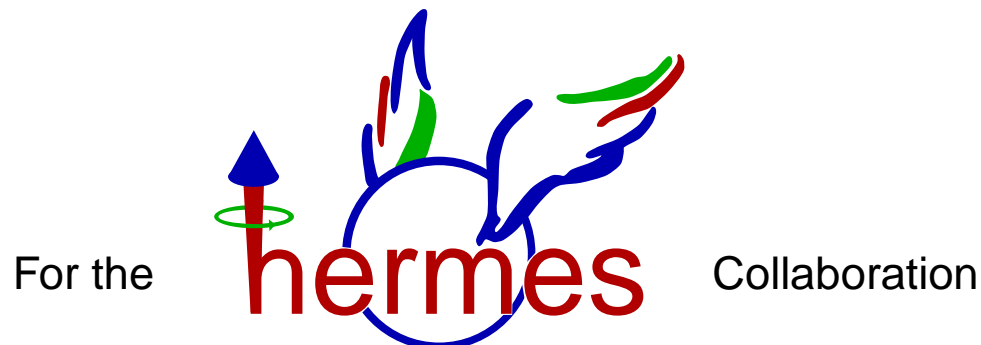


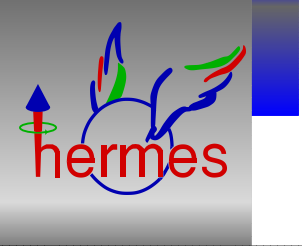
Azimuthal Single-Spin Asymmetries on a Transversely Polarized Hydrogen Target at HERMES

G. Schnell

Tokyo Institute of Technology

gunar.schnell@desy.de



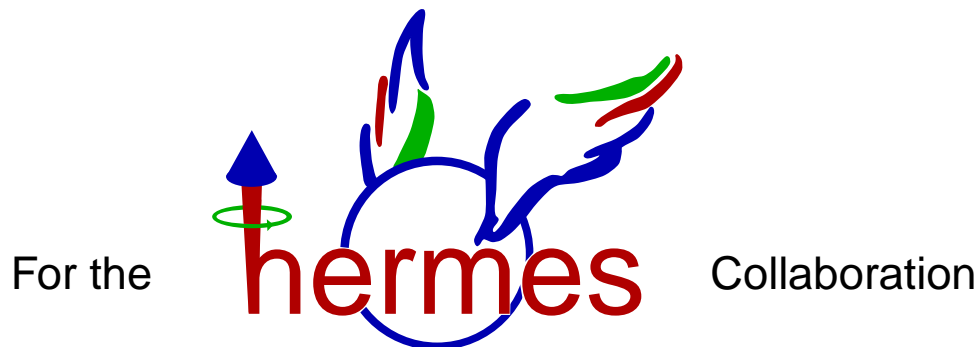


***Why worry about unintegrated distribution
and fragmentation functions if we don't even
understand the integrated ones?***

G. Schnell

Tokyo Institute of Technology

gunar.schnell@desy.de



Leading Twist Quark Distribution Functions

$$f_1^q = \text{[Diagram: a circle with a black dot in the center]} =$$



Unpolarized
quarks and
nucleons

$q(x)$: spin averaged
(well known)

⇒ Vector Charge

$$g_1^q = \text{[Diagram: a circle with a black dot and a red arrow pointing right]} - \text{[Diagram: a circle with a black dot and a red arrow pointing left]} =$$



Longitudinally
polarized quarks
and nucleons

$\Delta q(x)$: helicity
difference (known)

⇒ Axial Charge

$$h_1^q = \text{[Diagram: a circle with a black dot and a red arrow pointing up]} - \text{[Diagram: a circle with a black dot and a red arrow pointing down]} =$$



Transversely
polarized quarks
and nucleons

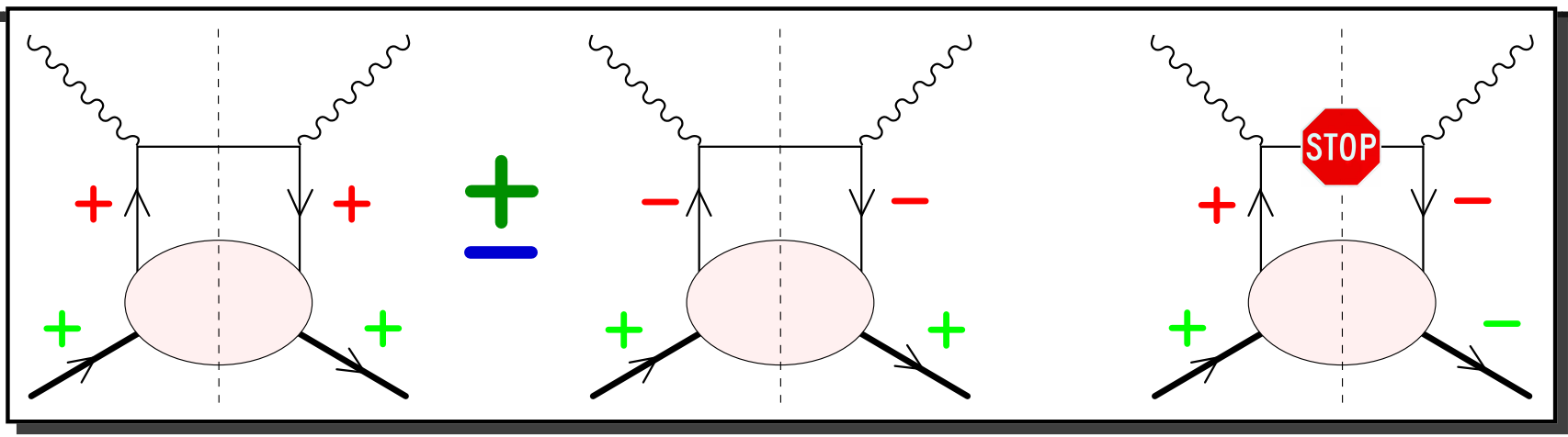
$\delta q(x)$: helicity flip
(unmeasured!)

⇒ Tensor Charge

HERMES 1995-2000

HERMES 2002...

Quark Distribution Functions



Unpolarized
quarks and
nucleons

Longitudinally
polarized quarks
and nucleons

Transversely
polarized quarks
and nucleons

$q(x)$: spin averaged
(well known)

$\Delta q(x)$: helicity
difference (known)

$\delta q(x)$: helicity flip
(unmeasured!)

⇒ Vector Charge

⇒ Axial Charge

⇒ Tensor Charge

HERMES 1995-2000

HERMES 2002...



Transversity Measurements

How can one measure transversity?

Need another chiral-odd object! \Rightarrow Semi-Inclusive DIS

$$\sigma^{ep \rightarrow ehX} = \sum_q \delta q \otimes \sigma^{eq \rightarrow eq} \otimes FF^{q \rightarrow h}$$

\Downarrow \Downarrow

chiral-odd **chiral-odd**

DF FF

└──┘

CHIRAL EVEN

Transversity Measurements

How can one measure transversity?

Need another chiral-odd object! \Rightarrow Semi-Inclusive DIS

$$\sigma^{ep \rightarrow ehX} = \sum_q \delta q \otimes \sigma^{eq \rightarrow eq} \otimes FF^{q \rightarrow h}$$

\Downarrow


chiral-odd

DF

\Downarrow

chiral-odd

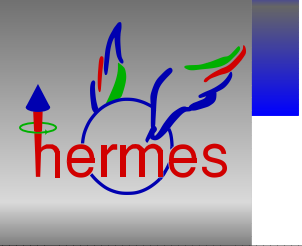
FF



CHIRAL EVEN

\longrightarrow use Chiral-odd and T-odd **Collins FF**:

Correlation between $P_{h\perp}$ and transverse spin of nucleon



Transversity Measurements

How can one measure transversity?

Need another chiral-odd object! \Rightarrow Semi-Inclusive DIS

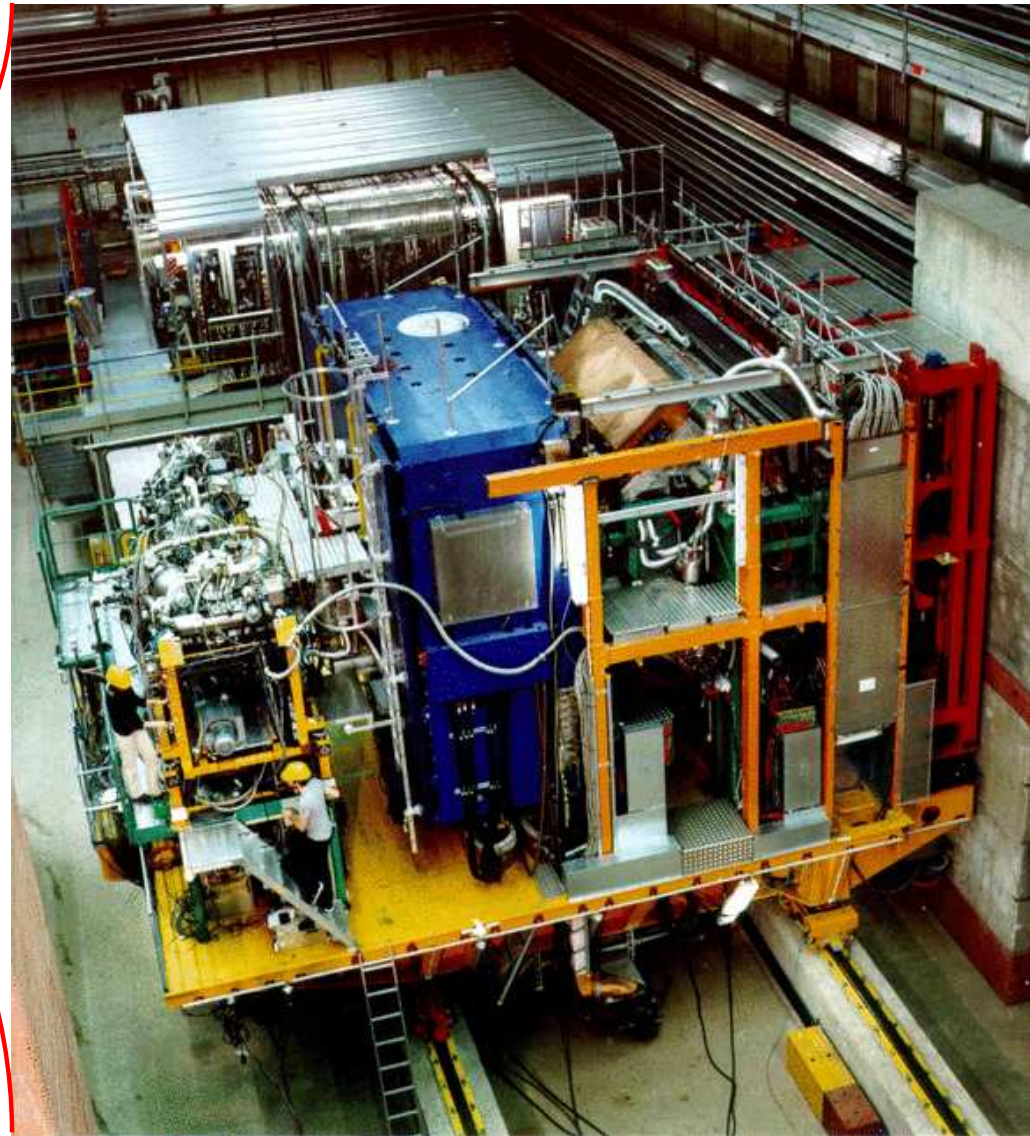
$$\sigma^{ep \rightarrow ehX} = \sum_q \delta q \otimes \sigma^{eq \rightarrow eq}$$

\Downarrow \Downarrow
chiral-odd **chiral-odd**
DF **FF**
} CHIRAL EVEN

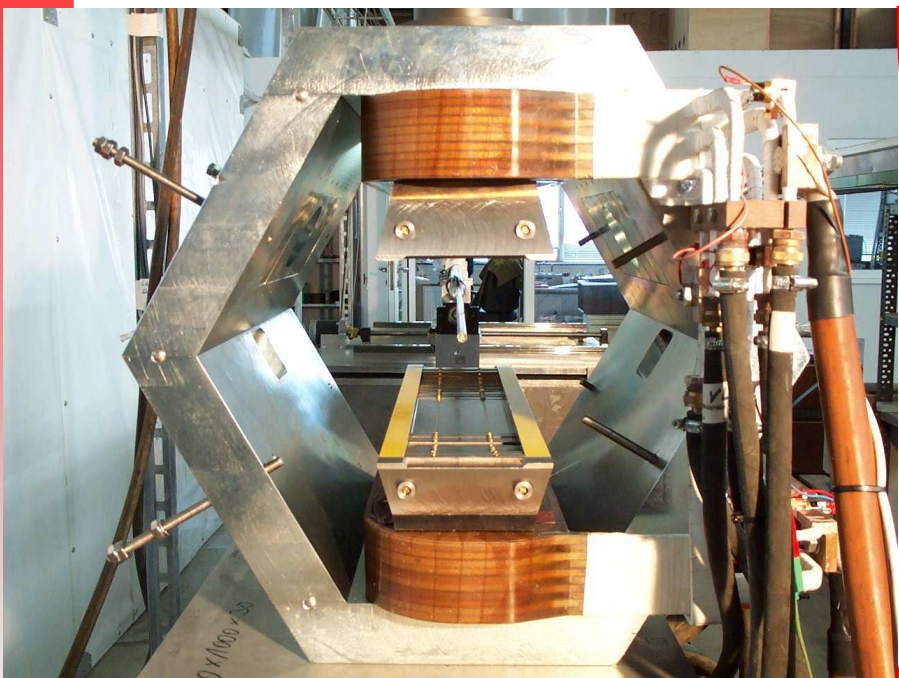
HERMES with Transverse Target

\rightarrow use Chiral-odd and T-odd **Collins FF**:

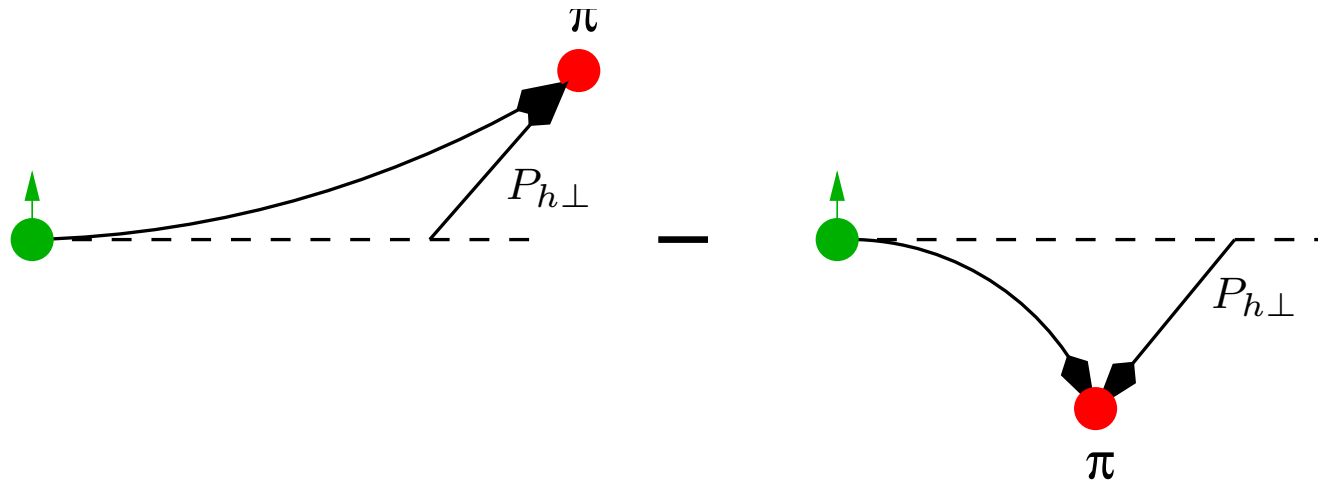
Correlation between $P_{h\perp}$ and transverse spin of nucleon



HERMES at DESY



Collins Fragmentation Function



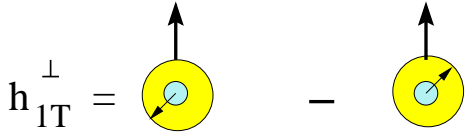
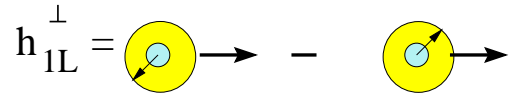
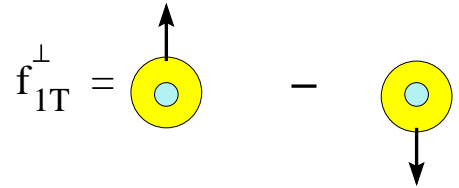
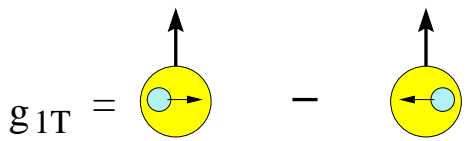
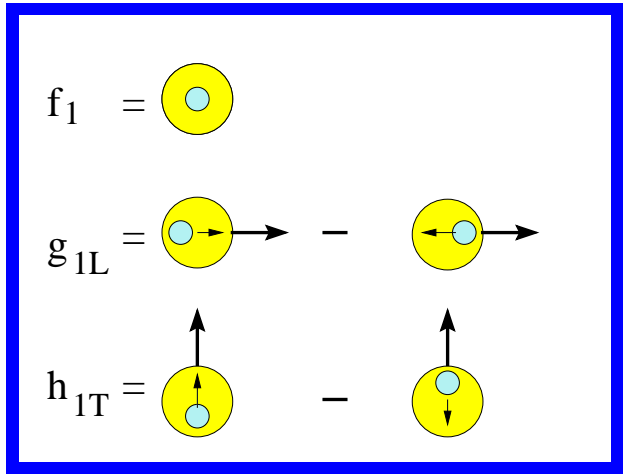
- Collins function H_1^\perp describes left-right asymmetry in the direction of outgoing hadron
- Originally proposed by Collins (1993)
- T-odd \Rightarrow need interference of amplitudes
- basically unknown (estimates from DELPHI data and model calculations exist)

Caution!

Other Spin-Momentum-Correlations exist!

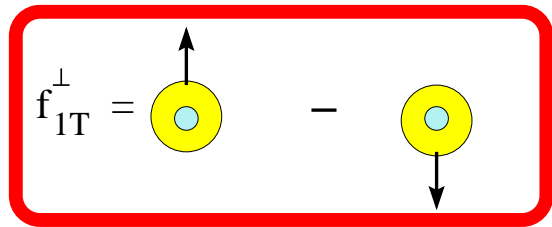
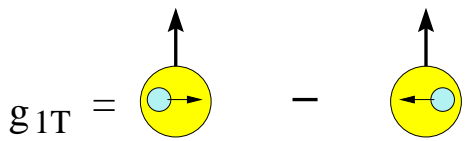
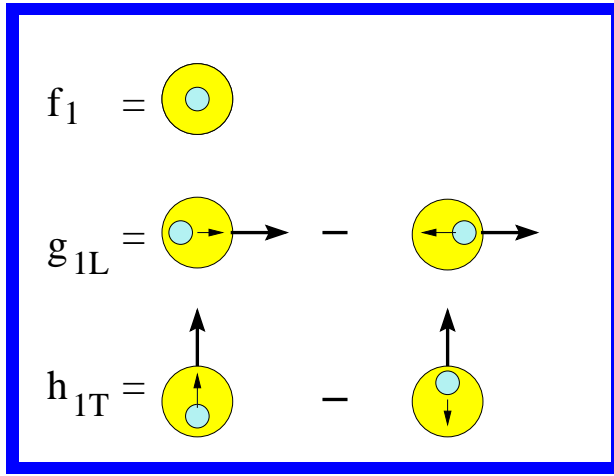
Unintegrated Quark Distributions

Functions surviving integration over intrinsic transverse momentum

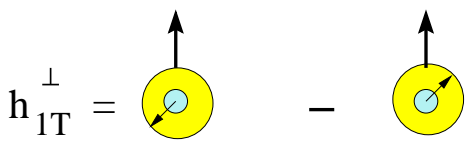
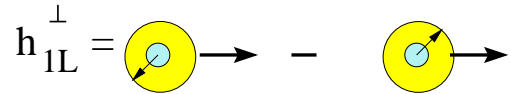


Unintegrated Quark Distributions

Functions surviving integration over intrinsic transverse momentum

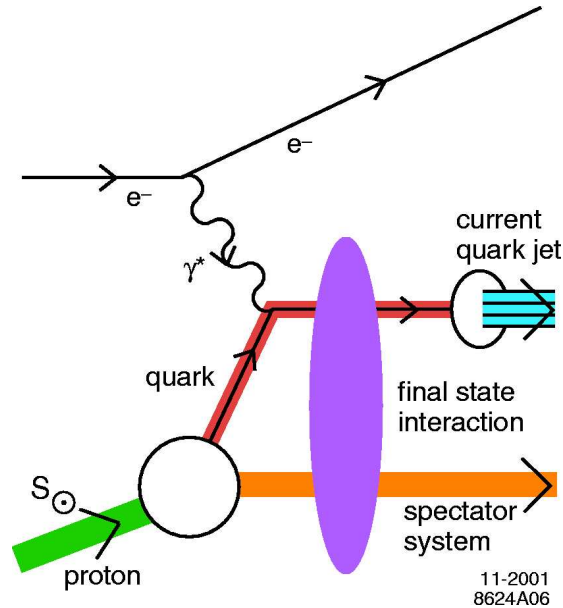


Sivers Function



Some words about **Sivers Effect**

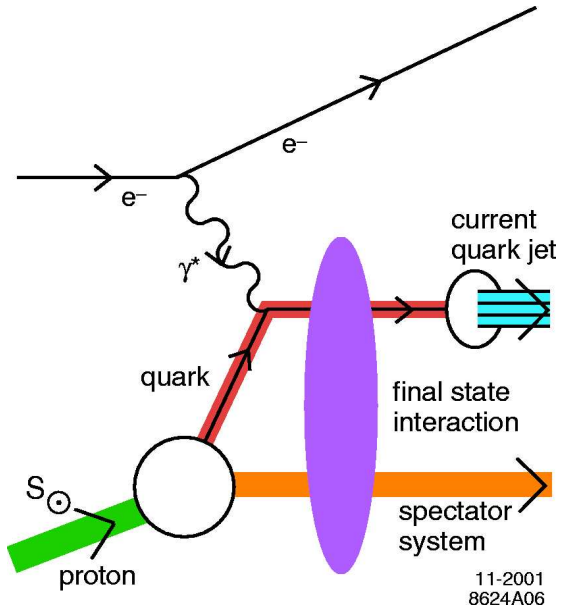
Thanks to Brodsky, Hwang, Schmidt:



- quark rescattering via soft gluon exchange
- correlates transverse spin with direction of outgoing hadron
- requires L_z of quarks

Some words about **Sivers Effect**

Thanks to Brodsky, Hwang, Schmidt:



- quark rescattering via soft gluon exchange
- correlates transverse spin with direction of outgoing hadron
- requires L_z of quarks

Thanks to Collins, Ji, Yuan, Belitzky ...:

- Soft gluon is model for gauge link needed for gauge invariance
- Gauge links provide necessary complex phase for interference
- T-Symmetry of QCD requires **opposite sign of Sivers function in DIS and DY**
- slightly different approach by Burkardt using impact parameter dependent PDF's ("chromodynamic lensing")

Azimuthal Single-Spin Asymmetries

$ep \rightarrow e' h X$ – study azimuthal distribution of hadrons:
 (Unpolarized beam & Transversely polarized target)

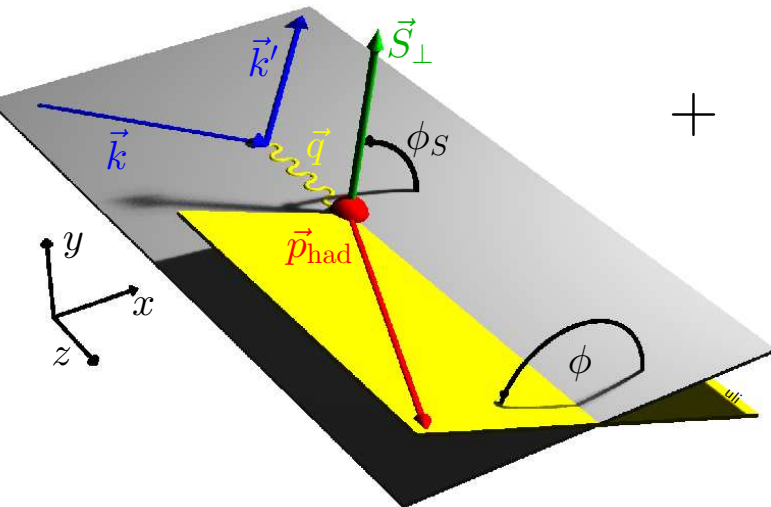
$$A_{UT}(\phi, \phi_S) = \frac{1}{\langle S_{\perp} \rangle} \frac{N^+(\phi, \phi_S) - N^-(\phi, \phi_S)}{N^+(\phi, \phi_S) + N^-(\phi, \phi_S)}$$

$$\sim \sin(\phi + \phi_S) \sum_q e_q^2 \mathcal{I} \left[h_{1T}^q(x, p_T^2) H_1^{\perp, q}(z, k_T^2) \right]$$

$$+ \sin(\phi - \phi_S) \sum_q e_q^2 \mathcal{I} \left[f_{1T}^{\perp, q}(x, q_T^2) D_1^q(z, k_T^2) \right]$$

+ ...

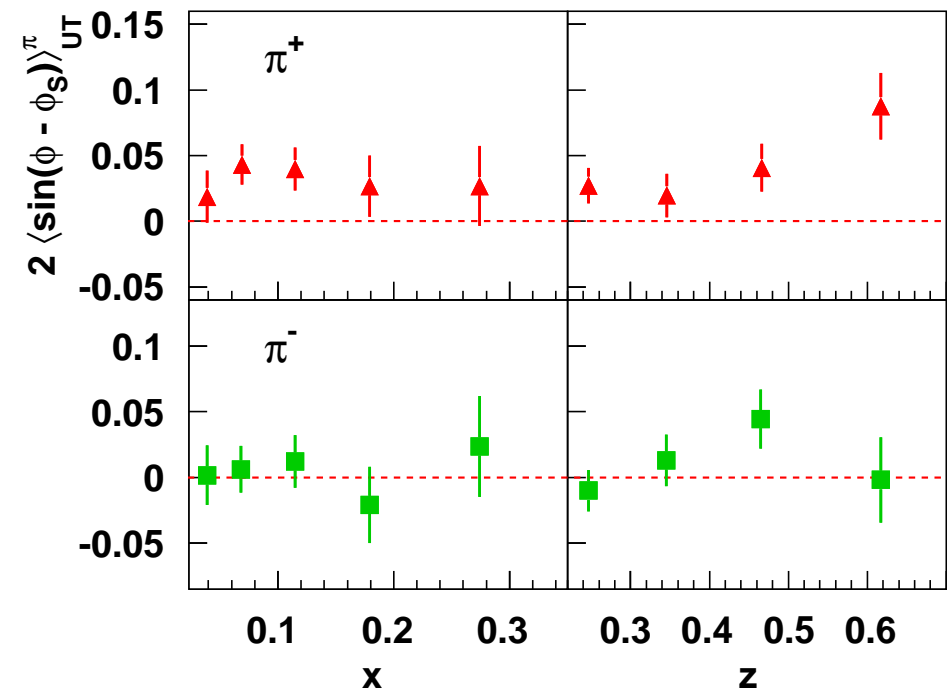
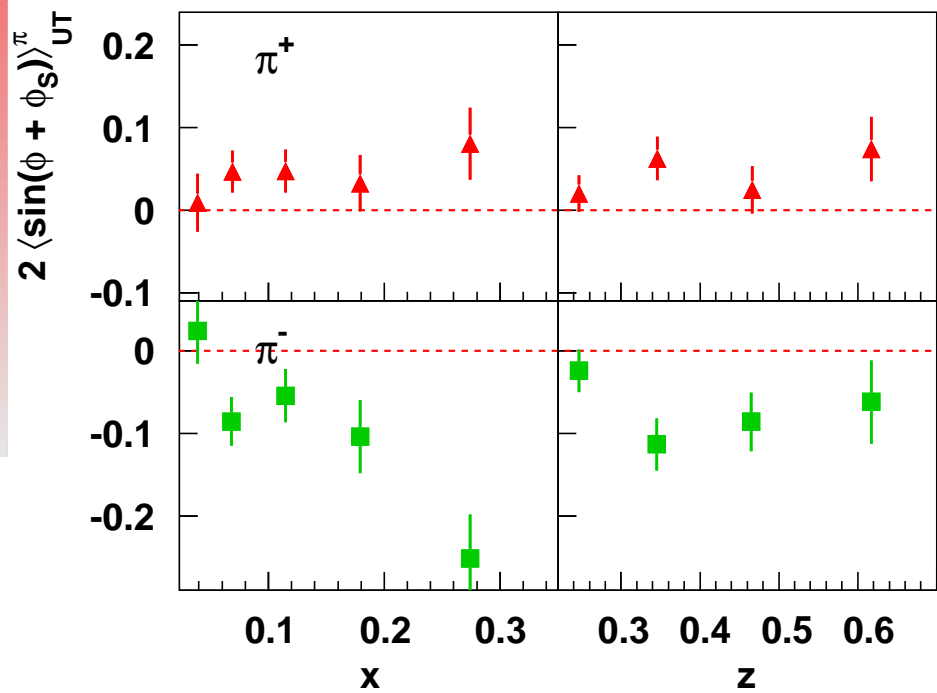
$\mathcal{I}[\dots]$: convolution integral over initial (p_T) and final (k_T) quark transverse momenta



Sine Moments of Countrate Asymmetries

Fit $A(\phi, \phi_S) = A_C \frac{B(\langle y \rangle)}{A(\langle x \rangle, \langle y \rangle)} \sin(\phi + \phi_S) + A_S \sin(\phi - \phi_S)$

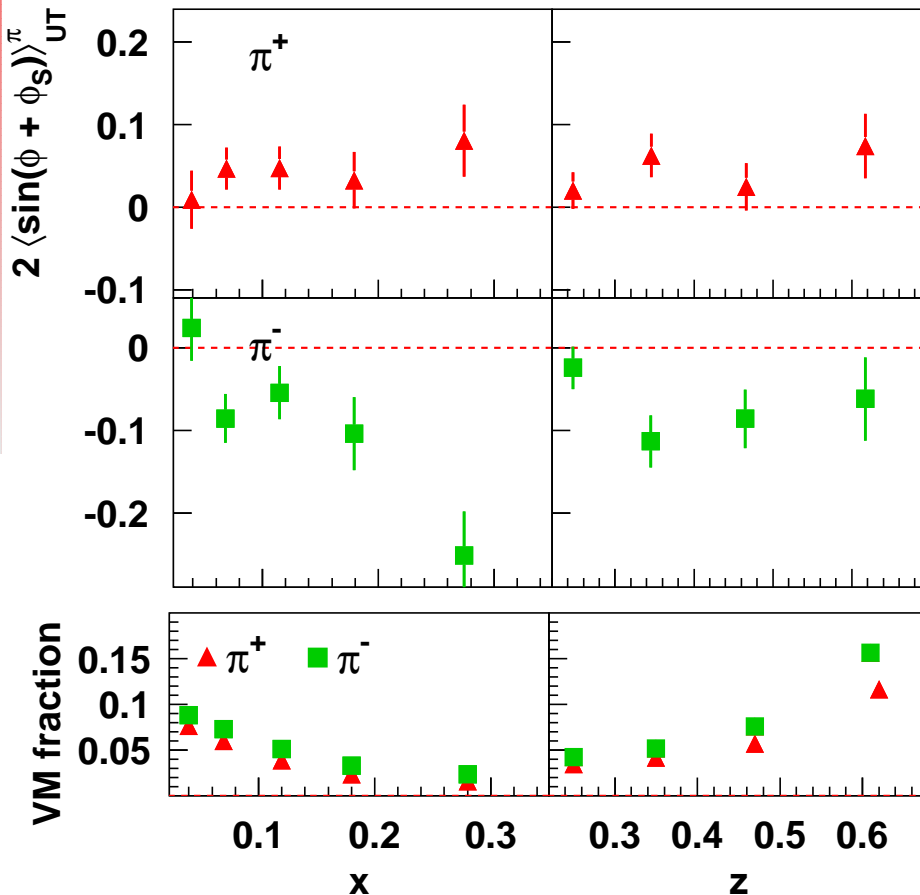
(Virtual Photon Asymmetries)



A. Airapetian et al, hep-ex/0408013 (submitted to PRL)

Sine Moments of Counter Asymmetries

Collins Asymmetry: $A_C \propto -h_1(x, p_T^2) H_1^\perp(z, z^2 k_T^2)$

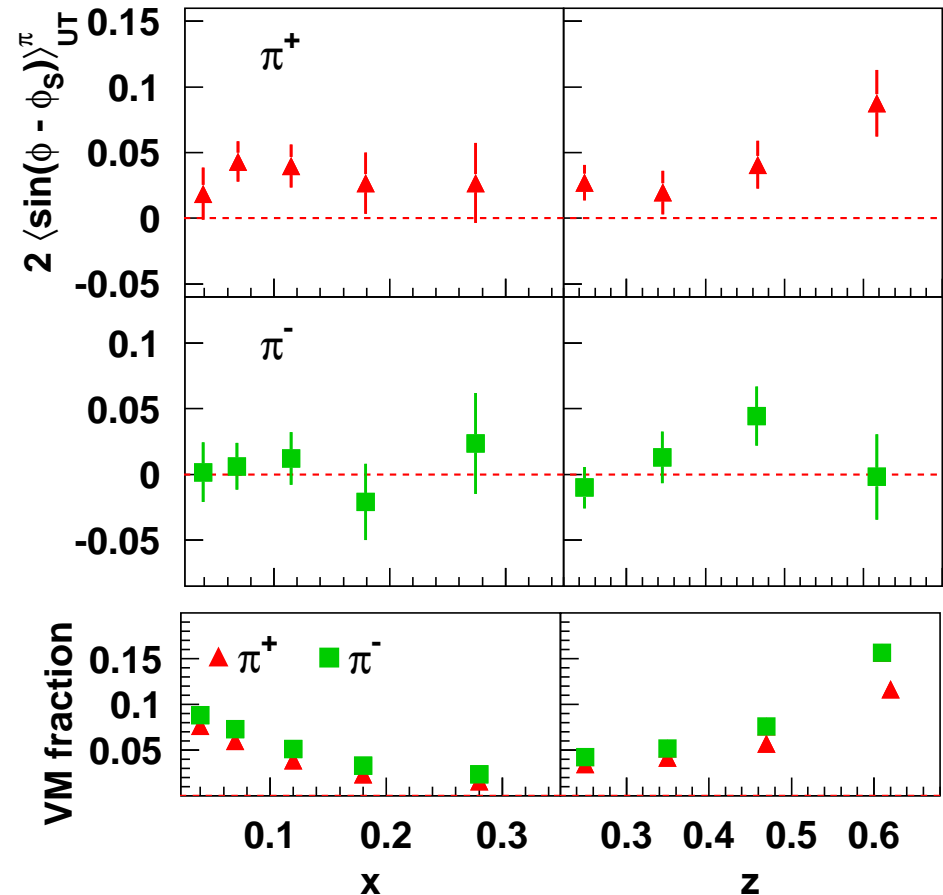


- positive for π^+ and negative for π^- as maybe expected (expectation for transversity gives positive δu and negative δd)
- unexpected large π^- asymmetry
- averaged over acceptance:
 $A_C^{\pi^+} = 0.042 \pm 0.014$ and
 $A_C^{\pi^-} = -0.076 \pm 0.016$
- overall scale uncertainty of 8%
- contribution to pion sample from exclusively produced vector mesons (VM) (from PYTHIA MC)

Sine Moments of Countrate Asymmetries

Sivers Asymmetry: $A_S \propto -f_{1T}^\perp(x, p_T^2) D_1(z, z^2 k_T^2)$

- significantly positive for π^+
- first hint of T-odd distribution function from DIS
- π^- asymmetry consistent with zero
- averaged over acceptance:
 $A_S^{\pi^+} = 0.034 \pm 0.008$ and
 $A_S^{\pi^-} = 0.004 \pm 0.010$
- overall scale uncertainty of 8%
- systematic error due to VM contribution unknown because VM asymmetry itself unknown



Resolving the Convolution Integral

Weight with transverse hadron momentum $P_{h\perp}$ to resolve convolution:

$$\begin{aligned} \tilde{A}_{UT}(\phi, \phi_S) &= \frac{1}{\langle S_{\perp} \rangle} \frac{\sum_{i=1}^{N^+} P_{h\perp,i} - \sum_{i=1}^{N^-} P_{h\perp,i}}{N^+ + N^-} \\ &\sim \sin(\phi + \phi_C) \cdot \sum_q e_q^2 \delta q(x) z H_1^{\perp(1),q}(z) \quad (1): \quad p_T^2/k_T^2\text{-moment of} \\ &\quad - \sin(\phi - \phi_S) \cdot \sum_q e_q^2 f_{1T}^{\perp(1),q}(x) z D_1^q(z) \quad \text{distribution / fragmentation} \\ &\quad + \dots \quad \text{function} \end{aligned}$$

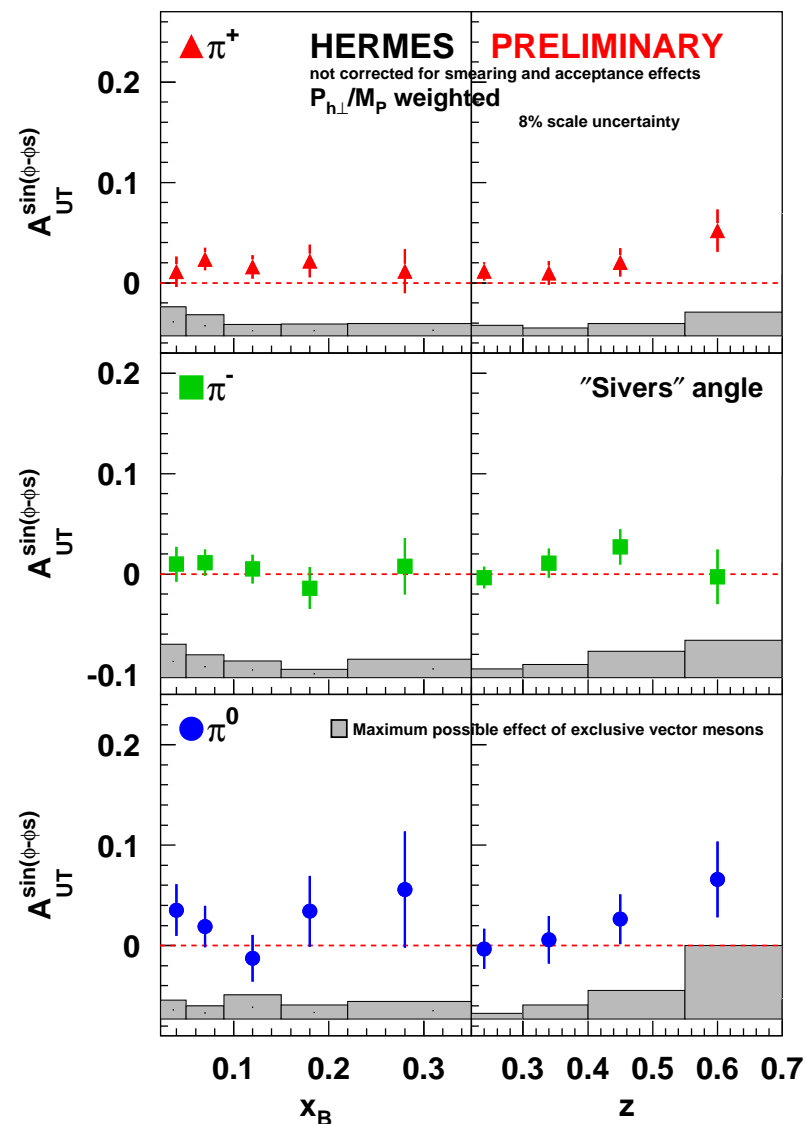
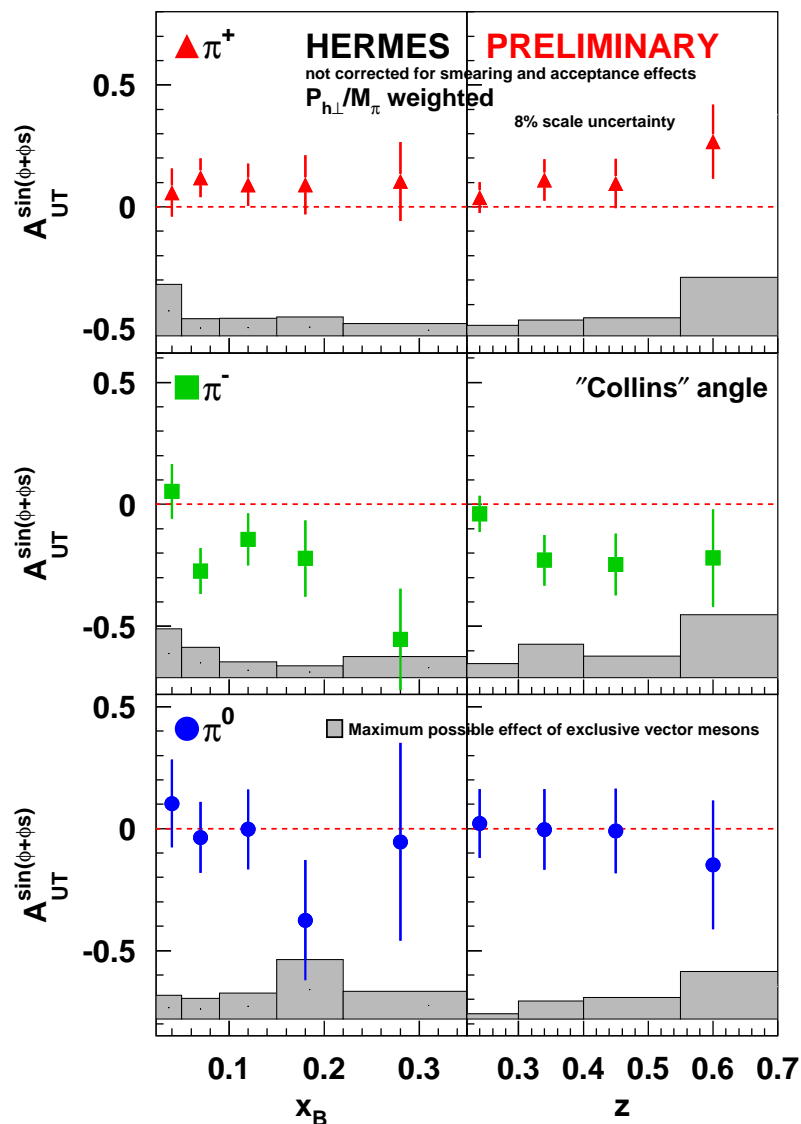
⇒ 2D-fit of \tilde{A}_{UT} to get Collins and Sivers asymmetries:

$$\tilde{A}_{UT}(\phi, \phi_S) = M_{\pi} \tilde{A}_C(x, z) \sin(\phi + \phi_S) + M_p \tilde{A}_S(x, z) \sin(\phi - \phi_S)$$

Preliminary Results ($P_{h\perp}$ weighted)

$$\tilde{A}_{UT}^{\sin\Phi} \propto \delta q(x) \cdot z H_1^{\perp(1)}(z)$$

$$\tilde{A}_{UT}^{\sin\Phi} \propto -f_{1T}^{\perp(1)}(x) \cdot z D_1(z)$$



A Closer Look at Collins Asymmetries I

rewrite asymmetries in terms of favored and disfavored fragmentation:

- use $P_{h\perp}$ weighted asymmetries
- neglect strange quarks
- employ symmetry among fragmentation functions, i.e.

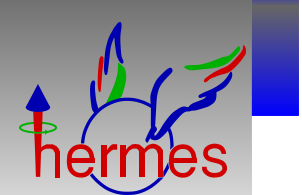
$$D_f \equiv D(u \rightarrow \pi^+) \simeq D(d \rightarrow \pi^-) \simeq D(\bar{d} \rightarrow \pi^+) \simeq D(\bar{u} \rightarrow \pi^-)$$

$$D_d \equiv D(d \rightarrow \pi^+) \simeq D(u \rightarrow \pi^-) \simeq D(\bar{u} \rightarrow \pi^+) \simeq D(\bar{d} \rightarrow \pi^-)$$

$$\frac{1}{2}(D_f + D_d) \simeq D(u \rightarrow \pi^0) \simeq D(d \rightarrow \pi^0) \simeq D(\bar{d} \rightarrow \pi^0) \simeq D(\bar{u} \rightarrow \pi^0)$$

$$\hookrightarrow \tilde{A}_C^{\pi^+/\pi^-}(x, z) \propto \frac{(4\delta u + \delta \bar{d})H_{f/d} + (4\delta \bar{u} + \delta d)H_{d/f}}{(4u + \bar{d})D_{f/d} + (4\bar{u} + d)D_{d/f}}$$

$$\tilde{A}_C^{\pi^0}(x, z) \propto \frac{[4(\delta u + \delta \bar{u}) + \delta d + \delta \bar{d}](H_f + H_d)}{[4(u + \bar{u}) + d + \bar{d}](D_f + D_d)}$$



A Closer Look at Collins Asymmetries II

express asymmetries in terms of flavor ratios:

$$\begin{aligned} \tilde{A}_C^{\pi^+} &= \mathcal{K}(x, z) \frac{4 + \delta r \mathcal{H}}{4 + r \mathcal{D}} \\ \tilde{A}_C^{\pi^-} &= \mathcal{K}(x, z) \frac{4 \mathcal{H} + \delta r}{4 \mathcal{D} + r} \\ \tilde{A}_C^{\pi^0} &= \mathcal{K}(x, z) \frac{(4 + \delta r)(1 + \mathcal{H})}{(4 + r)(1 + \mathcal{D})} \end{aligned}$$

Polarized Objects

$$\begin{aligned} \mathcal{H} &= \frac{H_d}{H_f} \\ \delta r &= \frac{\delta d + 4 \delta \bar{u}}{\delta u + \frac{1}{4} \delta \bar{d}} \end{aligned}$$

Unpolarized Objects

$$\begin{aligned} \mathcal{D} &= \frac{D_d}{D_f} \\ r &= \frac{d + 4 \bar{u}}{u + \frac{1}{4} \bar{d}} \end{aligned}$$

Mixed

$$\mathcal{K} = \frac{(\delta u + \frac{1}{4} \delta \bar{d}) z H_f}{(u + \frac{1}{4} \bar{d}) D_f}$$

i.e. CTEQ6,R1990 and Kretzer et al.

⇒ 3 constraints and 3 unknowns!

A Closer Look at Collins Asymmetries II

express asymmetries in terms of flavor ratios:

$$\tilde{A}_C^{\pi^+} = \mathcal{K}(x, z) \frac{4 + \delta r \mathcal{H}}{4 + r \mathcal{D}}$$

$$\tilde{A}_C^{\pi^-} = \mathcal{K}(x, z) \frac{4 \mathcal{H} + \delta r}{4 \mathcal{D} + r}$$

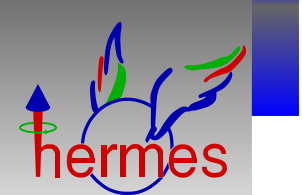
$$\tilde{A}_C^{\pi^0} = \mathcal{K}(x, z) \frac{(4 + \delta r)(1 + \mathcal{H})}{(4 + r)(1 + \mathcal{D})}$$

The three asymmetries are not independent ($C(x, z) \equiv \frac{r(x) + 4\mathcal{D}(z)}{r(x)\mathcal{D}(z) + 4}$):

$$\tilde{A}_C^{\pi^+}(x, z) + C(x, z) \tilde{A}_C^{\pi^-}(x, z) - (1 + C(x, z)) \tilde{A}_C^{\pi^0}(x, z) = 0$$

for measured asymmetries: 0.17 ± 0.36 (stat.)

\Rightarrow 3 constraints and 3 unknowns!



A Closer Look at Collins Asymmetries II

express asymmetries in terms of flavor ratios:

$$\begin{aligned} \tilde{A}_C^{\pi^+} &= \mathcal{K}(x, z) \frac{4 + \delta r \mathcal{H}}{4 + r \mathcal{D}} \\ \tilde{A}_C^{\pi^-} &= \mathcal{K}(x, z) \frac{4 \mathcal{H} + \delta r}{4 \mathcal{D} + r} \\ \tilde{A}_C^{\pi^0} &= \mathcal{K}(x, z) \frac{(4 + \delta r)(1 + \mathcal{H})}{(4 + r)(1 + \mathcal{D})} \end{aligned}$$

Polarized Objects

$$\begin{aligned} \mathcal{H} &= \frac{H_d}{H_f} \\ \delta r &= \frac{\delta d + 4 \delta \bar{u}}{\delta u + \frac{1}{4} \delta \bar{d}} \end{aligned}$$

Unpolarized Objects

$$\begin{aligned} \mathcal{D} &= \frac{D_d}{D_f} \\ r &= \frac{d + 4 \bar{u}}{u + \frac{1}{4} \bar{d}} \end{aligned}$$

Mixed

$$\mathcal{K} = \frac{(\delta u + \frac{1}{4} \delta \bar{d}) z H_f}{(u + \frac{1}{4} \bar{d}) D_f}$$

i.e. CTEQ6,R1990 and Kretzer et al.

⇒ ~~3~~ constraints and 3 unknowns!

2

A Closer Look at Collins Asymmetries III

eliminate \mathcal{K} and relate \mathcal{H} to δr

\Rightarrow scan solution space for \mathcal{H} and δr by sampling set of $(\tilde{A}_C^{\pi^+}, \tilde{A}_C^{\pi^-}, \tilde{A}_C^{\pi^0})$

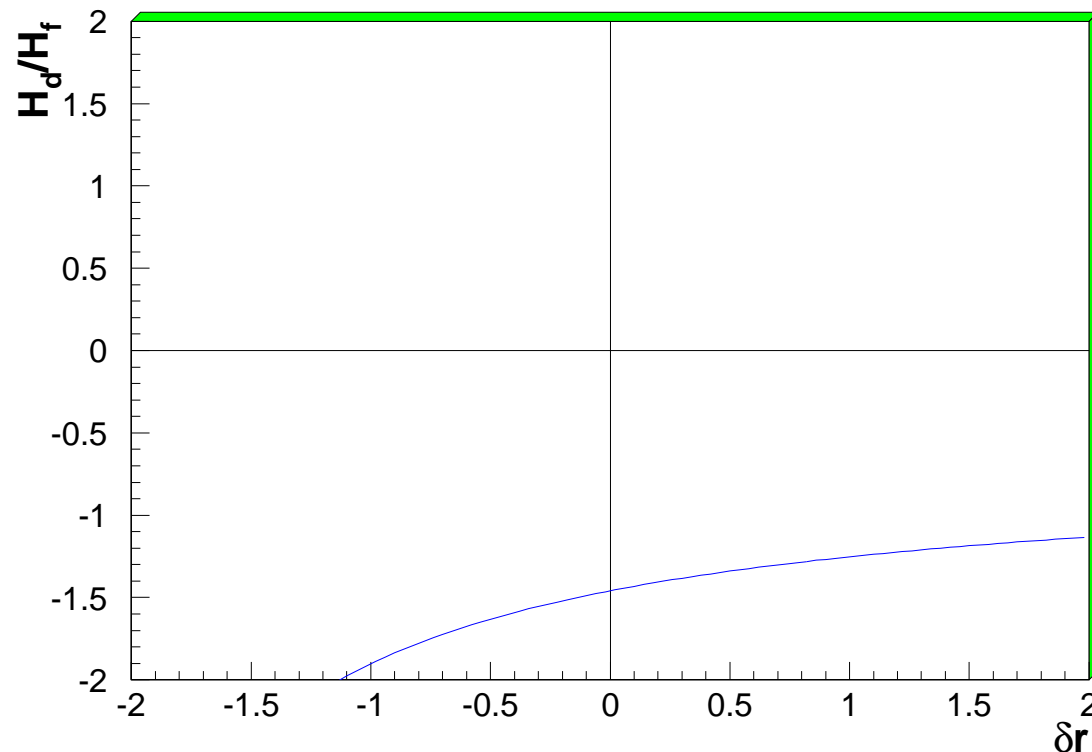
(around measured values according to statistical uncertainty)

A Closer Look at Collins Asymmetries III

eliminate \mathcal{K} and relate \mathcal{H} to δr

⇒ scan solution space for \mathcal{H} and δr by sampling set of $(\tilde{A}_C^{\pi^+}, \tilde{A}_C^{\pi^-}, \tilde{A}_C^{\pi^0})$

(around measured values according to statistical uncertainty)

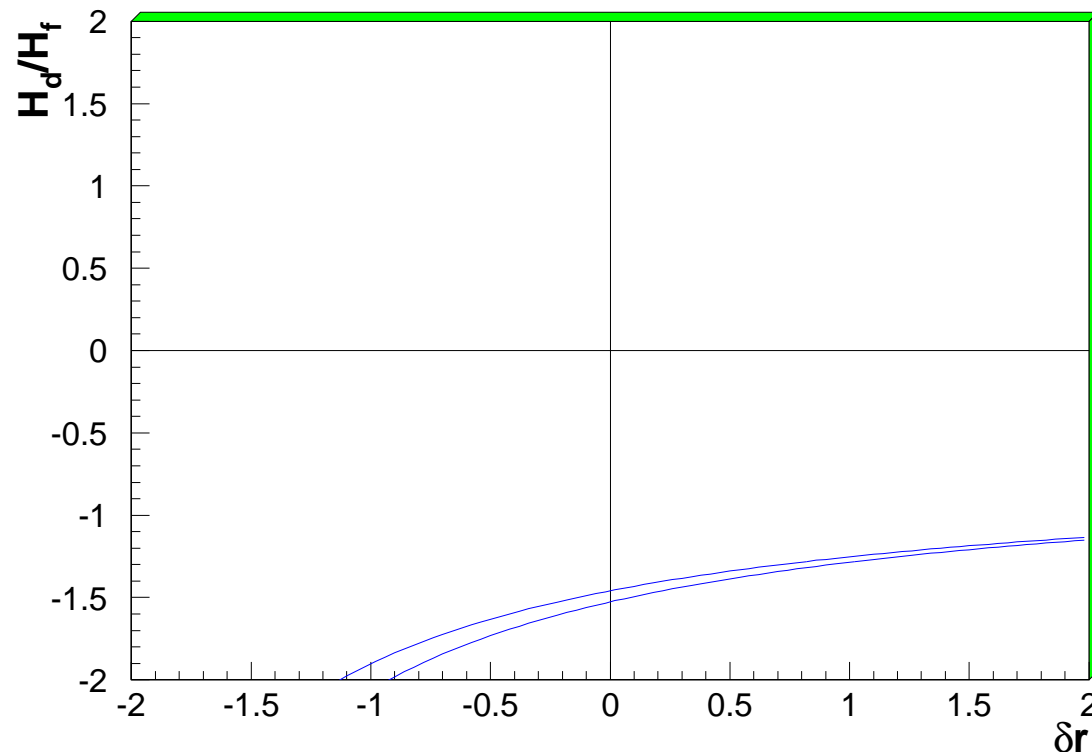


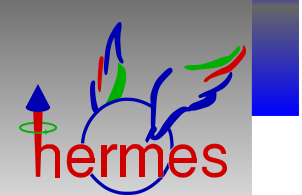
A Closer Look at Collins Asymmetries III

eliminate \mathcal{K} and relate \mathcal{H} to δr

⇒ scan solution space for \mathcal{H} and δr by sampling set of $(\tilde{A}_C^{\pi^+}, \tilde{A}_C^{\pi^-}, \tilde{A}_C^{\pi^0})$

(around measured values according to statistical uncertainty)



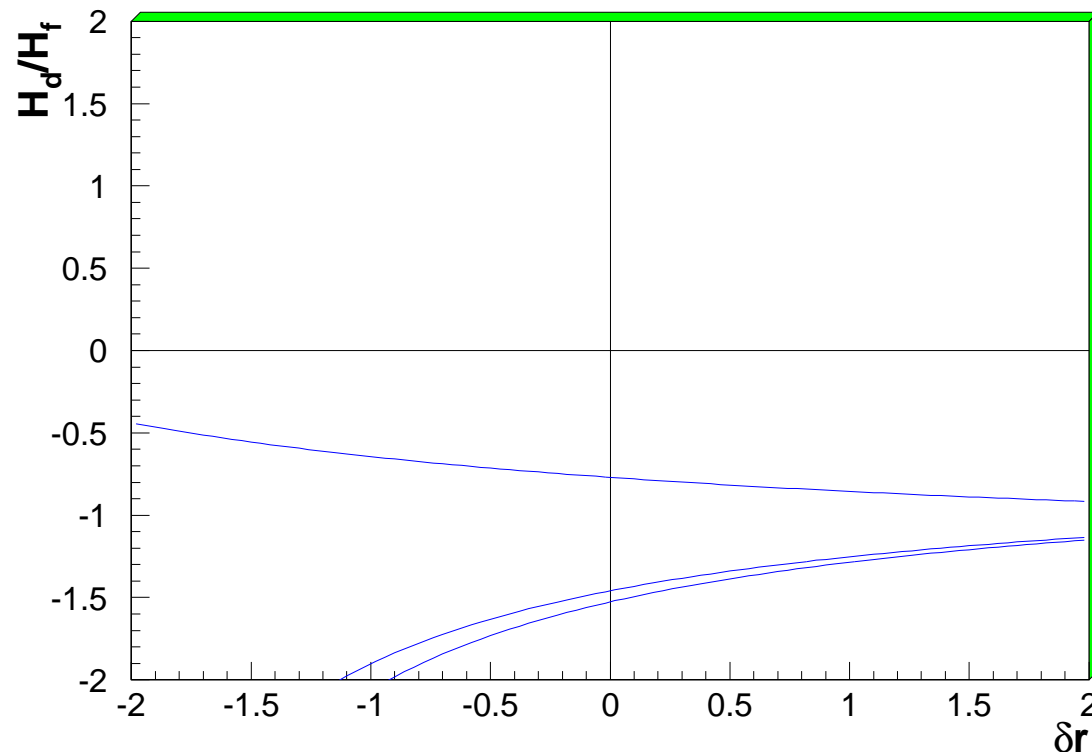


A Closer Look at Collins Asymmetries III

eliminate \mathcal{K} and relate \mathcal{H} to δr

⇒ scan solution space for \mathcal{H} and δr by sampling set of $(\tilde{A}_C^{\pi^+}, \tilde{A}_C^{\pi^-}, \tilde{A}_C^{\pi^0})$

(around measured values according to statistical uncertainty)

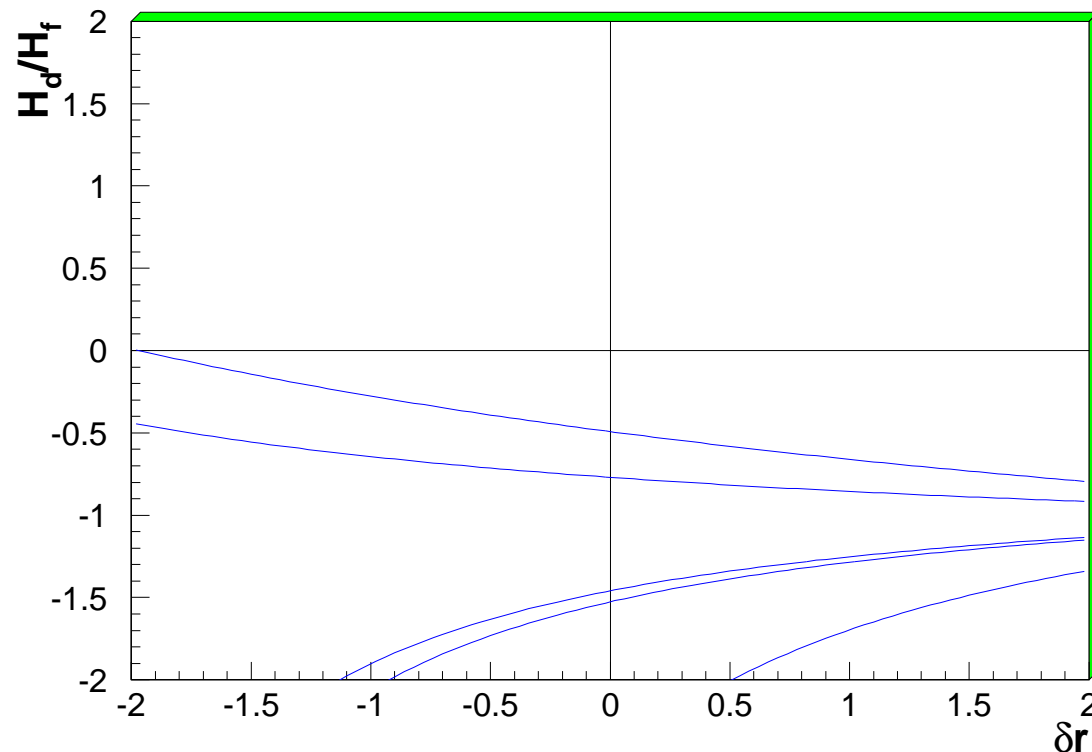


A Closer Look at Collins Asymmetries III

eliminate \mathcal{K} and relate \mathcal{H} to δr

⇒ scan solution space for \mathcal{H} and δr by sampling set of $(\tilde{A}_C^{\pi^+}, \tilde{A}_C^{\pi^-}, \tilde{A}_C^{\pi^0})$

(around measured values according to statistical uncertainty)

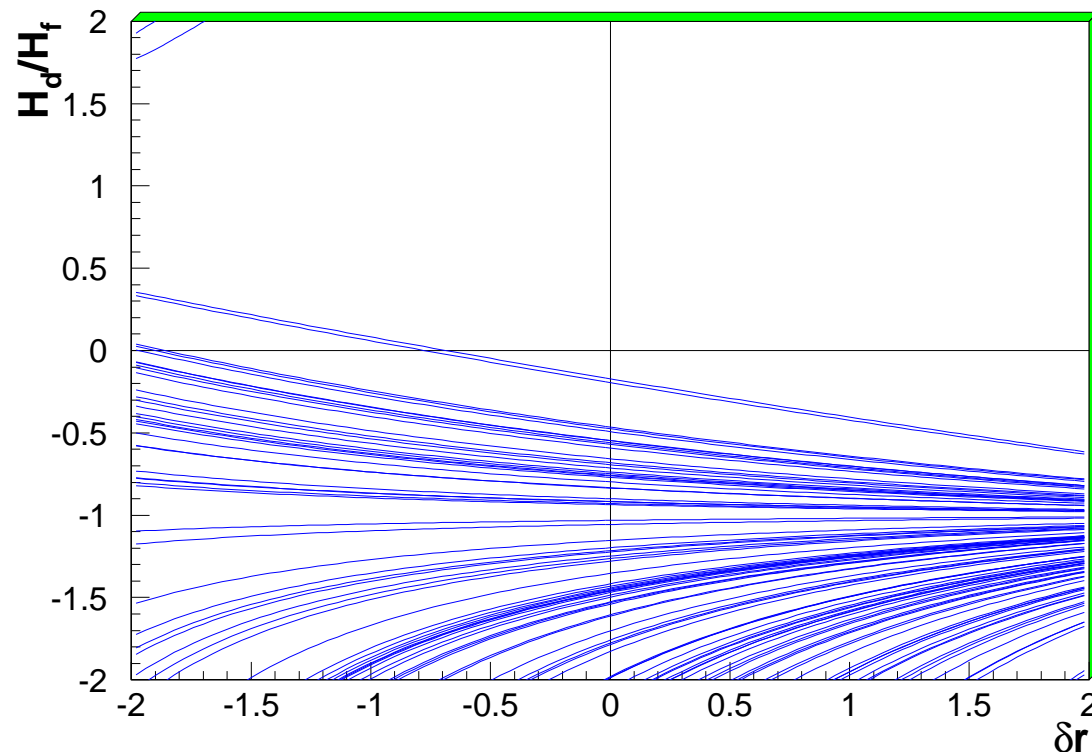


A Closer Look at Collins Asymmetries III

eliminate \mathcal{K} and relate \mathcal{H} to δr

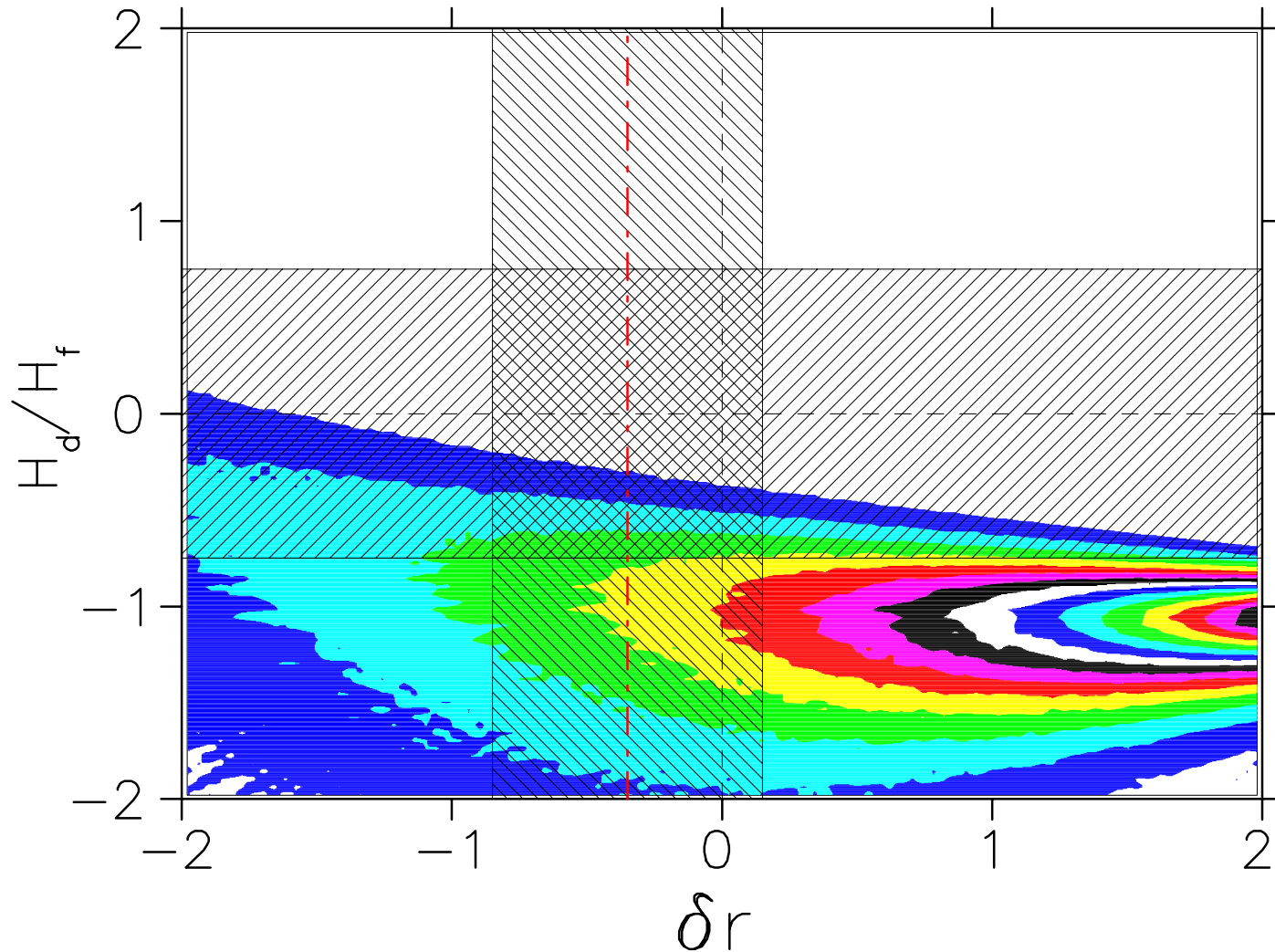
⇒ scan solution space for \mathcal{H} and δr by sampling set of $(\tilde{A}_C^{\pi^+}, \tilde{A}_C^{\pi^-}, \tilde{A}_C^{\pi^0})$

(around measured values according to statistical uncertainty)



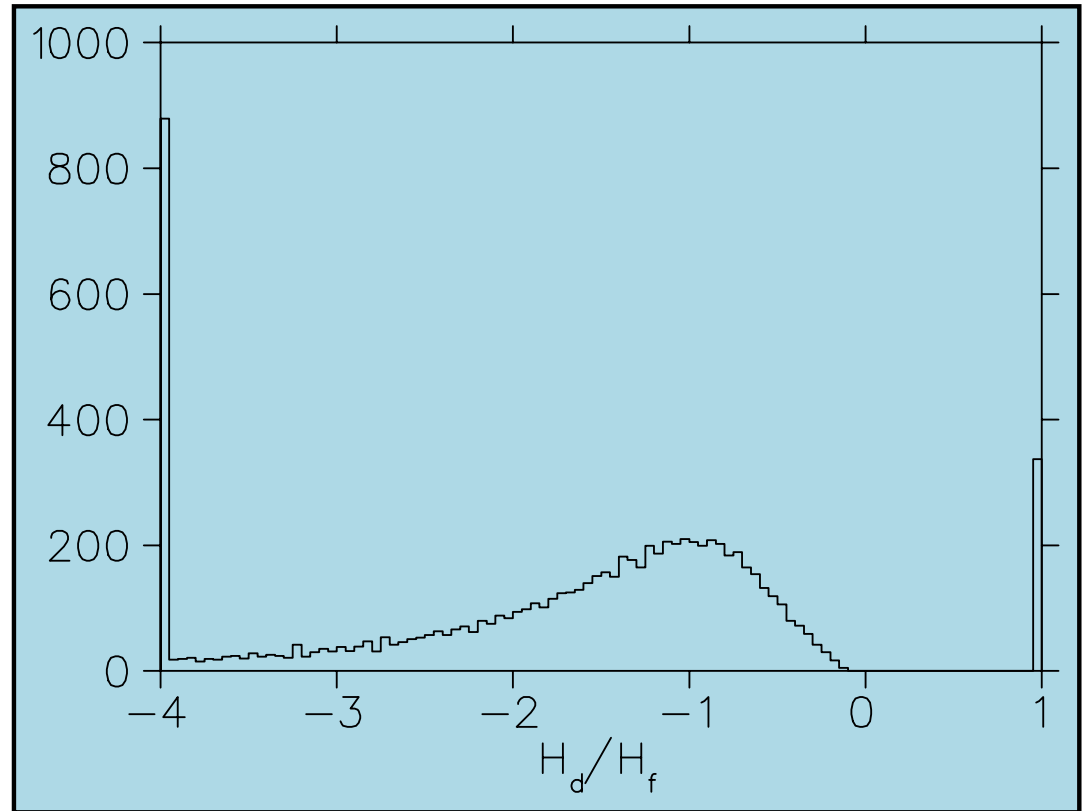
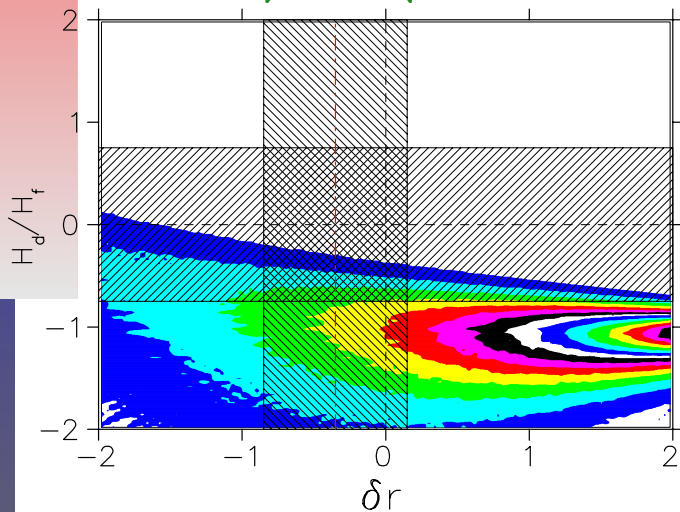
Limits on Transversity and Collins FF

probability distribution for H_d/H_f vs. δr



Limits on Transversity and Collins FF

$\delta r \approx \delta d / \delta u$ from χ QSM → look at slice of distribution in δr :

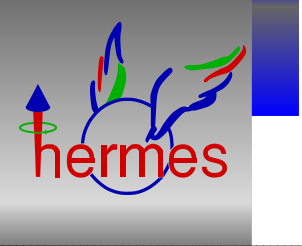


strong hint for H_d/H_f negative



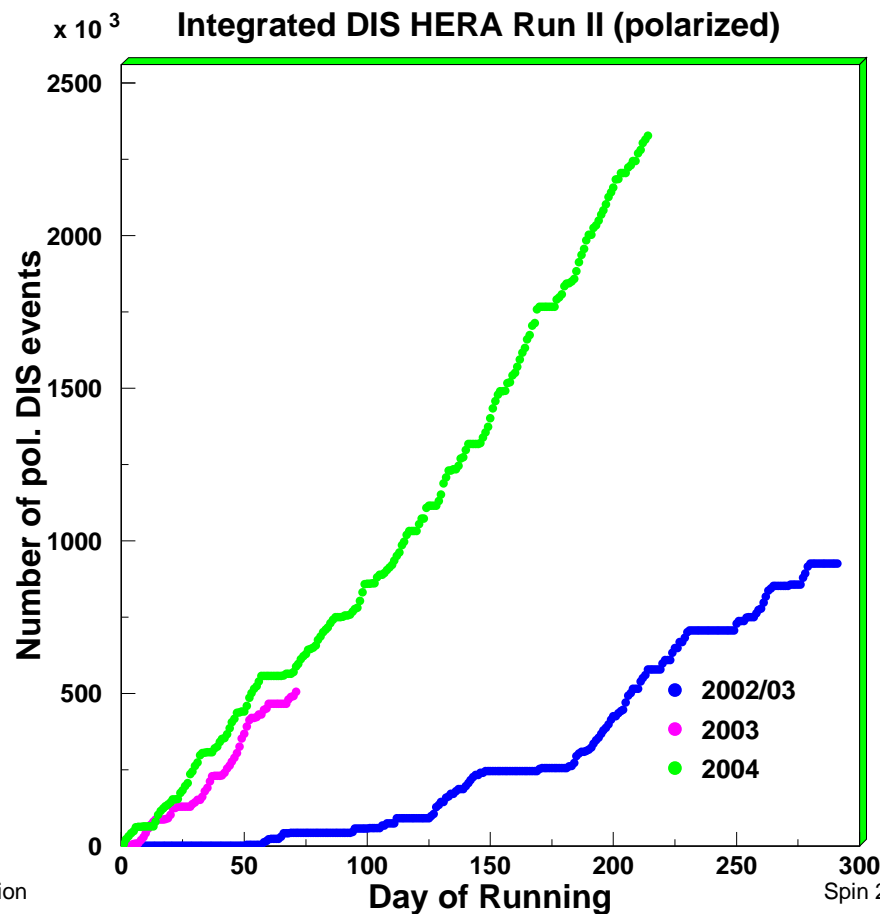
Summary and Outlook

- Non-zero Collins effect observed with $H_d/H_f < 0$
- First evidence of T-odd Sivers distribution in DIS?

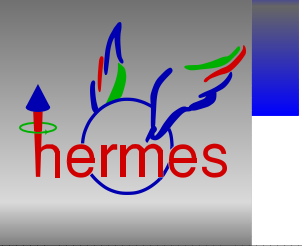


Summary and Outlook

- Non-zero Collins effect observed with $H_d/H_f < 0$
- First evidence of T-odd Sivers distribution in DIS?
- More data taking since fall of 2003



- Non-zero Collins effect observed with $H_d/H_f < 0$
 - First evidence of T-odd Sivers distribution in DIS?
 - More data taking since fall of 2003
- ⇒ Additional statistics allows analysis of different channels to access transversity:
- 2-Meson-Correlations ⇒ Interference FF
 - Spin-1 Fragmentation
 - Spin-1/2 Fragmentation
(transverse Λ polarization)



Summary and Outlook

- Non-zero Collins effect observed with $H_d/H_f < 0$

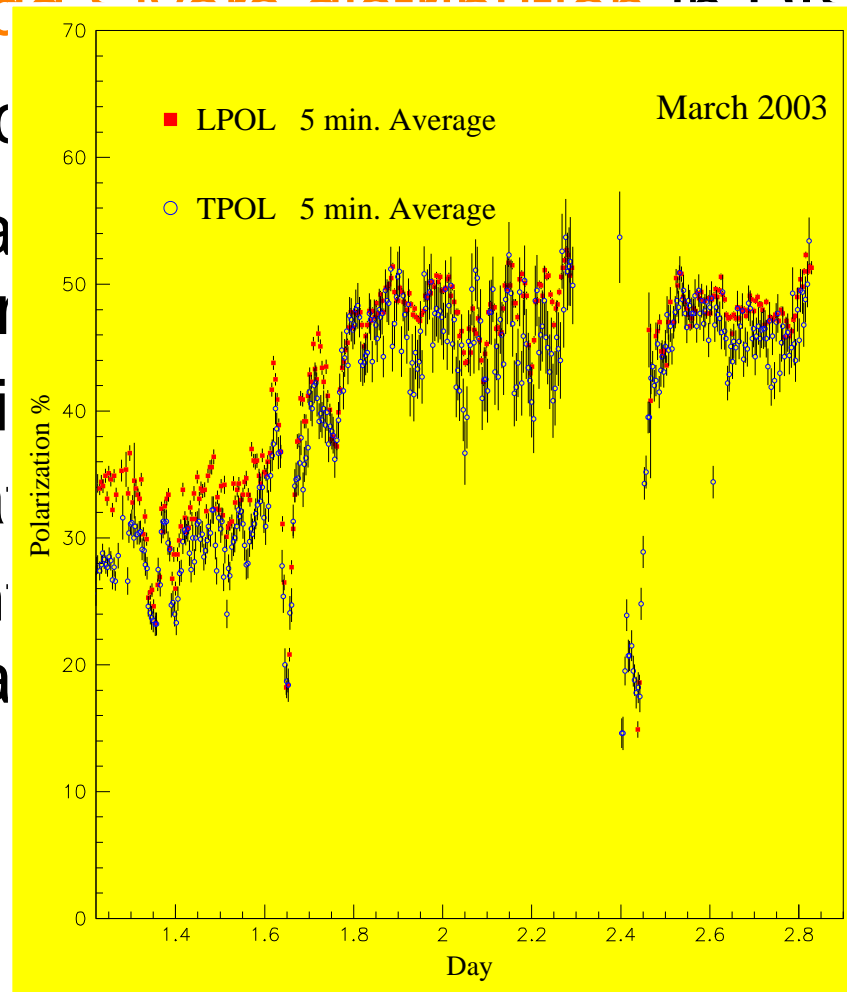
- First evidence of T-odd Collins distribution in DIS?

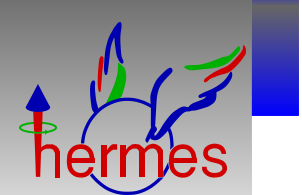
- More data taking since

⇒ Additional statistics and channels to access the

- 2-Meson-Correlation
- Spin-1 Fragmentation
- Spin-1/2 Fragmentation (transverse Λ polarization)

- polarized beam





Summary and Outlook

- Non-zero Collins effect observed with $H_d/H_f < 0$
 - First evidence of T-odd Sivers distribution in DIS?
 - More data taking since fall of 2003
- ⇒ Additional statistics allows analysis of different channels to access transversity:
- 2-Meson-Correlations ⇒ Interference FF
 - Spin-1 Fragmentation
 - Spin-1/2 Fragmentation
(transverse Λ polarization)
- polarized beam ⇒ A_{LT} in π production
(measurement of twist-3 fragmentation function and transversity)

- $0.023 < x < 0.4 \quad \Rightarrow \langle x \rangle = 0.09$
- $0.2 < z < .7 \quad \Rightarrow \langle z \rangle = 0.36$
- $0.1 < y < 0.85 \quad \Rightarrow \langle y \rangle = 0.54$
- $Q^2 > 1 \text{ GeV}^2 \quad \Rightarrow \langle Q^2 \rangle = 2.41 \text{ GeV}^2$
- $W^2 > 10 \text{ GeV}^2$
- $\theta_{\gamma^*h} > 0.02\text{rad} \quad \Rightarrow \langle P_{h\perp} \rangle = 0.41 \text{ GeV}$