

# QCD at “Low” $Q^2$

## *Exploring Structures in Non-perturbative QCD at (Relatively) Hard Scales*

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ICHEP 2002, Amsterdam, The Netherlands, July 24 - 31, 2002

- 1 Proton Structure**  
spin-dependent parton distribution  
functions of the proton
- 2 Diffraction**  
“soft” processes at the highest  
energies
- 3 Generalized Parton Distributions**  
mapping out the proton  
wavefunction
- 4 Hadron Formation**  
spin and scale dependence of the  
fragmentation process

# Deep-Inelastic Scattering

$Q^2 = -\text{mass}^2$  of virtual photon  $> 1 \text{ GeV}^2$

$W^2 = \text{mass}^2$  of  $\gamma^*p$  system  $> 4 \text{ GeV}^2$

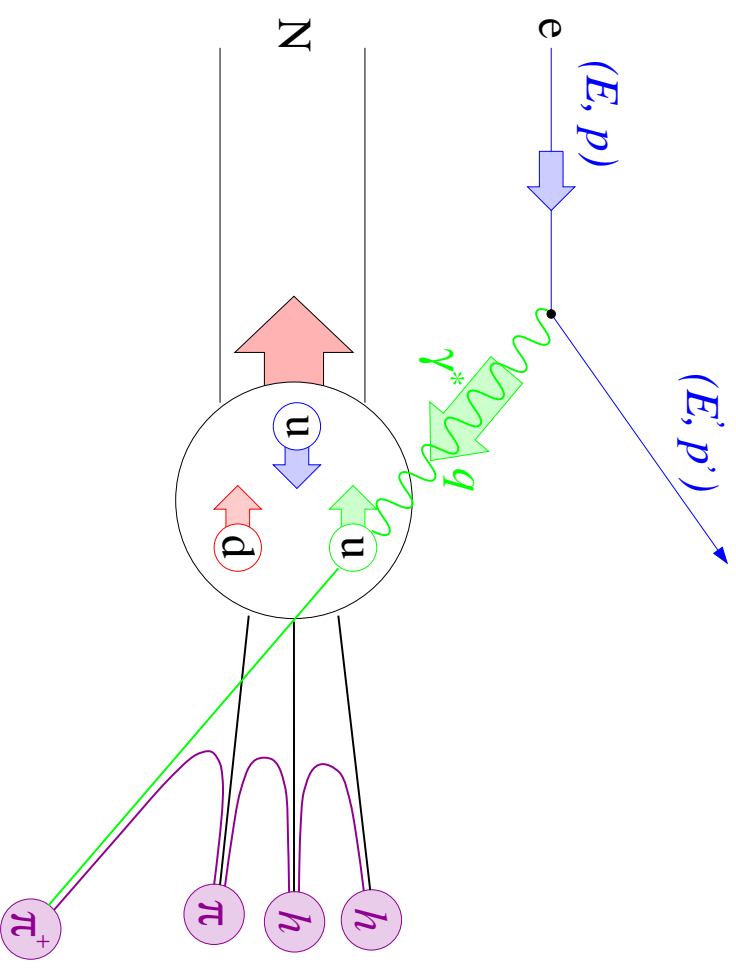
study partonic substructure of

- **proton target**

⇒ parton distribution functions  $q(x, Q^2)$

- **hadron formation**

⇒ fragmentation functions  $D(z, Q^2)$



beam

target

$\langle Q^2 \rangle$

## current experiments

<b>H1,ZEUS</b>	27.6 GeV $e^\pm$	900 GeV $p$	$\gg 10 \text{ GeV}^2$
<b>HERMES</b>	27.6 GeV $e^\pm$ , polarized	fixed $p,d$ , polarized	2.5 GeV <sup>2</sup>
<b>CLAS</b>	4 – 6 GeV $e^-$ , polarized	fixed $p,d$ , polarized	1.3 GeV <sup>2</sup>

## future experiments

<b>COMPASS</b>	100 – 200 GeV $\mu$ , polarized	fixed $p,d$ , polarized	10 GeV <sup>2</sup>
<b>STAR, PHENIX</b>	250 GeV $p$ , polarized	250 GeV $p$ , polarized	$M_W^2$

# Flavor Structure of the Proton

## ● Constituent Quark Model

Pure valence description: proton =  $2u + d$

## ● Perturbative Sea

Sea quark pairs from

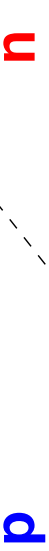
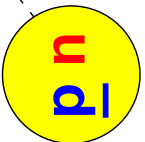
$g \rightarrow q\bar{q}$  should be flavor symmetric:

$$\bar{u} = \bar{d}$$

**Non-perturbative models** : alternate deg's of freedom

## Meson Cloud Models

$\pi^+$  meson



"valence"

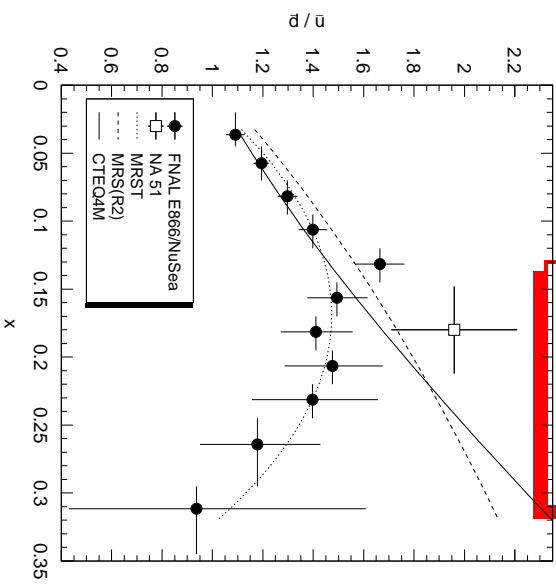
"sea"

Quark sea from cloud of  $0^-$  mesons:

$$\bar{d} > \bar{u}$$

E866:

$$\bar{d}/\bar{u} > 1$$

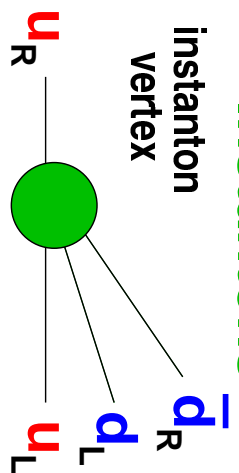


## Chiral-Quark Soliton Model

- quark degrees of freedom in a pion mean-field
- nucleon = chiral soliton
- one parameter: dynamically-generated quark mass
- expand in  $1/N_c$ :

$$\bar{d} > \bar{u}$$

## Instantons



'tHooft instanton vertex  
 $\sim \bar{u}_R u_L \bar{d}_R d_L$

$\Rightarrow$

$$\bar{d} > \bar{u}$$

# Spin Structure of the Proton

$$\frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G + L_q + L_g$$

“You think you understand something?”

Now add spin ...” – R. Jaffe

## Parton Distribution Functions

unpolarized:  $q(x) = q^\uparrow(x) + q^\downarrow(x)$

polarized:  $\Delta q(x) = q^\uparrow(x) - q^\downarrow(x)$

## Constituent Quark Model

$\Delta u = +4/3$ ,  $\Delta d = -1/3 \rightarrow \Delta\Sigma = 1$

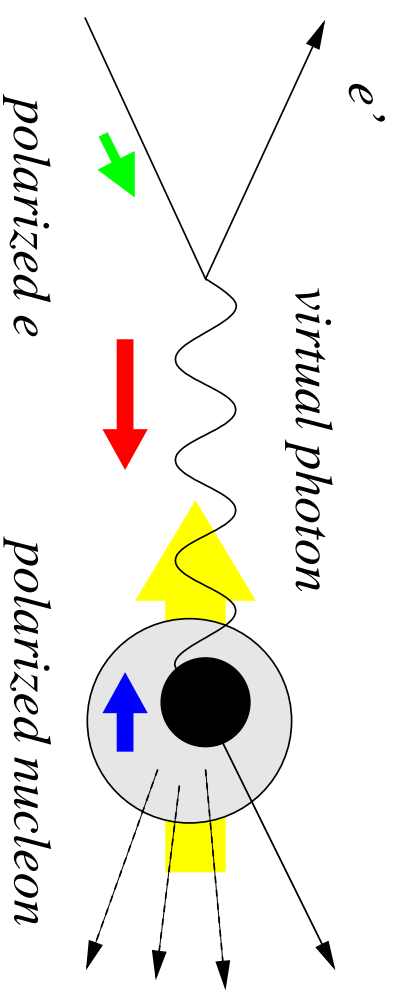
## Relativistic Quark Model

orbital angular momentum is important

$$\Delta\Sigma \simeq 0.60 - 0.75$$

$$L_q = \frac{1}{2}(1 - \Delta\Sigma)$$

## Polarized Deep-Inelastic Scattering



## From NLO-QCD analysis of inclusive

DIS measurements ... SMC, PRD 58 (1998) 112002

- $\Delta\Sigma = 0.38$  (in AB scheme)

- $\Delta G = 1.0_{-0.6}^{+1.9}$  (in AB scheme)

→ barely constrained, positive value favored

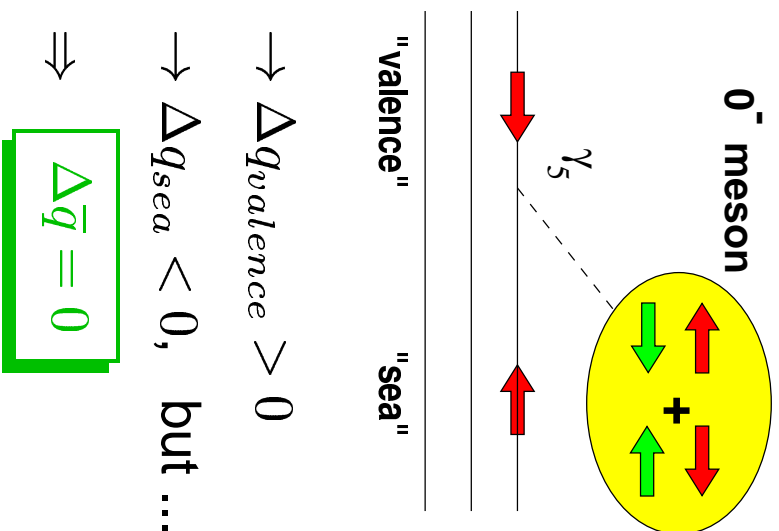
- $\Delta s = -0.02$  to  $-0.15$  (model dependent)

→ slight negative sea-quark polarization?

# Anti-quark Spin in the Proton

## Meson Cloud Models

Li, Cheng, hep-ph/9709293



$$\rightarrow \Delta q_{valence} > 0$$

$$\rightarrow \Delta q_{sea} < 0, \text{ but ...}$$

$$\Rightarrow \Delta \bar{q} = 0$$

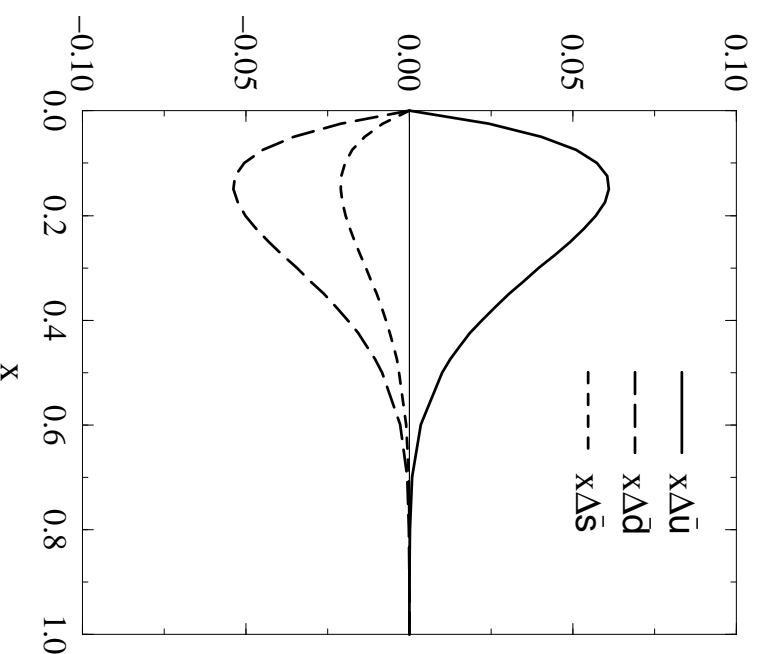
"Higher-order" cloud of vector mesons can generate a small polarization.

## Chiral-Quark Soliton Model

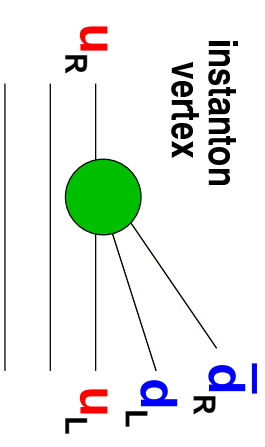
Goetze et al, hep-ph/0003324

Light sea quarks polarized:

$$\Delta \bar{u} \approx -\Delta \bar{d} > 0$$



## Instanton Mechanism



'tHooft instanton vertex  
 $\sim \bar{u}_R u_L \bar{d}_R d_L$  transfers  
 helicity from valence  $u$   
 quarks to  $d\bar{d}$  pairs

$$\Delta \bar{d} > 0, \Delta \bar{u} < 0 ?$$

No such calculation yet  
 performed ...

# Quark Polarization from Semi-Inclusive DIS

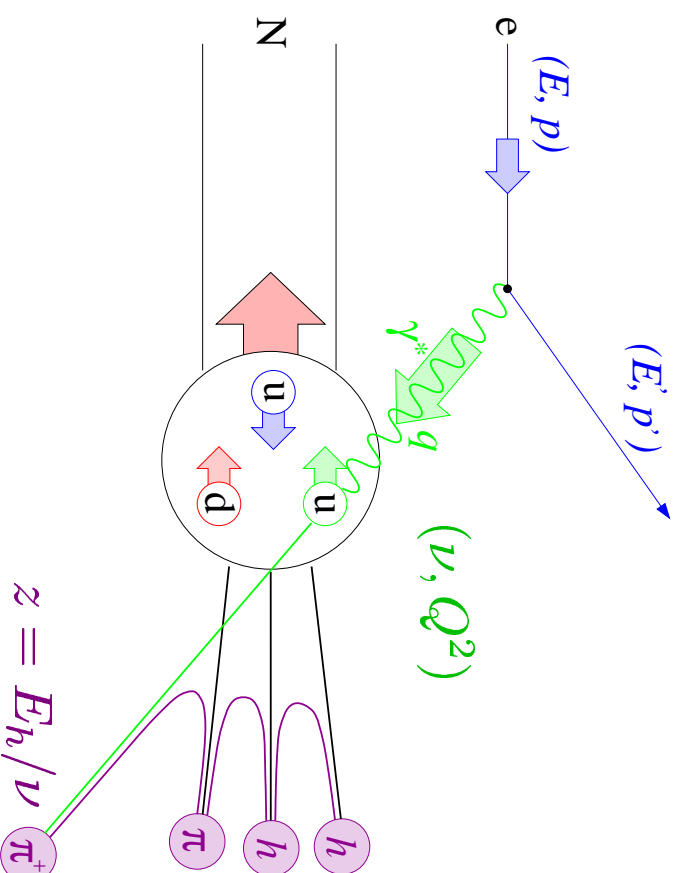
In semi-inclusive DIS a hadron  $h$  is detected in coincidence with the scattered lepton

**Goal: Flavor Separation** of quark and antiquark helicity distributions

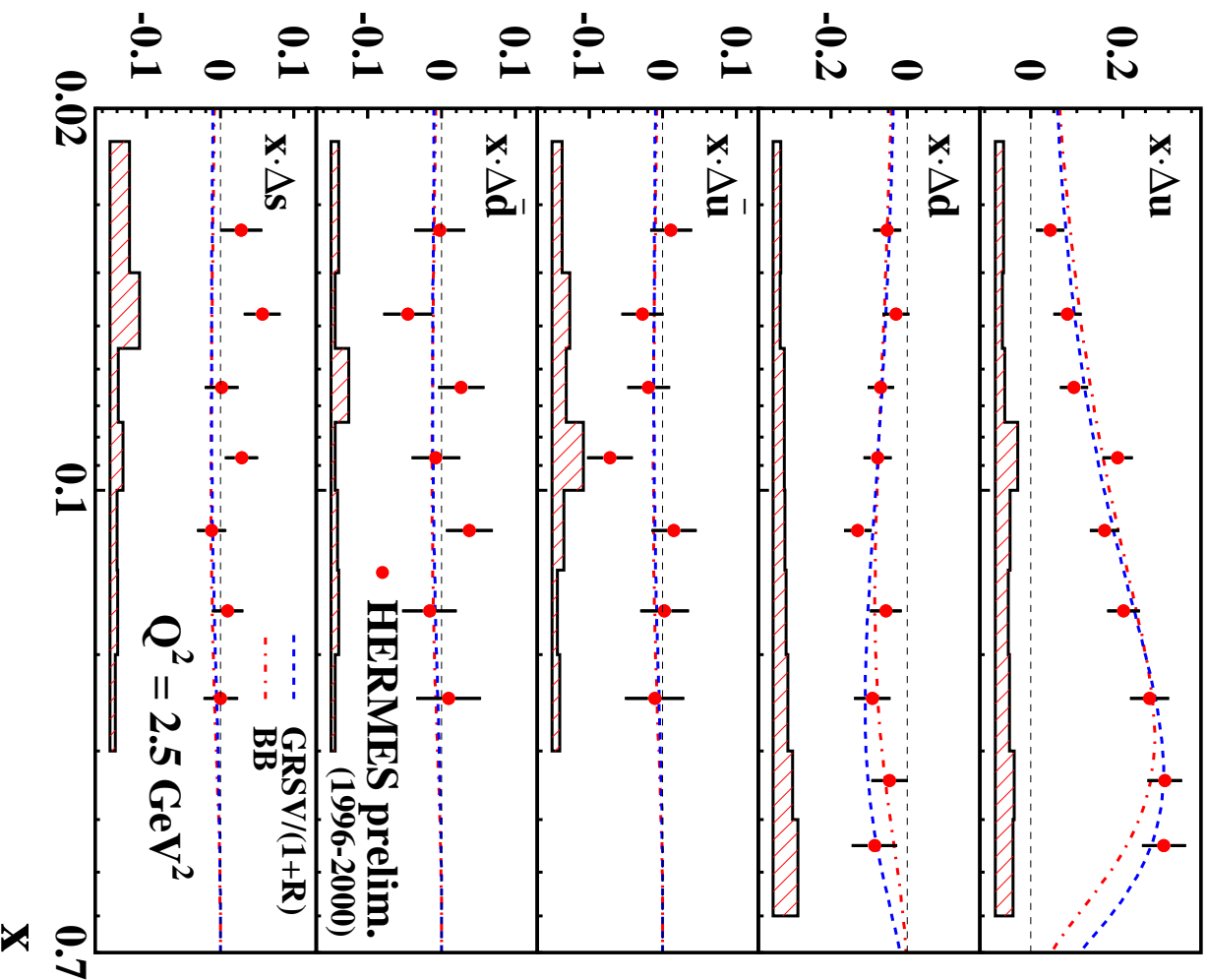
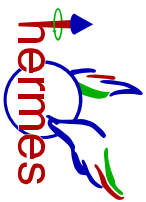
**Technique: Flavour Tagging**

The flavour content of the final state hadrons is related to the flavour of the struck quark through the agency of the **fragmentation functions**  $D_q^h(z, Q^2)$ . In LO QCD:

$$\frac{d\sigma_h^{\uparrow\downarrow}}{dz} - \frac{d\sigma_h^{\uparrow\uparrow}}{dz} = \sum_q e_q^2 \Delta q(x, Q^2) \cdot D_q^h(z, Q^2)$$



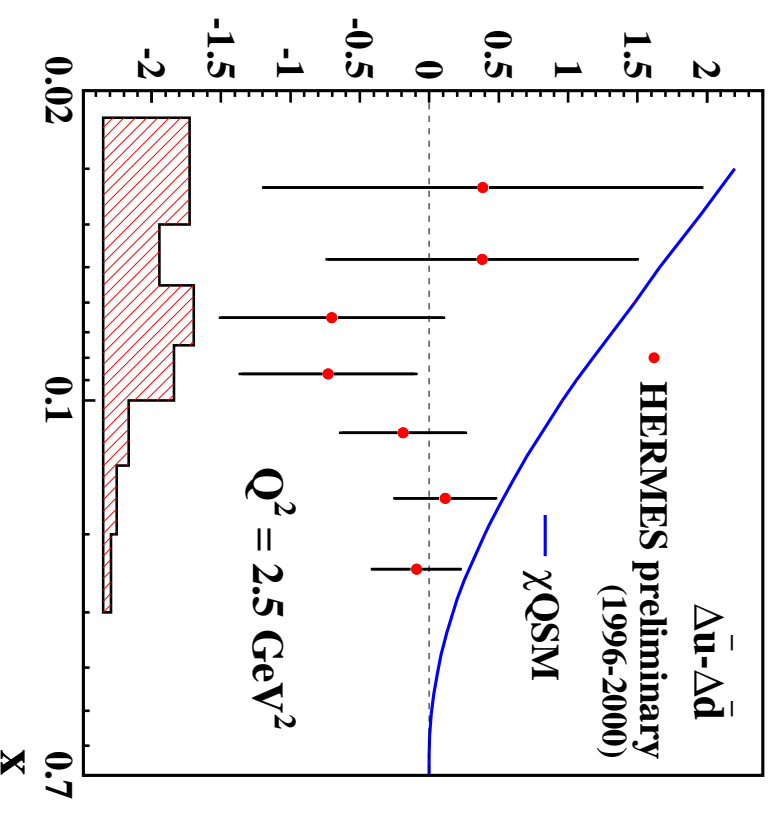
# Latest $\Delta q$ Results from HERMES



**First 5-flavor fit to  $\Delta q(x)$**

( $\Delta_s(x) = \Delta_{\bar{s}}(x)$  assumed)

- positive  $\Delta_s$  favored
- $\Delta\bar{u} - \Delta\bar{d}$  consistent with 0



# New Spin-Structure Function: Transversity $\delta q(x)$

## Fundamental

vector charge  $\langle PS | \bar{\psi} \gamma^\mu \psi | PS \rangle = \int_0^1 dx q(x) - \bar{q}(x) \rightarrow \#$  valence quarks

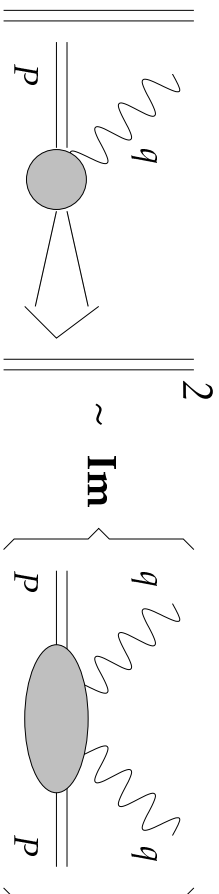
## Matrix

axial charge  $\langle PS | \bar{\psi} \gamma^\mu \gamma_5 \psi | PS \rangle = \int_0^1 dx \Delta q(x) + \Delta \bar{q}(x) \rightarrow$  quark polarization

## Elements

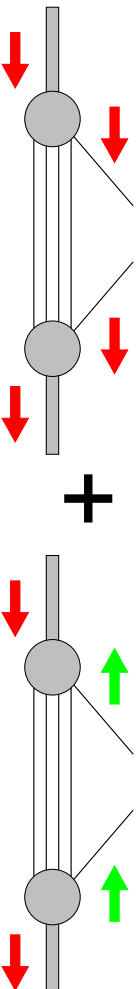
tensor charge  $\langle PS | \bar{\psi} \sigma^{\mu\nu} \psi | PS \rangle = \int_0^1 dx \delta q(x) - \delta \bar{q}(x) \rightarrow ???$

## Forward Helicity Amplitudes

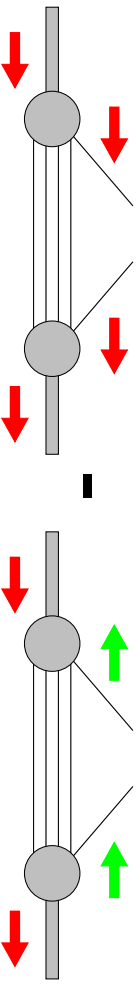


(optical theorem applied to DIS)

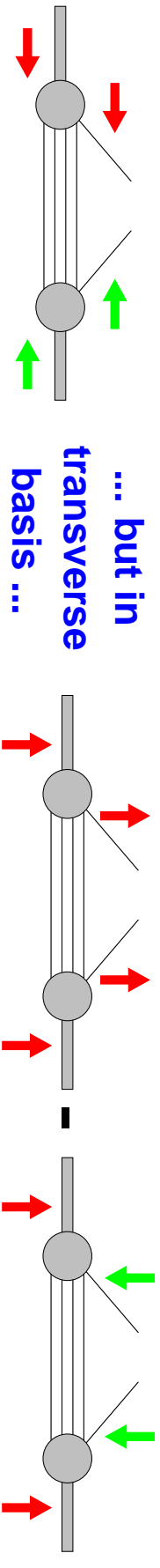
$q(x) \sim$



$\Delta q(x) \sim$



$\delta q(x) \sim$



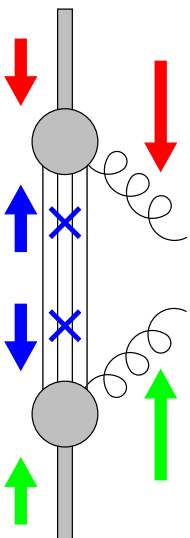


# Properties of Transversity

- **In Non-Relativistic Case**, boosts and rotations commute:

$$\delta q(x) \approx \Delta q(x)$$

- **No Gluons**



Angular momentum conservation:  $\Lambda - \lambda = \Lambda' - \lambda'$

⇒ transversity has **no gluon** component

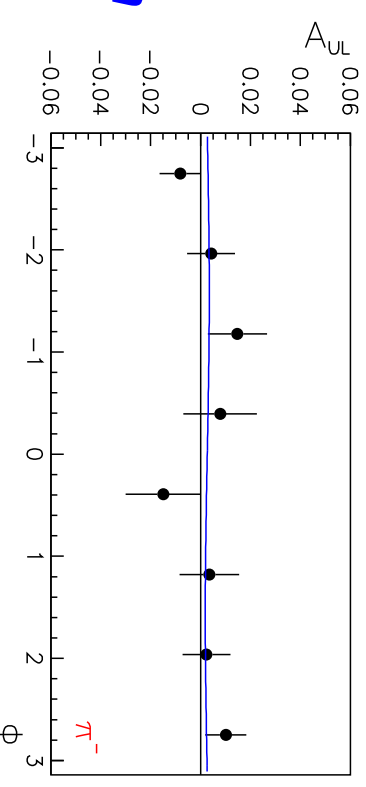
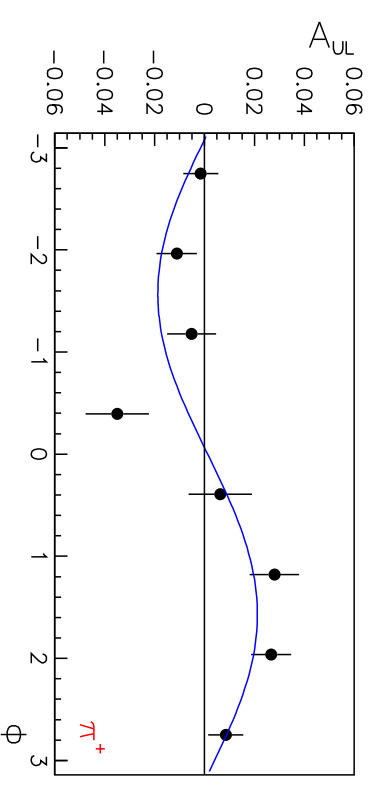
⇒ different  $Q^2$  **evolution** than  $\Delta q(x)$

- **Chiral Odd**

⇒ only measurable in **semi-inclusive** DIS, via a chiral-odd fragmentation function.

**First glimpse** from spin-azimuthal asymmetry for

$\pi$  production at HERMES 



**Future:** DIS with **transverse target polarization**

at HERMES Run 2, COMPASS, RHIC-spin

- **quark polarization  $\Delta q(x)$ :**

- first 5-flavor separation from HERMES
- $\Delta \bar{q}(x)$  consistent with zero, in contrast to  $\chi$ QSM model predictions

- **transversity  $\delta q(x)$ :**

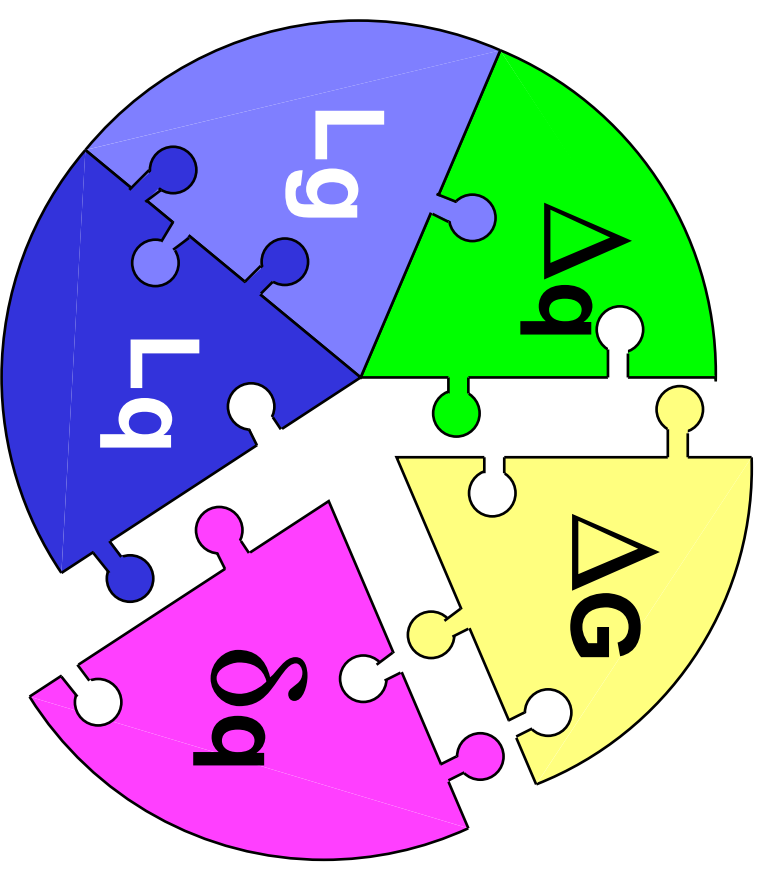
- a new window on quark spin
- azimuthal asymmetries from HERMES successfully modelled in terms of  $\delta q(x)$

- **gluon polarization  $\Delta G(x)$ :**

- some indications that  $\Delta G > 0$  ...
- RHIC-spin and COMPASS will provide some answers!

- **orbital angular momentum  $L$ :**

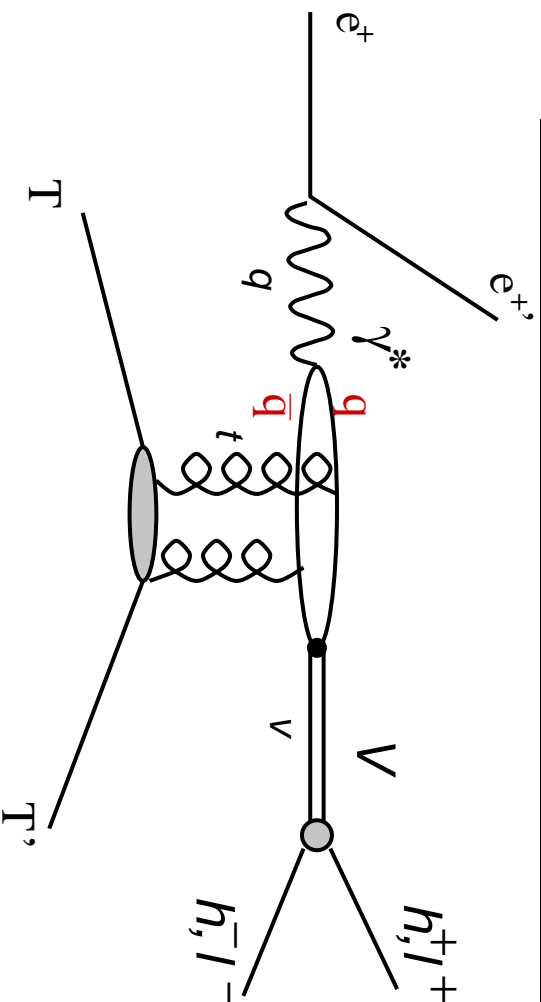
- how to measure? → GPD's ...



# Diffractive Vector Meson Production

Even at highest energies  $W = 10 - 300$  GeV, diffractive processes are alive and well

e.g. **Diffractive Vector Meson production**



## 3 scales

- $t =$  momentum transfer to target  
 $\rightarrow \sigma \sim e^{-bt}$  @ low  $t$   
 $\rightarrow b$  reflects size of scattered p'cles
- $Q^2 =$  photon virtuality
- $m_{VM} =$  mass of vector meson

A new class of **factorization theorems** allows pQCD analysis of **exclusive processes at high scales**

**pQCD picture:** 2-gluon exchange

**fast rise of xsec with W**

$$\sigma_L \sim \frac{[x g(x)]^2}{Q^6}$$

and

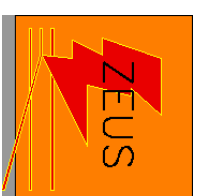
$$x \approx \frac{Q^2}{W^2}$$

$$g(x) \sim x^{-(1+\lambda)} \text{ with } \lambda \approx 0.2$$

$$\Rightarrow \sigma_L \sim W^{0.8}$$



# Soft $\rightarrow$ Hard Transitions

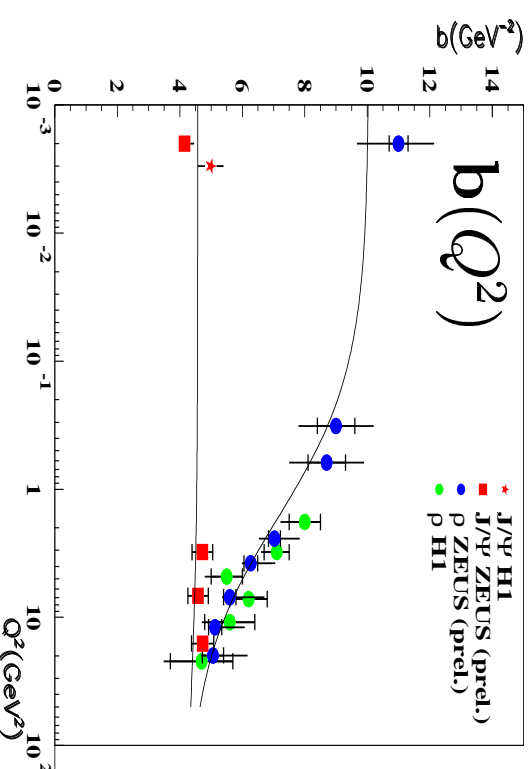
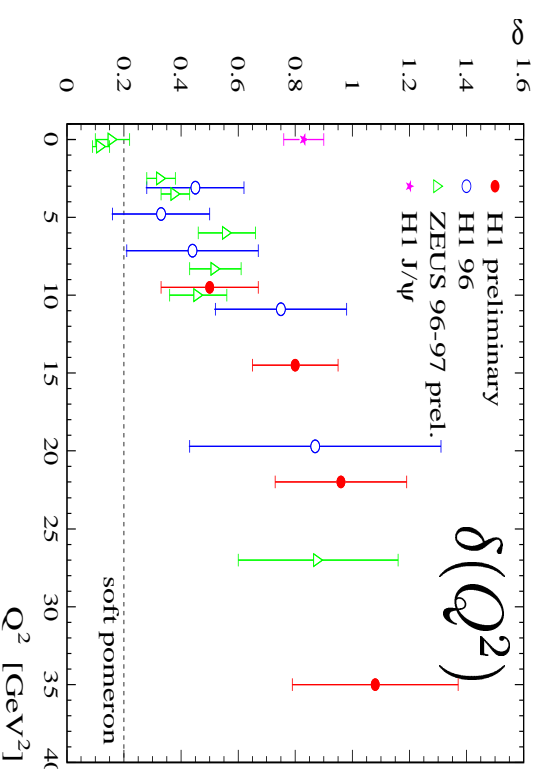
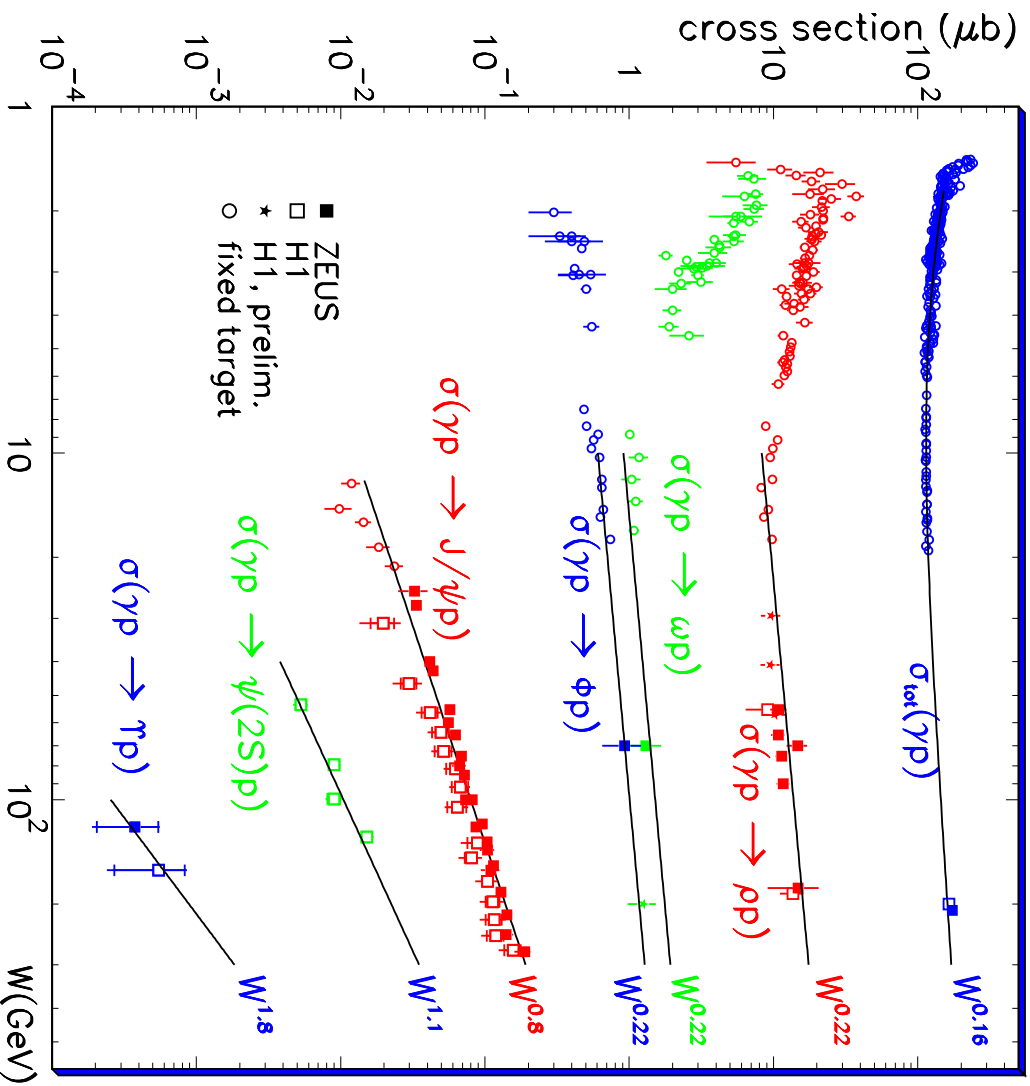


Photoproduction ( $Q^2 = 0$ )

Diffractive  $\rho$  production

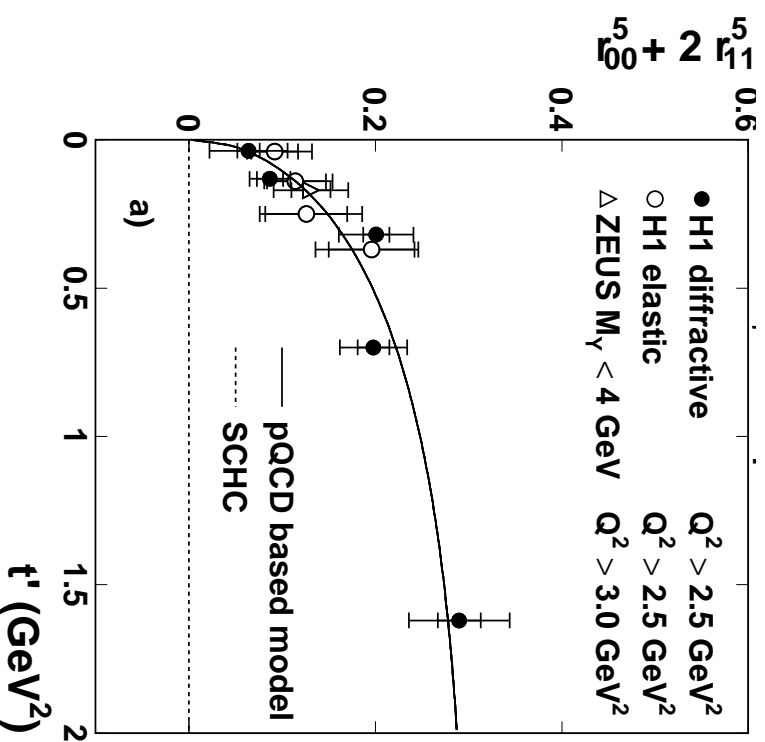
onset of hard behavior: charm mass ( $J/\psi$ )

onset of hard behavior: high  $Q^2$



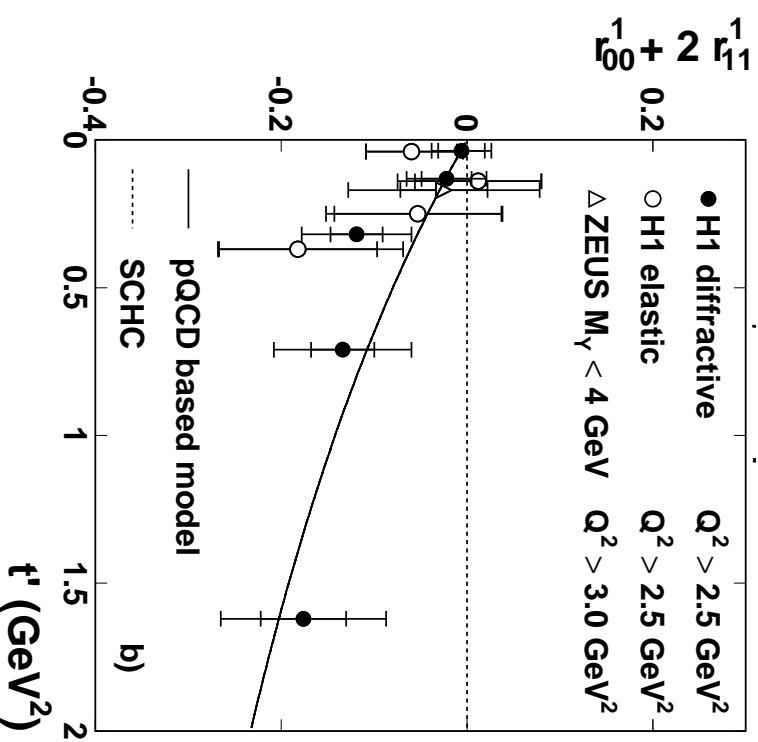
Angular distribution of  $\rho \rightarrow \pi\pi$  decay gives info about **transition amplitudes**  $T_{\lambda\rho\lambda\gamma}$   
 at low  $t$ : **s-channel helicity conservation (SCHC)**  $\rightarrow$  only  $T_{00}$  and  $T_{11}$  non-zero

**SDME combination # 1**  $\sim T_{01}$



$\rightarrow$  single-flip amplitude significant at high  $t$

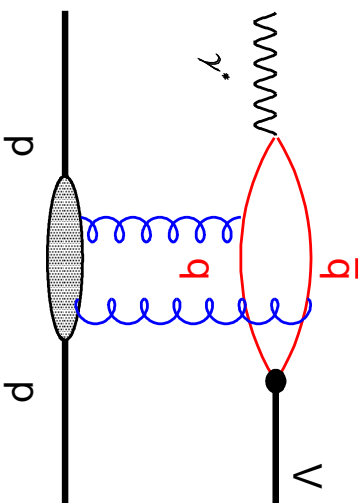
**SDME combination # 2**  $\sim T_{01}/T_{1-1}$



$\rightarrow$  single-flip  $\gg$  double-flip amplitude

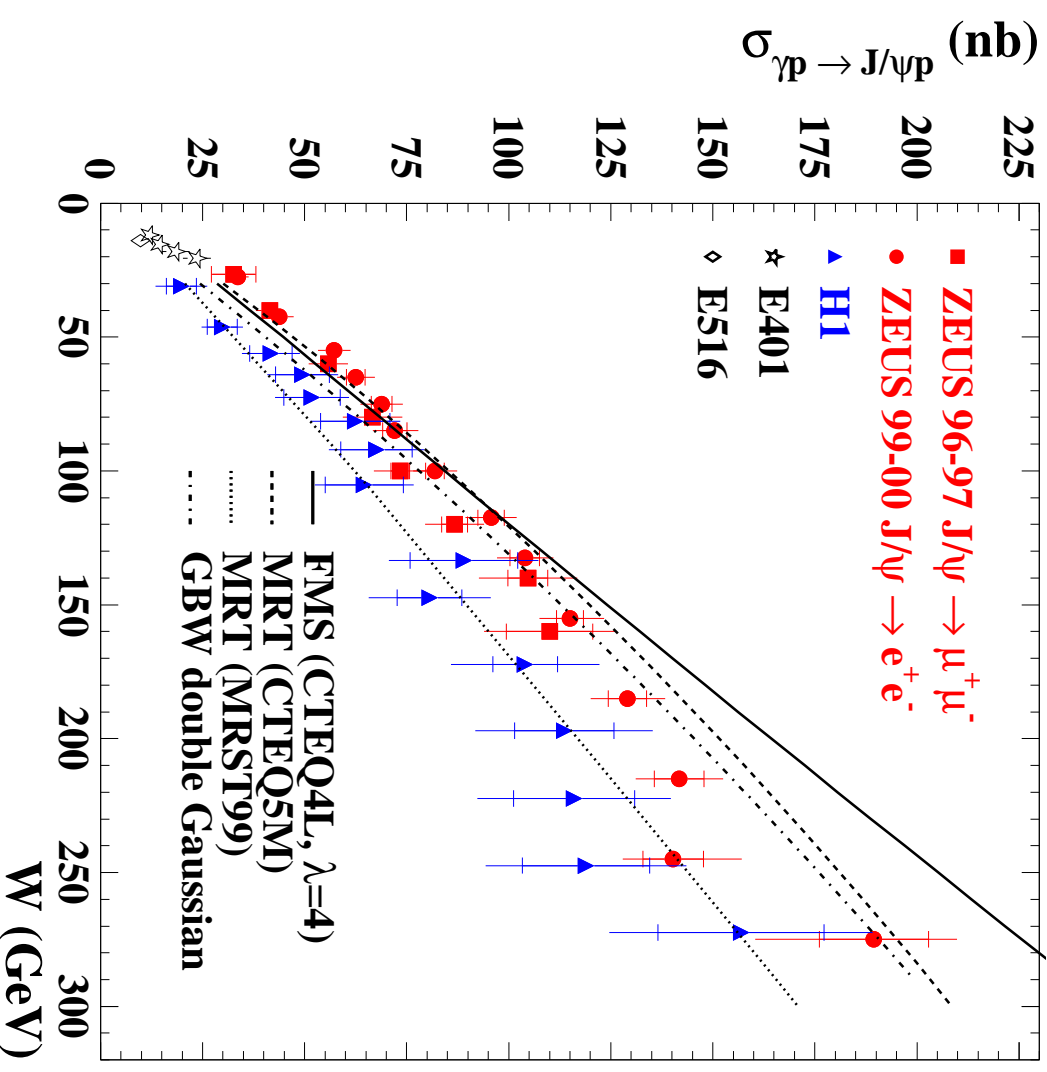
**Measurements well described by pQCD model of 2-gluon exchange**

Diffractive  $J/\psi$  production well described by pQCD 2-gluon exchange models



**Should be possible to extract  $g(x)$ !**

- $W = 250 \text{ GeV} \rightarrow x = 10^{-4}$
- data precise enough to distinguish between different PDF sets ➔
- ... but theoretical uncertainties make extraction impossible at present: higher-twist correc's and **skewing** ...



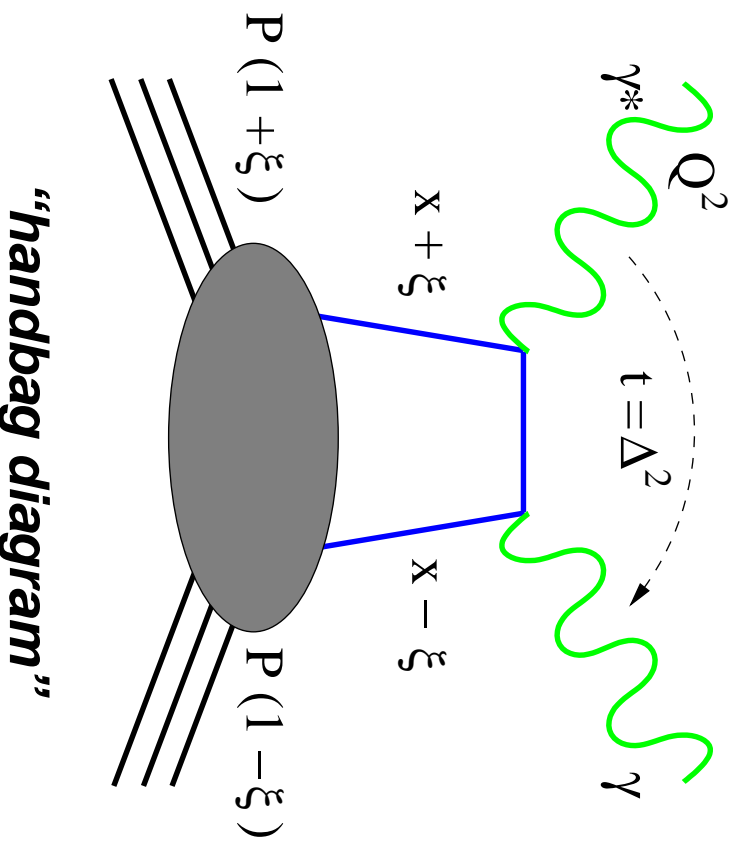
# Generalized Parton Distributions

Analysis of hard exclusive processes leads to a new class of parton distributions.

Four new distributions:

Cleanest example: Deeply Virtual Compton scattering

**DVCS**

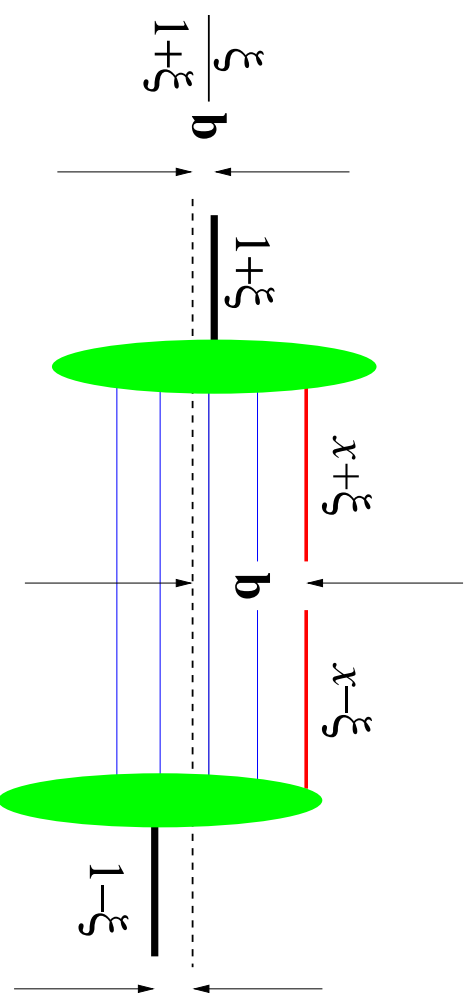


helicity conserving  $\rightarrow H(x, \xi, t), E(x, \xi, t)$   
 helicity-flip  $\rightarrow \tilde{H}(x, \xi, t), \tilde{E}(x, \xi, t)$

**Bjorken  $x$**  : average quark momentum fraction

“skewing parameter”  $\xi$

$\rightarrow$  mismatch between quark momenta  
 $\Rightarrow$  sensitive to partonic **correlations**

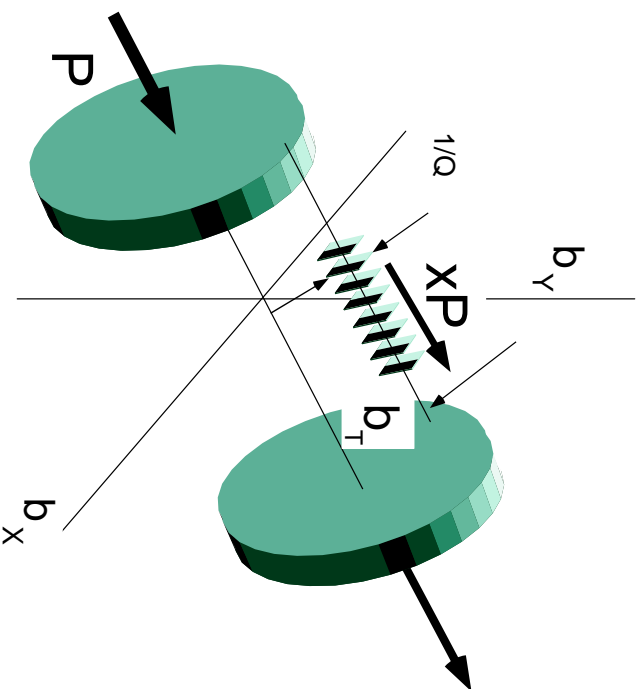


# “Femto-photography” of the proton

# Connection to Many Observables

Fourier transform of ***t*-dependence**

→ impact-parameter space



→ **spatial distribution** of partons !

***GPD*'s offer a complete description of the proton wavefunction**

● **DIS structure functions:** forward limit

$$q(x) = H^q(x, \xi = 0, t = 0)$$

$$\Delta q(x) = \tilde{H}^q(x, \xi = 0, t = 0)$$

● **Elastic form factors:** first moments

$$GM(t) = \int_{-1}^1 dx \sum_q [H^q(x, \xi, t) + E^q(x, \xi, t)]$$

$$GE(t) = \int_{-1}^1 dx \sum_q [H^q(x, \xi, t) + \frac{t}{4M^2} E^q(x, \xi, t)]$$

● **Angular momentum**  $J^q = \frac{1}{2} \Delta \Sigma + L^q$  !

$$J^q = \frac{1}{2} \int_{-1}^1 x dx [H^q(x, \xi, t = 0) + E^q(x, \xi, t = 0)]$$



# Modelling the GPD's

- $t$ -dependence from elastic form factors
- $\xi$ - (skewedness) and  $x$ -dependence
  - interpolate between 2 regions:

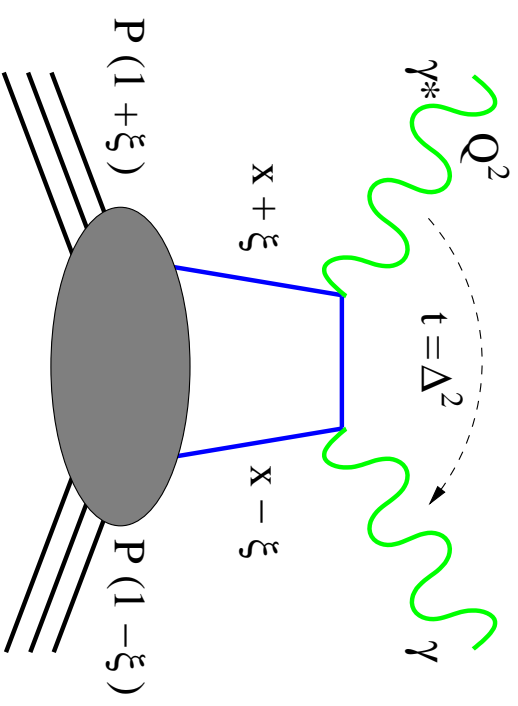
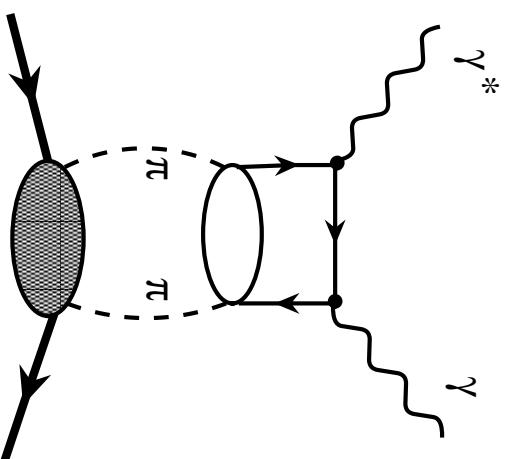
- $|x| > \xi$ 
  - $x_1, x_2$  both  $> 0$  (quarks)
  - or both  $< 0$  (antiquarks)

⇒ PDF's recovered in limit

$$\xi \rightarrow 0$$

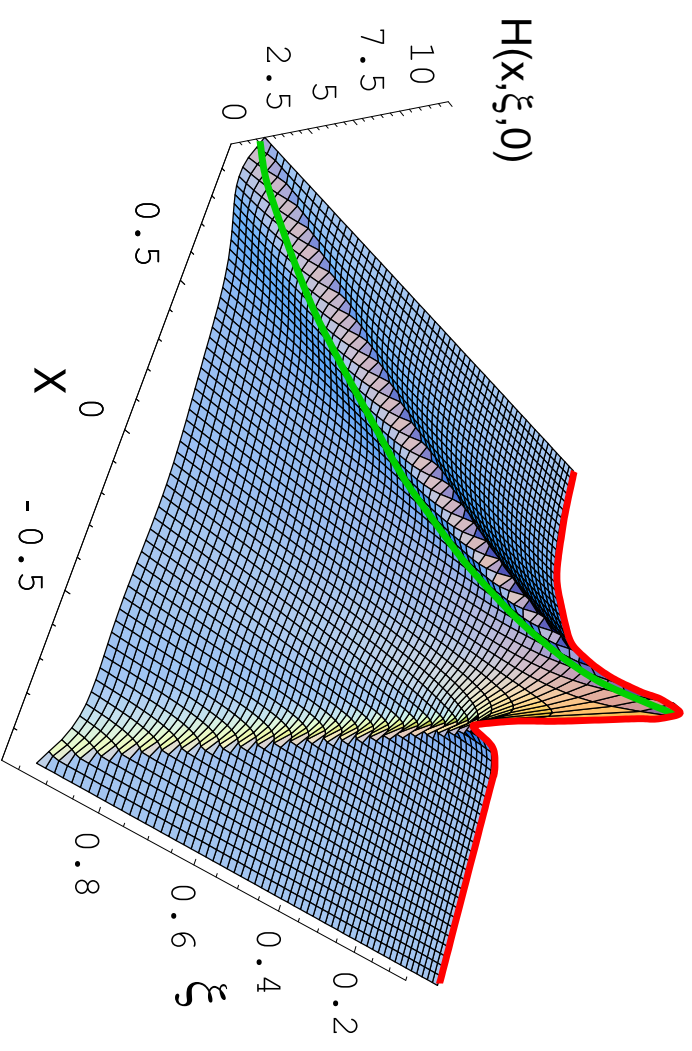
- $|x| < \xi$ 
  - see correlation between  $q$  and  $\bar{q}$
  - ⇒ "meson-like" distributions as

$$\xi \rightarrow 1$$



## Model of $H^d(x, \xi, t = 0)$ (forward limit)

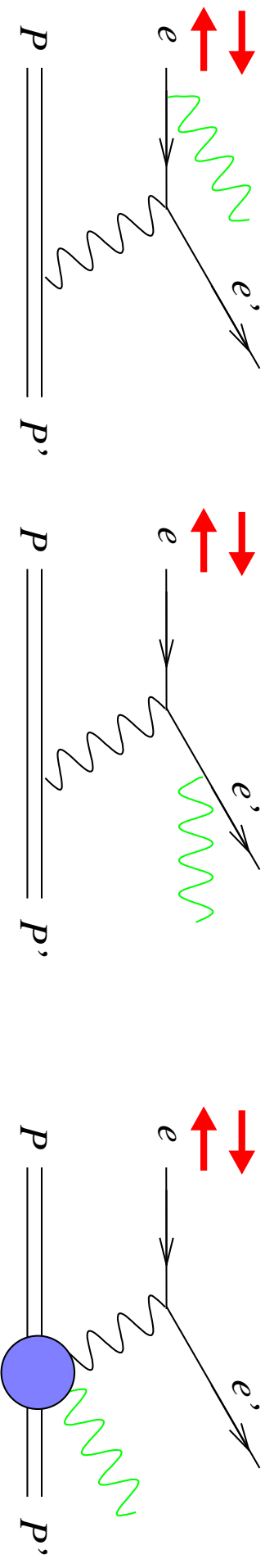
Vanderhaeghen, Guichon, Guidal, PRD 60 (99) 094017



# DVCS: Beam-Spin Azimuthal Asymmetry

At intermediate energies, Bethe-Heitler cross-section  $\gg$  DVCS ...

→ explore interference, using polarized beams



**Beam-Spin Asymmetry** →

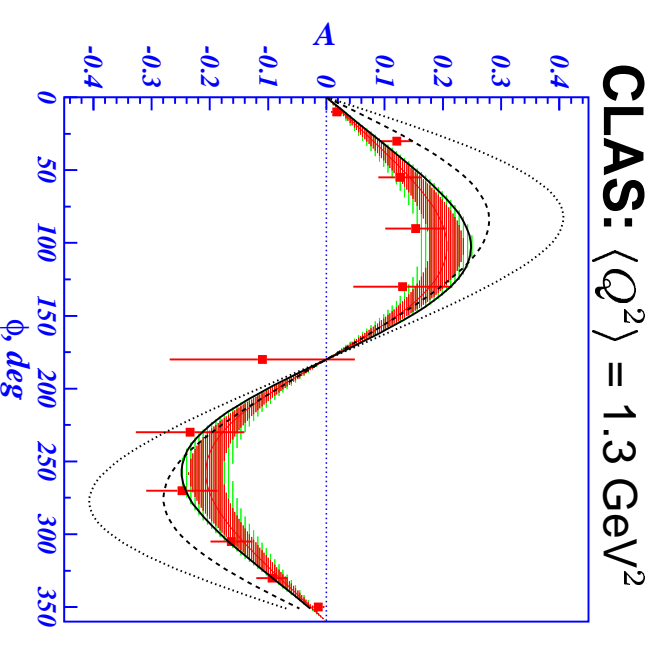
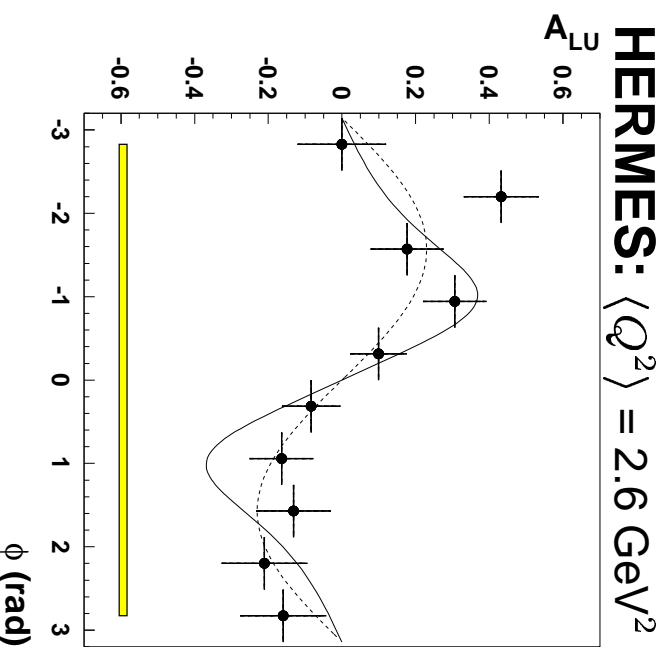
$$A_{LU}(\phi_\gamma) = \frac{\sigma_{\rightarrow\rightarrow} - \sigma_{\leftarrow\leftarrow}}{\sigma_{\rightarrow\rightarrow} + \sigma_{\leftarrow\leftarrow}}$$

$$\sim \text{Im}(\text{BH} \cdot \text{DVCS}^*) \sin \phi_\gamma$$

**Beam-Charge Asymmetry**

$$\sim \text{Re}(\text{BH} \cdot \text{DVCS}^*) \cos \phi_\gamma$$

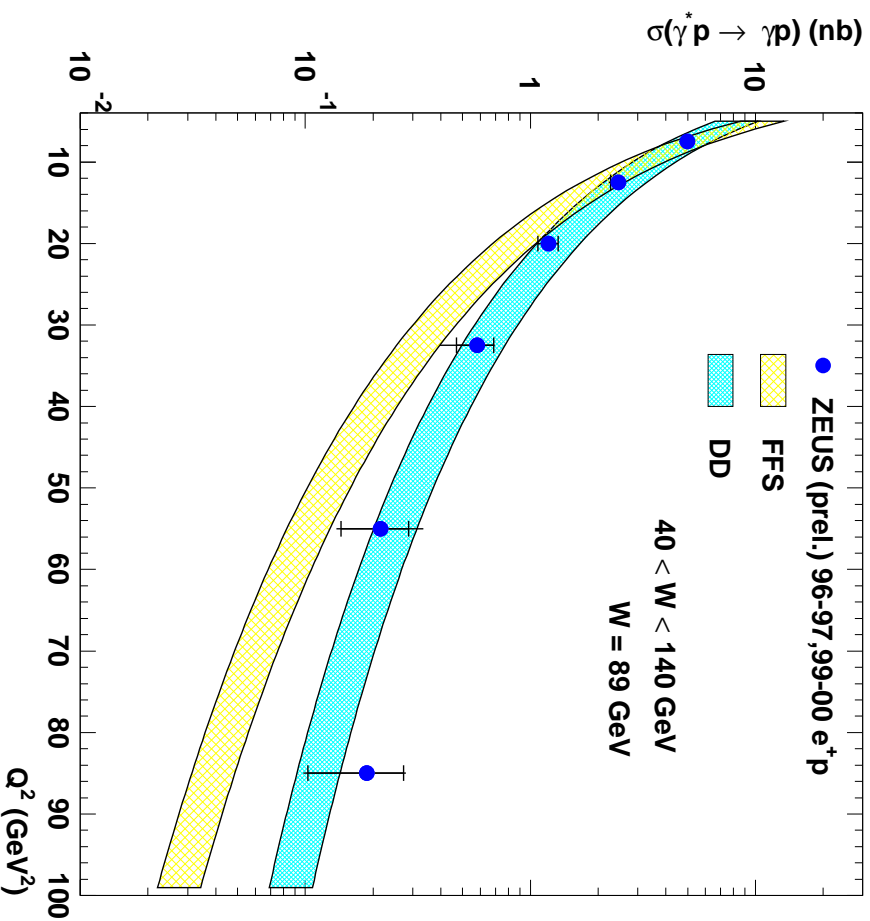
also measured, at HERMES



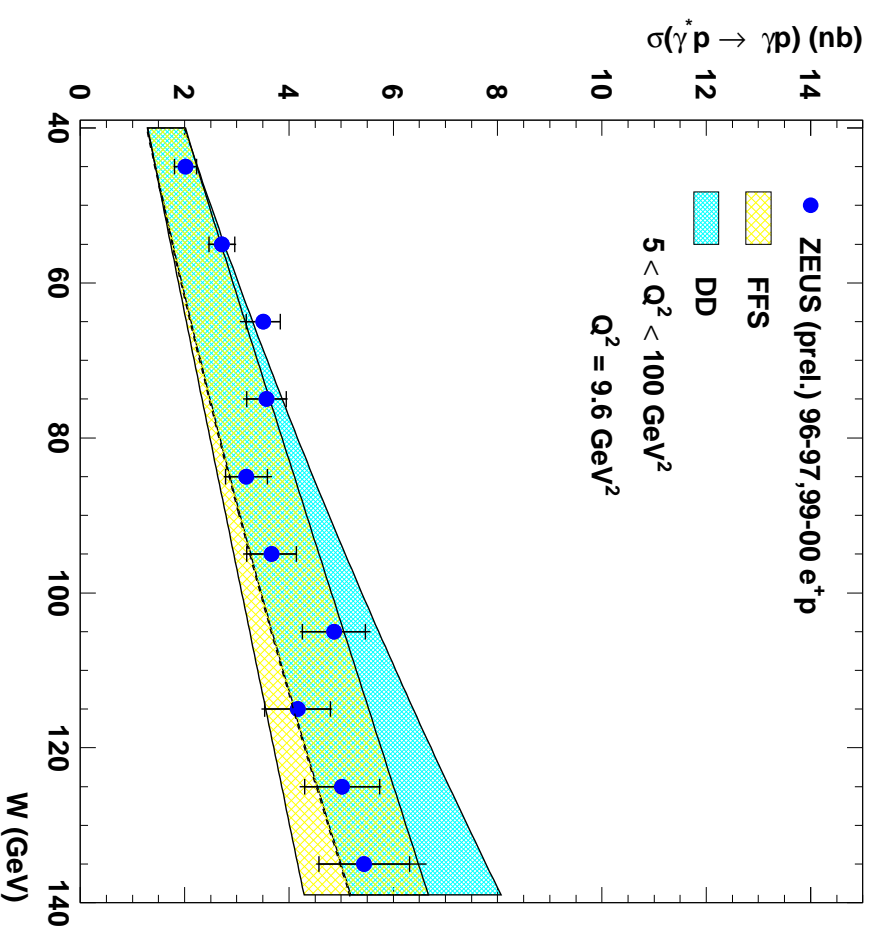
# DVCS Cross-Section from H1 and ZEUS

At high energies, DVCS  $>$  BH  $\rightarrow$  measure cross-section ...  
**high-energy DVCS explores gluon GPD's ( $x \sim 1/W^2$ )**

ZEUS



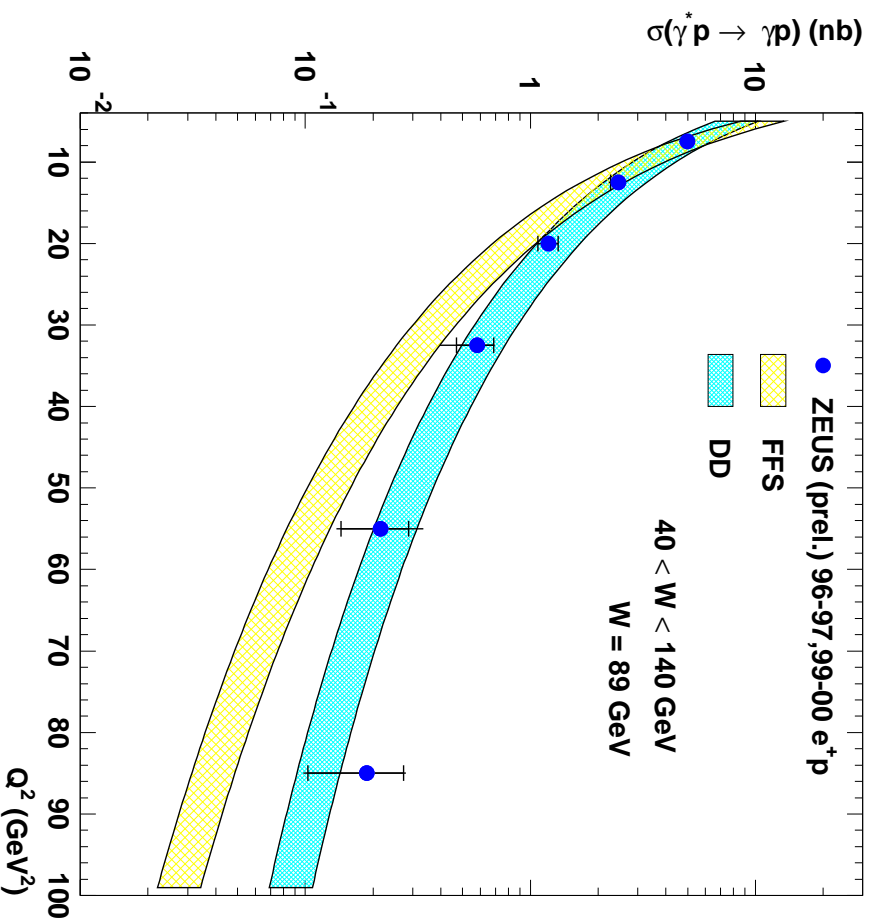
ZEUS



DVCS xsec agrees well with semi-classical dipole model (Donnachie & Dosch)

At high energies, DVCS  $>$  BH  $\rightarrow$  measure cross-section ...  
**high-energy DVCS explores gluon GPD's** ( $x \sim 1/W^2$ )

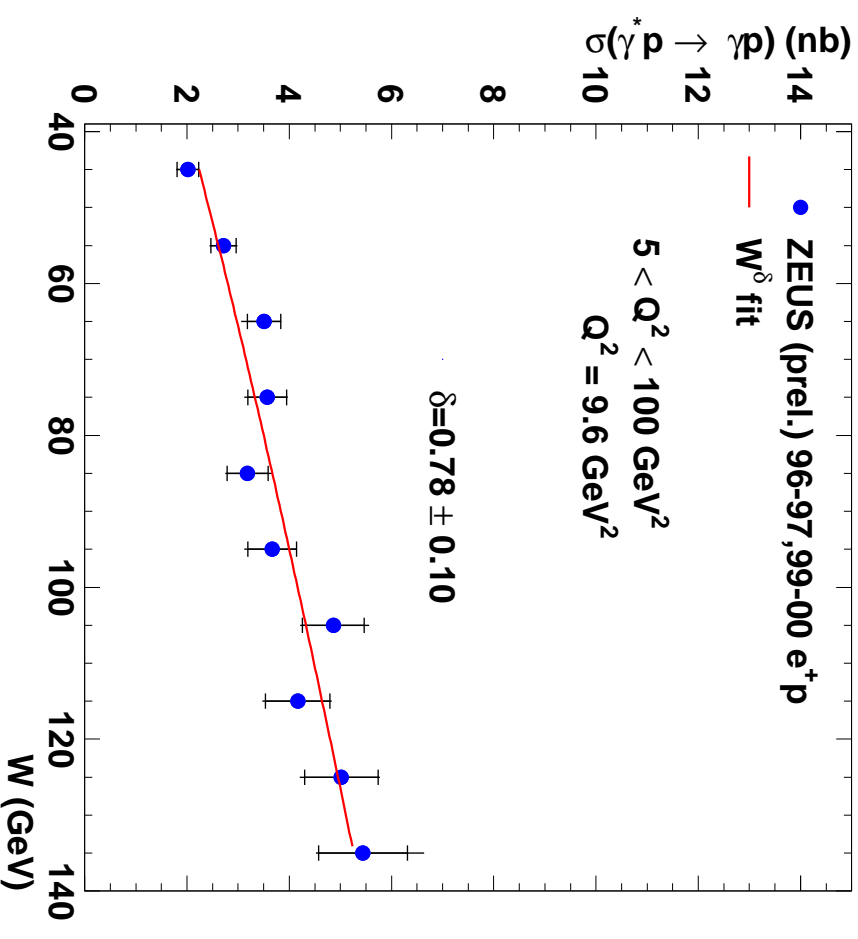
ZEUS



$W$ -dependence matches

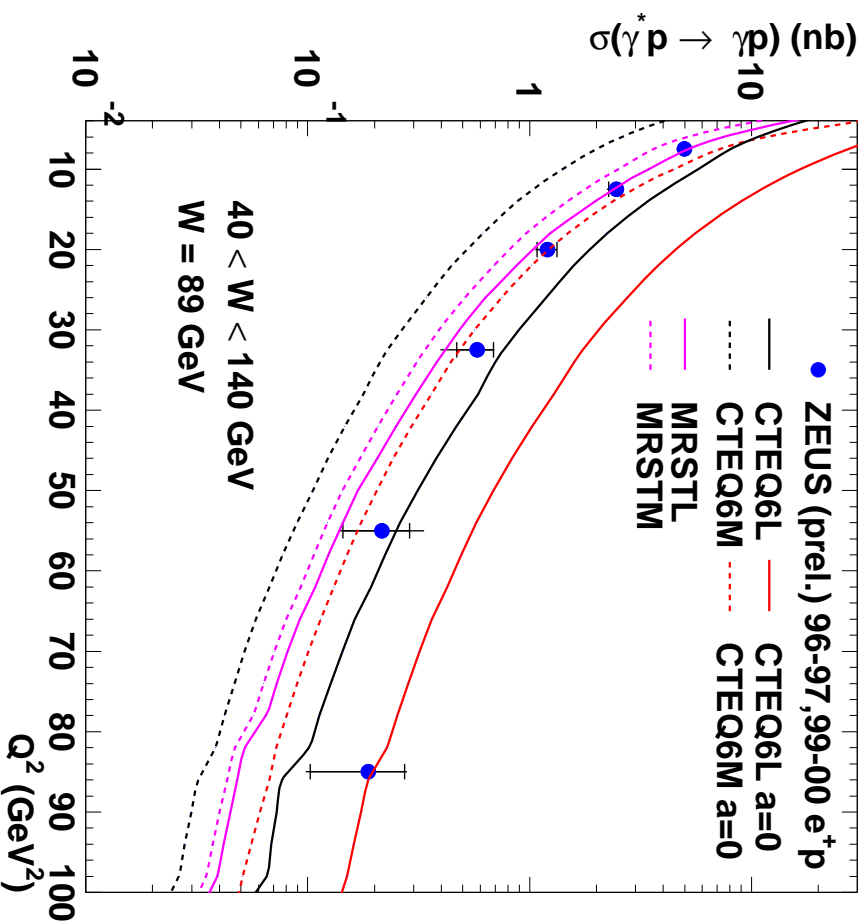
$$W^{0.8}$$

ZEUS

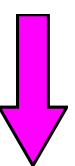
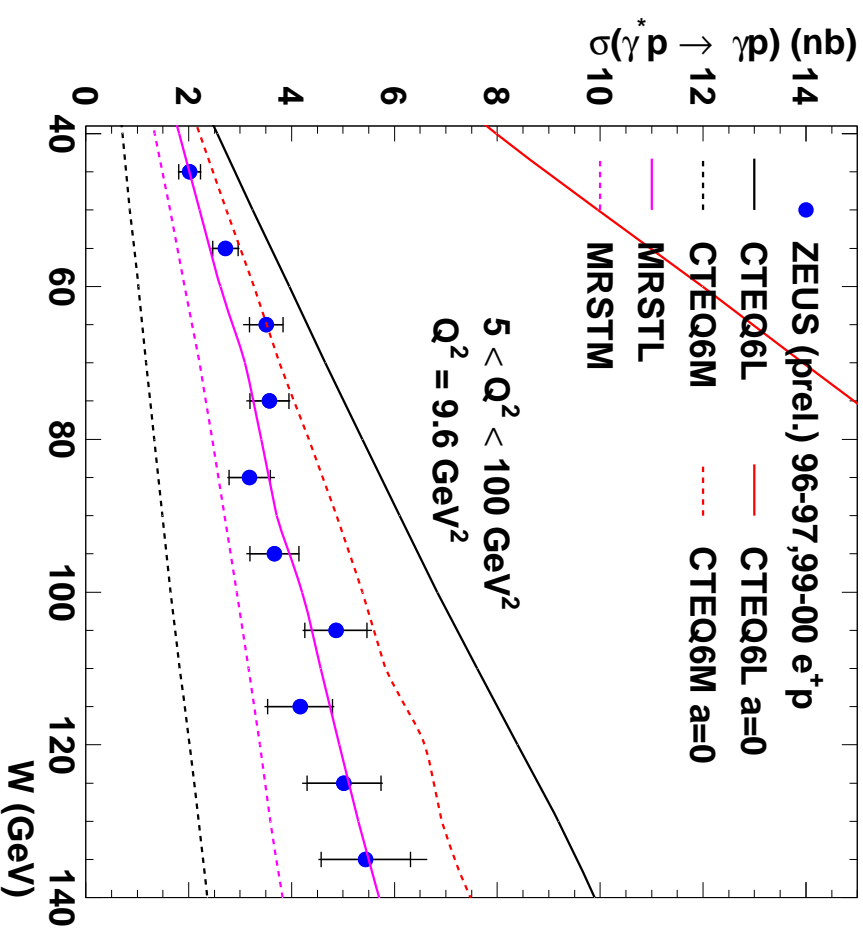


behaviour of hard meson production

## ZEUS



## ZEUS



Precise new data have potential to constrain GPD's

- Calculations by Freund & McDermott, based on LO (solid) and NLO (dashed) PDF's
- explore correlation parameter  $a$ :  $\sim x$ -range over which quarks are correlated

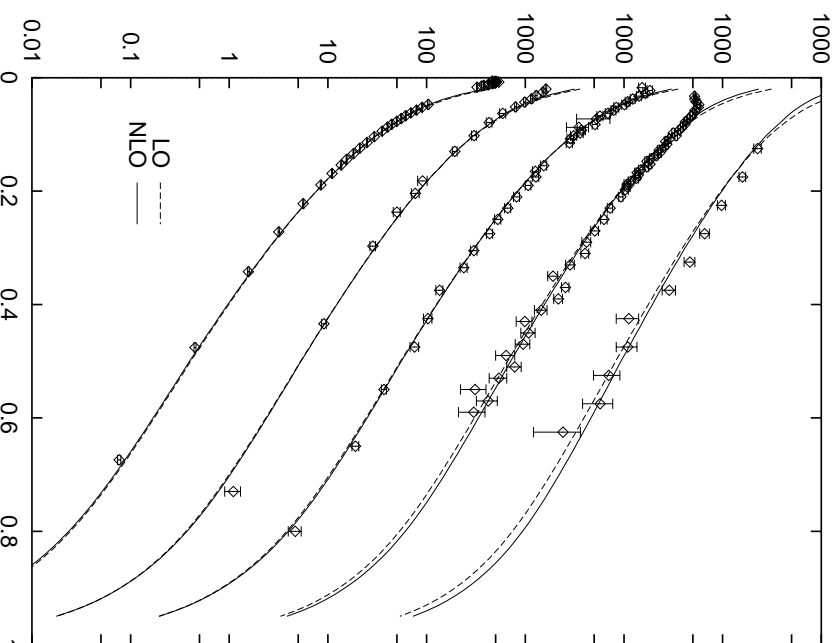
# Hadronization: The Long-Range Dynamics of Confinement

*What do we know?*

## The Lund String Model

Phenomenological description in terms of colour-string breaking and parton clustering.

**Evolution** of the fragmentation functions



**A Tool** for hadron structure studies

(e.g. flavour-tagging)

$$D_1 = D_u^{\pi^+} = D_d^{\pi^-} = \dots$$

$$D_2 = D_d^{\pi^+} = D_u^{\pi^-} = \dots$$

**What are we not so sure about?**

- **Spin transfer:**

Is the spin of the struck quark communicated to the hadronic final state?

- **Single-spin asymmetries:**

How important is intrinsic transverse momentum?

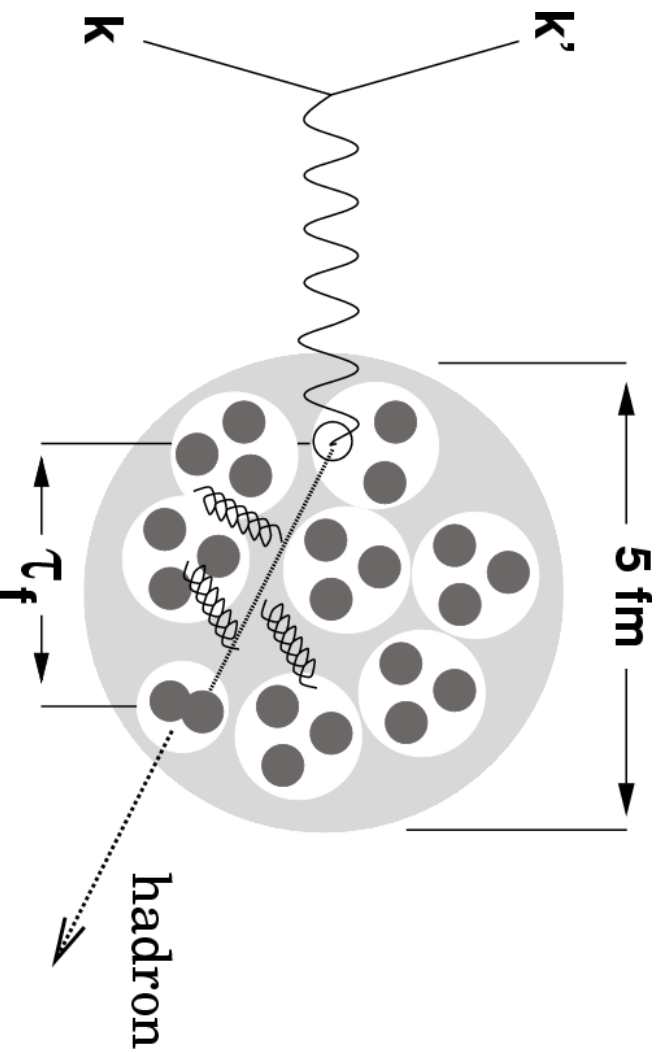
⇒ phase coherence?  
⇒ access to new structure functions

- **Space-time structure:**

How long does it take to form a hadron?

# The Space-Time Structure of Fragmentation

By embedding the fragmentation process within a nucleus, one can use the **nuclear radius** as a yardstick against which to measure the **time scale of hadron formation**.



## Single Time Scale Model

Postulate: hadron formation time is a constant ( $\tau_h$ ), apply Lorentz boost

$$\tau_f = \tau_h \frac{E_h}{m_h} = \tau_h \frac{z\nu}{m_h}$$

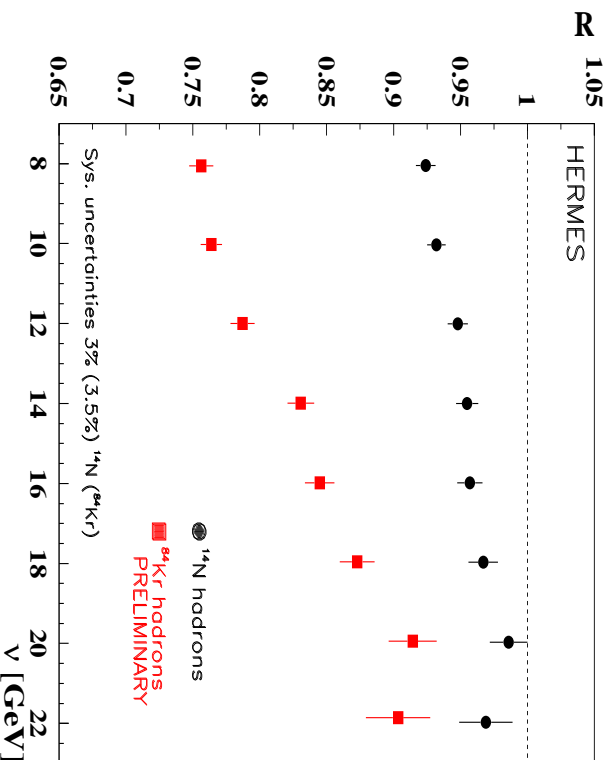
$\rightarrow \tau_f$  depends on  $\nu$ , and  $z, m_h$

Once hadron is formed, will be suppressed by final state interactions with nuclear medium  
 $\Rightarrow$  study hadron multiplicity ratio

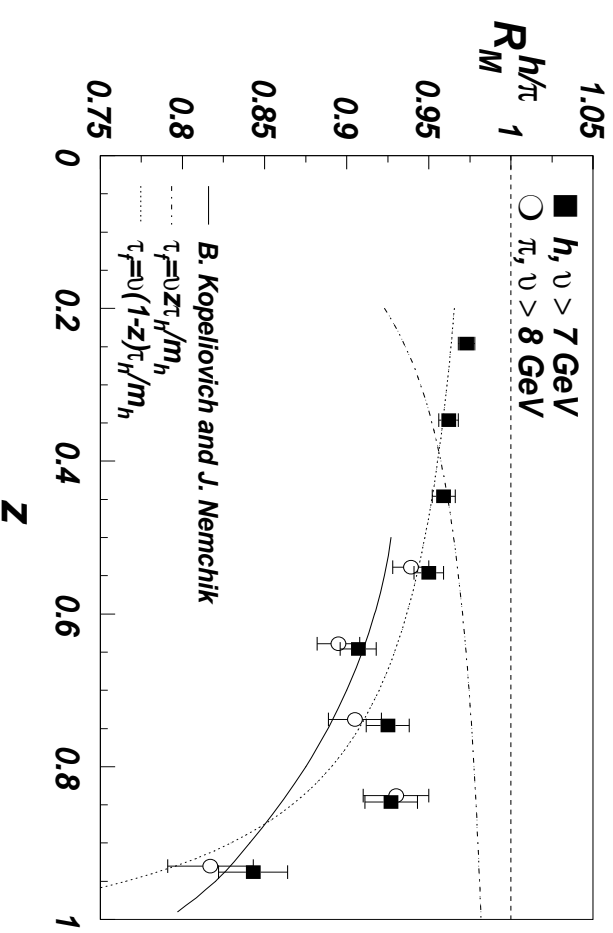
$$R_A^h(z, \nu) = \left( \frac{1}{N_e} \frac{d^2 N_h}{dz d\nu} \right)_A / \left( \frac{1}{N_e} \frac{d^2 N_h}{dz d\nu} \right)_D$$

# Hadron Attenuation at HERMES

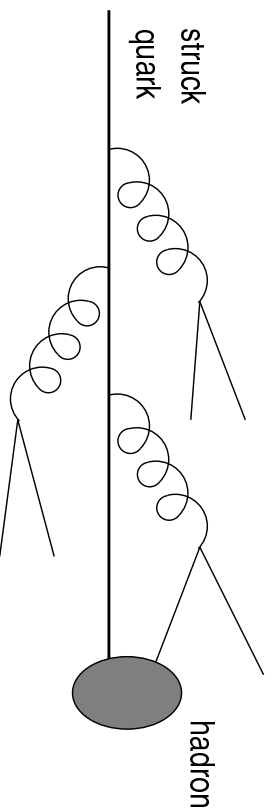
$\nu$  dependence shows the expected Lorentz behavior ...



However  $z$  dependence does not



## Gluon Bremsstrahlung Model

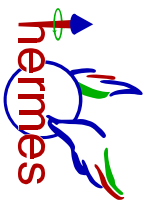


At high  $z$ :

- few gluons radiated
- short formation time  $\tau_f = \nu(1-z)c/h$
- larger attenuation by nuclear rescattering



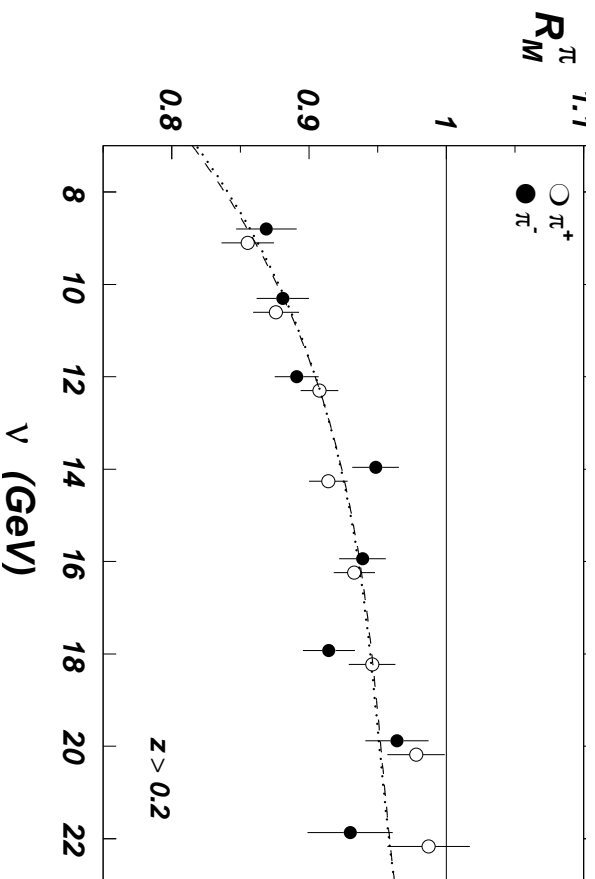
# Hadron Formation Time



Good fits obtained with the gluon bremsstrahlung parametrization

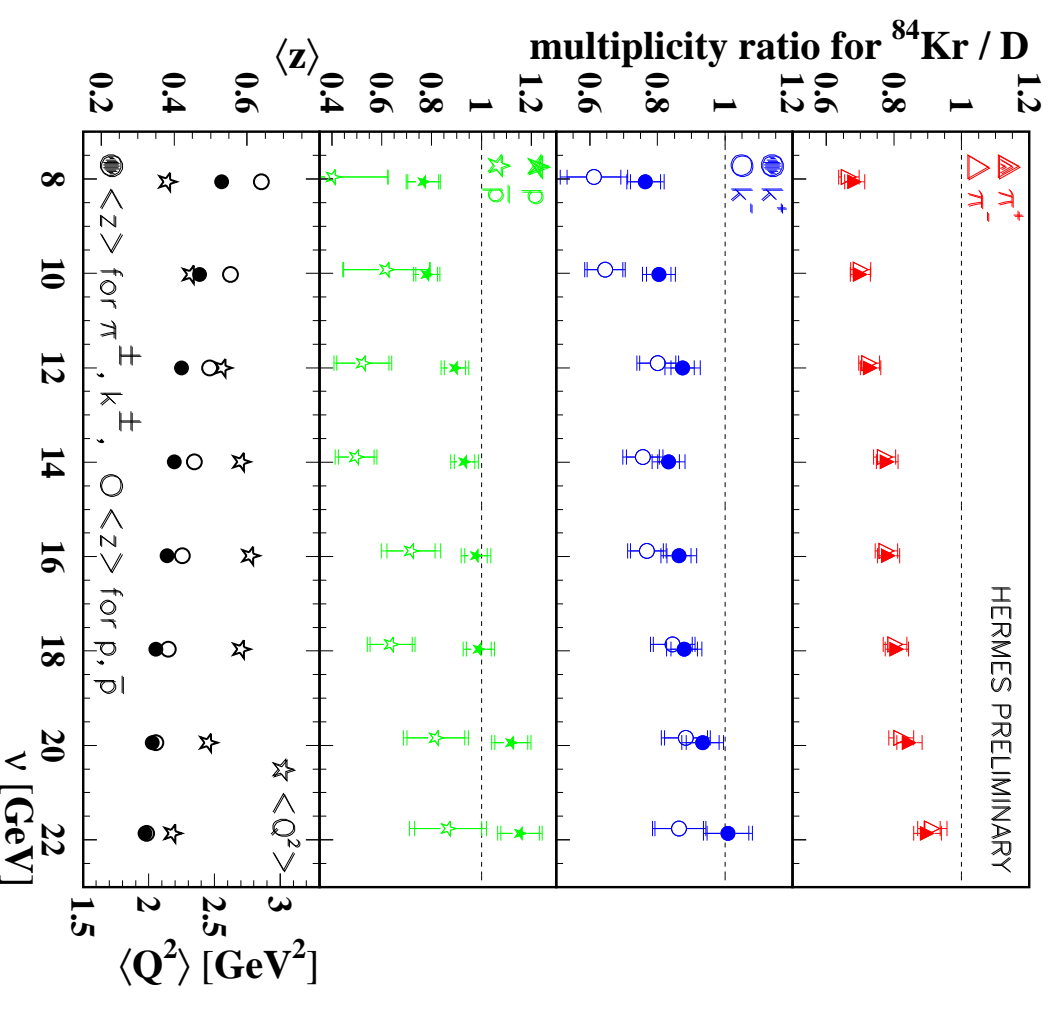
New RICH detector allows separate measurements for  $\pi$ ,  $K$ ,  $p$

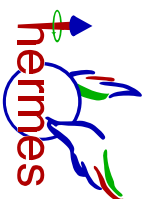
$$\tau_f = c_h \cdot \nu(1 - z)$$



For pions :

$$c_{\pi^\pm} = 1.4 \pm 0.2 \text{ fm/GeV} \cdot c$$





**Parton Energy Loss**

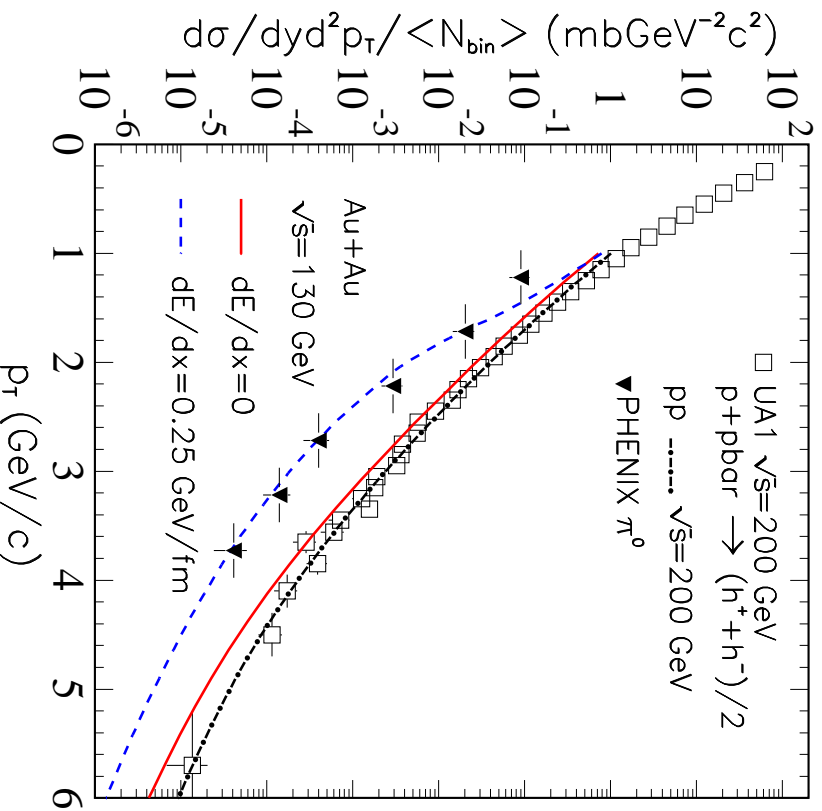
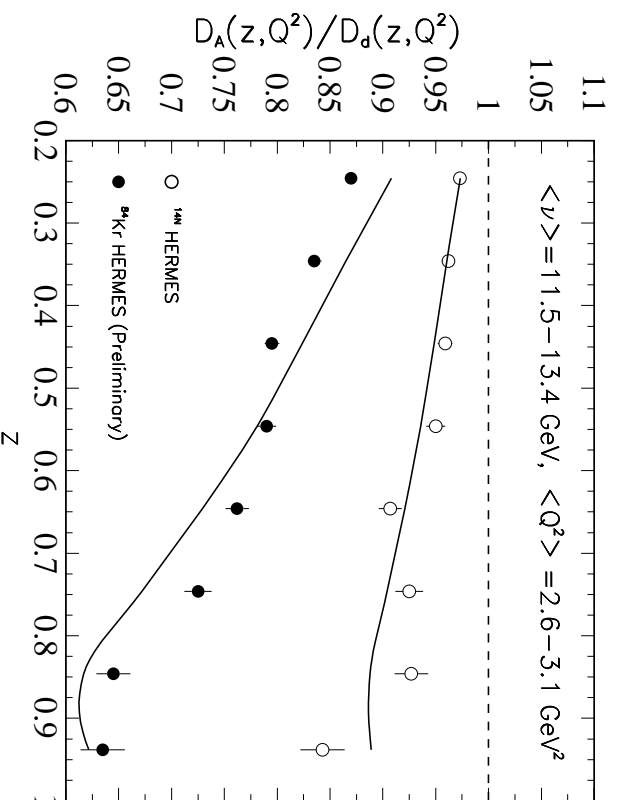


→ **calculated from HERMES  $\pi^\pm$  data:**

X.N. Wang, hep-ph/0111404

$$\overline{D}_f^h(z) \approx \frac{1}{1 - \Delta E/E} D\left(\frac{z}{1 - \Delta E/E}\right)$$

⇒  $dE/dx \approx 0.3 \text{ GeV/fm}$



→ **and from  $\pi^0$  yield in Au + Au**

**collisions at PHENIX:  $dE/dx \approx 0.25 \text{ GeV/fm}$**

but after correction for expanding system:

⇒  $dE/dx \approx 12 \text{ GeV/fm}$

**Suggests that gluon density in Au + Au at RHIC is  $40 \times$  that inside cold nuclear matter**

## Conclusions and Outlook

*Recent theoretical and experimental progress has given us the tools to explore **non-perturbative QCD** phenomena at a **new level of detail***

- Deep Inelastic Scattering

→ explore spin-dependence of distribution and fragmentation functions

- Hard Exclusive Processes

→ scattering subprocess at hard scales understood in terms of pQCD ...

→ explore GPD's = map of the proton wavefunction

*Can we achieve the same level of understanding here as with  $F_2$ ?*

### The Next Round of Experiments

- New Experiments: COMPASS and RHIC-spin commissioned in 2001

⇒ precise data on quark and gluon polarization soon forthcoming!

- HERMES Run 2 with transverse target : focus on transversity

- H1 and ZEUS with spin rotators :

polarized beam → DVCS interference effects at the highest scales