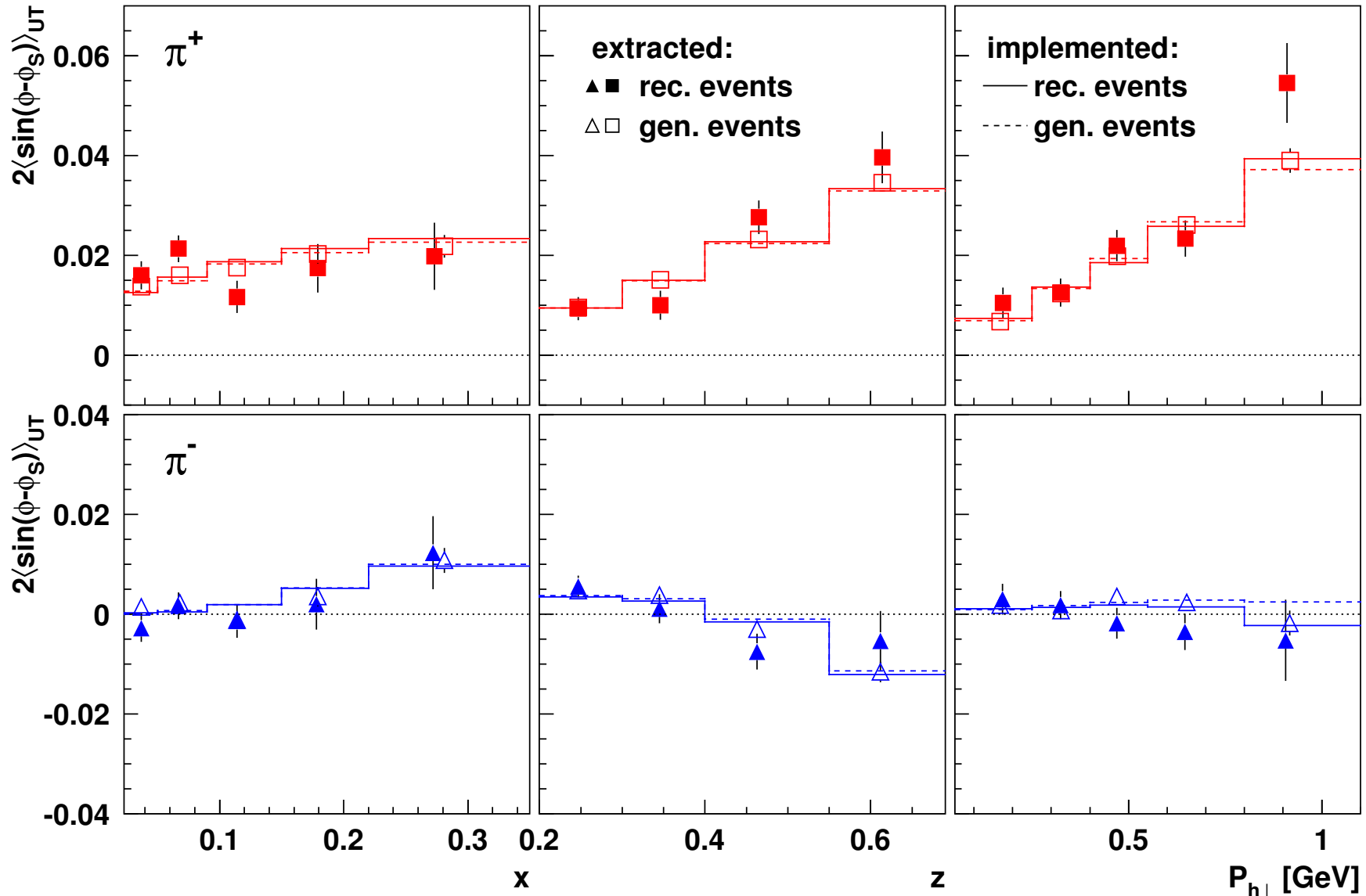
## . . . for Transverse Asymmetries

- use Gaussian distributions for transverse momenta
  - generate events according to polarised cross section
  - ansatz for Sivers function used:  $f_{1T}^{\perp q} \sim f_1^q$
- Sivers amplitude analytically calculable for kinematics of each event

implemented amplitudes can be compared to extracted amplitudes



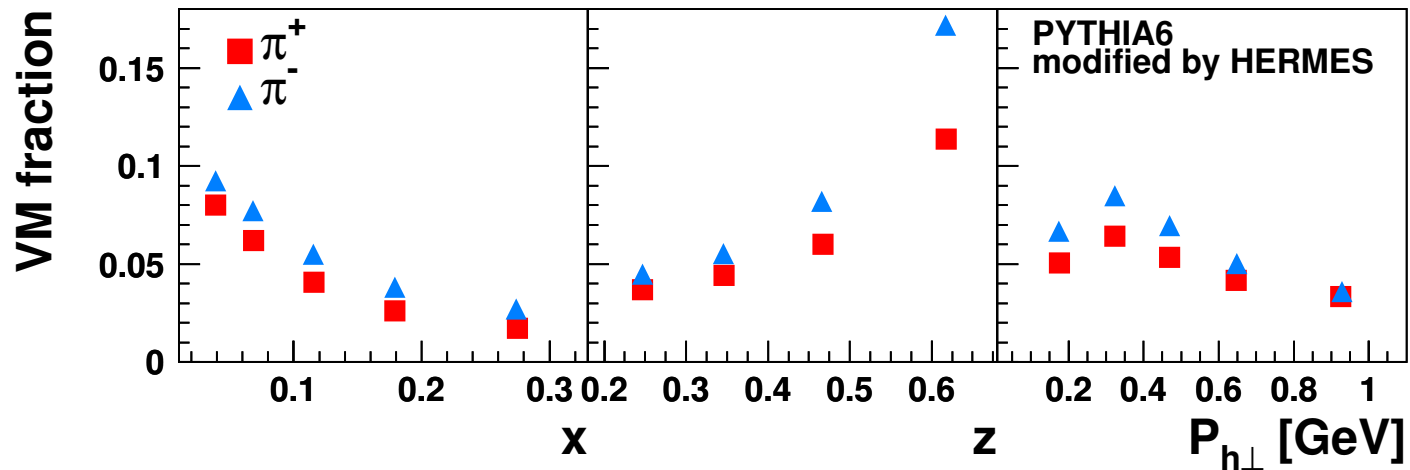
# Monte Carlo Results





# Vector Meson Contribution

Contribution to pion sample exist from exclusively produced vector mesons (mainly  $\rho^0$ ).



- Has contribution to be treated as background?
  - not present in string fragmentation models
  - contributing diagrams in Feynman-diagram based models
- What happens if contribution of one type of diagrams dominates?

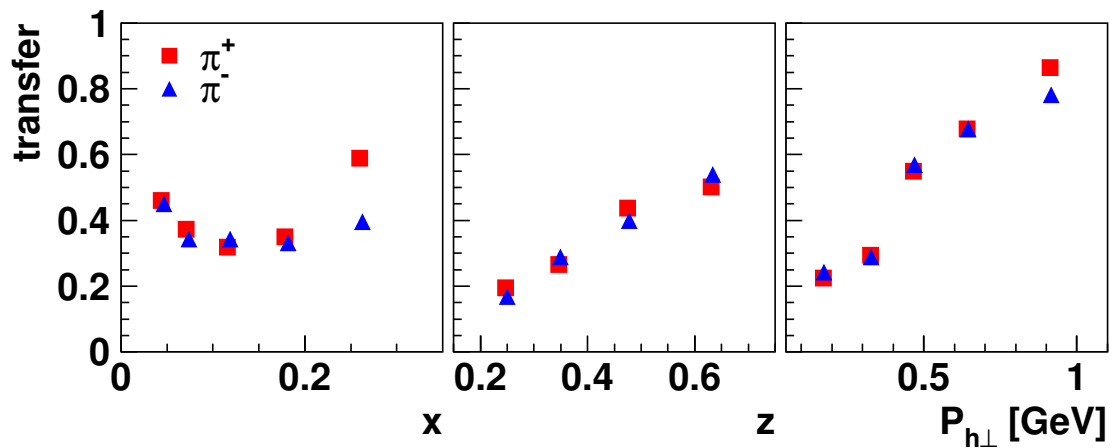


# Vector Meson Contribution

Two sources for Sivers amplitude in decay pion sample:

**1** transferred amplitude from vector meson:

$$A_{\text{VM} \rightarrow \pi} = T \cdot A_{\text{VM}}$$



transfer coefficients can be determined with PYTHIA6

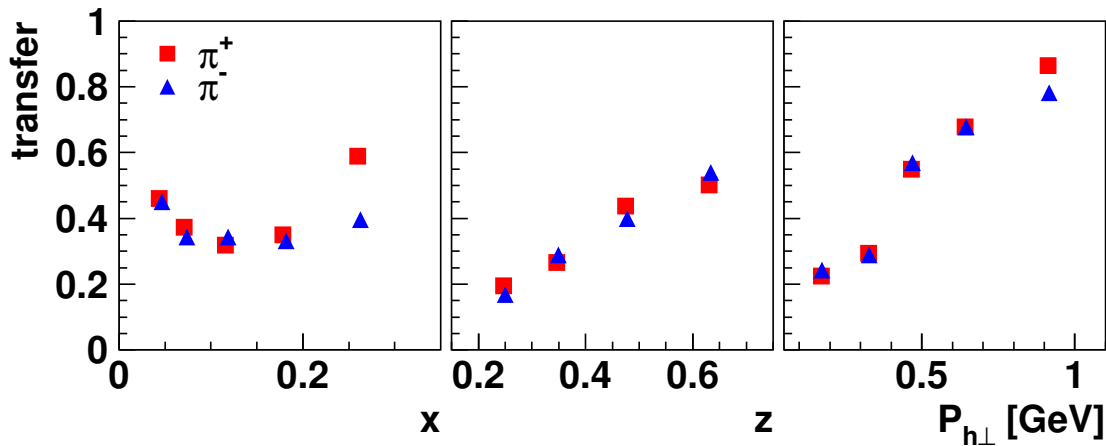


# Vector Meson Contribution

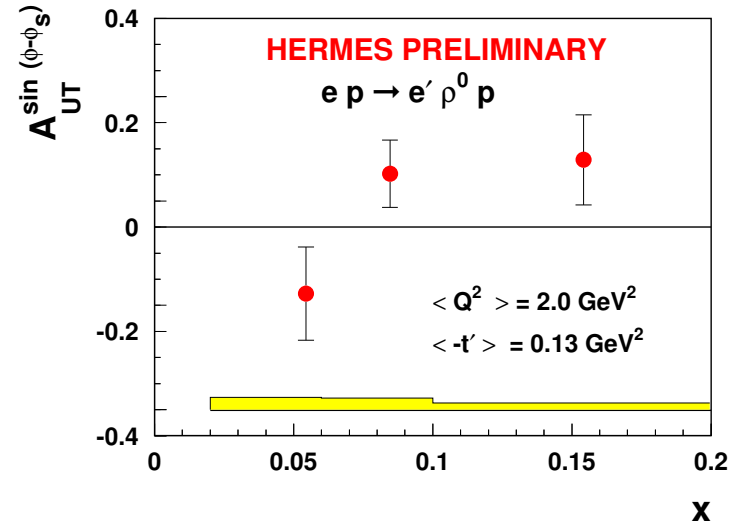
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$$A_{\text{VM} \rightarrow \pi} = T \cdot A_{\text{VM}}$$



transfer coefficients can be determined with PYTHIA6



first measurement  
of  $A_{\text{UT}}^{\sin(\phi-\phi_S)}$   
for exclusive  $\rho^0$ !



# Vector Meson Contribution

- vector meson fraction determined with PYTHIA6 below 10 % in almost all bins
- transfer coefficients  $T \sim 0.2 - 0.8$
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## 2 amplitude acquired in decay process

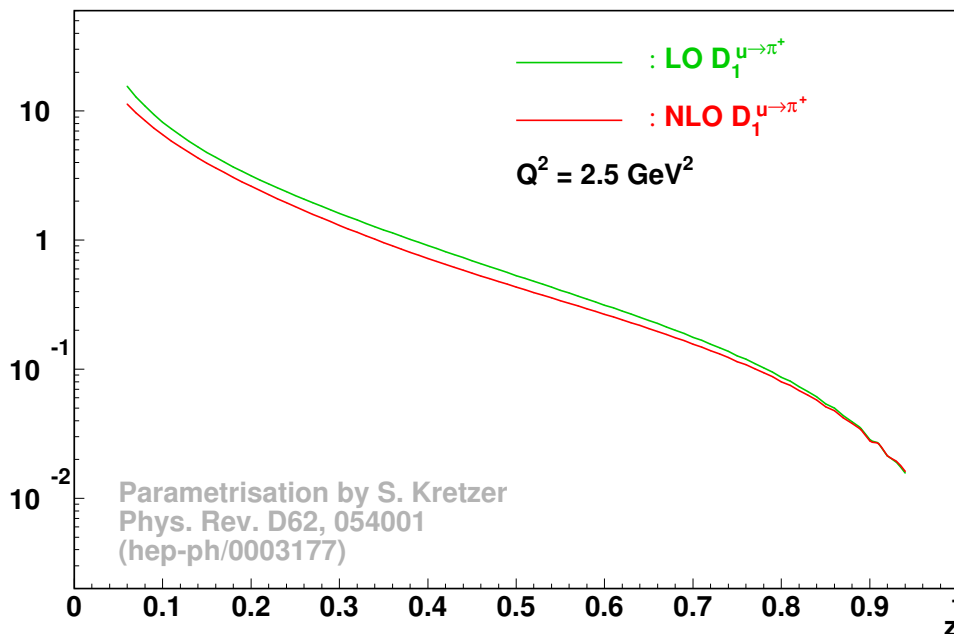
- no information from experiments available
- only one theoretical publication from 1974 on the market



# Extraction of the Sivers Functions

$$\sum_q f_{1T}^{\perp(1/2)q}(x) \cdot D_1^q(z)$$

- measure  $A_{UT}^{\sin(\phi-\phi_S)}$  in many  $(x, z)$  bins  $\rightarrow$  large statistics necessary
- information about unpolarised **fragmentation function**:  $D_1^{q \rightarrow h}(z)$  for some hadrons  $h$  sufficiently known



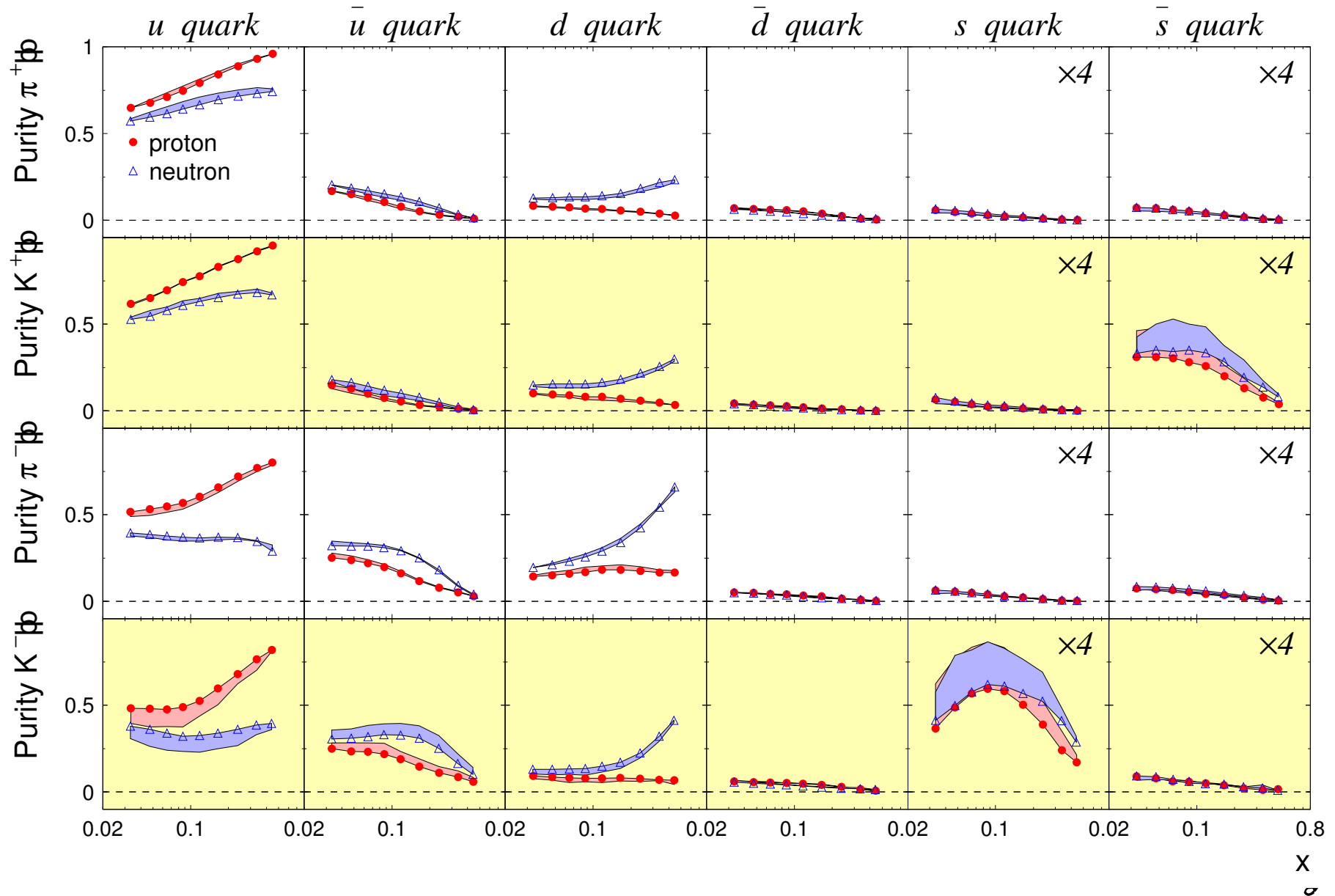
# Purity Formalism

$$A_{\text{UT}}^{\sin(\phi-\phi_S)} \sim \frac{\sum_q f_{1T}^{\perp(1/2)q}(x) \cdot D_1^q(z)}{\sum_q f_1^q(x) \cdot D_1^q(z)} = \sum_q \underbrace{\frac{f_1^q(x) \cdot D_1^q(z)}{\sum_{q'} f_1^{q'}(x) \cdot D_1^{q'}(z)}}_{\mathcal{P}_q^h(x, z)} \cdot \frac{f_{1T}^{\perp(1/2)q}(x)}{f_1^q(x)}$$

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- formalism already used for extraction of helicity DF  
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- formalism already used for extraction of helicity DF  
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- **Sivers function extraction possible**  
test of **universality violation**:  
final state interactions cause opposite sign in Drell-Yan



# Summary and Outlook

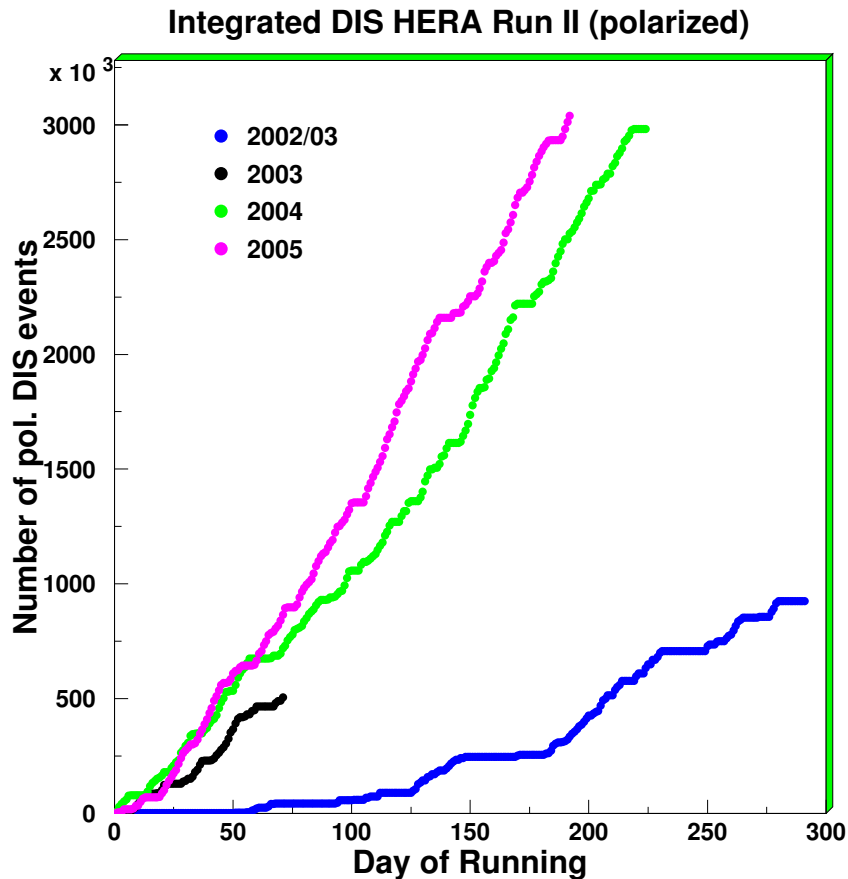


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- Non-zero Sivers function requires quark orbital angular momentum.
- First hint of naïve T-odd function in DIS.



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- Steep rise of number of DIS events in 2005, HERMES continues data taking till November.
- Ongoing work on extraction of Sivers function.
- Better statistics for measurement of  $\rho^0$  amplitudes.

