

# Transverse Partonic Structure of Hadrons

Yerevan, Armenia  
June 21-26 / 2009

## TMDs in Single-Spin Asymmetries at HERMES



Gunar.Schnell @ desy.de  
DESY Zeuthen

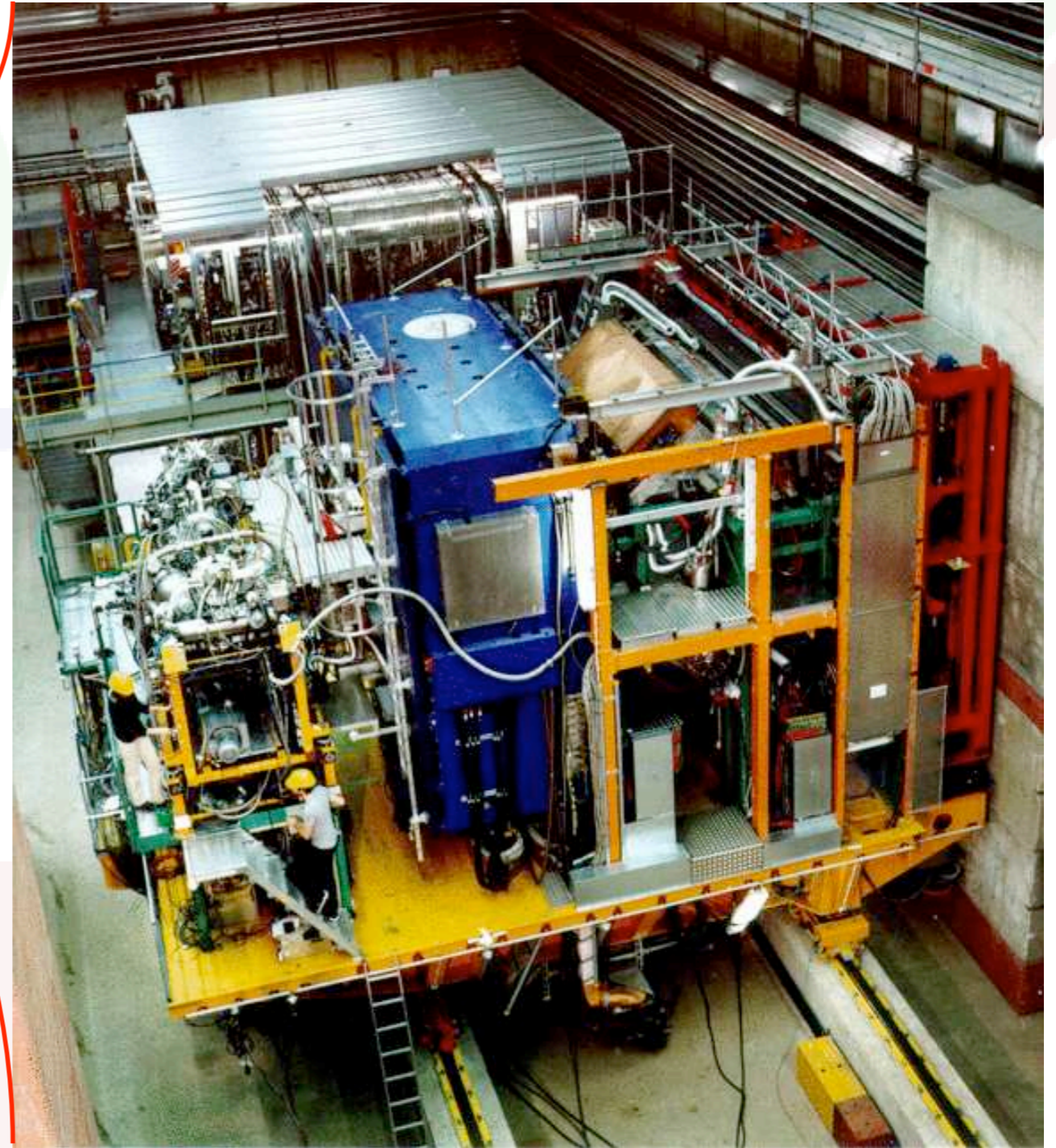


# The HERMES Experiment

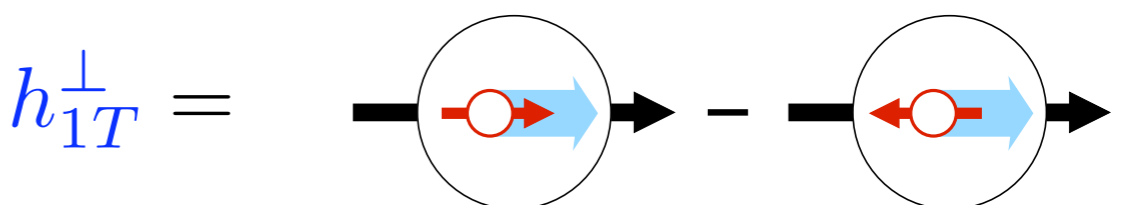
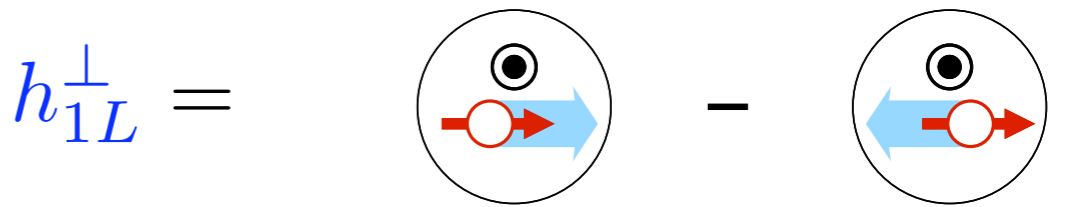
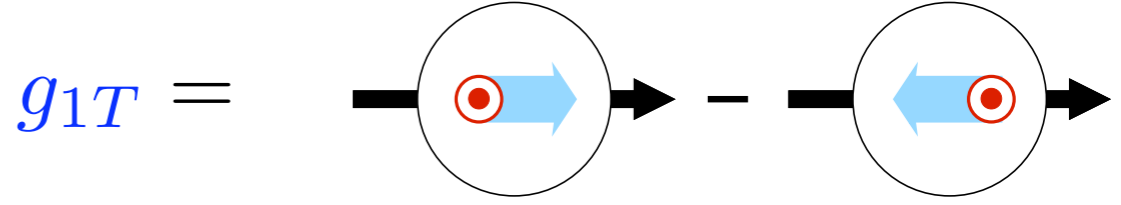
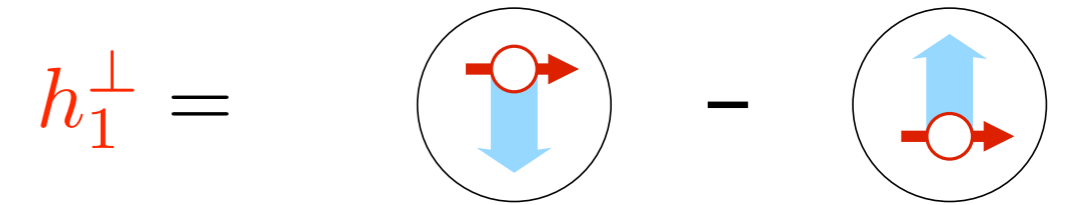
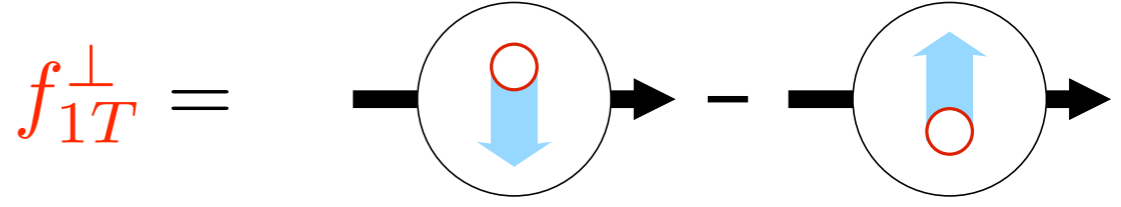
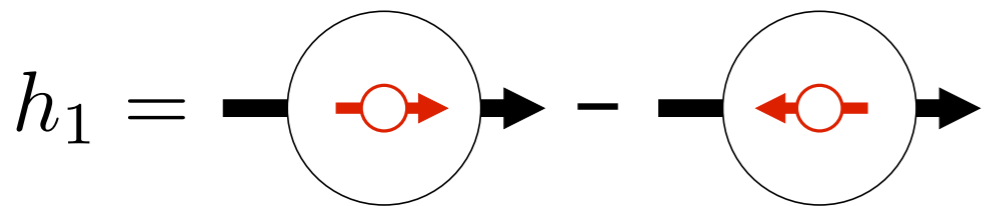
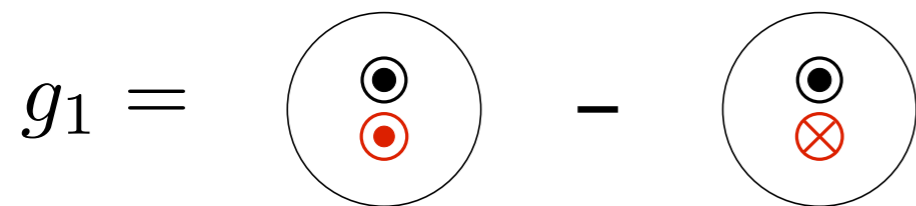
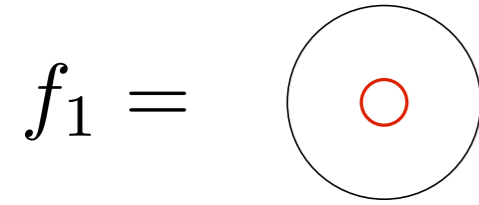
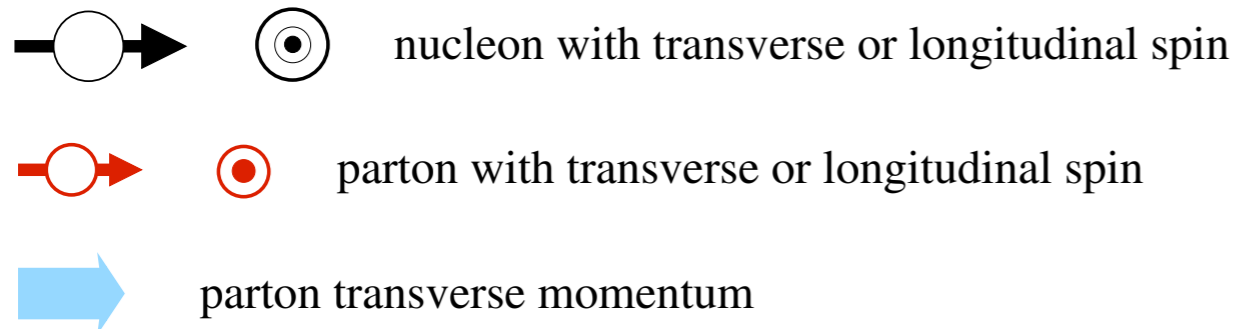
27.5 GeV  $e^+/e^-$  beam of HERA



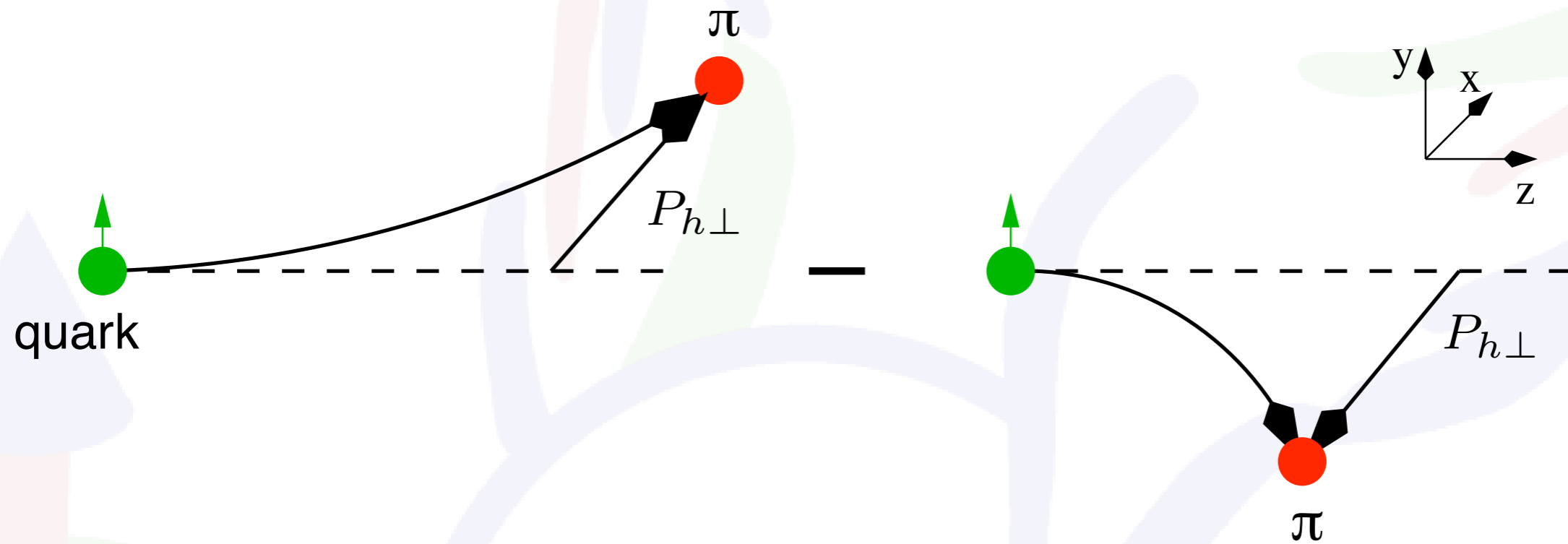
this talk: unpolarized and transversely/longitudinally polarized internal H targets



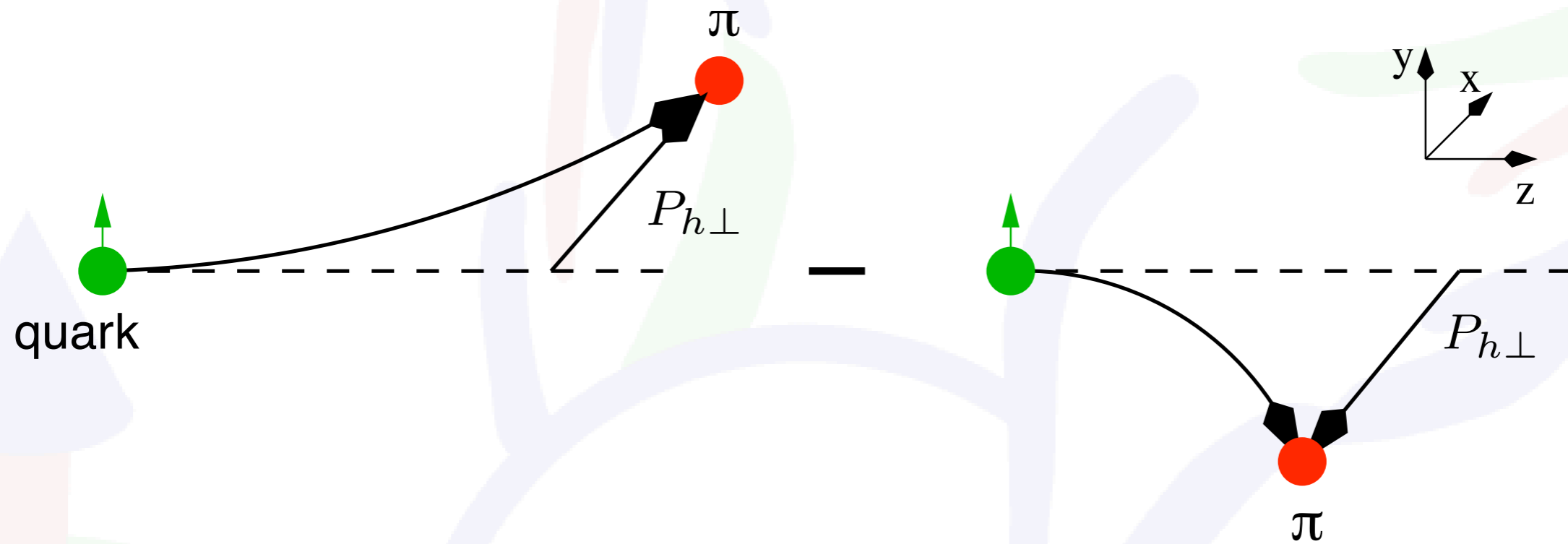
# Transverse-Momentum-Dependent DF



# Collins fragmentation function



# Collins fragmentation function



😊 provides a correlation between spin of quark and transverse momentum of produced hadron

# 1-Hadron Production ( $ep \rightarrow ehX$ )

$$d\sigma = d\sigma_{UU}^0 + \cos 2\phi d\sigma_{UU}^1 + \frac{1}{Q} \cos \phi d\sigma_{UU}^2 + \lambda_e \frac{1}{Q} \sin \phi d\sigma_{LU}^3$$

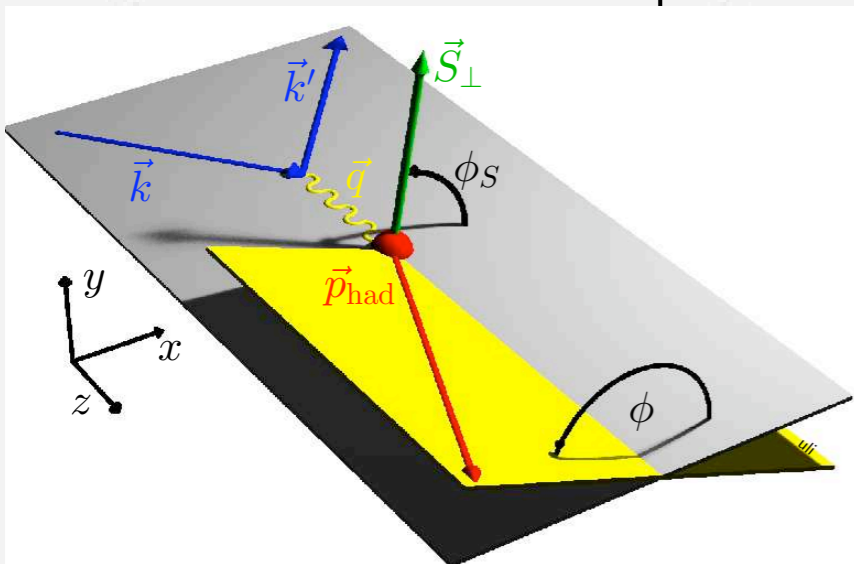
$$+ S_L \left\{ \sin 2\phi d\sigma_{UL}^4 + \frac{1}{Q} \sin \phi d\sigma_{UL}^5 + \lambda_e \left[ d\sigma_{LL}^6 + \frac{1}{Q} \cos \phi d\sigma_{LL}^7 \right] \right\}$$

$$+ S_T \left\{ \sin(\phi - \phi_S) d\sigma_{UT}^8 + \sin(\phi + \phi_S) d\sigma_{UT}^9 + \sin(3\phi - \phi_S) d\sigma_{UT}^{10} \frac{1}{Q} \right.$$

$$\left. + \frac{1}{Q} (\sin(2\phi - \phi_S) d\sigma_{UT}^{11} + \sin \phi_S d\sigma_{UT}^{12}) \right.$$

$\sigma_{XY}$   
 ↙ ↘  
**Beam Target**  
**Polarization**

$$+ \lambda_e \left[ \cos(\phi - \phi_S) d\sigma_{LT}^{13} + \frac{1}{Q} (\cos \phi_S d\sigma_{LT}^{14} + \cos(2\phi - \phi_S) d\sigma_{LT}^{15}) \right] \left. \right\}$$



**Mulders and Tangermann, Nucl. Phys. B 461 (1996) 197**

**Boer and Mulders, Phys. Rev. D 57 (1998) 5780**

**Bacchetta et al., Phys. Lett. B 595 (2004) 309**

**Bacchetta et al., JHEP 0702 (2007) 093**

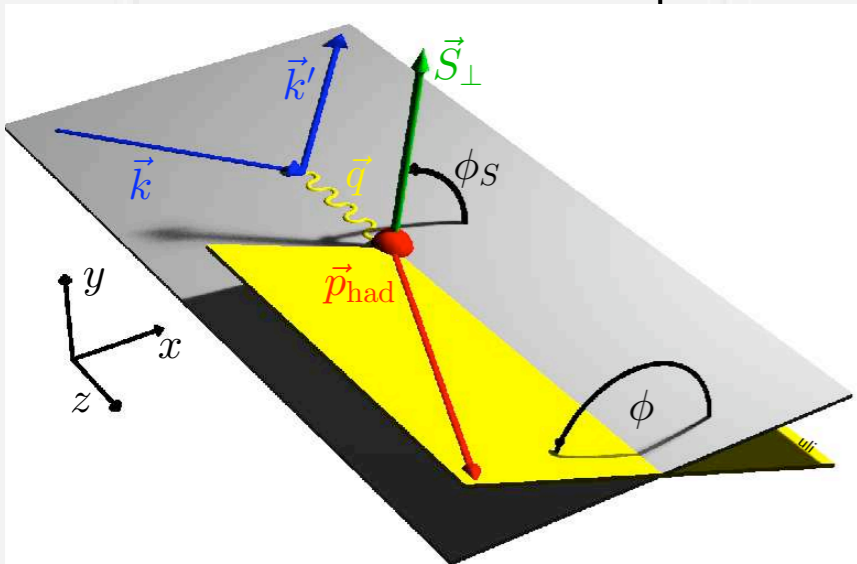
**“Trento Conventions”, Phys. Rev. D 70 (2004) 117504**

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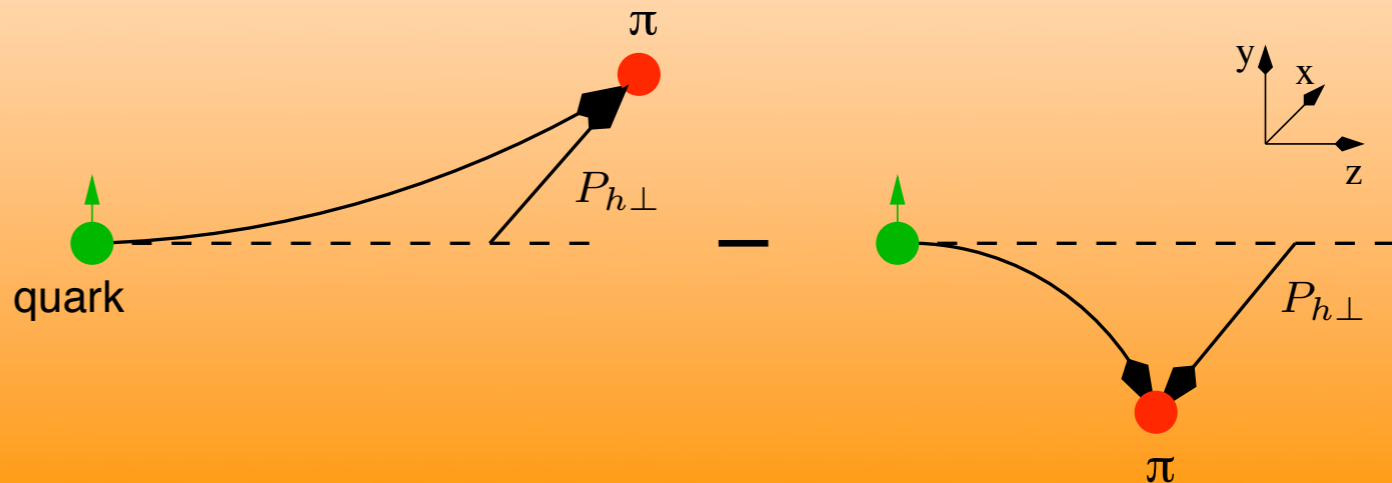
$\sigma_{XY}$   
 Beam Polarization  
 Target Polarization

$$+ \frac{1}{Q} + \lambda_e \left[ \cos(\phi - \phi_S) \right]$$



## Collins Effect:

sensitive to quark transverse spin

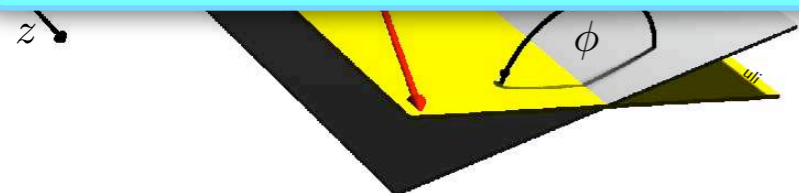


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 \end{aligned}$$

## Sivers Effect:

- correlates hadron's transverse momentum with nucleon spin
- requires orbital angular momentum



Bacchetta et al., JHEP 0702 (2007) 093

“Trento Conventions”, Phys. Rev. D 70 (2004) 117504

$d\sigma_{UT}^{12}$ )

$+ \cos(2\phi - \phi_S) d\sigma_{LT}^{15}$ )

Phys. B 461 (1996) 197

57 (1998) 5780

95 (2004) 309

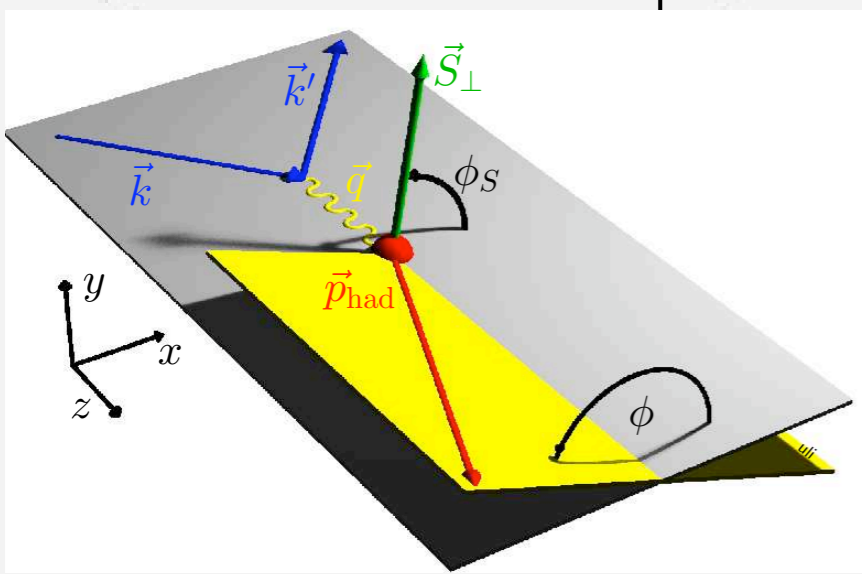


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 \end{aligned}$$

**Twist-3 Effects**  
 involving longitudinal beam or target polarization

$\sigma_{XY}$   
 Beam Target  
 Polarization



Mulders and Tangermann, Nucl. Phys. B 461 (1996) 197  
 Boer and Mulders, Phys. Rev. D 57 (1998) 5780  
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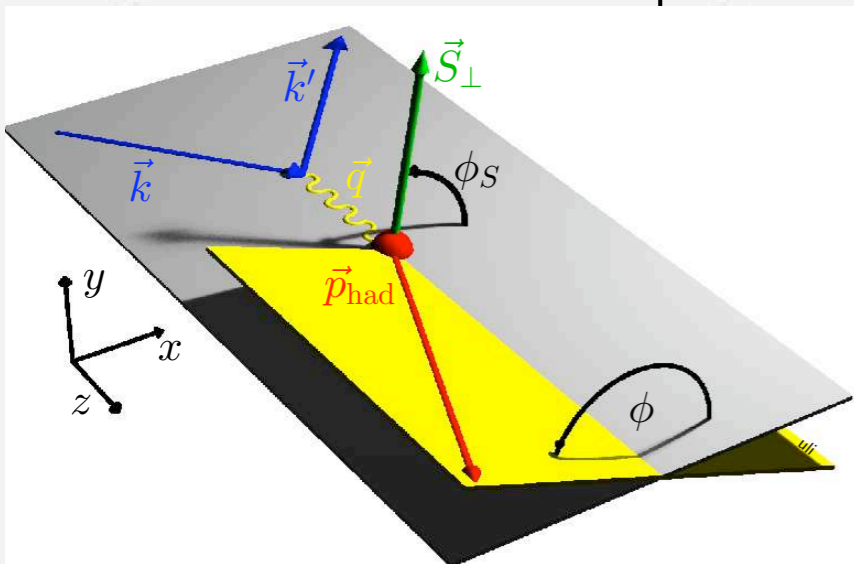
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$\sigma_{XY}$   
 ↙ ↘  
**Beam Target**  
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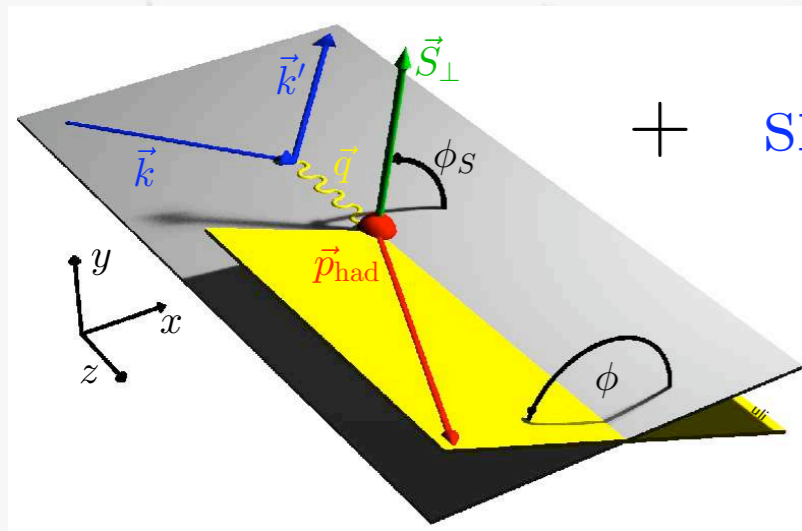
**“Trento Conventions”, Phys. Rev. D 70 (2004) 117504**

# Measuring azimuthal SSA

$$A_{UT}(\phi, \phi_S) = \frac{1}{\langle |S_{\perp}| \rangle} \frac{N_h^{\uparrow}(\phi, \phi_S) - N_h^{\downarrow}(\phi, \phi_S)}{N_h^{\uparrow}(\phi, \phi_S) + N_h^{\downarrow}(\phi, \phi_S)}$$

$$\sim \sin(\phi + \phi_S) \sum_q e_q^2 \mathcal{I} \left[ \frac{k_T \hat{P}_{h\perp}}{M_h} h_1^q(x, p_T^2) H_1^{\perp, q}(z, k_T^2) \right]$$

$$+ \sin(\phi - \phi_S) \sum_q e_q^2 \mathcal{I} \left[ \frac{p_T \hat{P}_{h\perp}}{M} f_{1T}^{\perp, q}(x, p_T^2) D_1^q(z, k_T^2) \right]$$

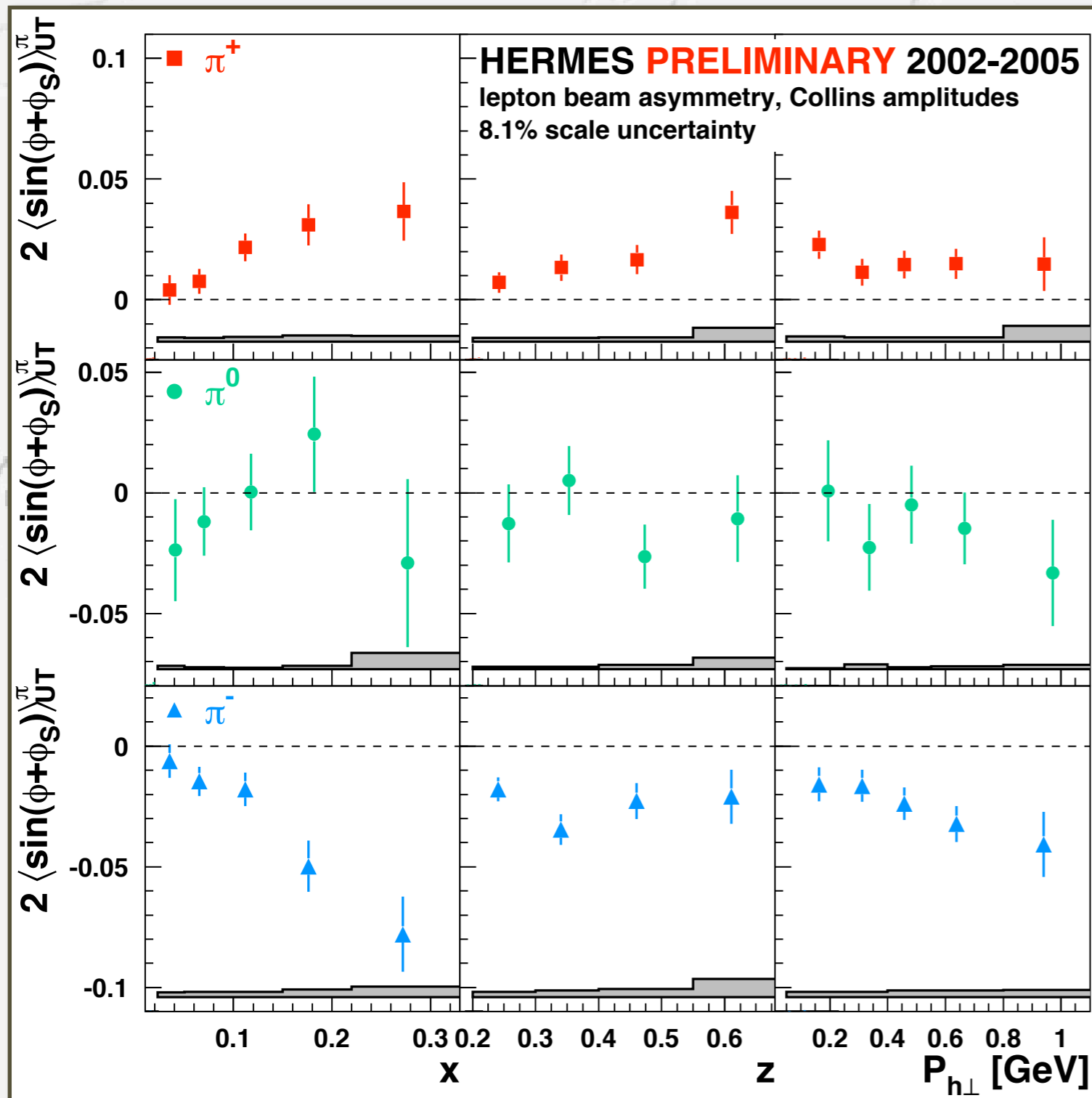


+ ...  $\mathcal{I}[\dots]$ : convolution integral over initial ( $p_T$ ) and final ( $k_T$ ) quark transverse momenta

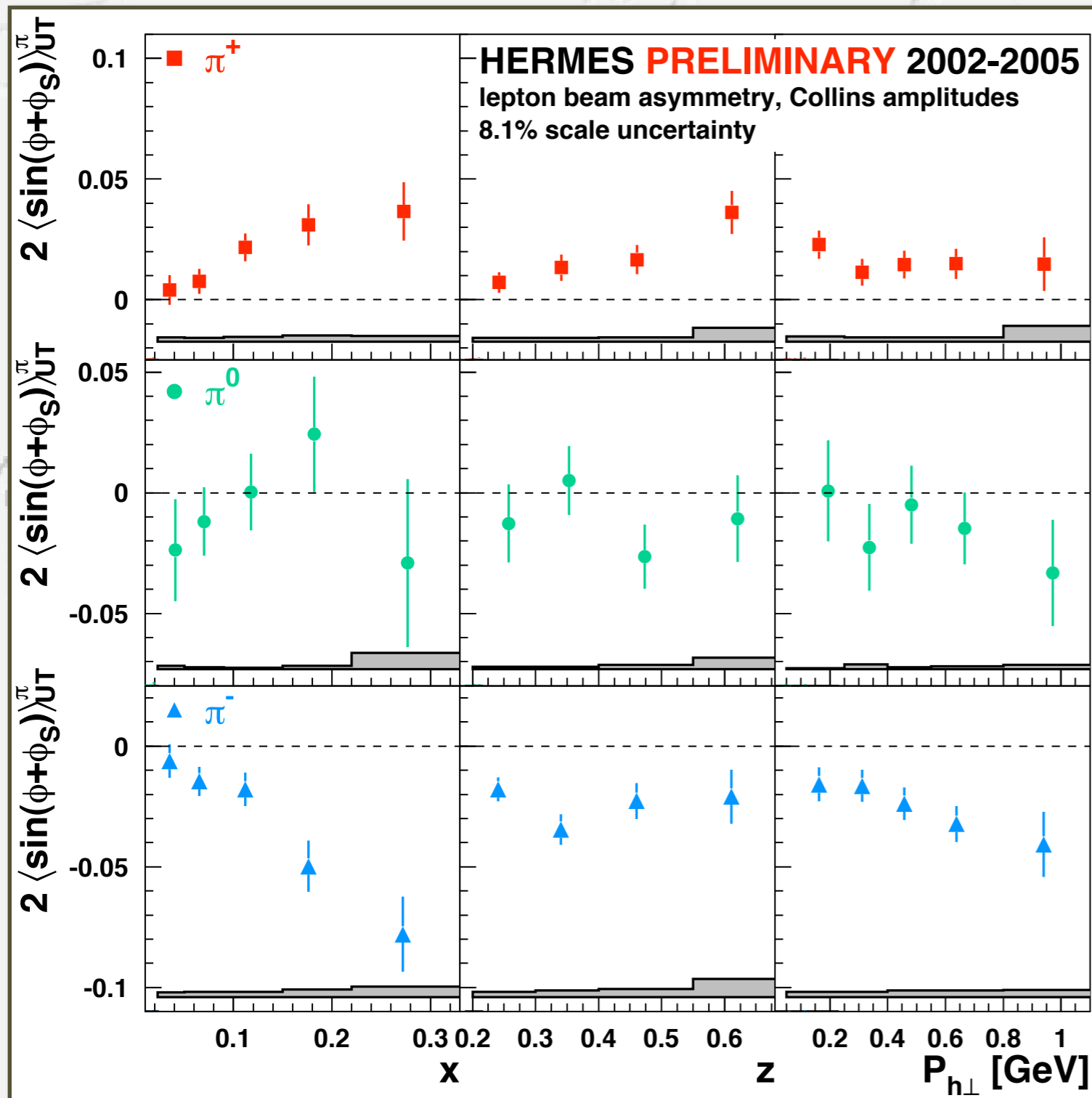
$\Rightarrow$  2D Max.Likelihood fit of to get Collins and Sivers amplitudes:

$$PDF(2\langle \sin(\phi \pm \phi_S) \rangle_{UT}, \dots, \phi, \phi_S) = \frac{1}{2} \{ 1 + P_T (2\langle \sin(\phi \pm \phi_S) \rangle_{UT} \sin(\phi \pm \phi_S) + \dots) \}$$

# The HERMES Collins amplitudes



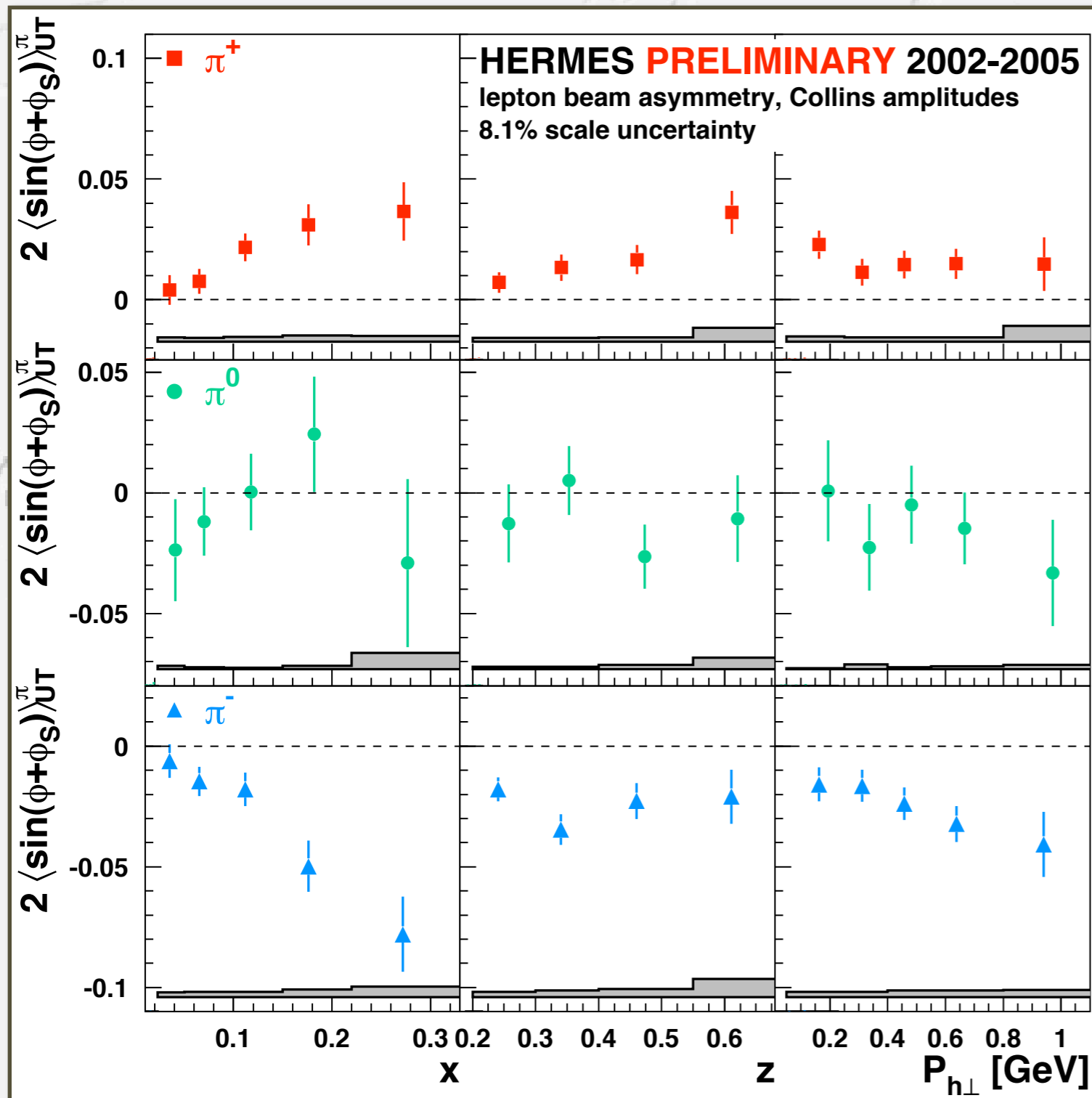
# The HERMES Collins amplitudes



☑ non-zero Collins effect observed!

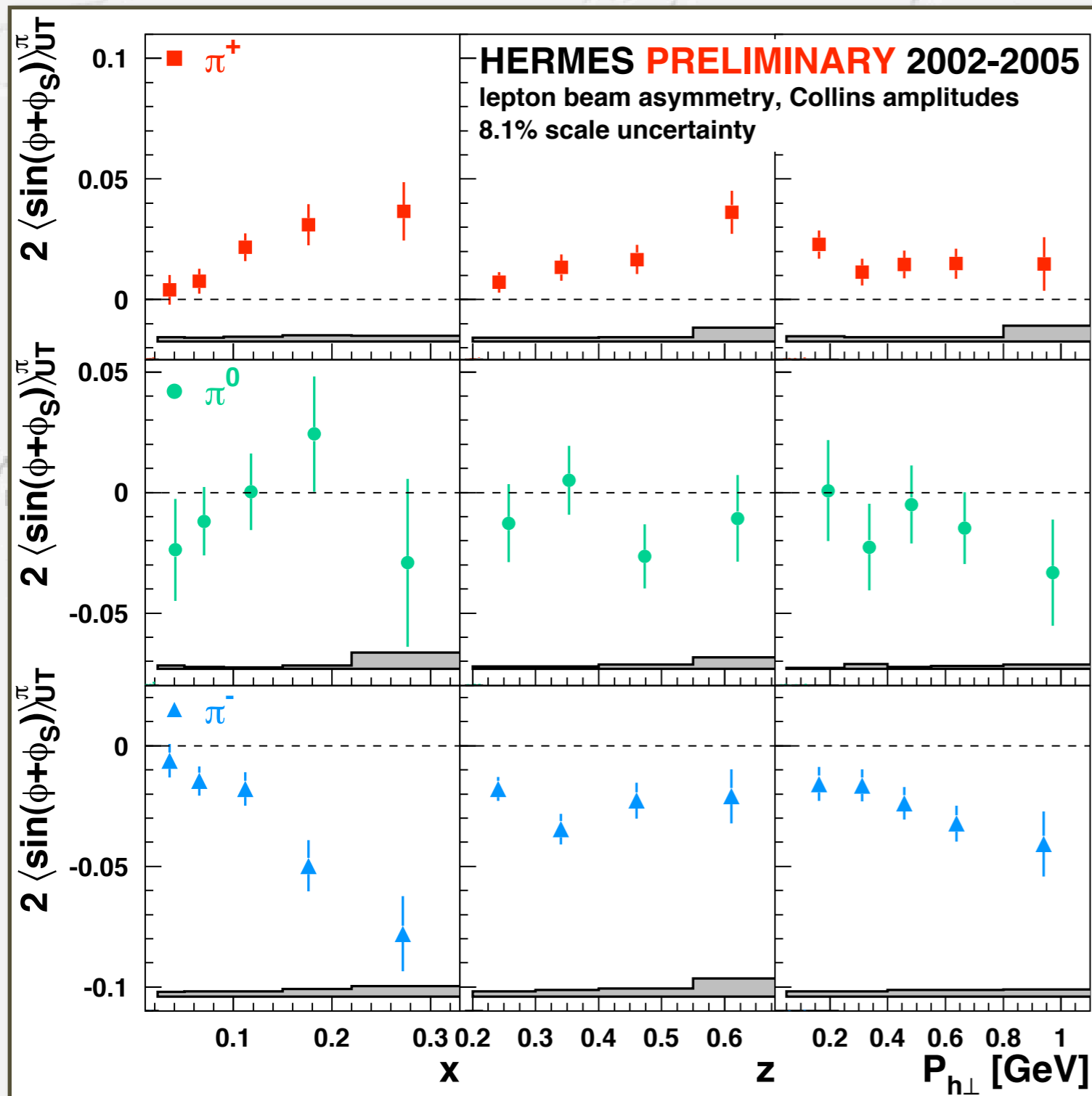


# The HERMES Collins amplitudes



- non-zero Collins effect observed!
- both Collins FF and transversity sizeable

# The HERMES Collins amplitudes



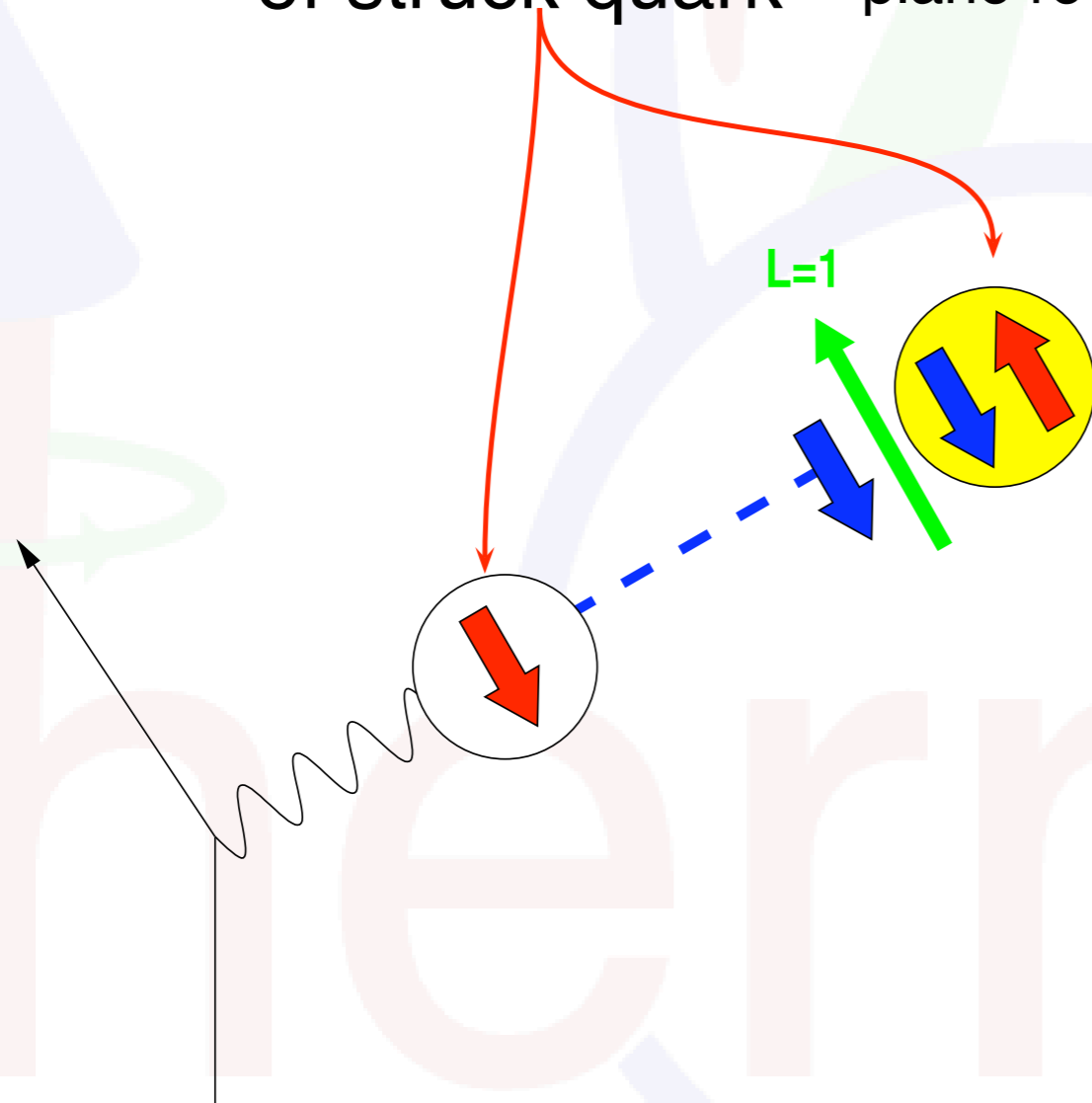
- published<sup>†</sup> results **confirmed** with much higher statistical precision
- overall scale uncertainty of 8.1%
- positive for  $\pi^+$  and negative for  $\pi^-$  as maybe expected ( $\delta u \equiv h_1^u > 0$ )  
maybe expected ( $\delta d \equiv h_1^d < 0$ )
- unexpected **large  $\pi^-$  asymmetry**  
⇒ role of **disfavored Collins FF**  
most likely:  $H_1^{\perp, disf} \approx -H_1^{\perp, fav}$
- isospin symmetry among charged and neutral pions fulfilled

<sup>†</sup> [A. Airapetian et al, Phys. Rev. Lett. 94 (2005) 012002]

# Collins Fragmentation Function

## String Model Interpretation (Artru)

transverse spin of struck quark (polarization component in lepton scattering plane reversed by photoabsorption)

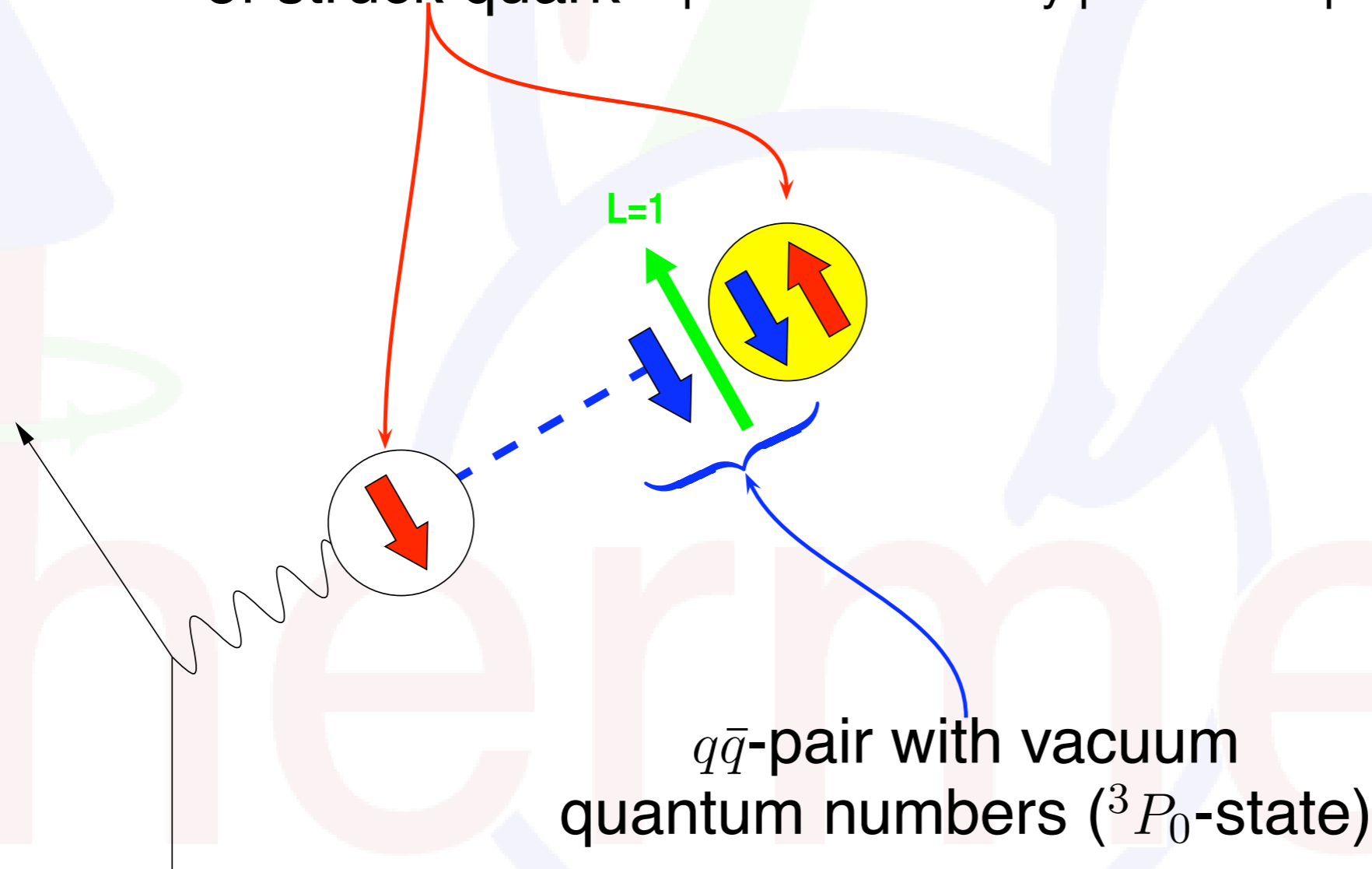




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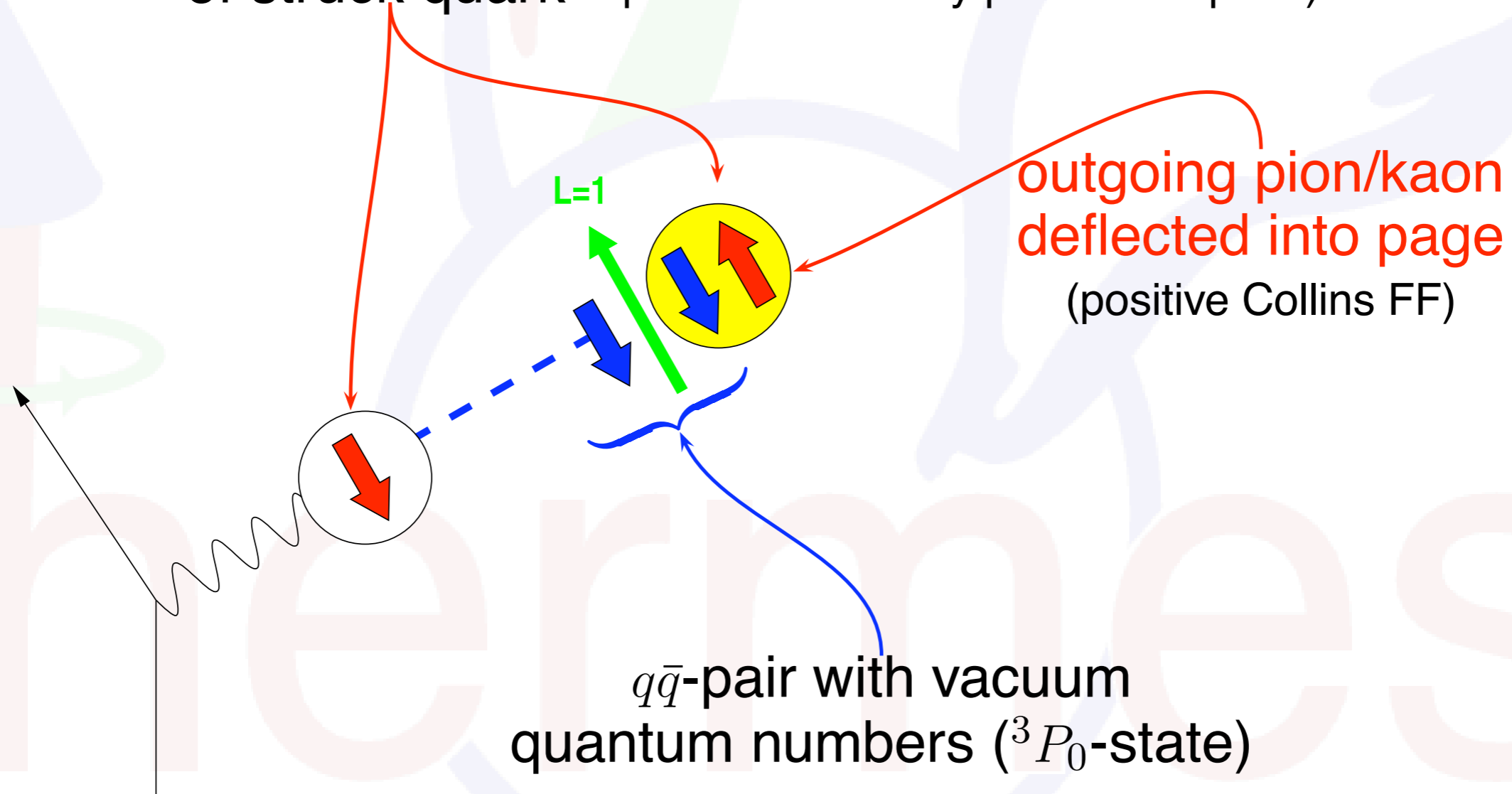


$q\bar{q}$ -pair with vacuum quantum numbers ( ${}^3P_0$ -state)

# Collins Fragmentation Function

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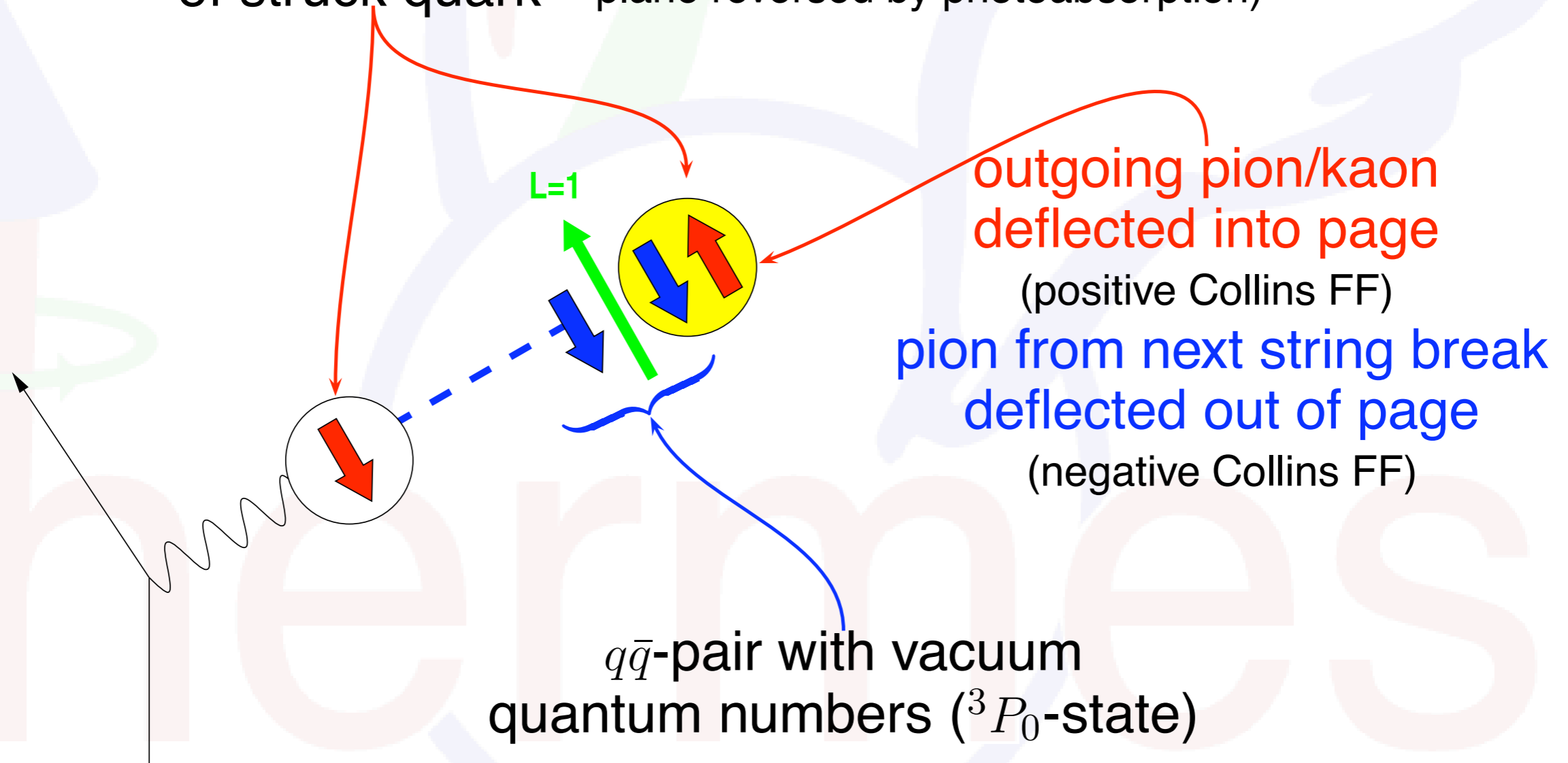


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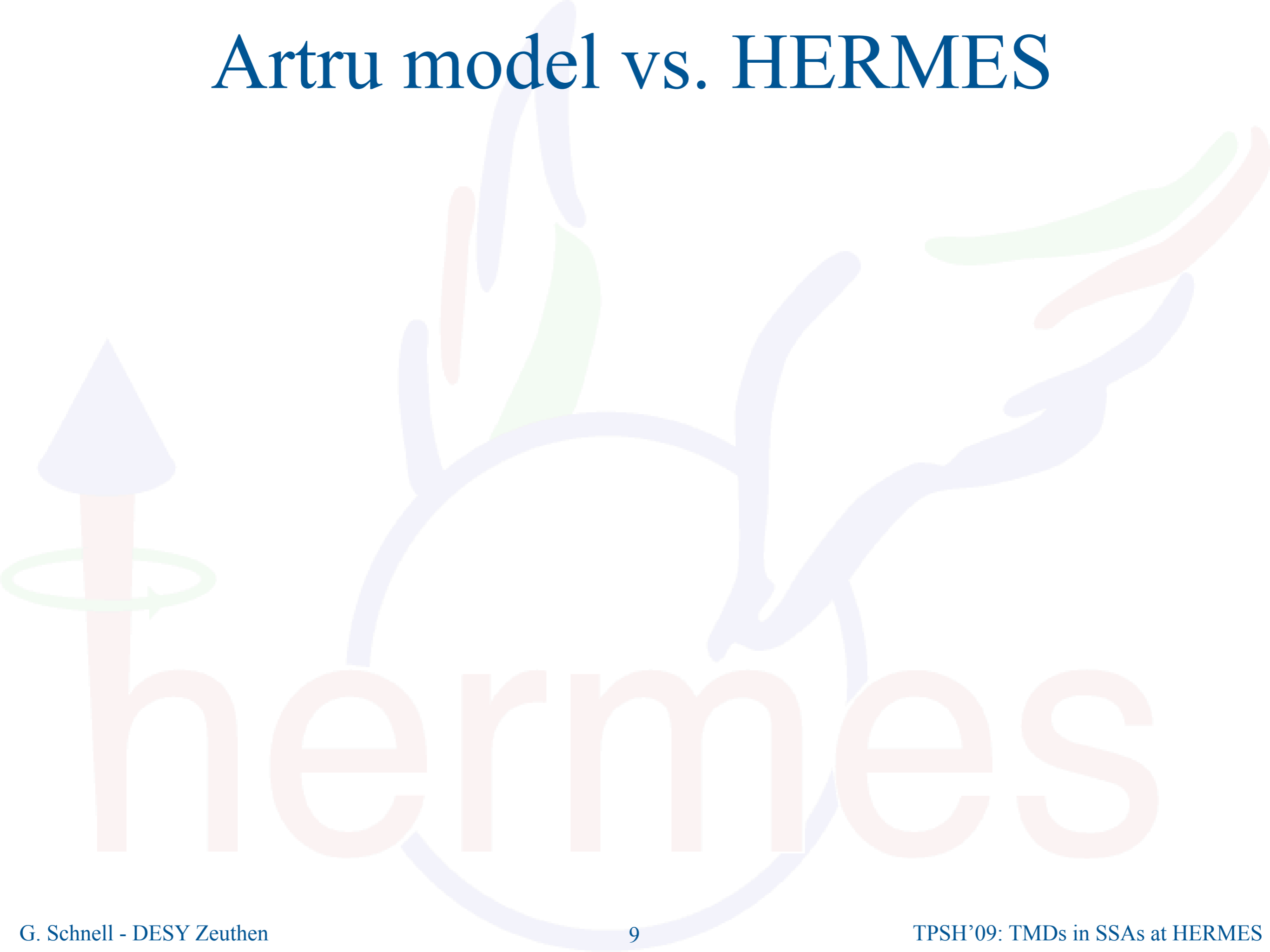
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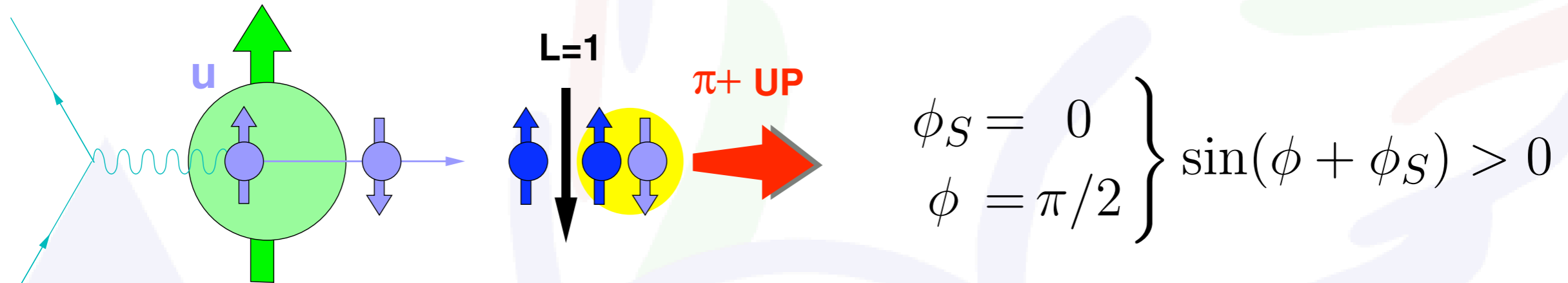
(polarization component in lepton scattering  
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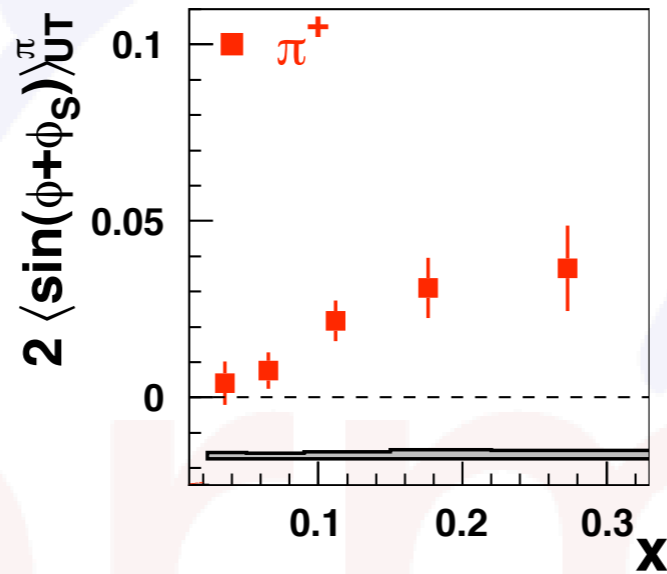
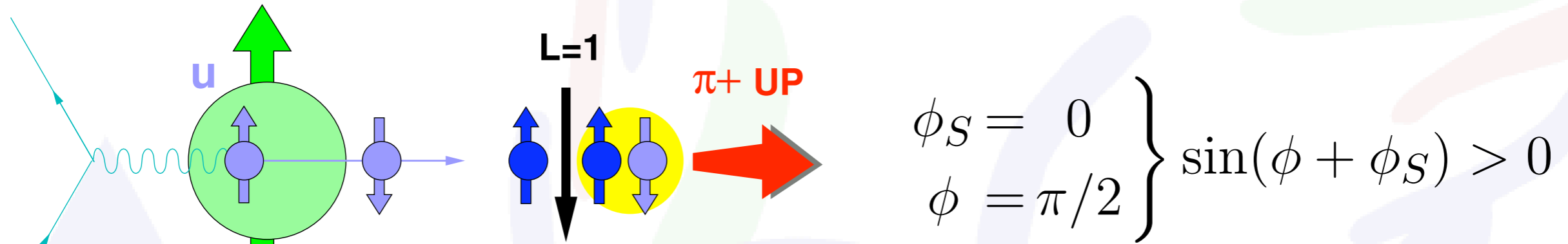
# Artru model vs. HERMES



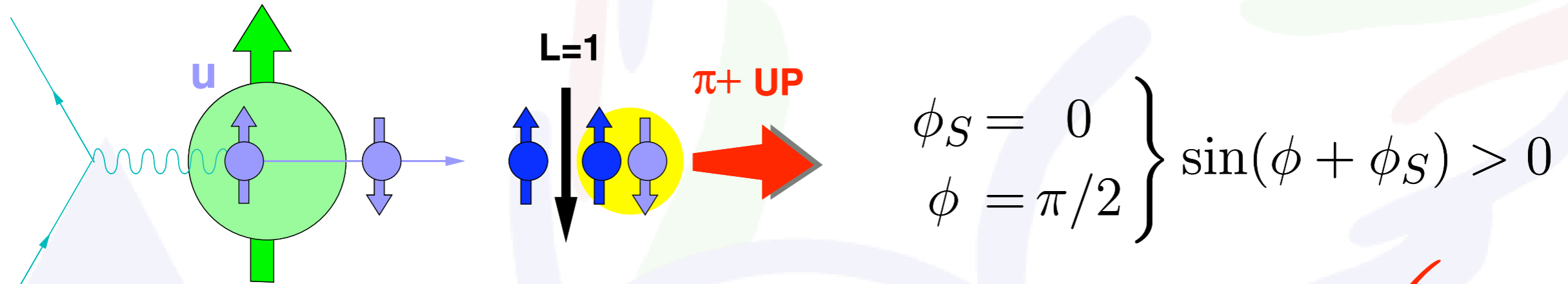
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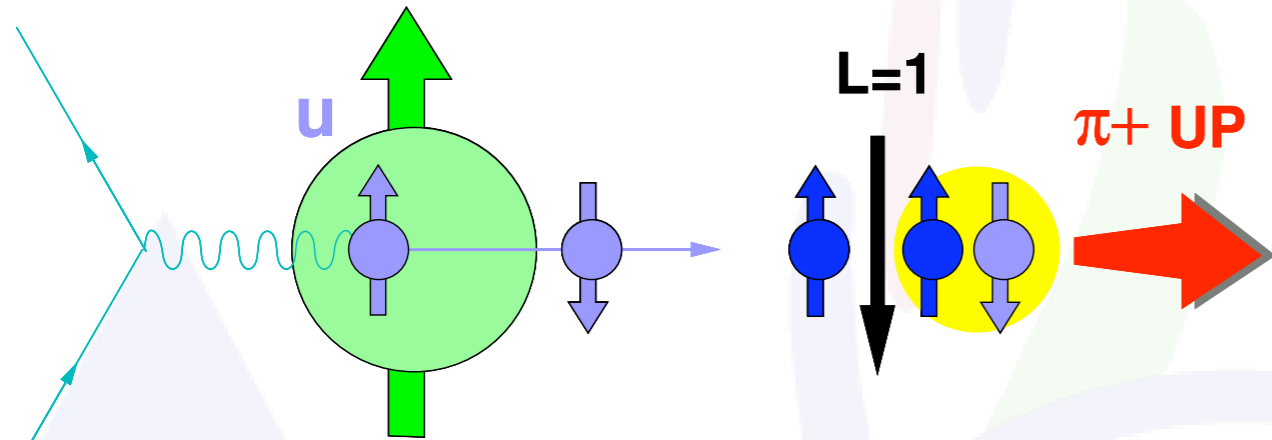
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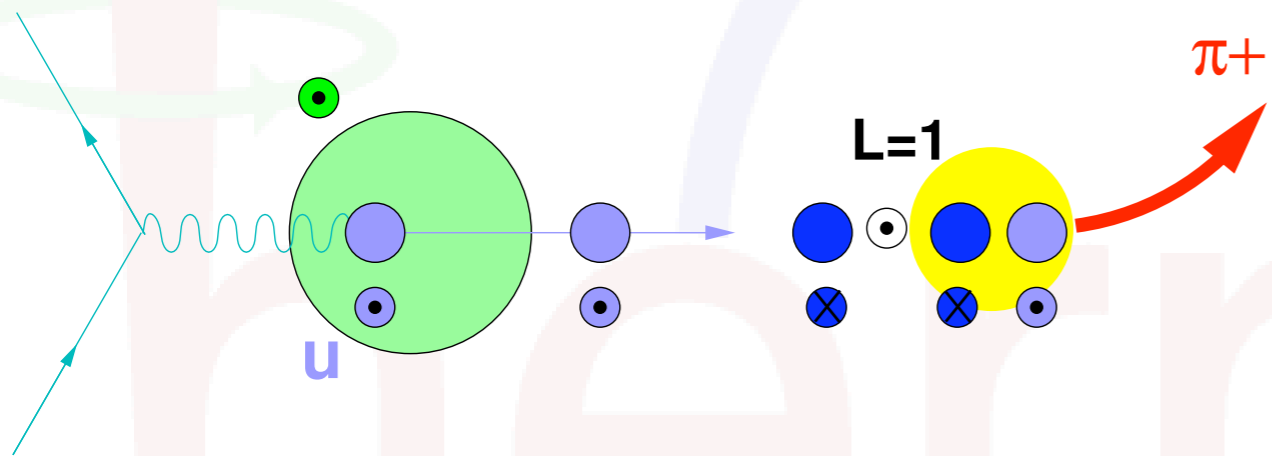
# Artru model vs. HERMES



# Artru model vs. HERMES



$$\left. \begin{array}{l} \phi_S = 0 \\ \phi = \pi/2 \end{array} \right\} \sin(\phi + \phi_S) > 0$$

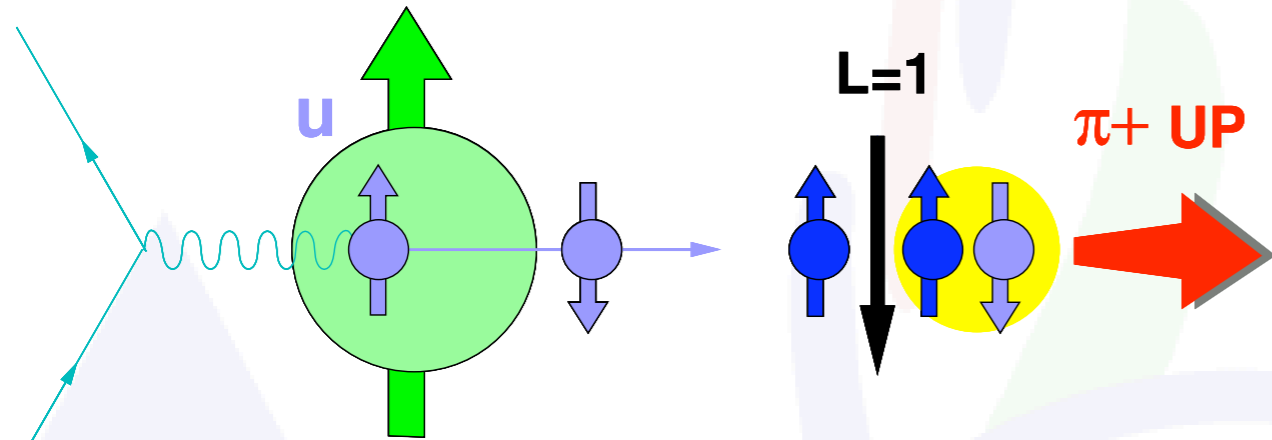


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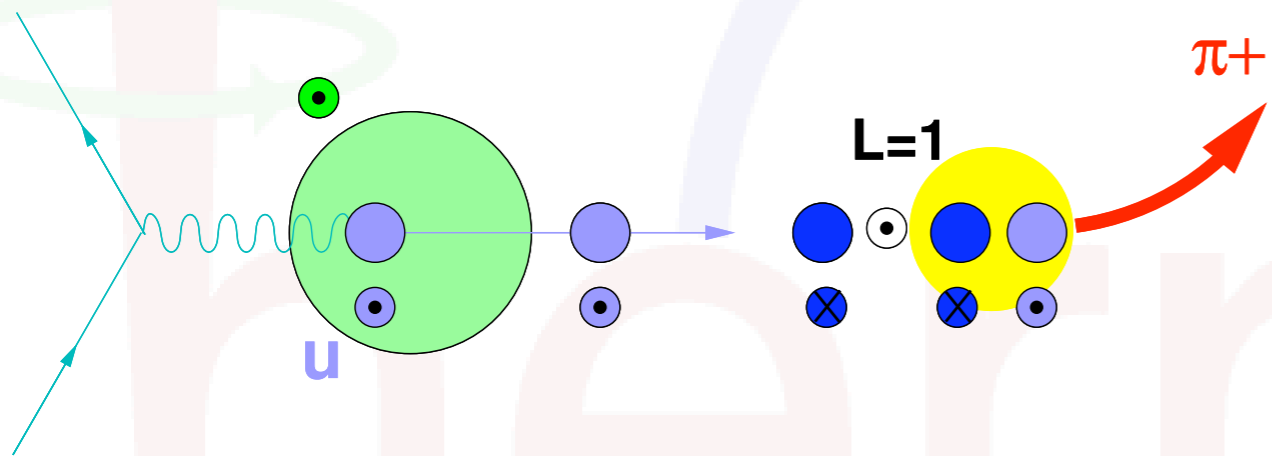




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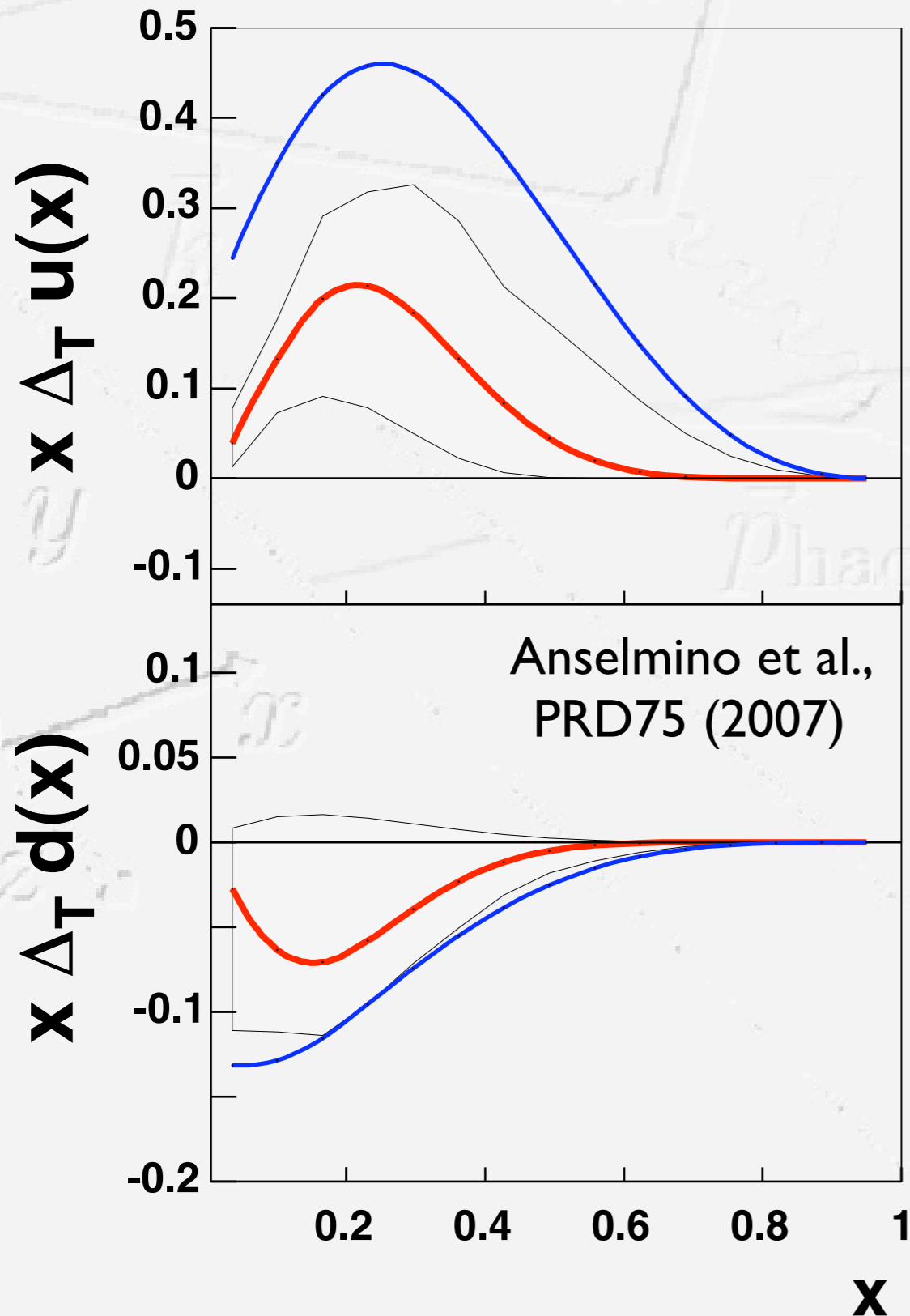


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Artru model and HERMES results in agreement!

# First glimpse at transversity



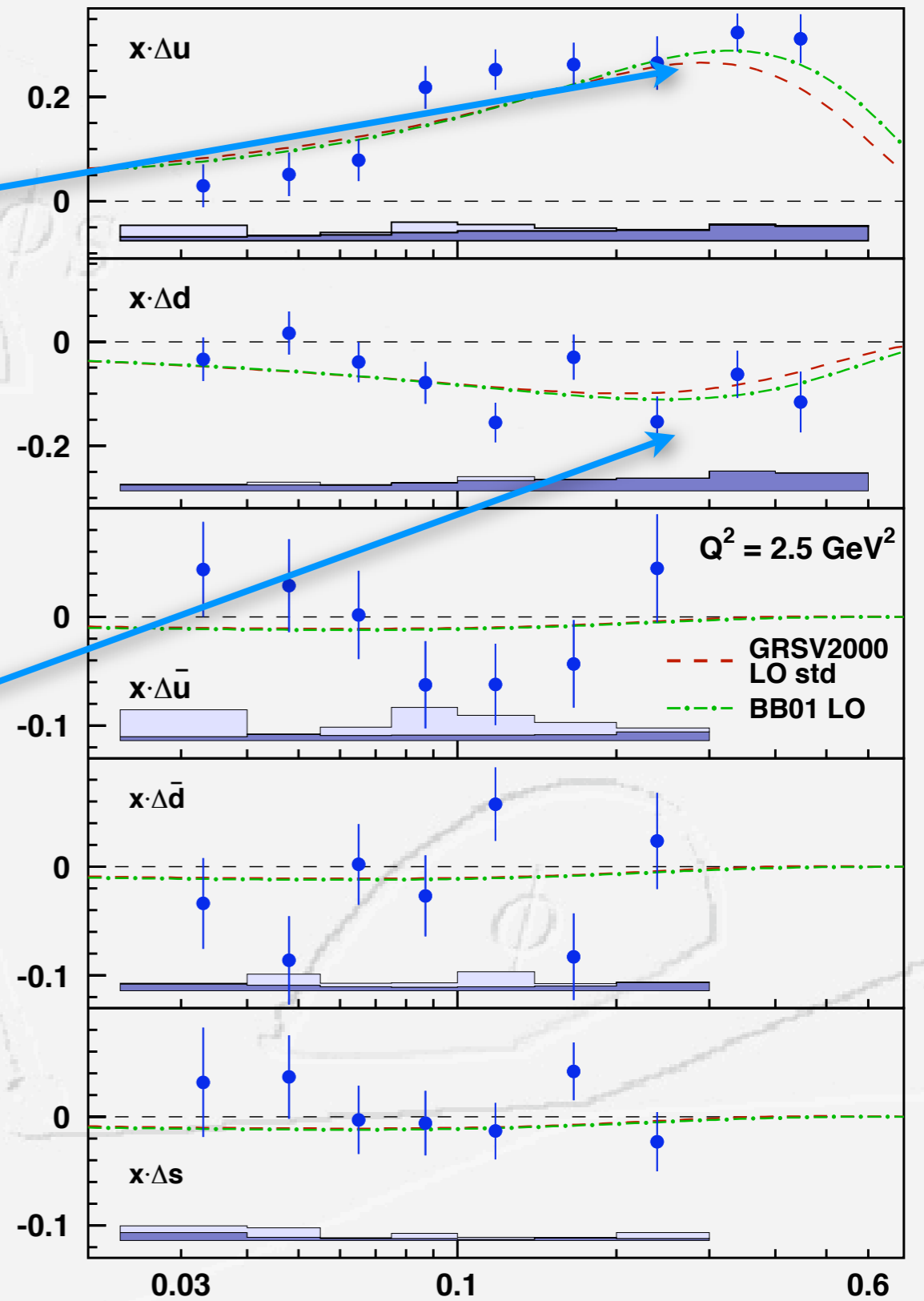
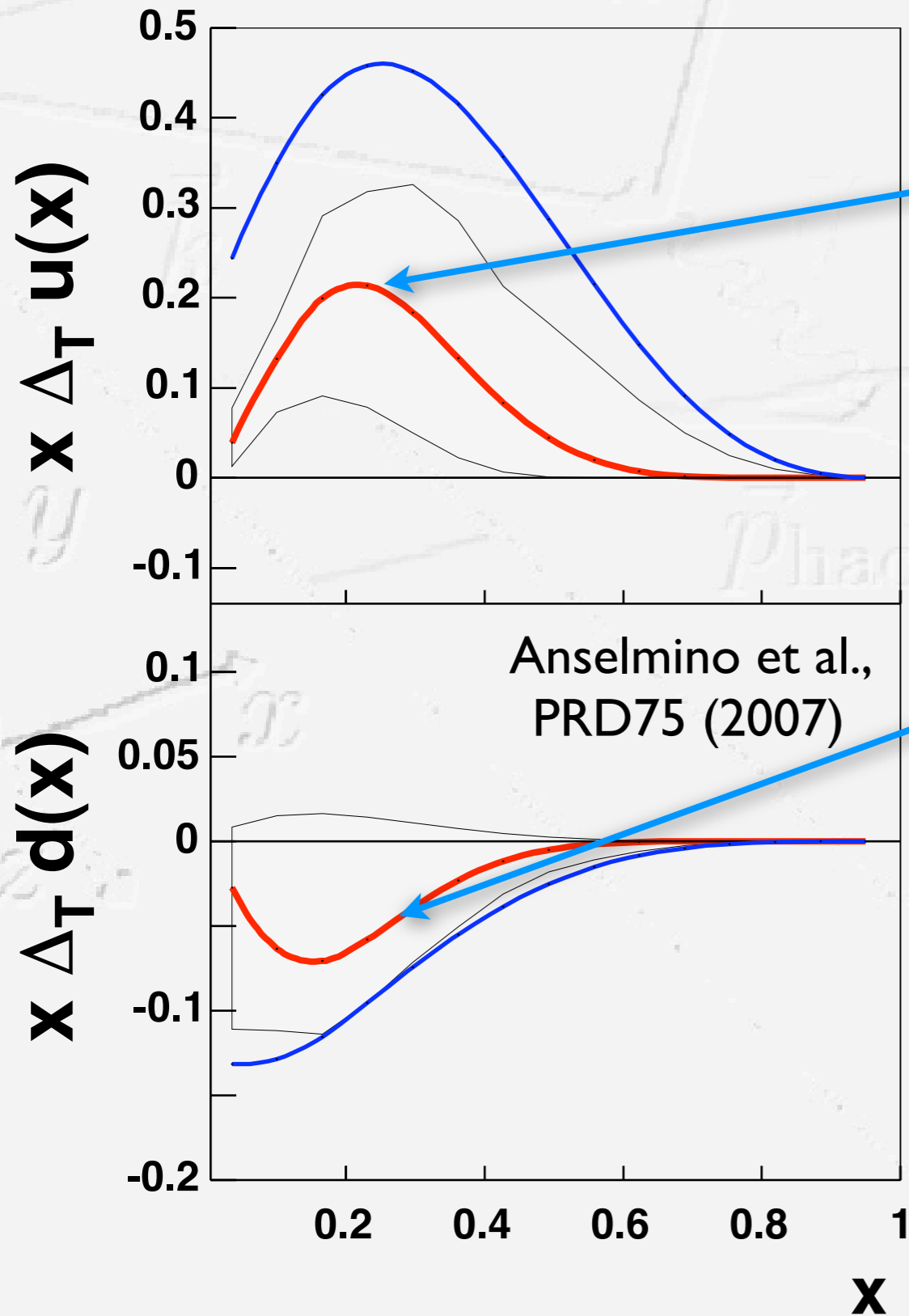
**Combined analysis of data from:**

● **HERMES**

● **COMPASS**

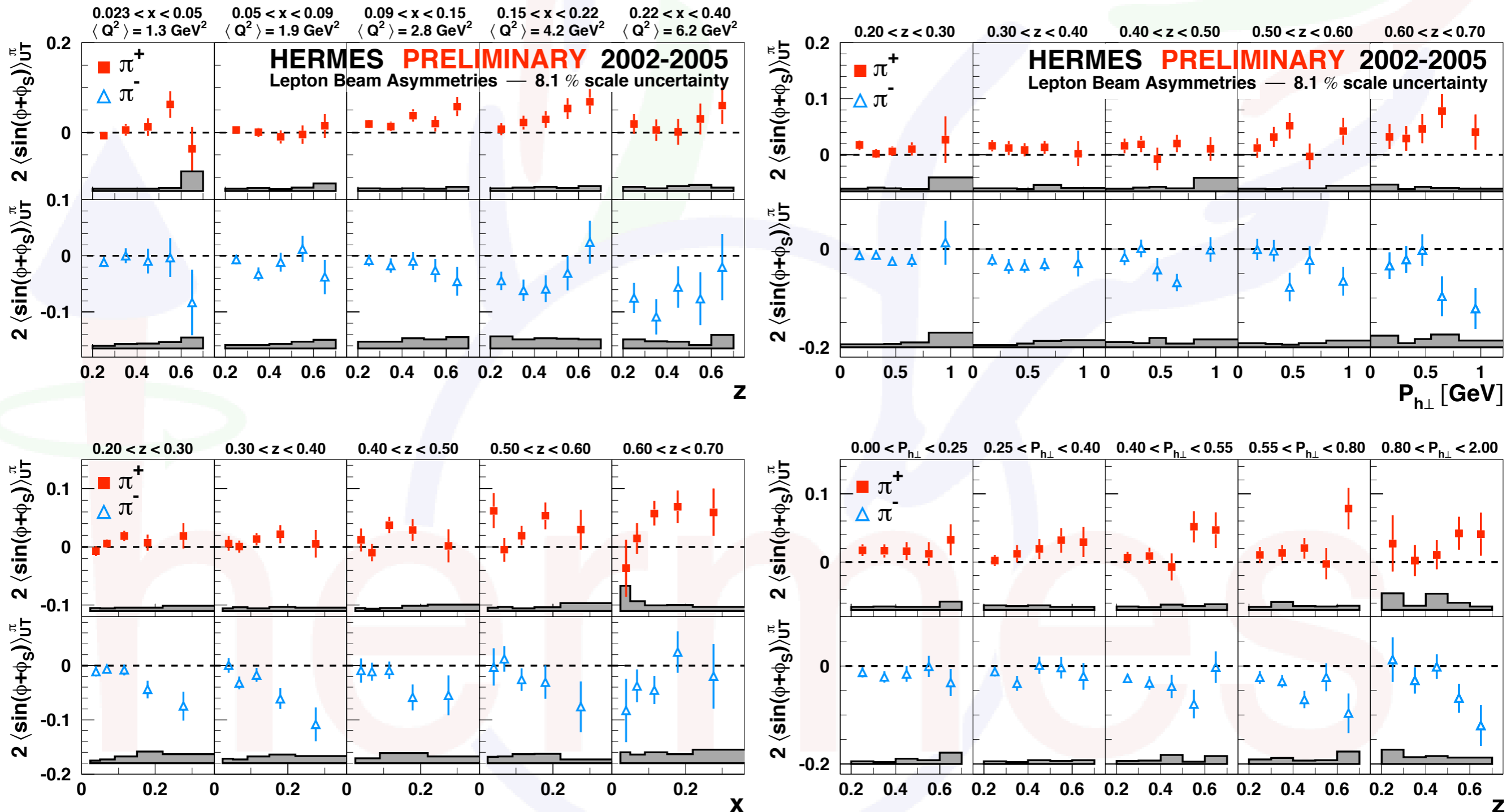
● **BELLE**

# First glimpse at transversity



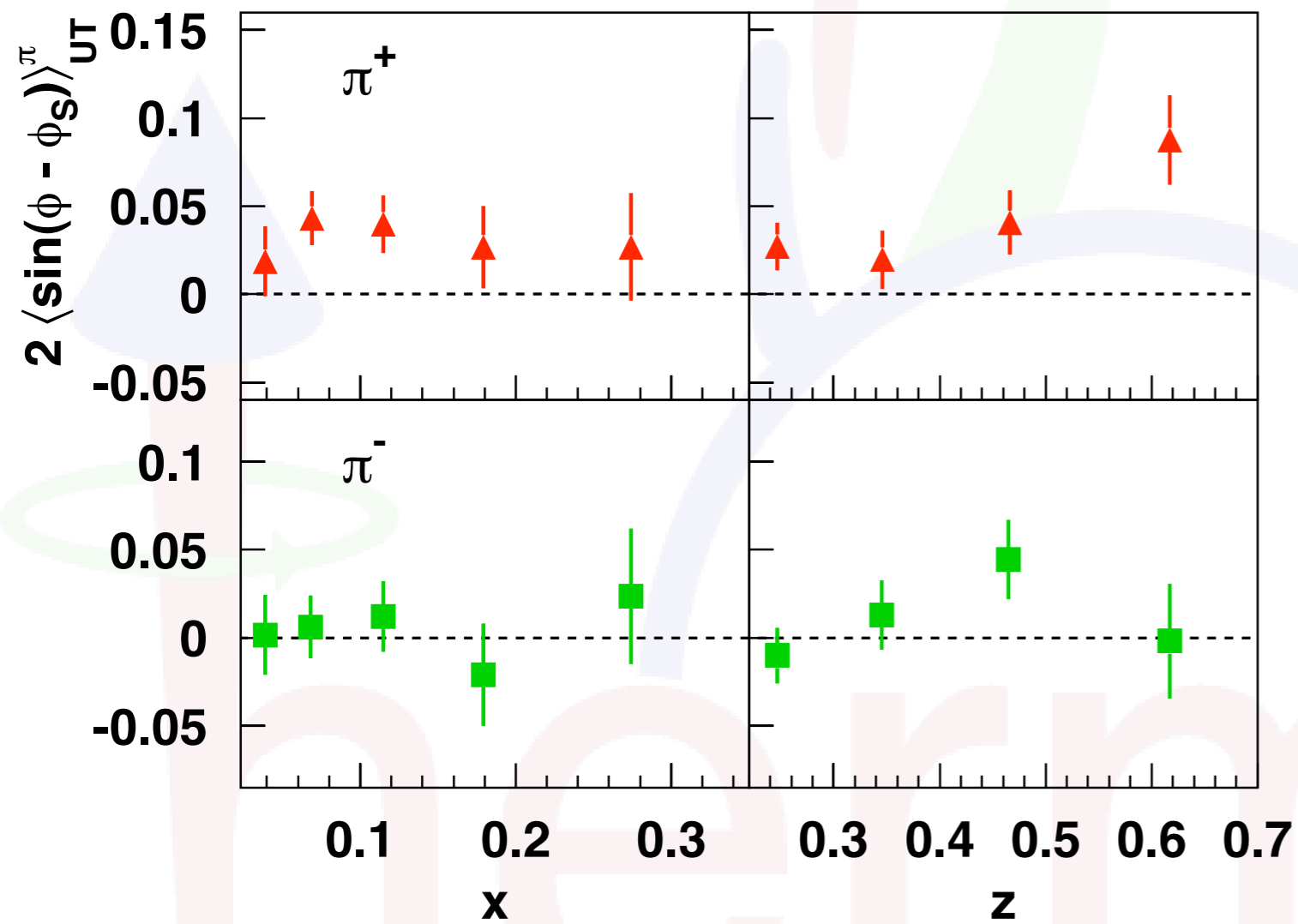
# 2D Binning of Collins amplitudes

- kinematic dependences often don't factorize
- bin in as many independent variables as possible:



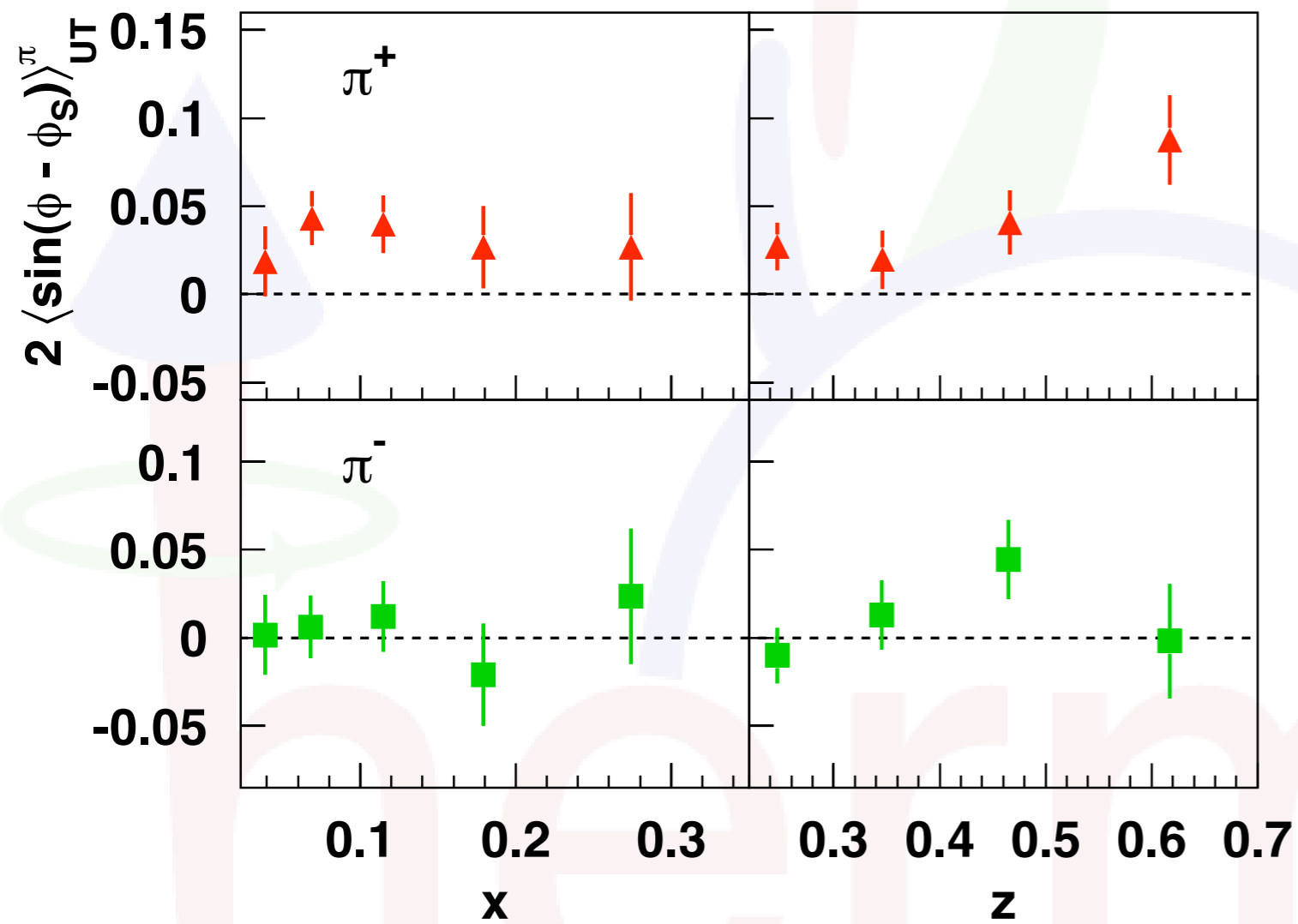
# HERMES Sivers amplitudes

[A. Airapetian et al., Phys. Rev.Lett. 94 (2005) 012002]



# HERMES Sivers amplitudes

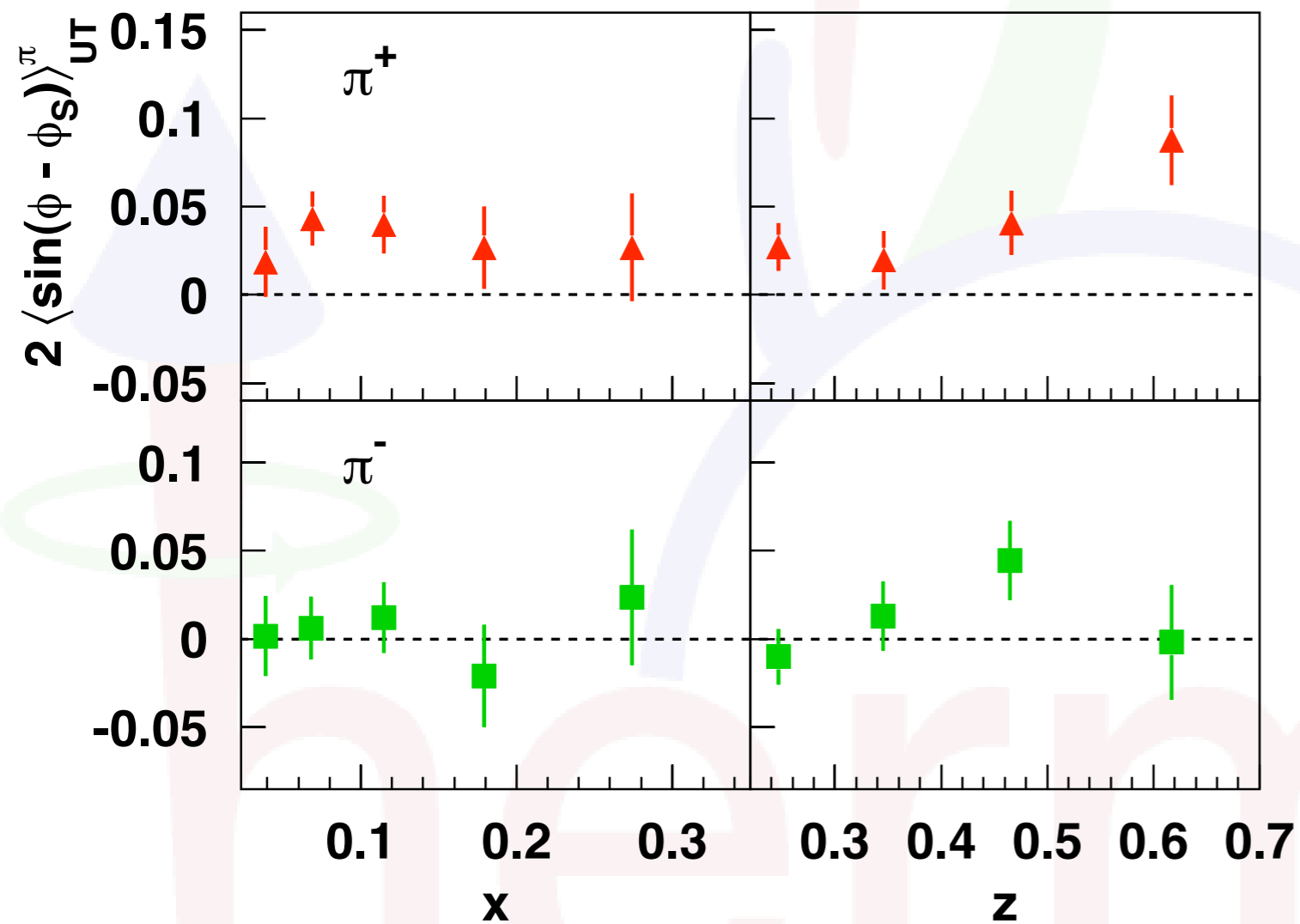
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☑ first observation of T-odd Sivers effect in SIDIS!

# HERMES Sivers amplitudes

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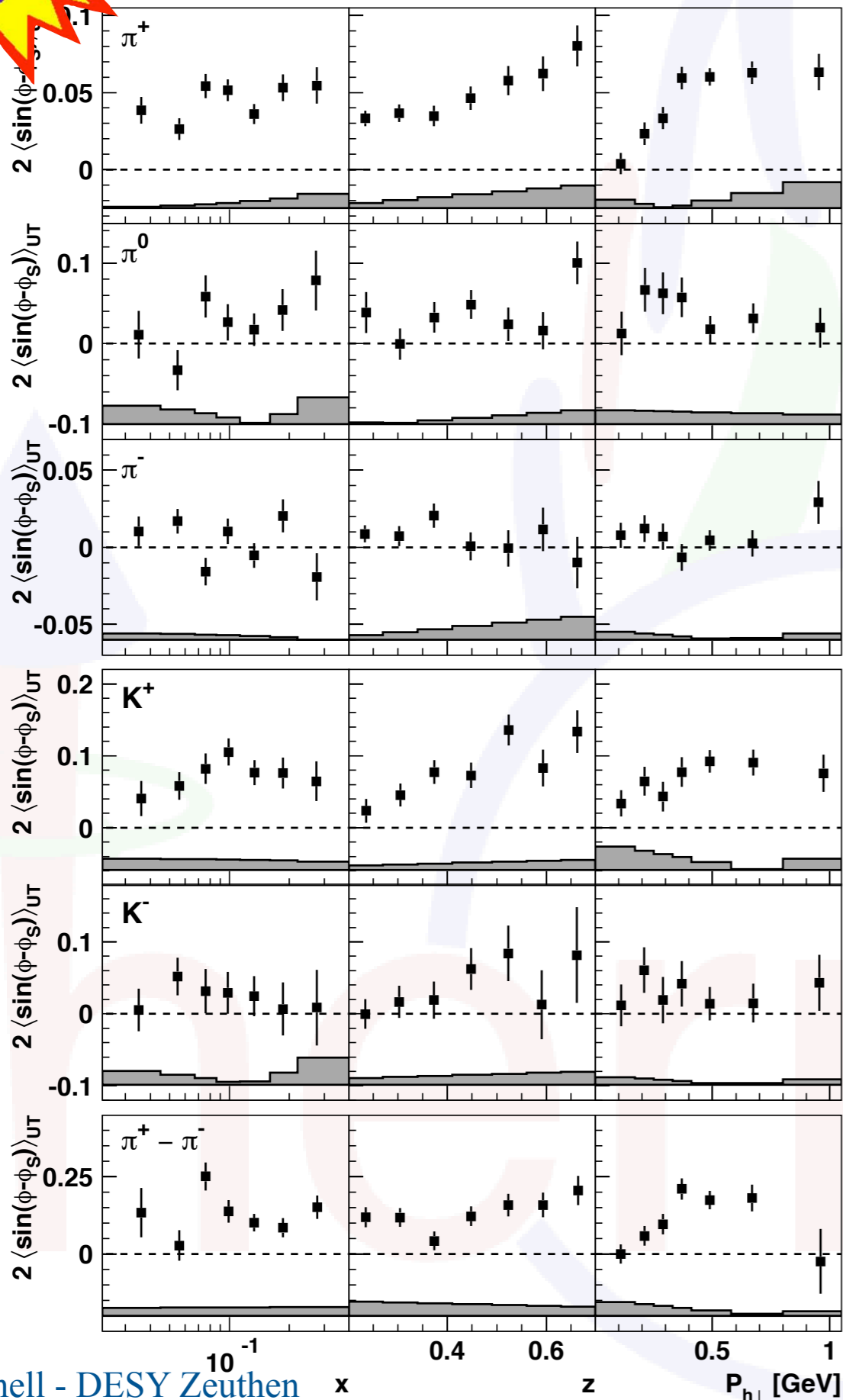


✓ first observation of T-odd Sivers effect in SIDIS!

✓ u-quark dominance suggests sizeable u-quark orbital motion



# HERMES Sivers amplitudes



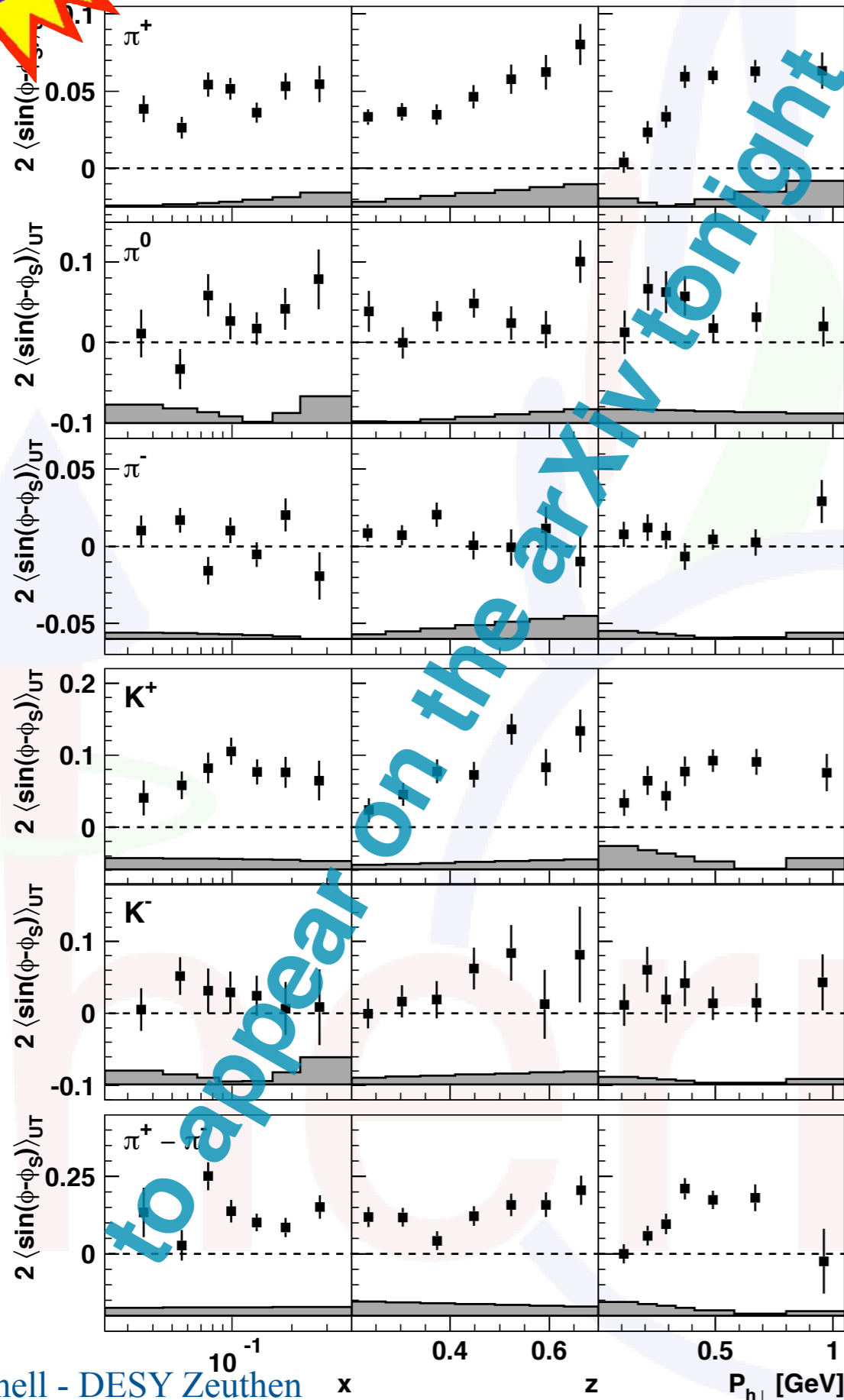
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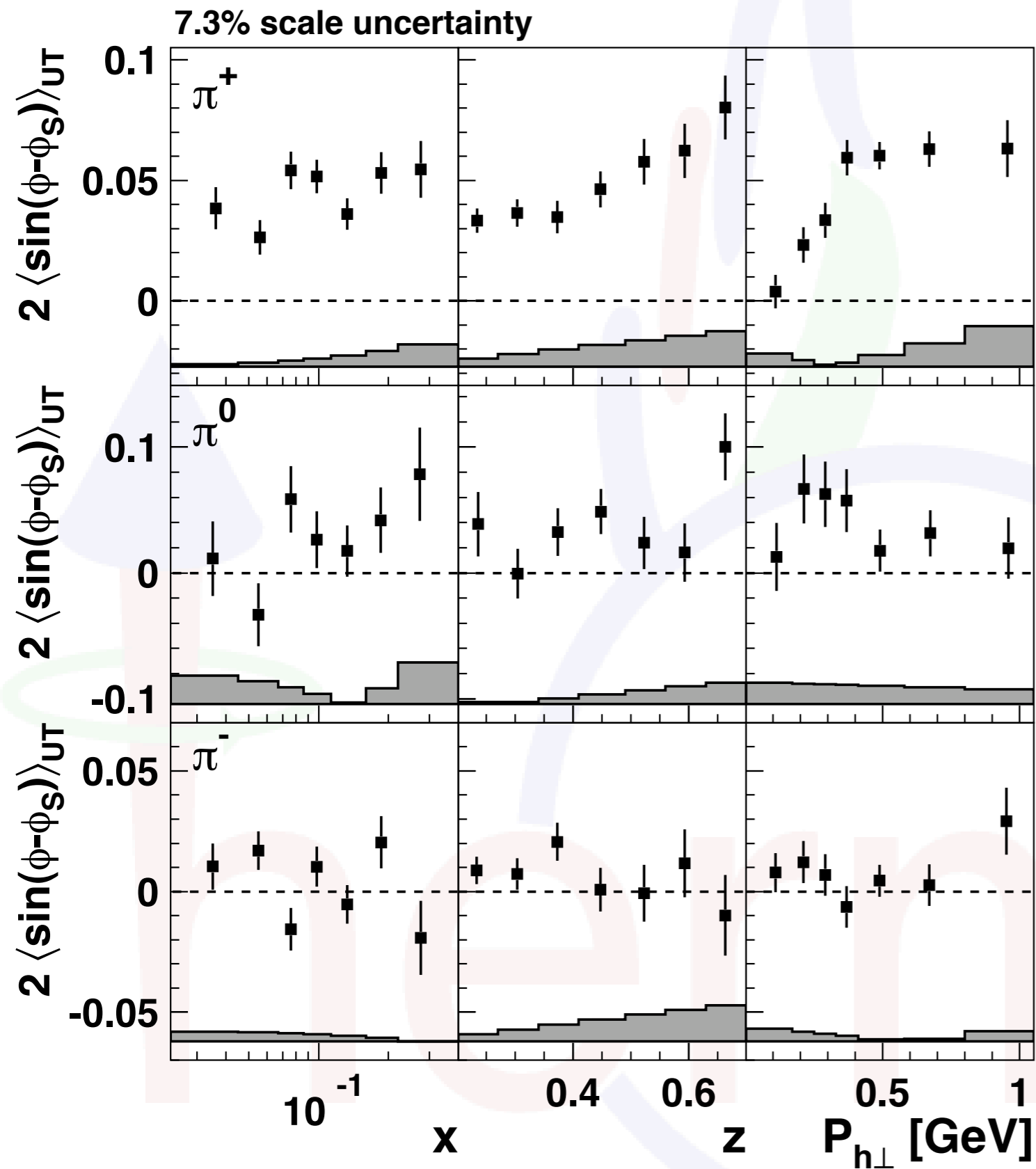
NEW!

# HERMES Sivers amplitudes

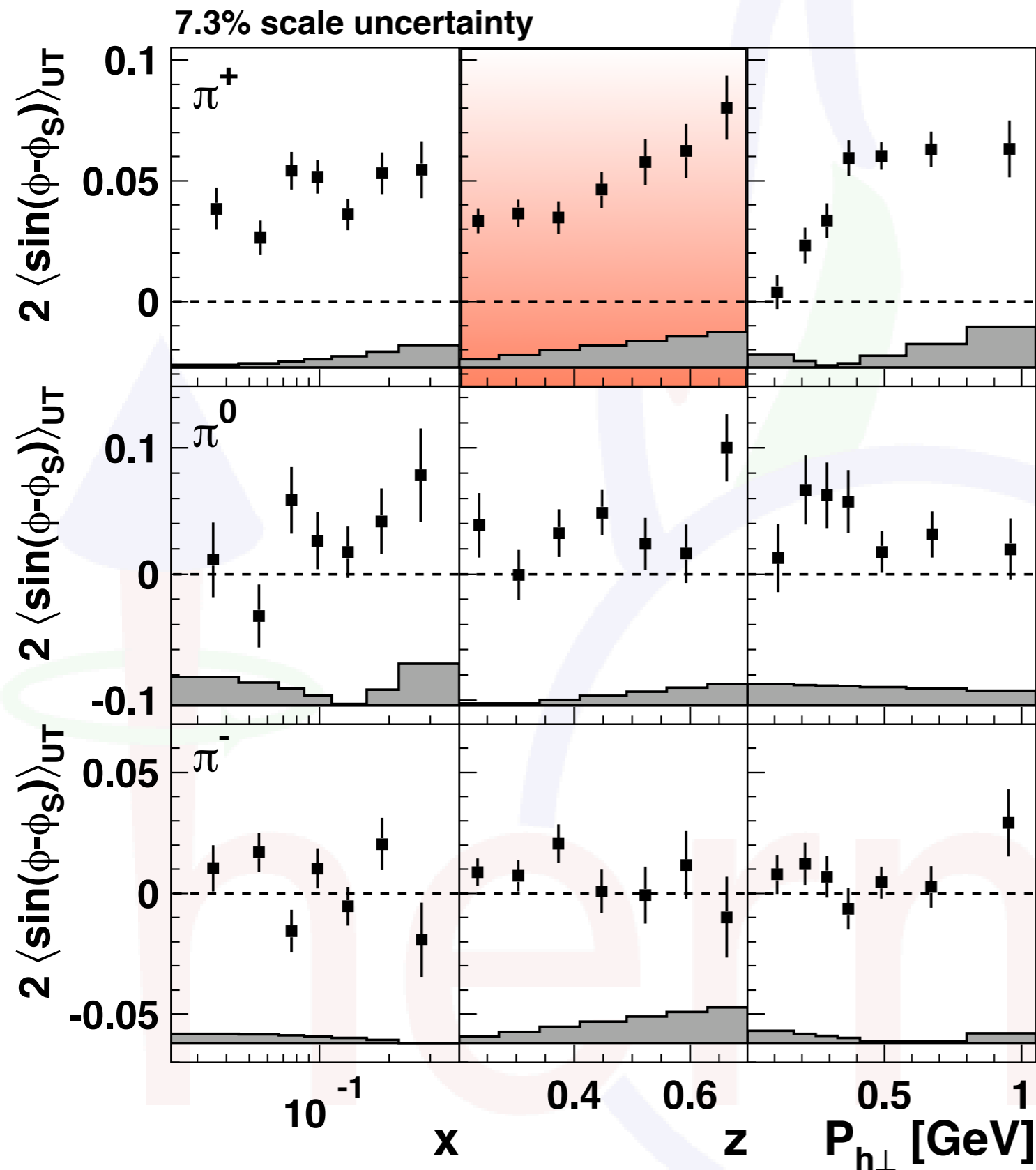


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# Sivers amplitudes for pions

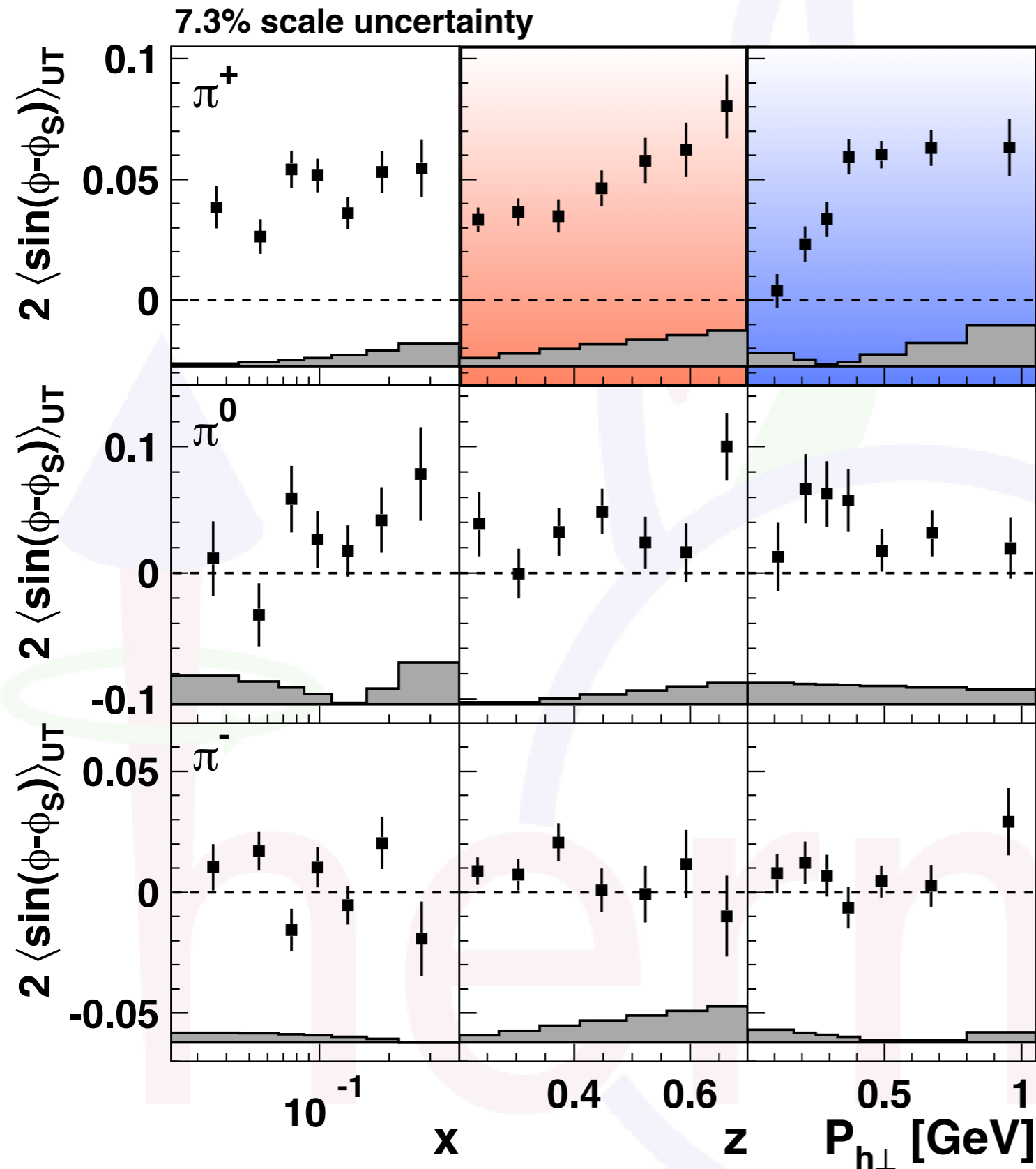




# Sivers amplitudes for pions



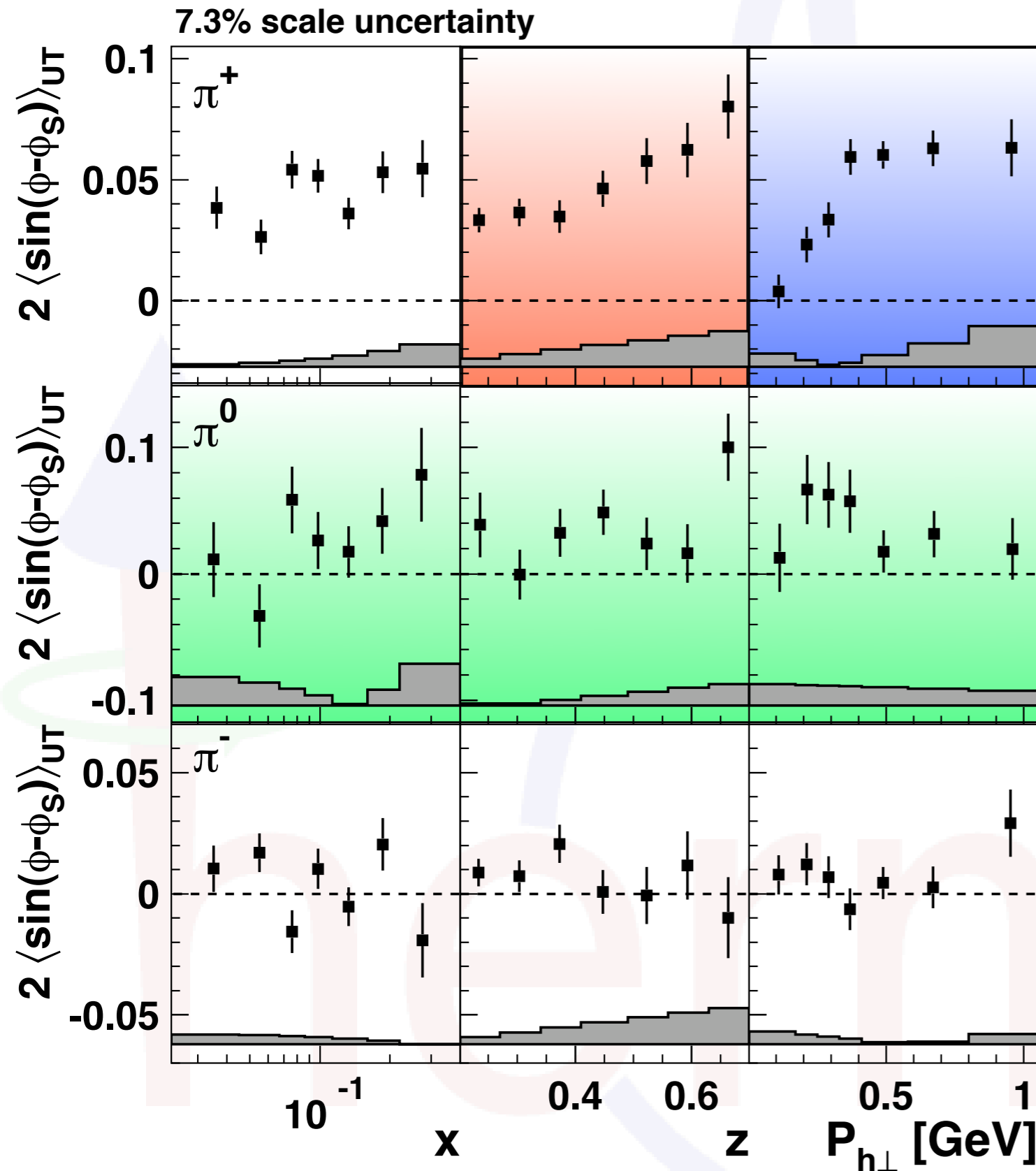
clear rise with  $z$





# Sivers amplitudes for pions



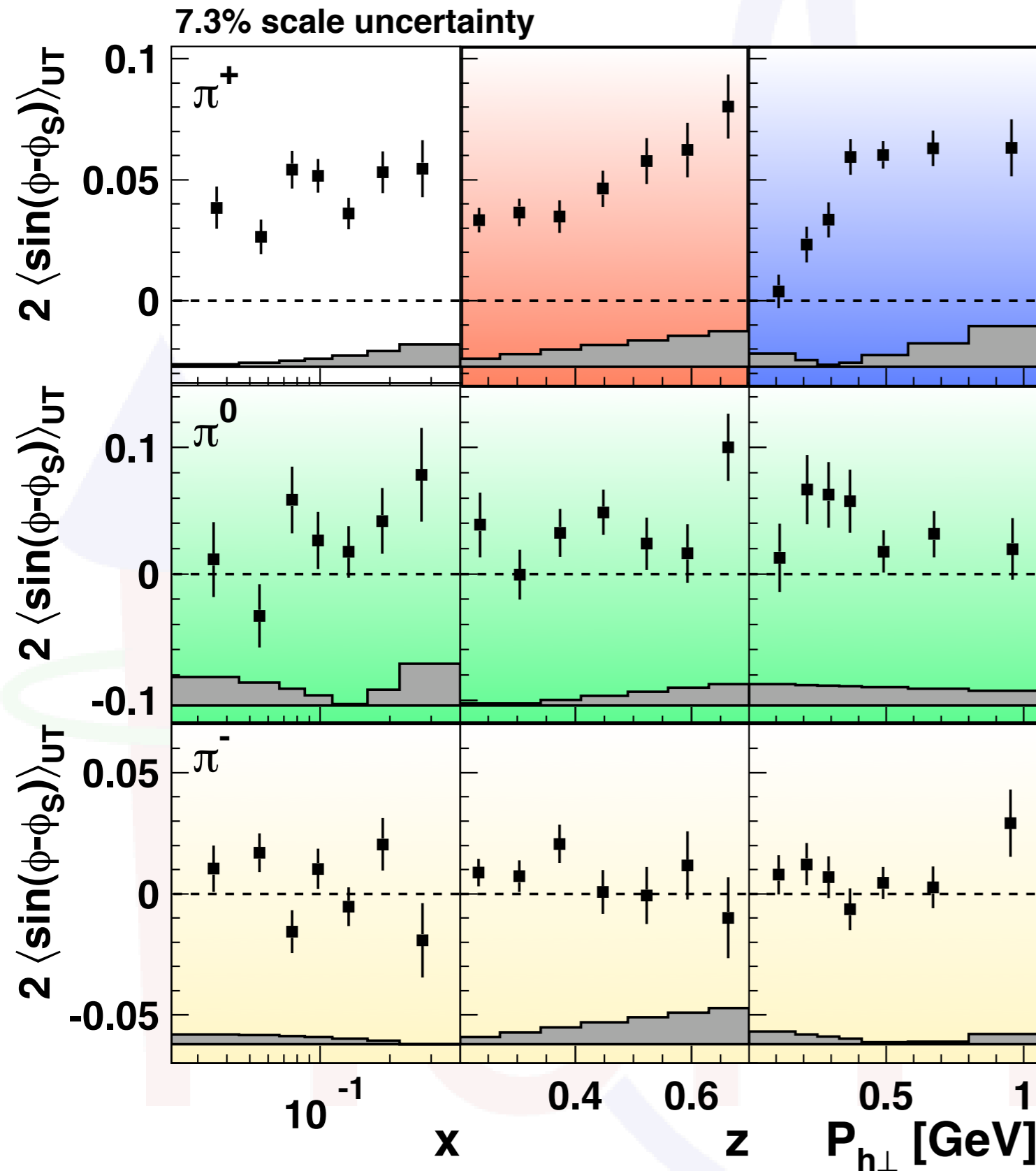
-  **clear rise with  $z$**
-  **rise at low  $P_{h\perp}$**
-  **plateau at high  $P_{h\perp}$**

# Sivers amplitudes for pions



-  **clear rise with  $z$**
-  **rise at low  $P_{h\perp}$**
-  **plateau at high  $P_{h\perp}$**
-  **slightly positive**

# Sivers amplitudes for pions



👉 clear rise with  $z$

👉 rise at low  $P_{h\perp}$

👉 plateau at high  $P_{h\perp}$

👉 slightly positive

👉 consistent with zero

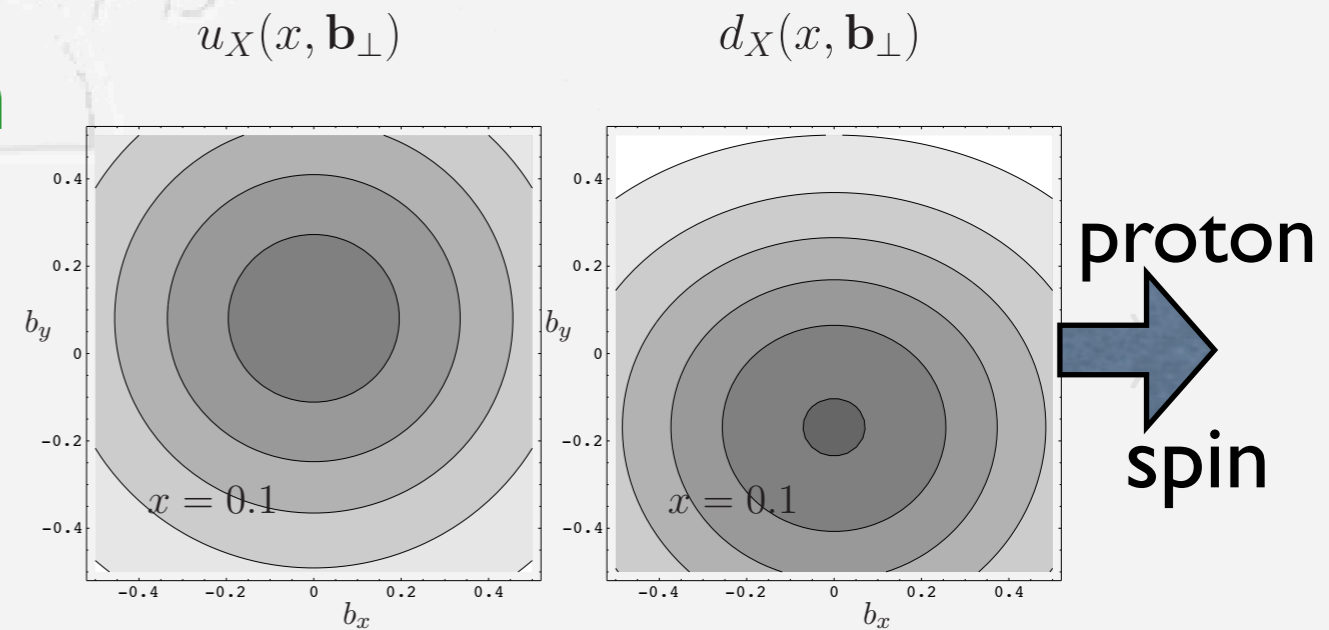
# “Chromodynamic Lensing”

approach by M. Burkardt:

spatial distortion of  $q$ -distribution

(obtained using anom. magn. moments  
& impact parameter dependent PDFs)

[hep-ph/0309269]



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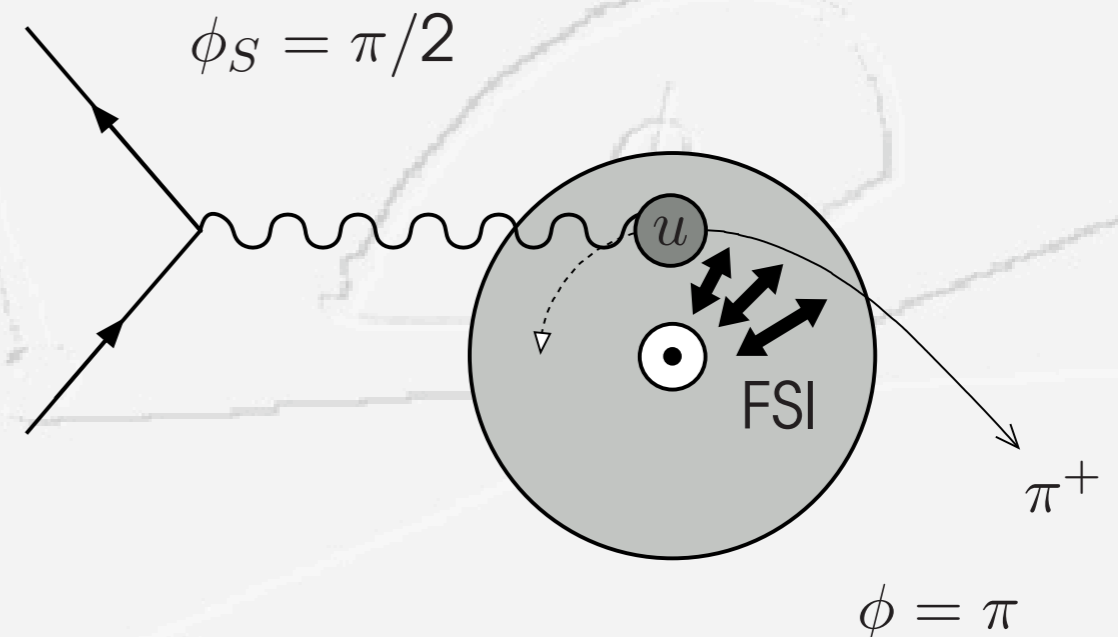
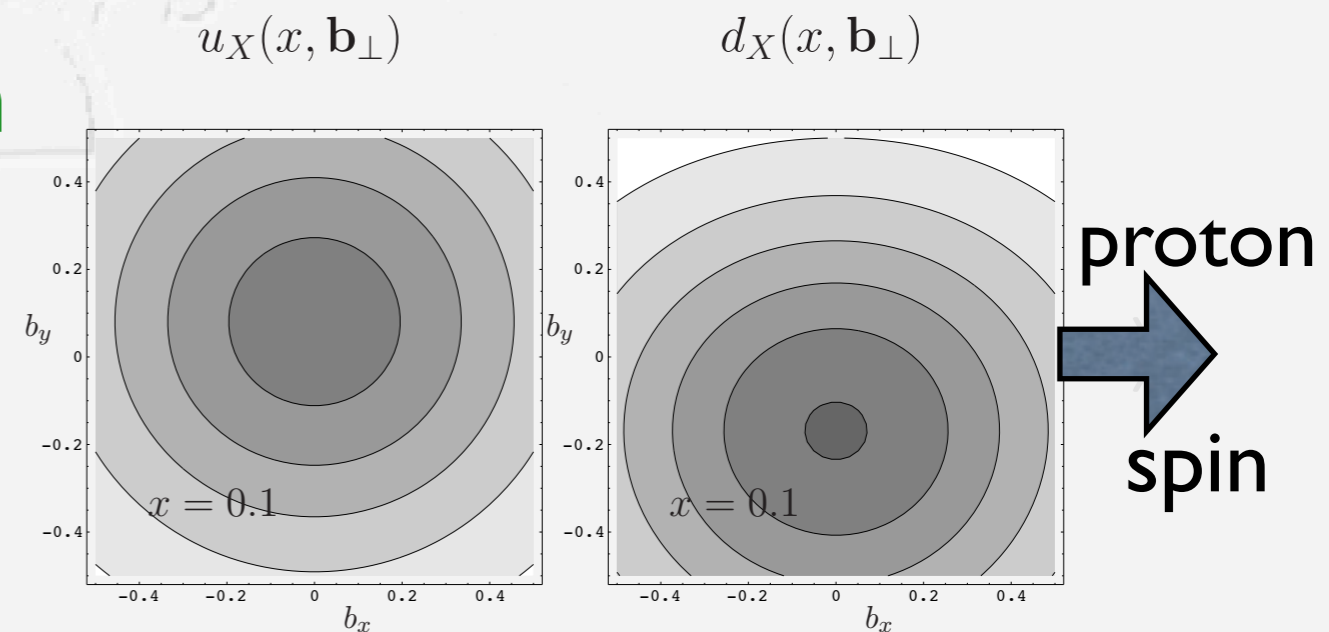
spatial distortion of q-distribution

(obtained using anom. magn. moments  
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+ attractive QCD potential

(gluon exchange)

⇒ transverse asymmetries





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[hep-ph/0309269]

spatial distortion of q-distribution

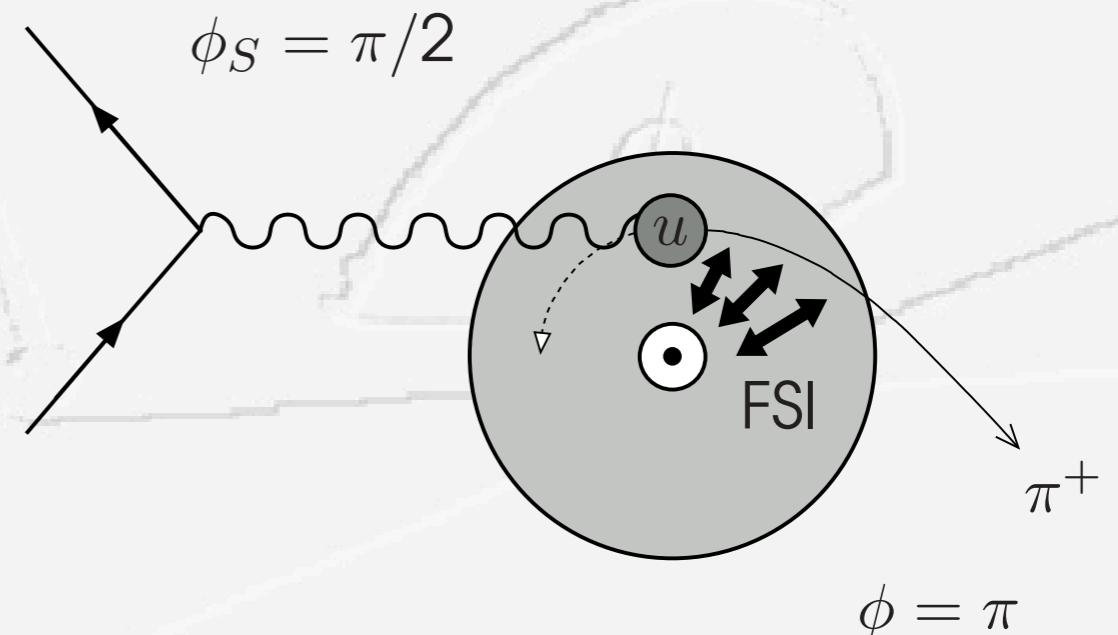
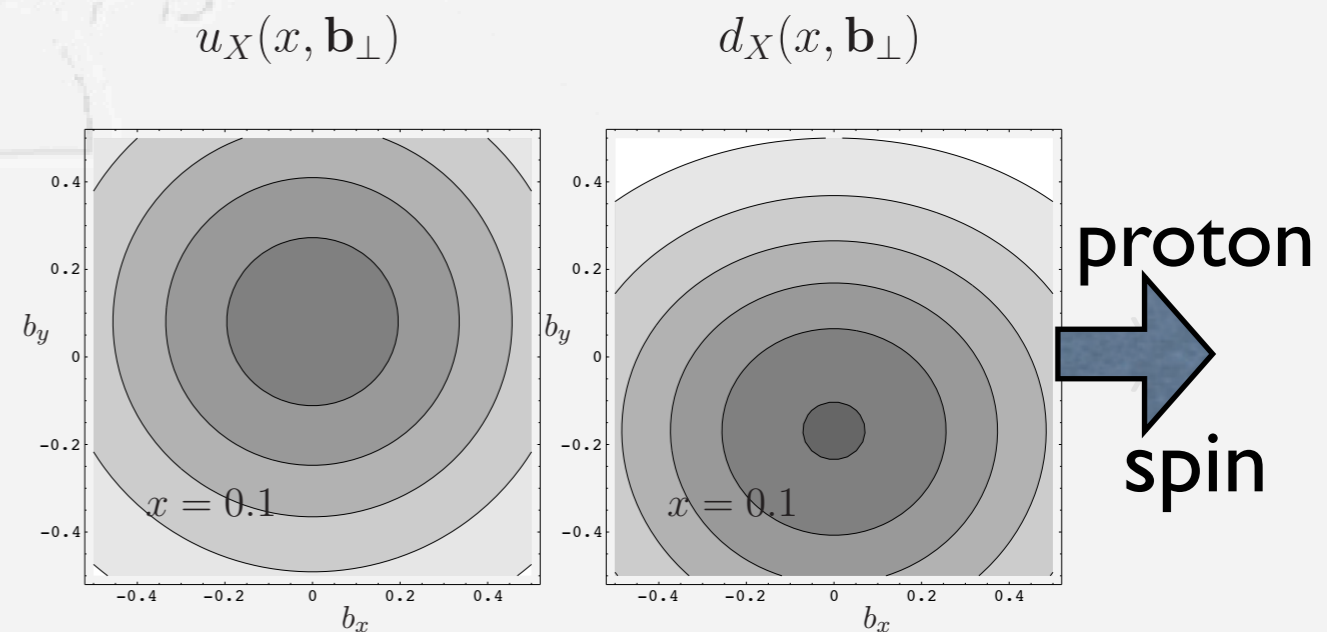
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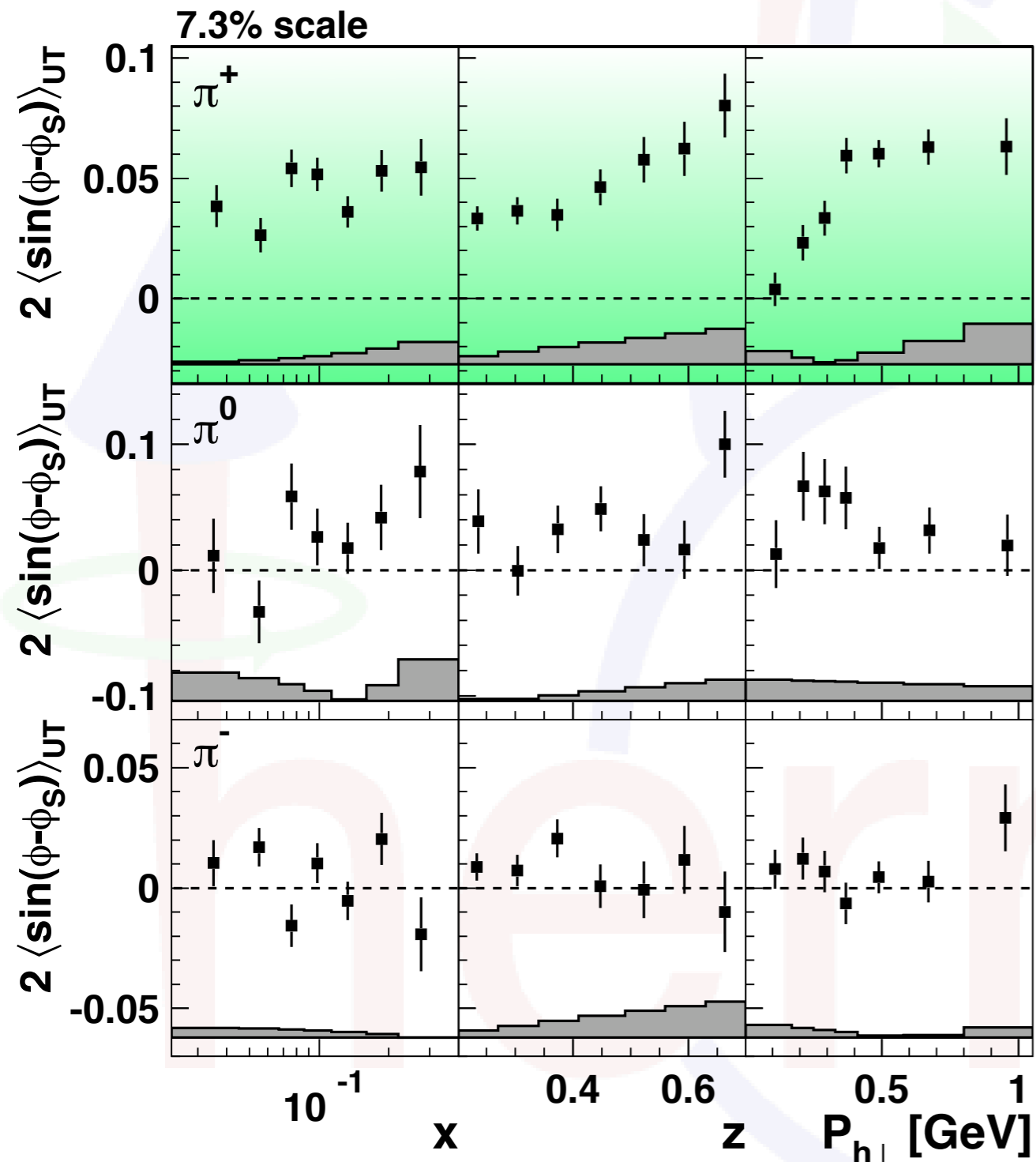
⇒ transverse asymmetries

$$L_z^u > 0$$



# Sivers amplitudes for pions

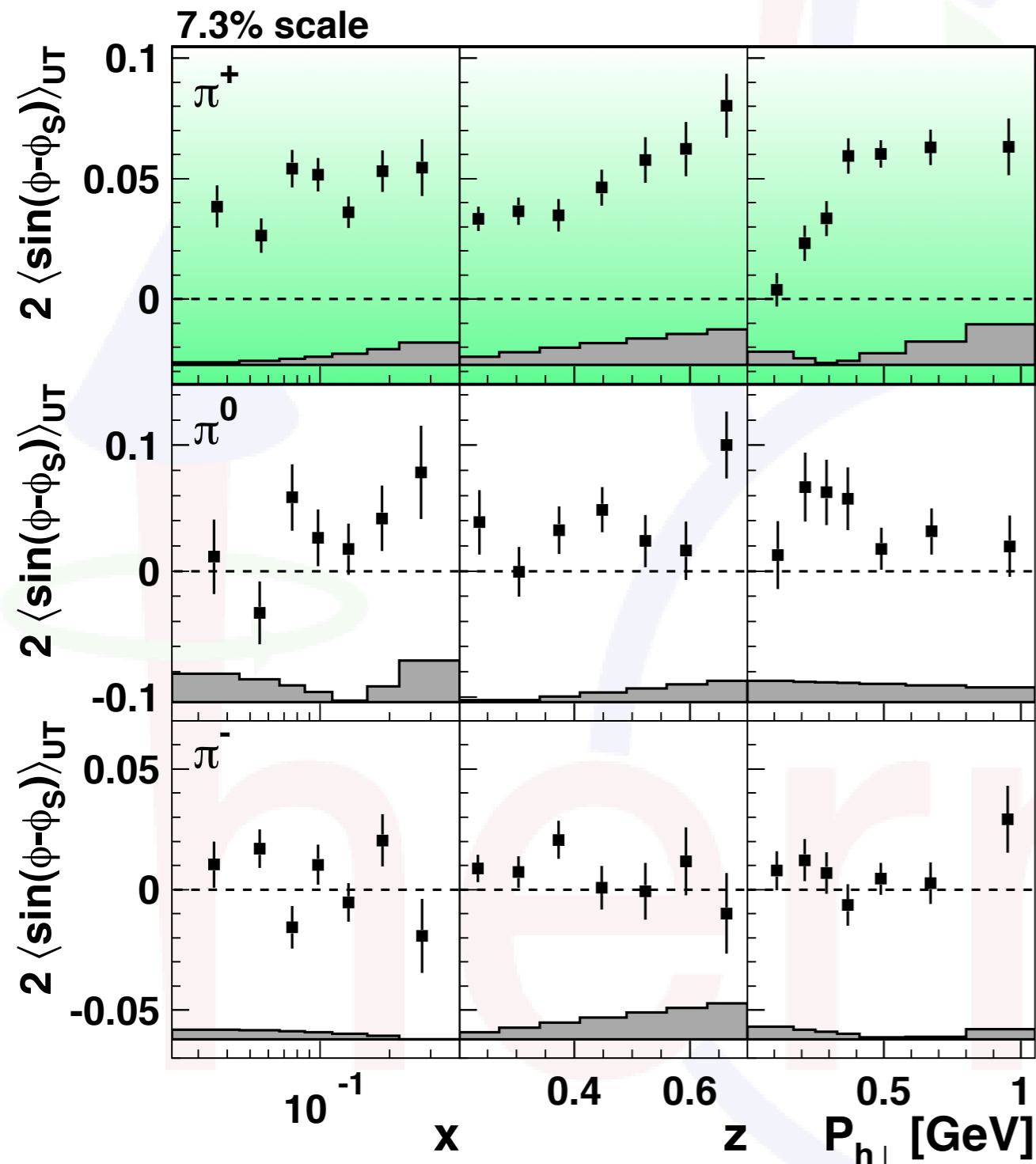
$$2\langle \sin(\phi - \phi_S) \rangle_{\text{UT}} = - \frac{\sum_q e_q^2 f_{1T}^{\perp,q}(x, p_T^2) \otimes D_1^q(z, K_T^2)}{\sum_q e_q^2 f_1^q(x) D_1^q(z)}$$



$$\approx - \frac{f_{1T}^{\perp,u}(x, p_T^2) \otimes D_1^{u \rightarrow \pi^+}(z, K_T^2)}{f_1^u(x) D_1^{u \rightarrow \pi^+}(z)}$$

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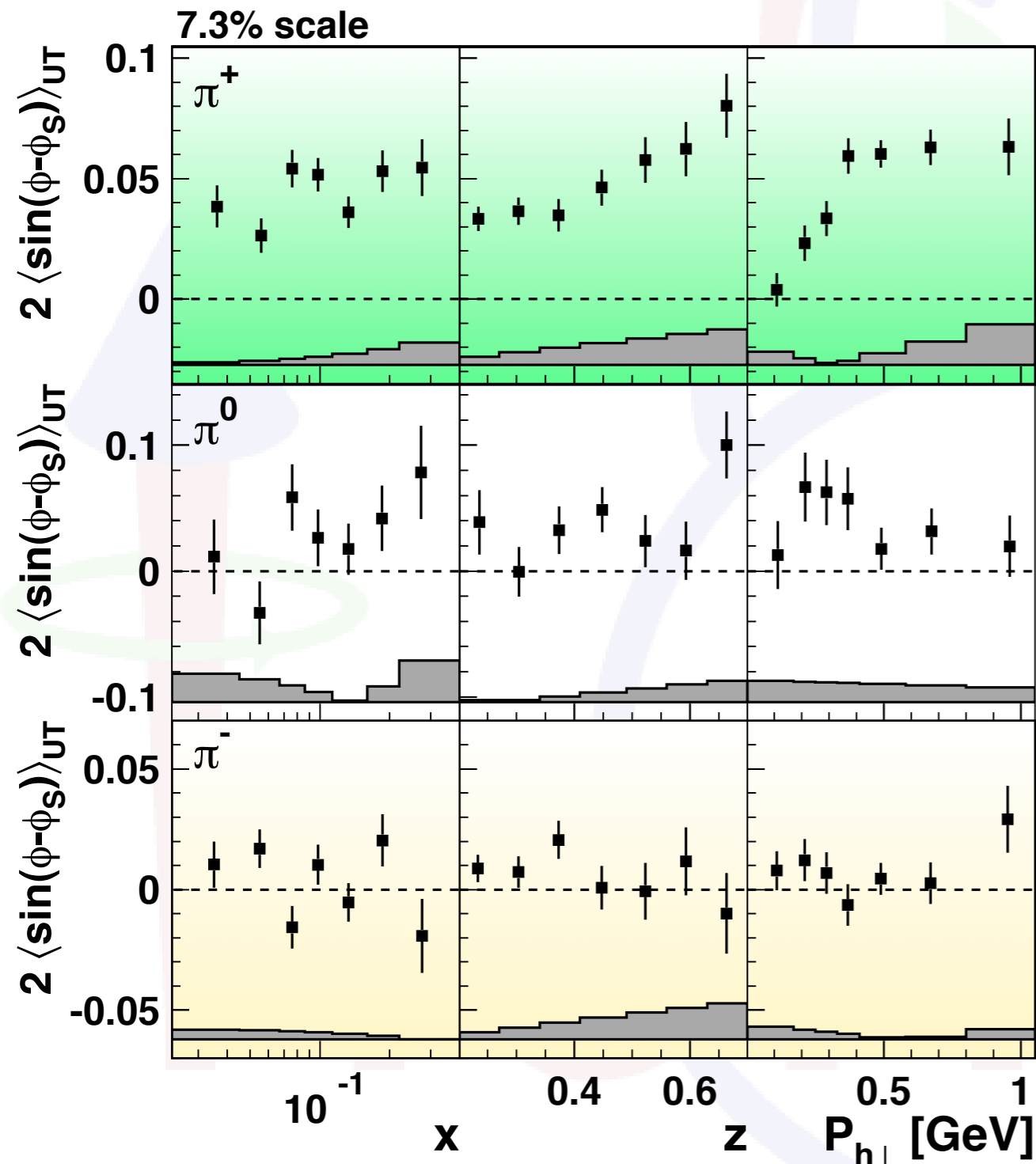
$\pi^+$  dominated by u-quark scattering:

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👉 u-quark Sivers DF < 0

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👉 u-quark Sivers DF < 0

👉 d-quark Sivers DF > 0  
(cancellation for  $\pi^-$ )

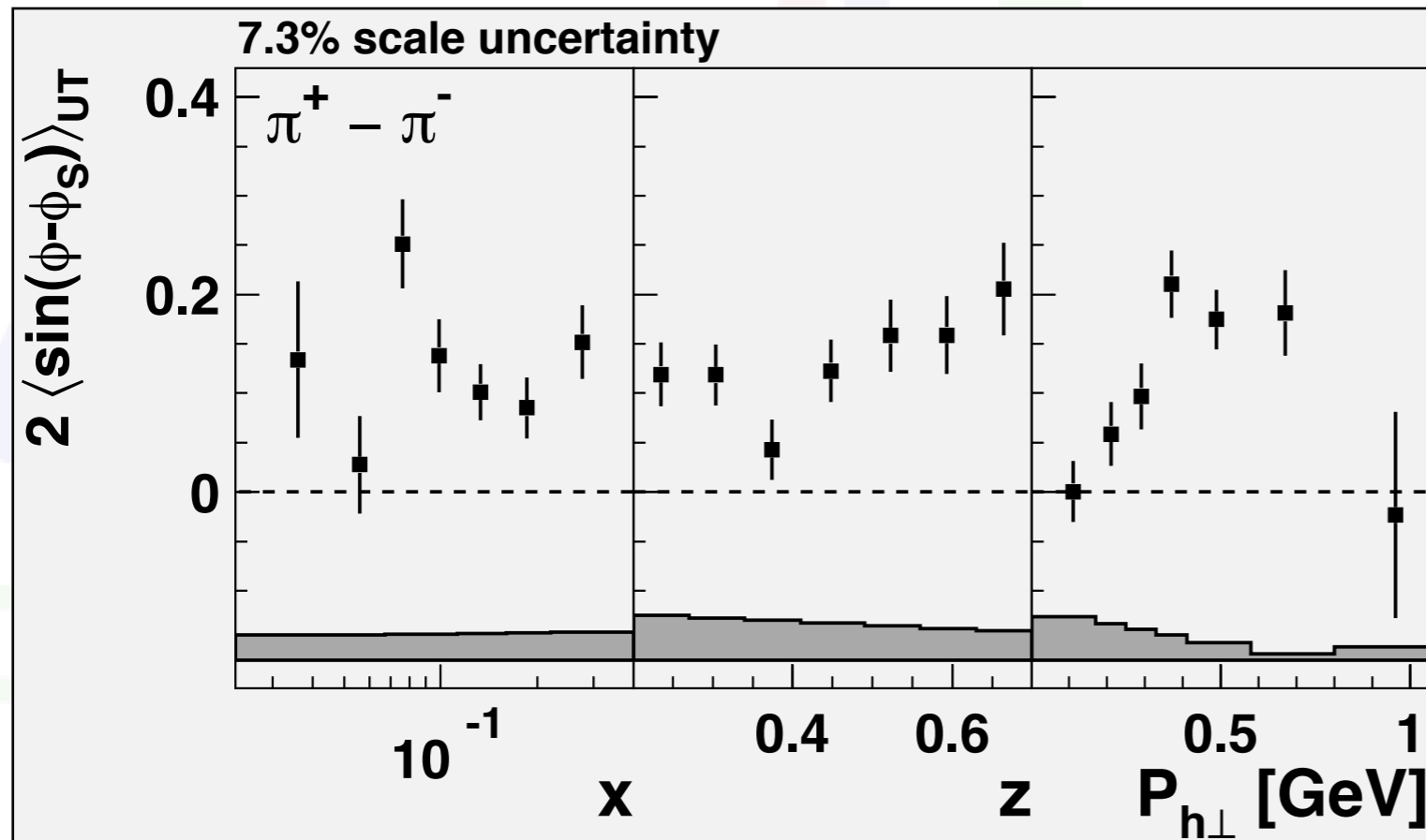
# Sivers ‘Difference Asymmetry’

- Transverse single-spin asymmetry of pion cross-section difference

$$A_{UT}^{\pi^+ - \pi^-}(\phi, \phi_S) \equiv \frac{1}{S_T} \frac{(\sigma_{U\uparrow}^{\pi^+} - \sigma_{U\uparrow}^{\pi^-}) - (\sigma_{U\downarrow}^{\pi^+} - \sigma_{U\downarrow}^{\pi^-})}{(\sigma_{U\uparrow}^{\pi^+} - \sigma_{U\uparrow}^{\pi^-}) + (\sigma_{U\downarrow}^{\pi^+} - \sigma_{U\downarrow}^{\pi^-})}$$

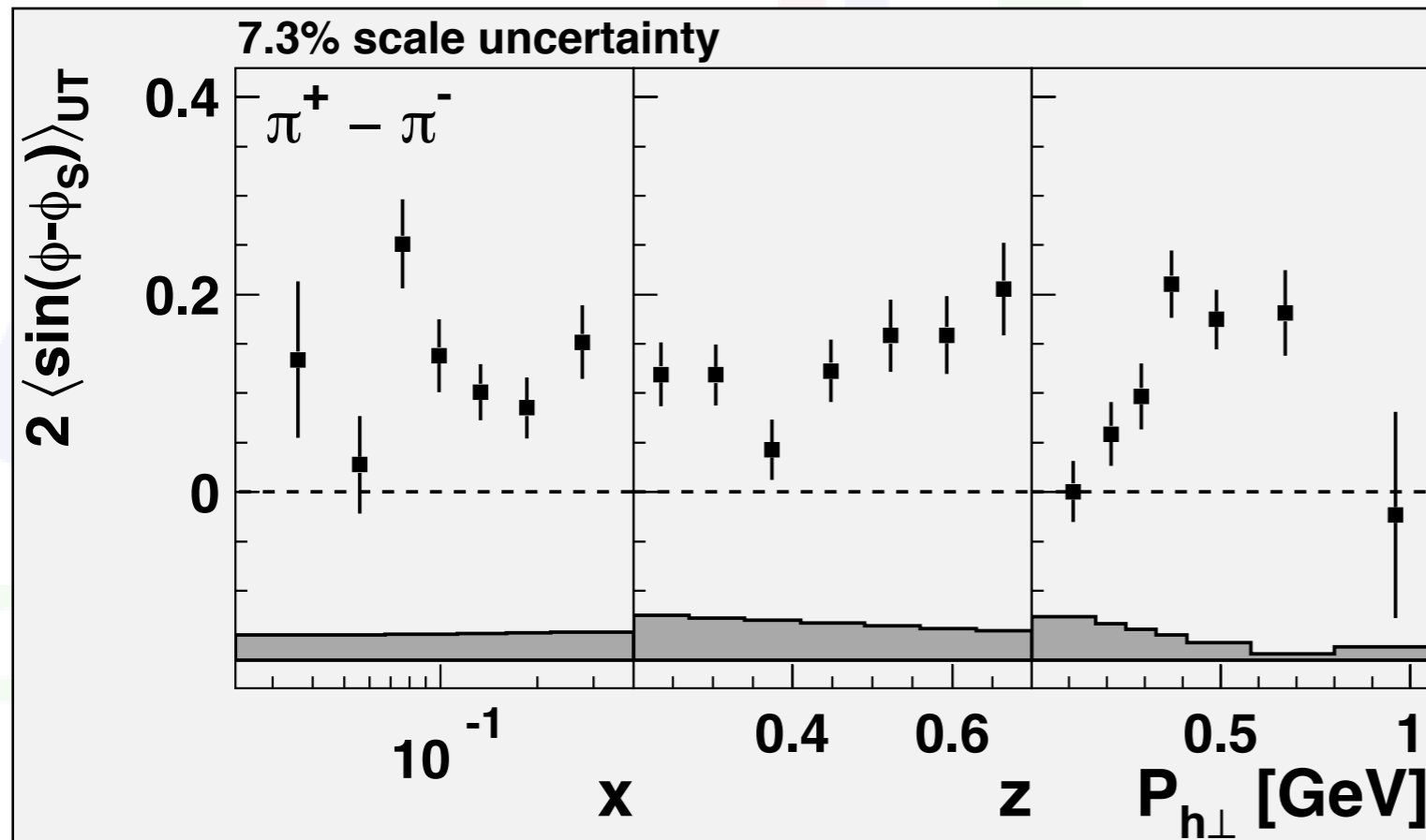
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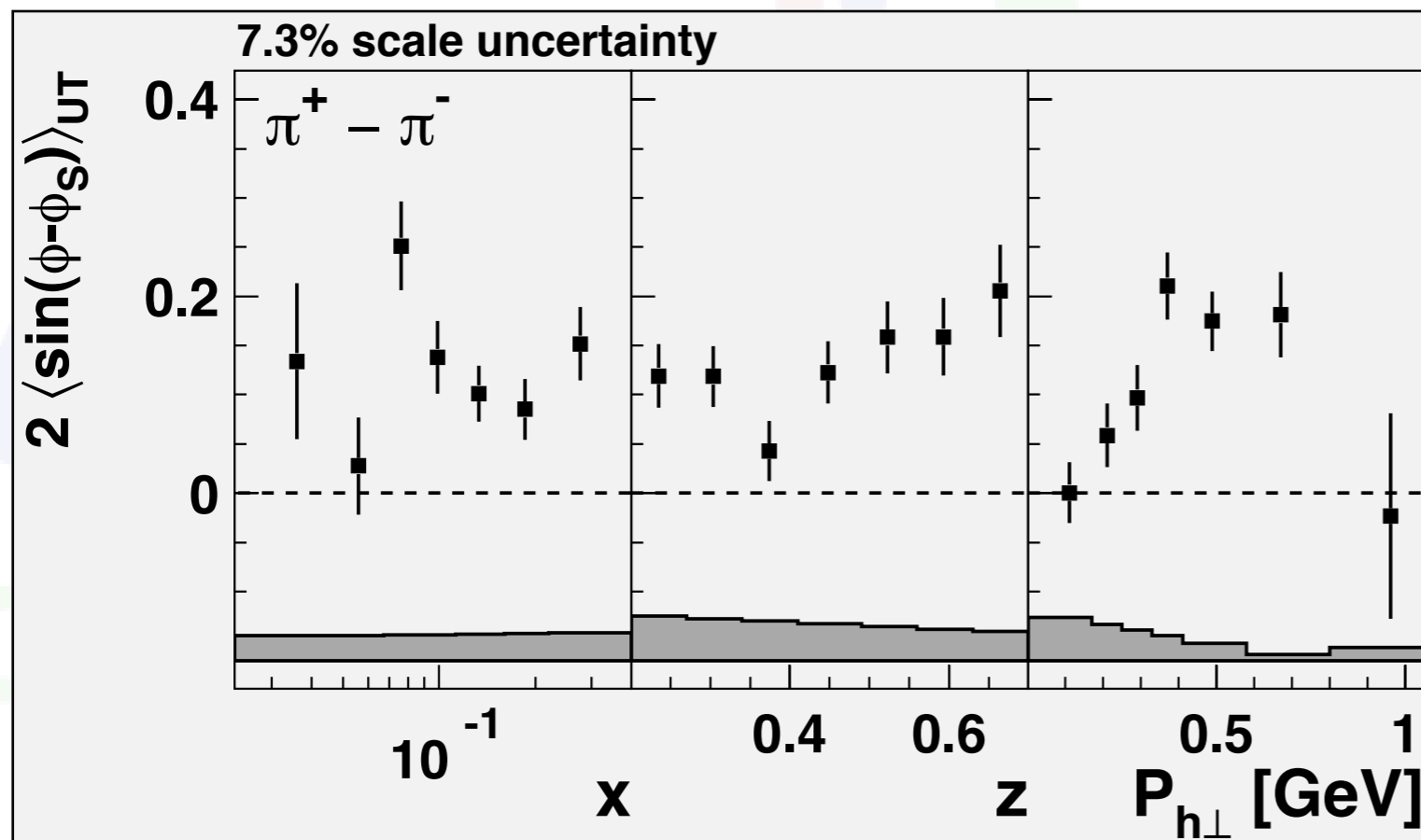


access to Sivers  
valence distribution

$$\langle \sin(\phi - \phi_S) \rangle_{UT}^{\pi^+ - \pi^-}(\phi, \phi_S) \simeq - \frac{4f_{1T}^{\perp, u_v} - f_{1T}^{\perp, d_v}}{4f_1^{u_v} - f_1^{d_v}}$$

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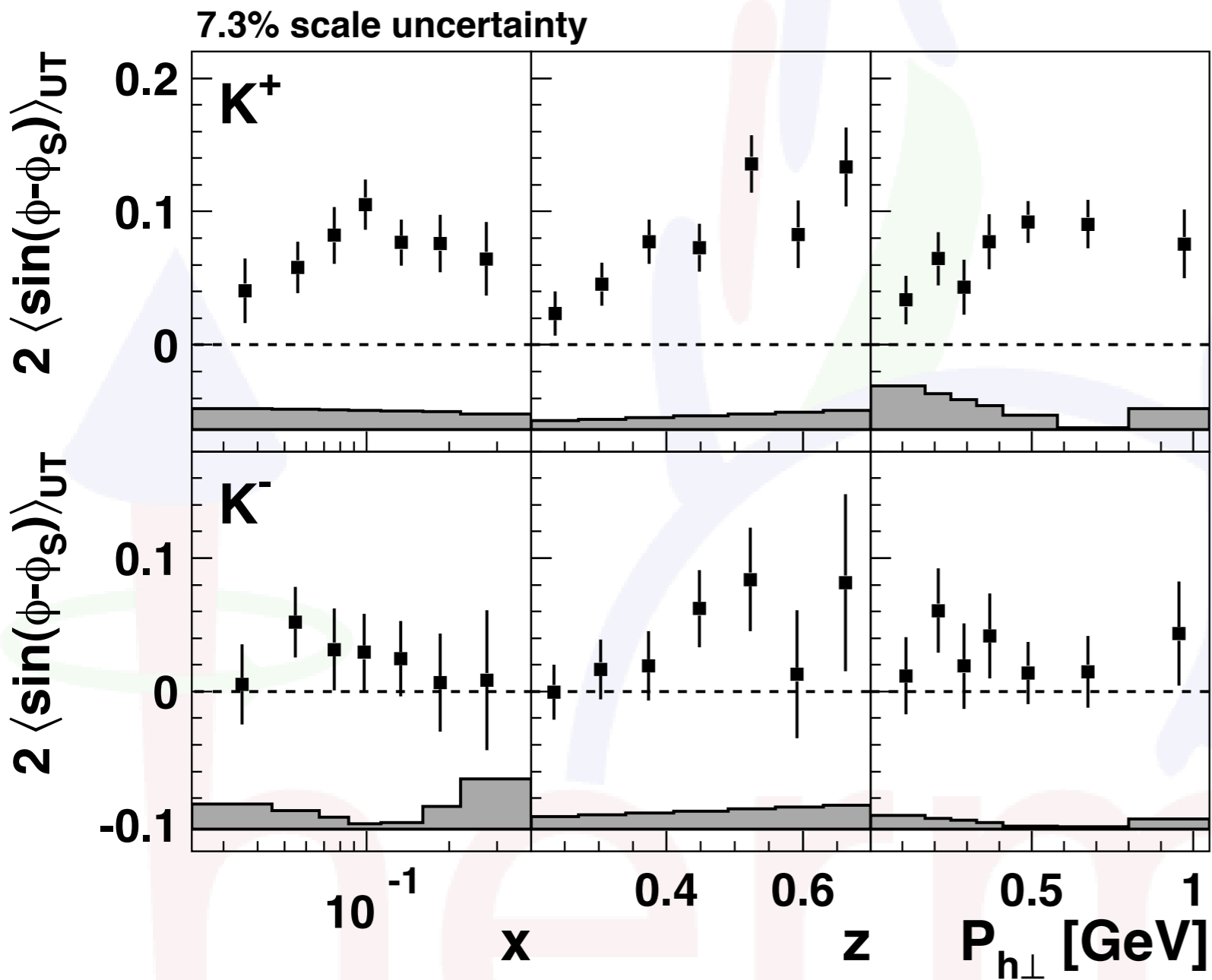


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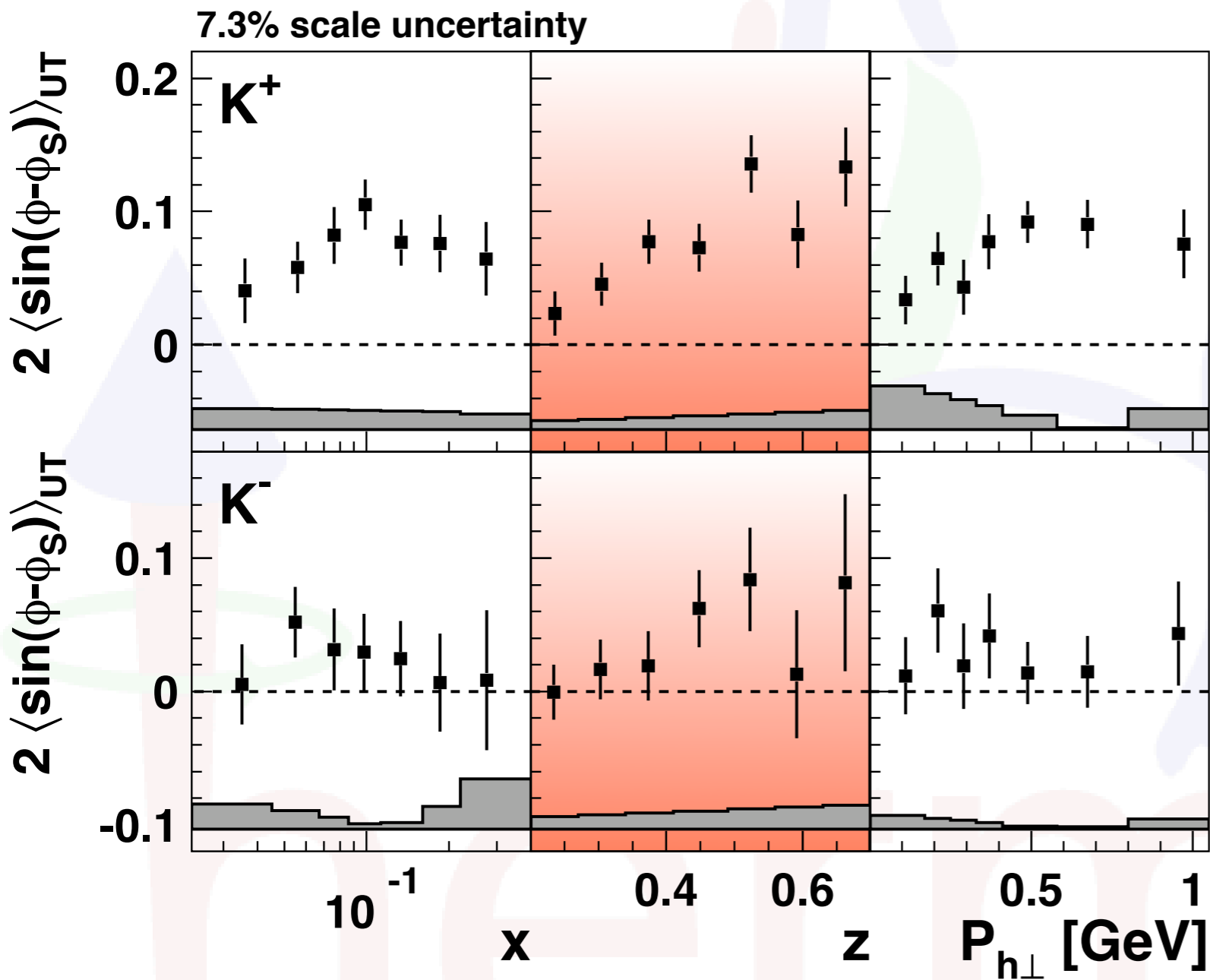
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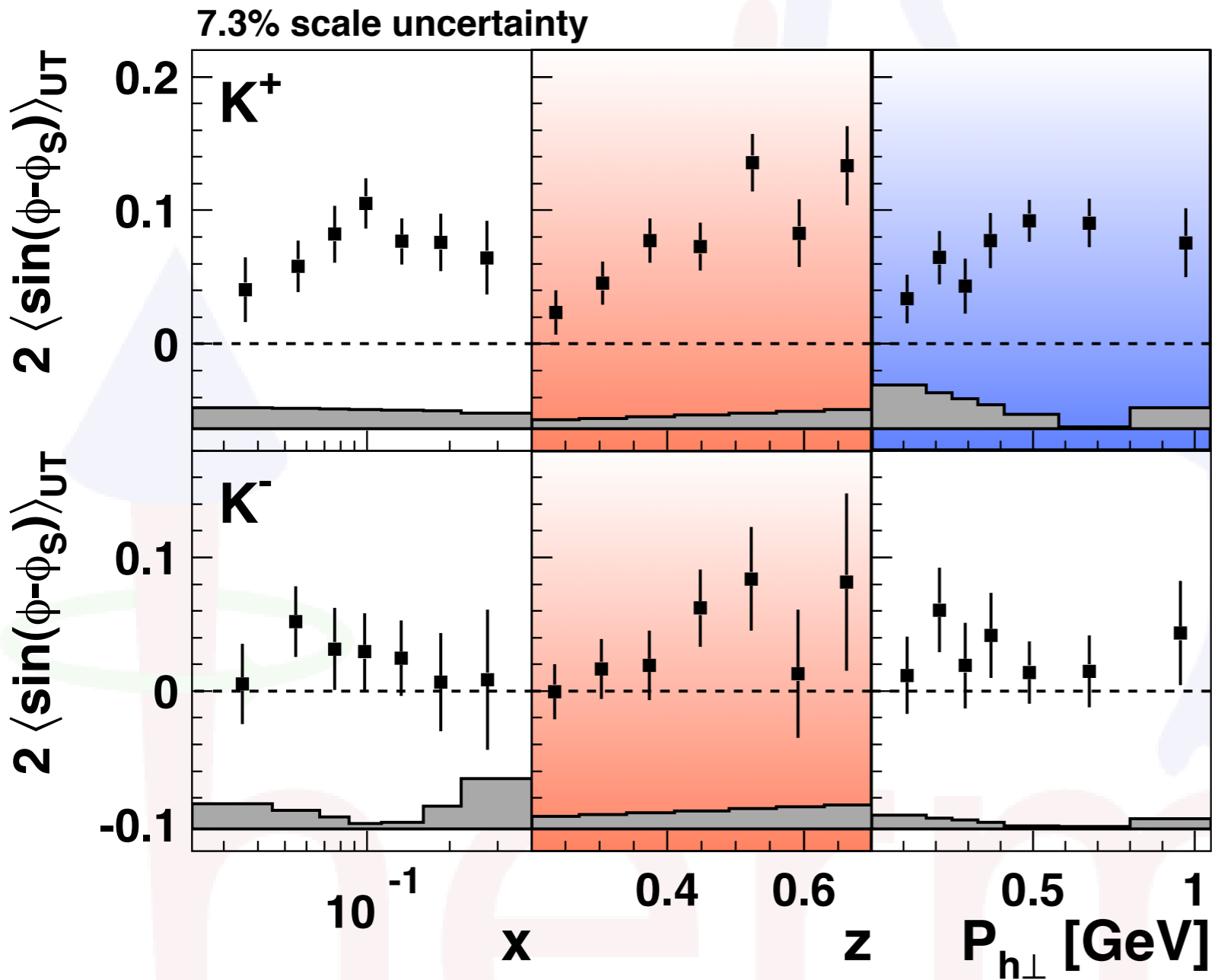
# The kaon Sivers amplitudes






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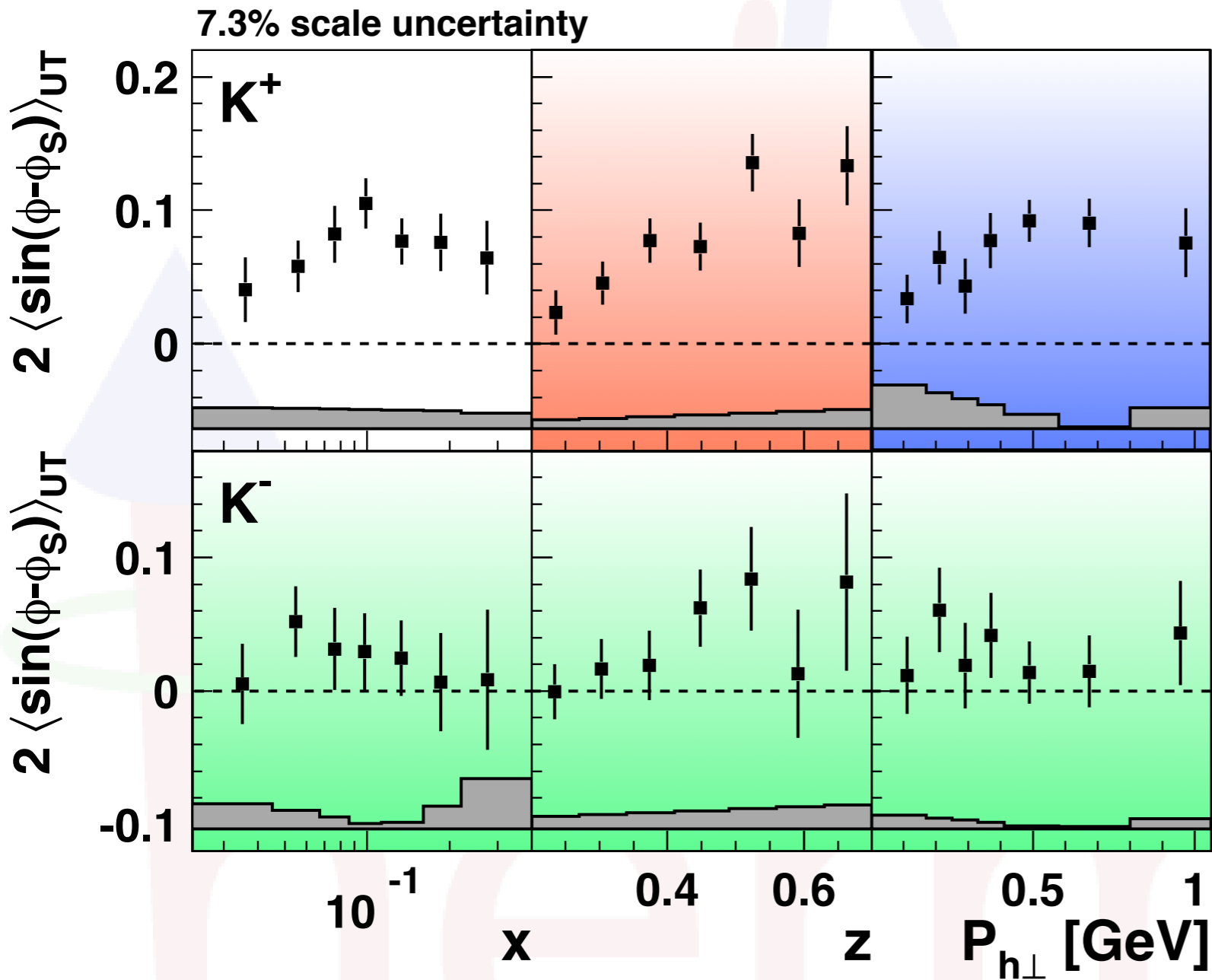


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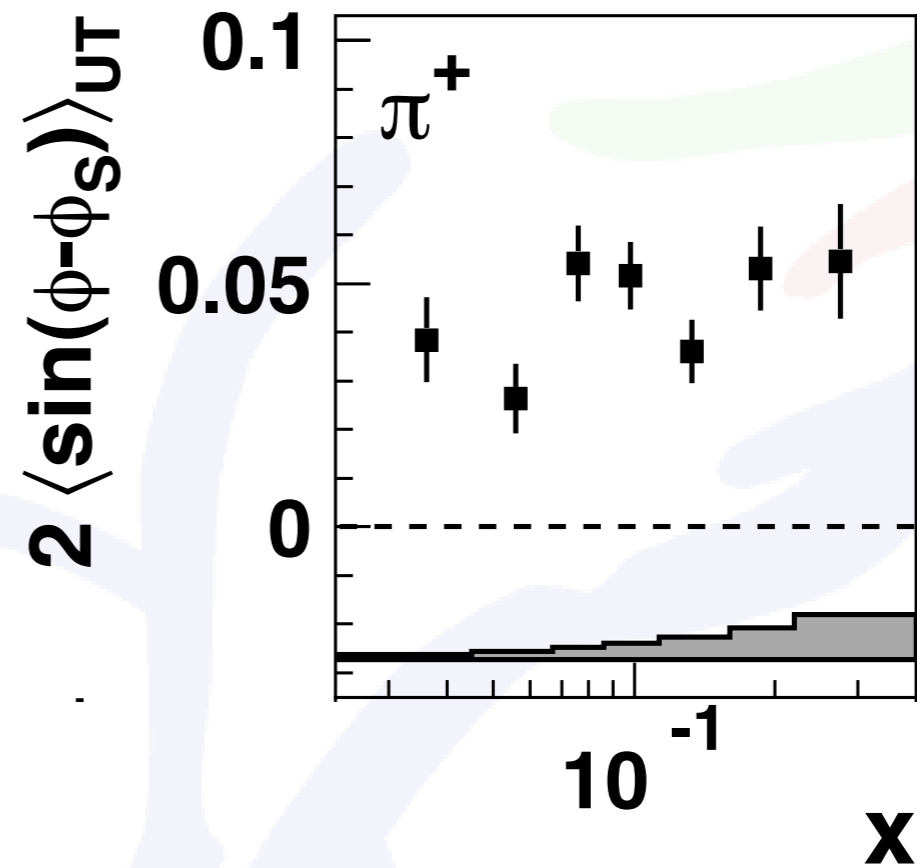
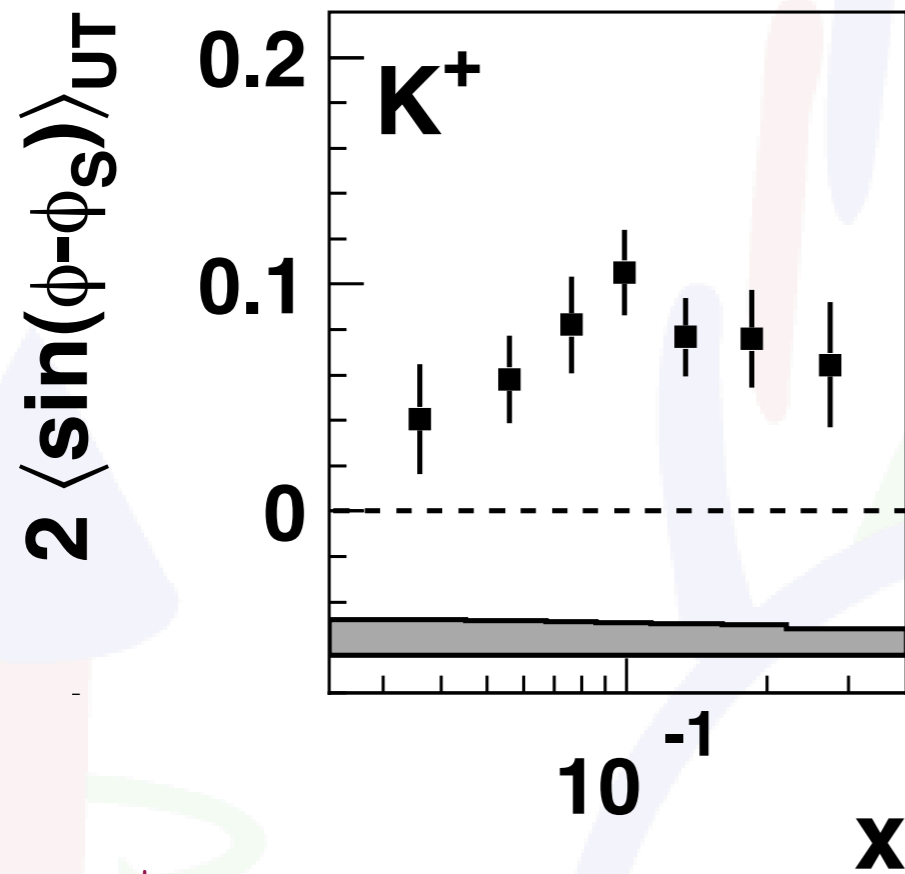
-  **clear rise with  $z$**
-  **rise at low  $P_{h\perp}$**
-  **plateau at high  $P_{h\perp}$**

# The kaon Sivers amplitudes



- 👉 clear rise with  $z$
- 👉 rise at low  $P_{h\perp}$
- 👉 plateau at high  $P_{h\perp}$
- 👉 slightly positive

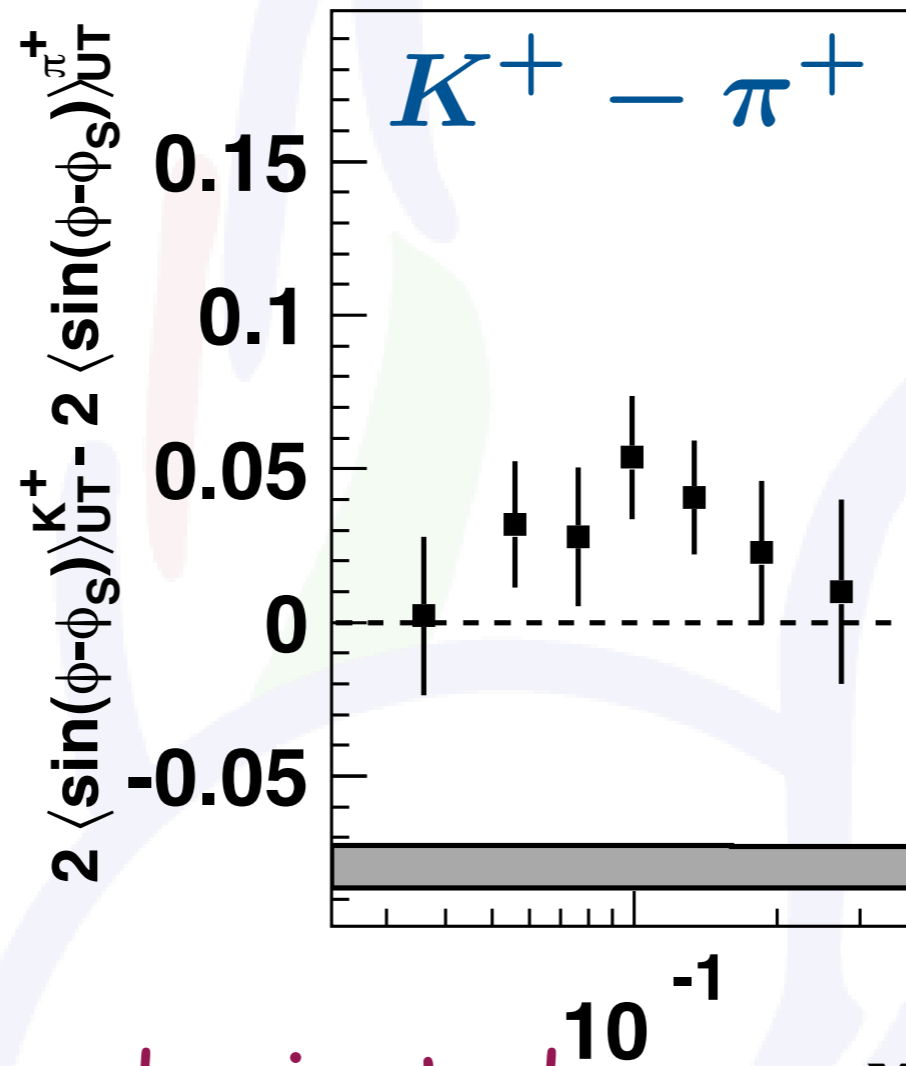
# The “Kaon Challenge”



$\pi^+ / K^+$  production dominated by scattering off u-quarks:  $\simeq$

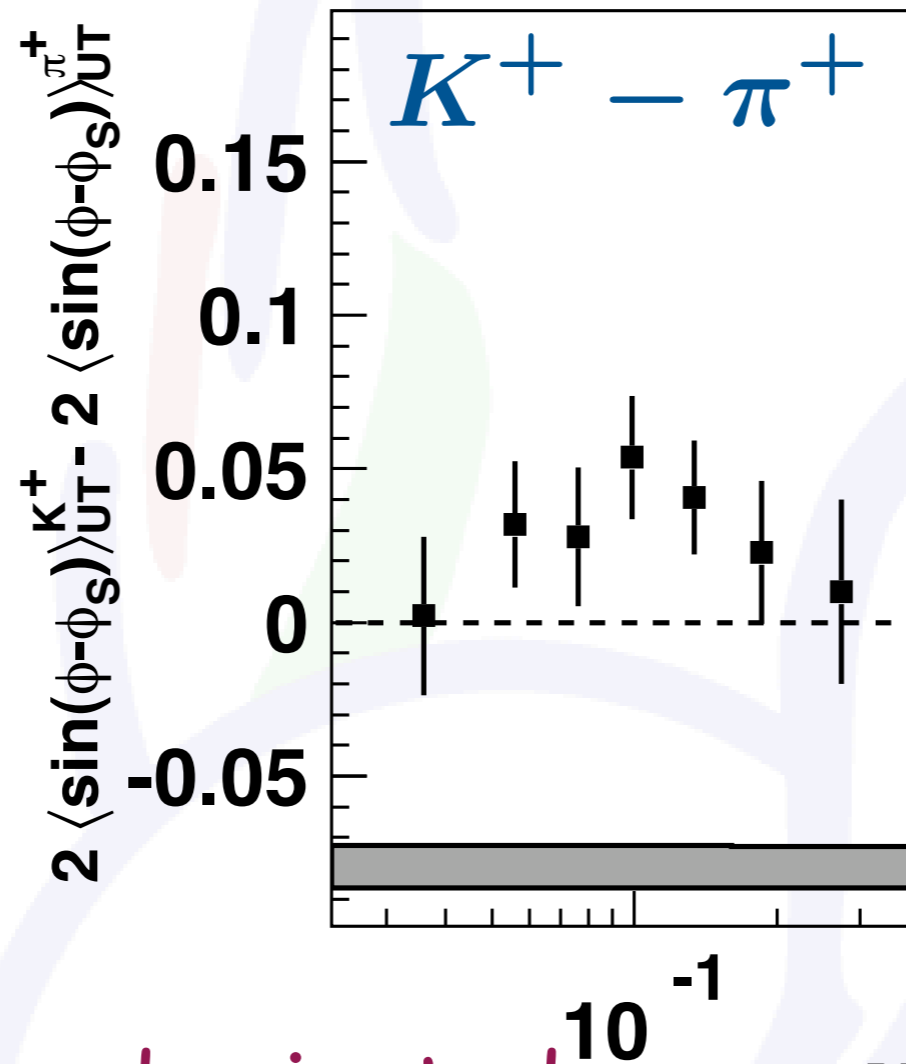
$$\simeq - \frac{f_{1T}^{\perp,u}(x, p_T^2) \otimes D_1^{u \rightarrow \pi^+ / K^+}(z, K_T^2)}{f_1^u(x) D_1^{u \rightarrow \pi^+ / K^+}(z)}$$

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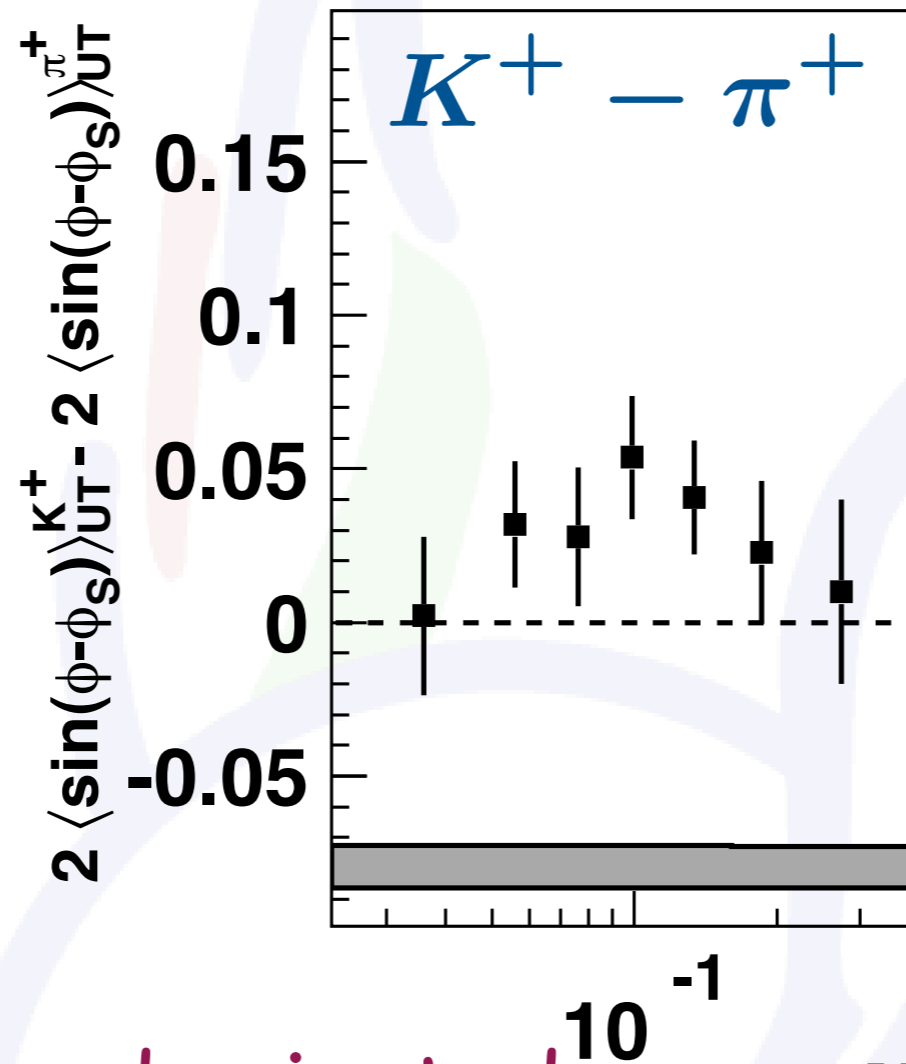
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□  $K^+ = |u\bar{s}\rangle$  &  $\pi^+ = |u\bar{d}\rangle$   $\rightarrow$  non-trivial role of sea quarks

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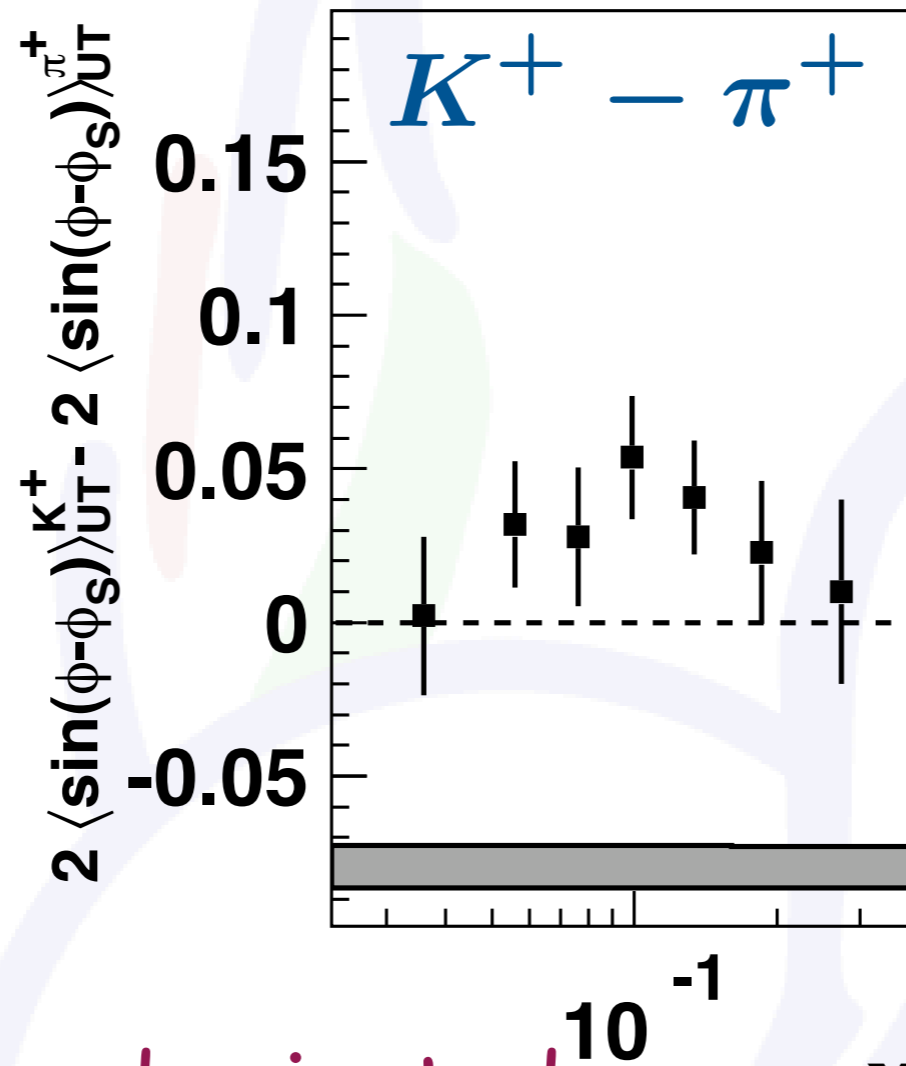
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□ convolution integral in numerator depends on  $K_T$  dependence of FFs



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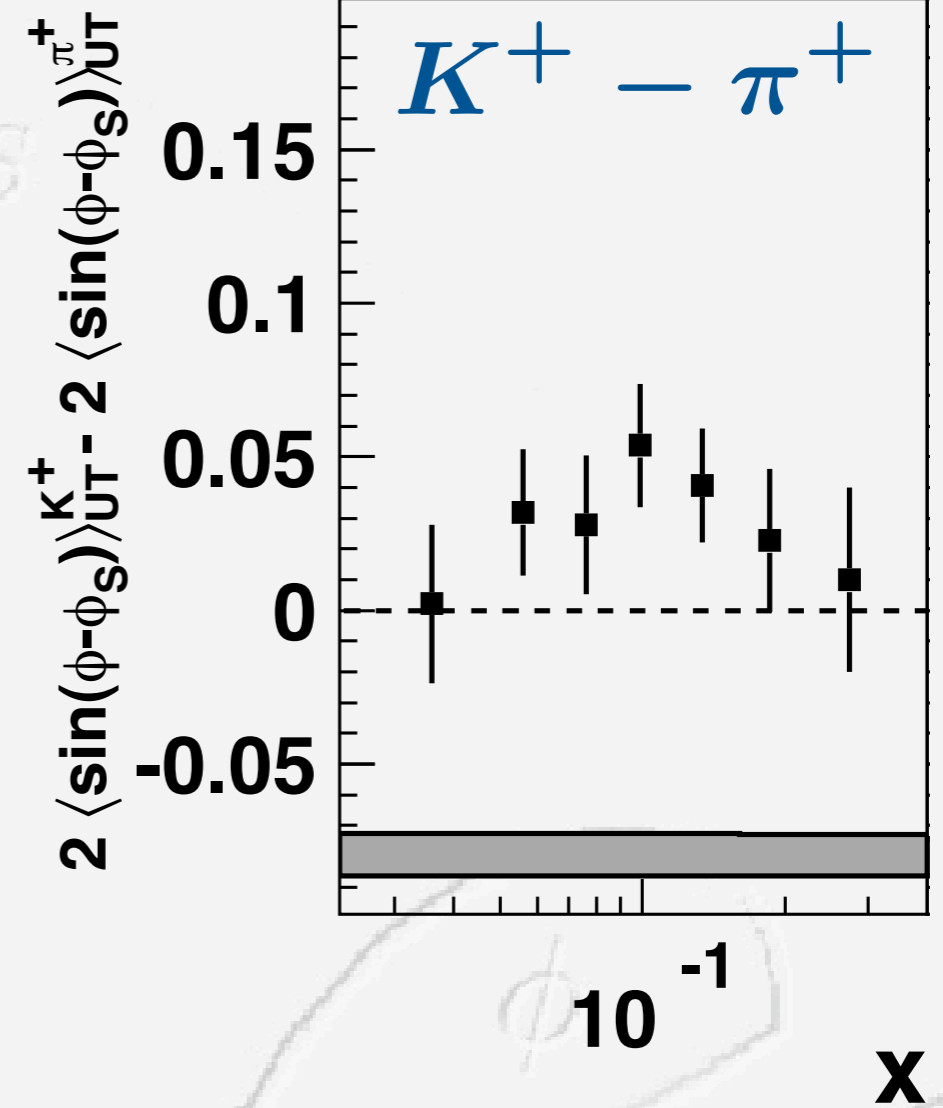
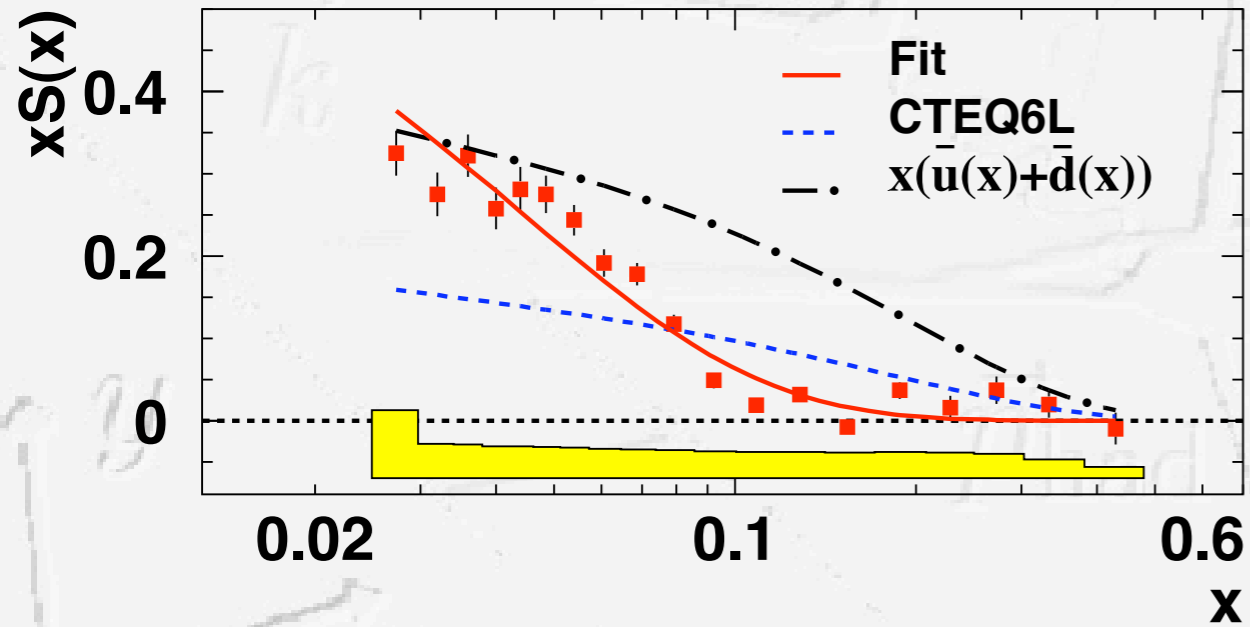


$\pi^+ / K^+$  production dominated by scattering off u-quarks:  $\simeq - \frac{f_{1T}^{\perp,u}(x, p_T^2) \otimes D_1^{u \rightarrow \pi^+ / K^+}(z, K_T^2)}{f_1^u(x) D_1^{u \rightarrow \pi^+ / K^+}(z)}$

- $K^+ = |u\bar{s}\rangle$  &  $\pi^+ = |u\bar{d}\rangle$   $\rightarrow$  non-trivial role of sea quarks
- convolution integral in numerator depends on  $K_T$  dependence of FFs
- difference in dependences on kinematics integrated over

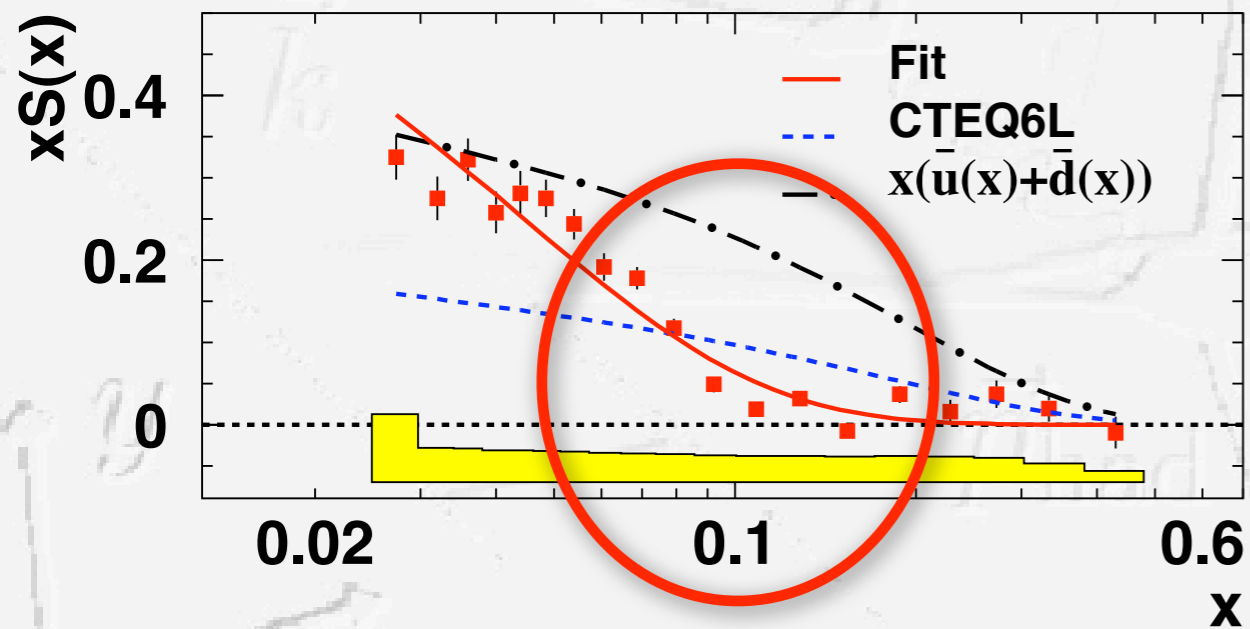
# Role of sea quarks

[A. Airapetian et al., PLB 666, 446 (2008)]

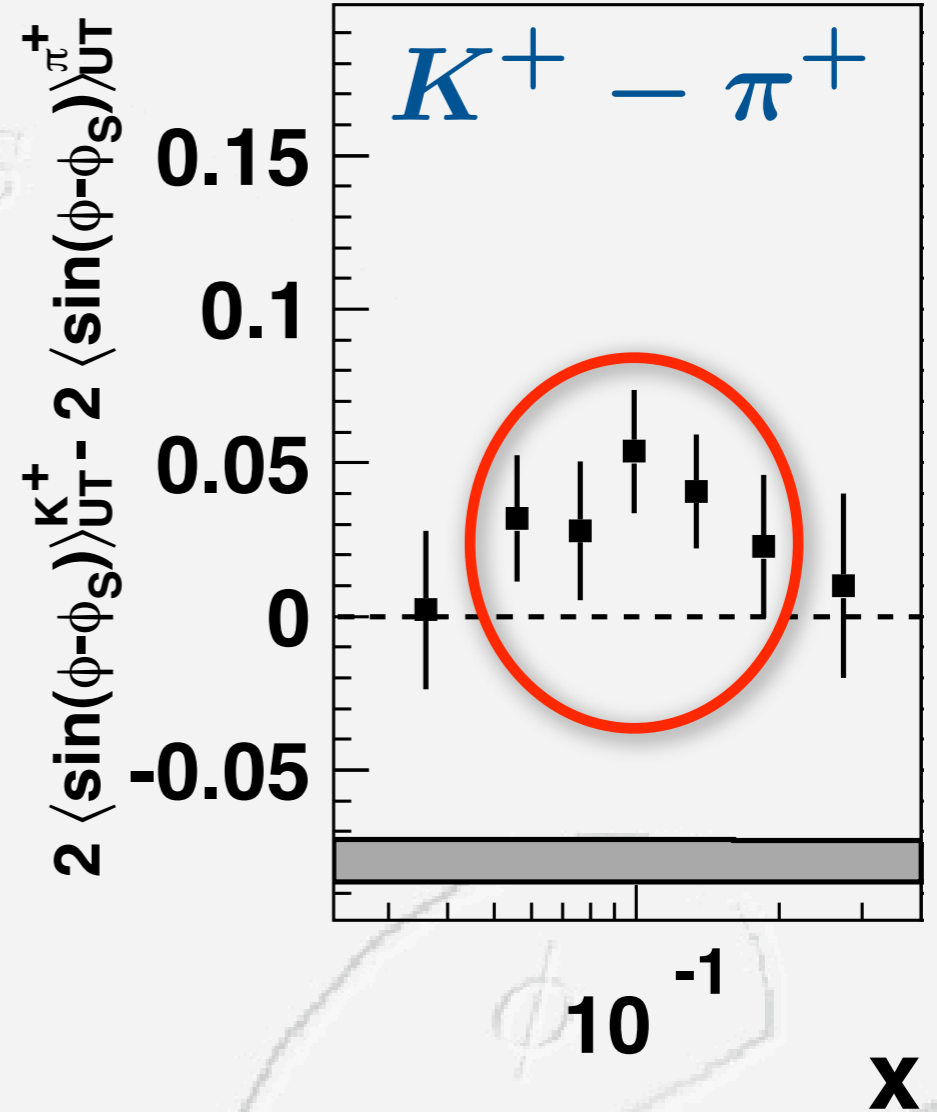


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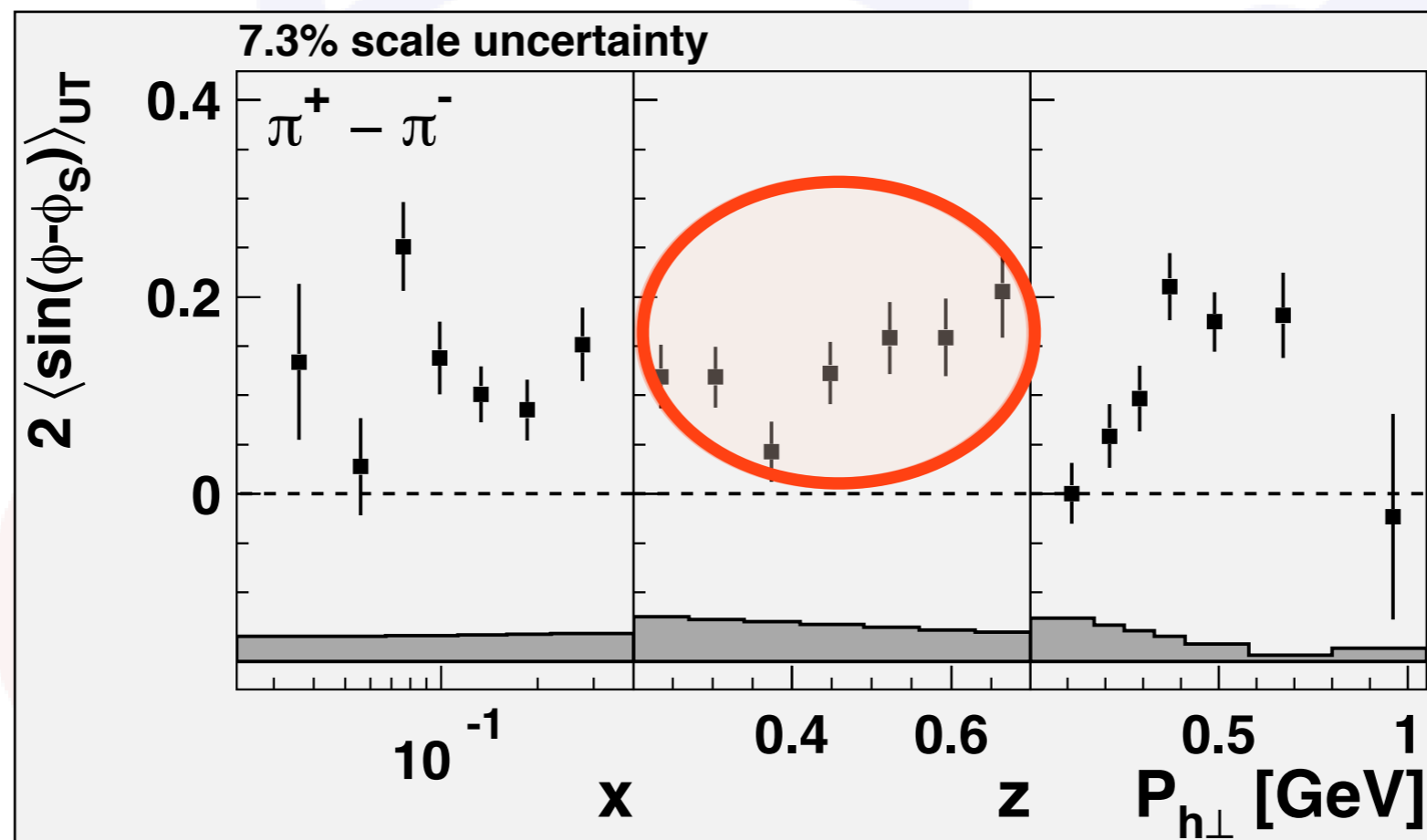


differences biggest in region where strange sea is most different from light sea



# Cancellation of fragmentation function

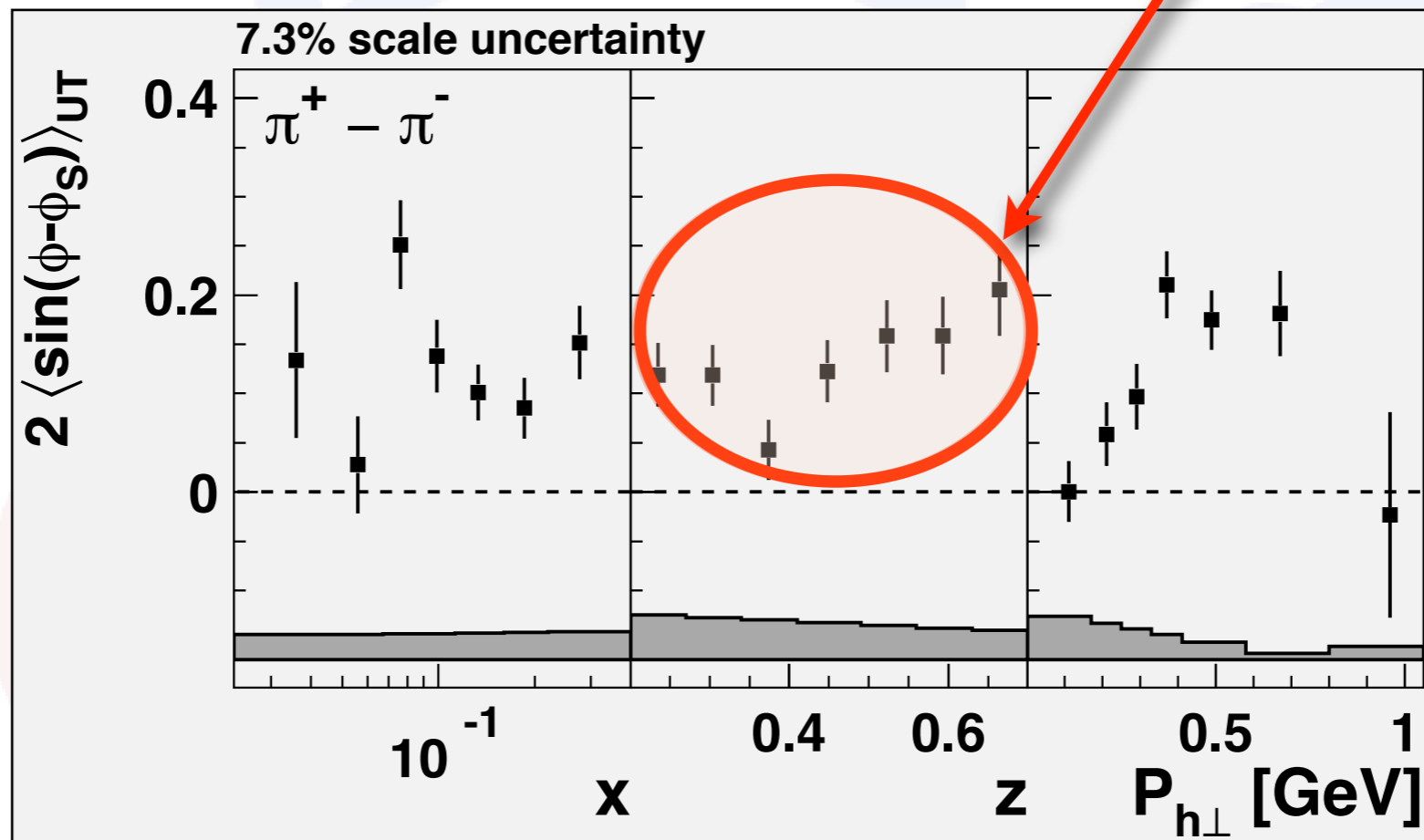
$$\langle \sin(\phi - \phi_S) \rangle_{UT}^{\pi^+ - \pi^-}(\phi, \phi_S) \simeq - \frac{4f_{1T}^{\perp, u_v} - f_{1T}^{\perp, d_v}}{4f_1^{u_v} - f_1^{d_v}}$$



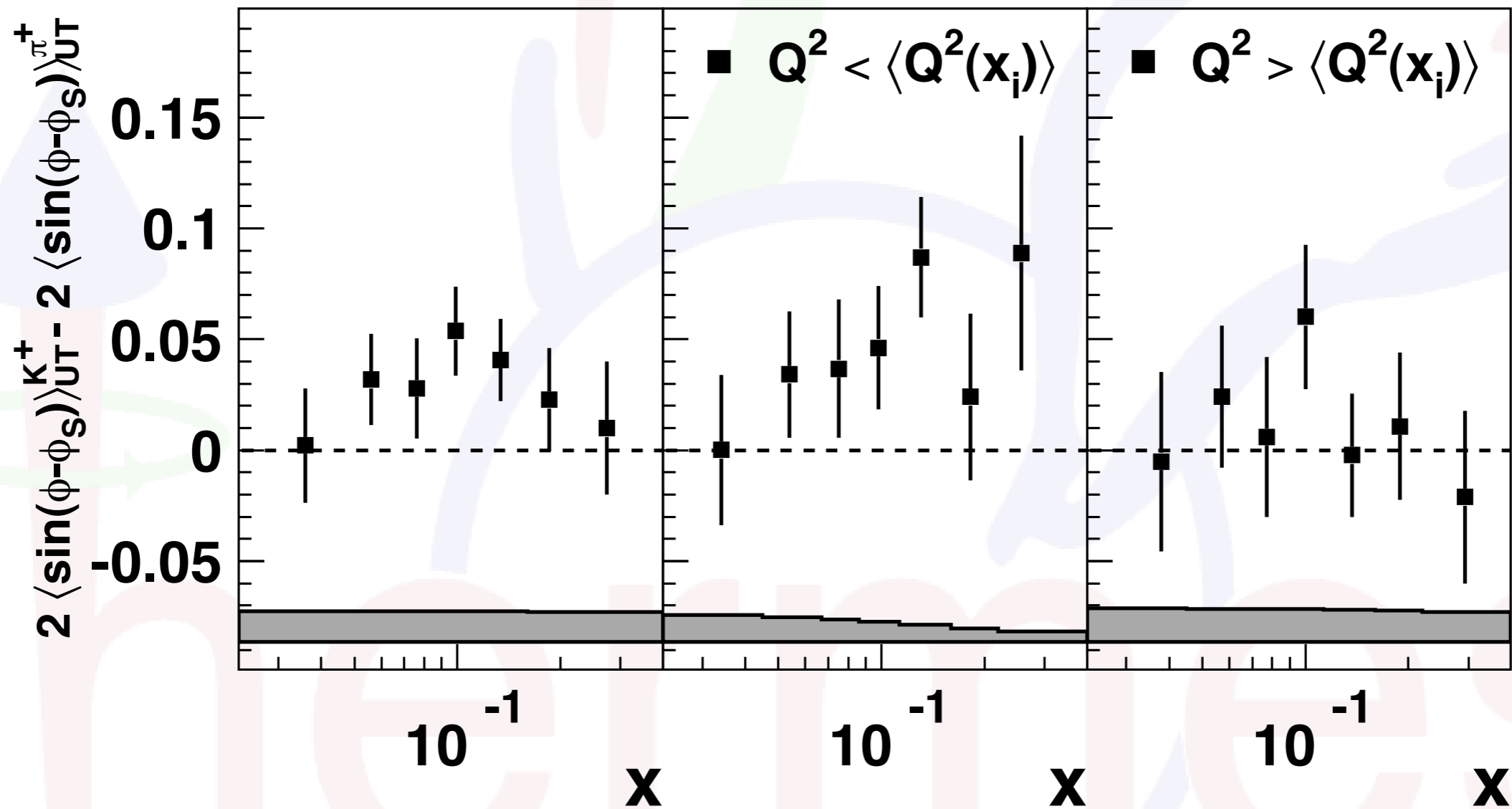
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should be  
flat

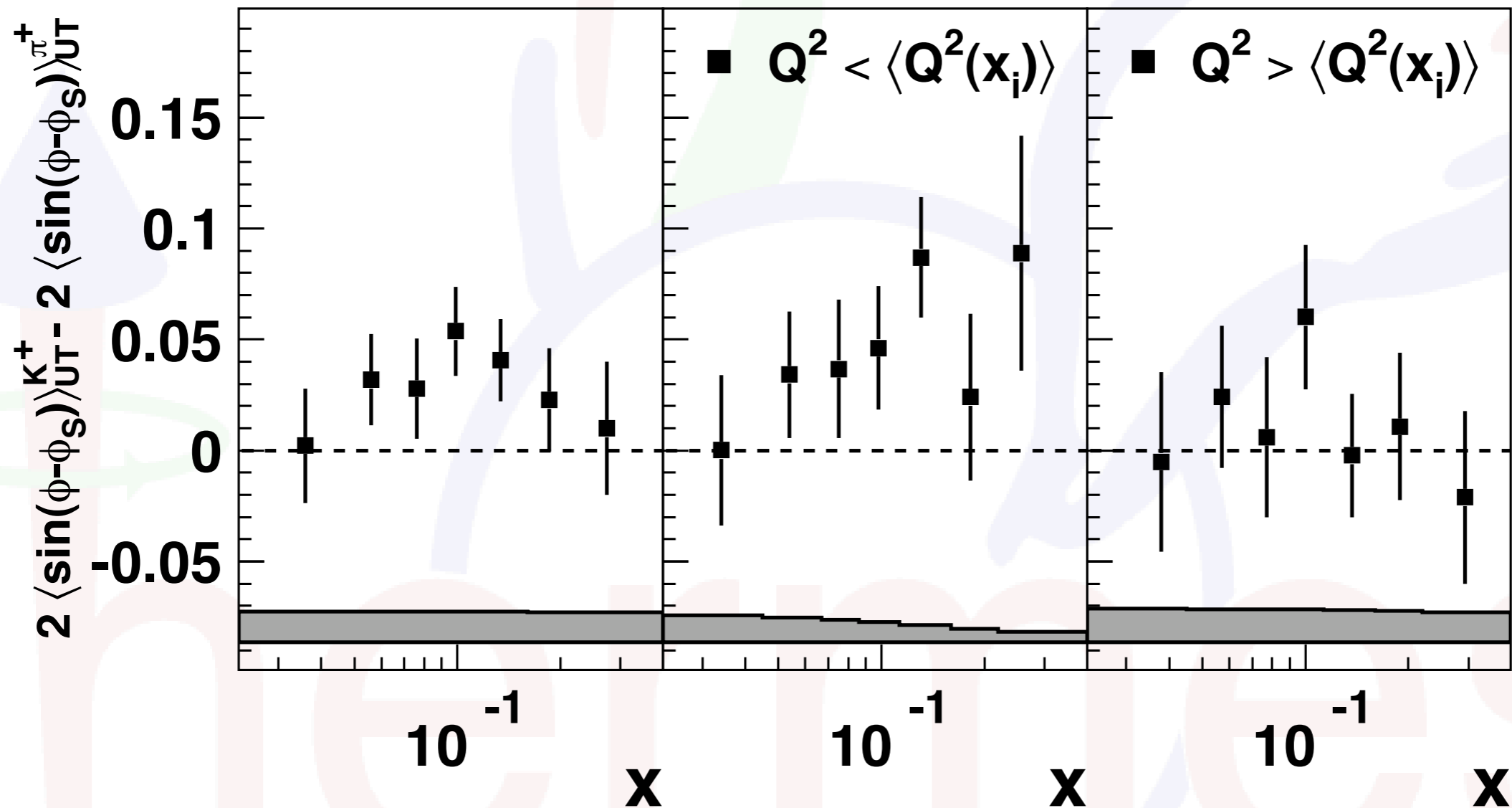


# $Q^2$ dependence of amplitudes



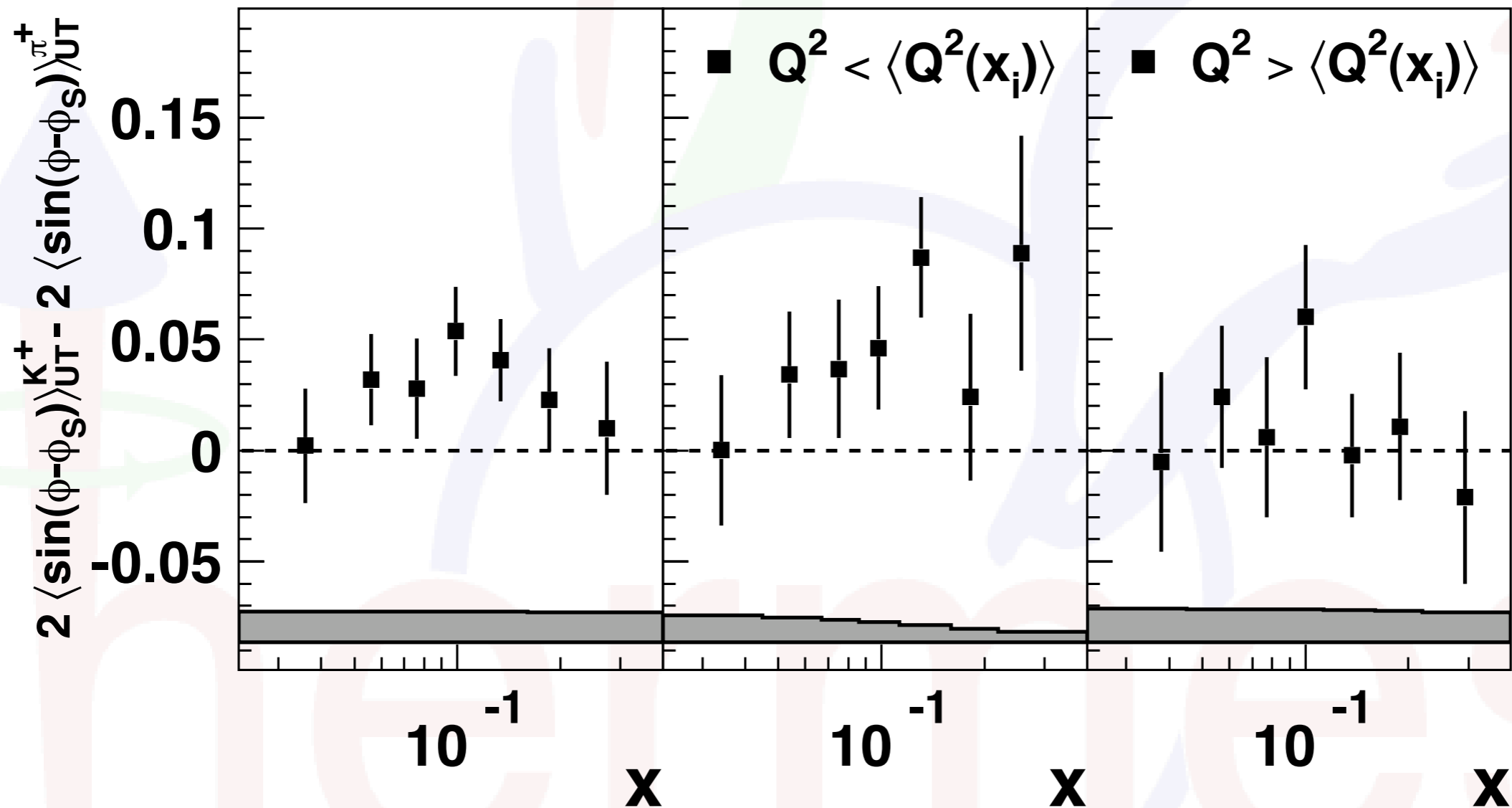
# $Q^2$ dependence of amplitudes

- separate each  $x$ -bin into two  $Q^2$  bins:



# $Q^2$ dependence of amplitudes

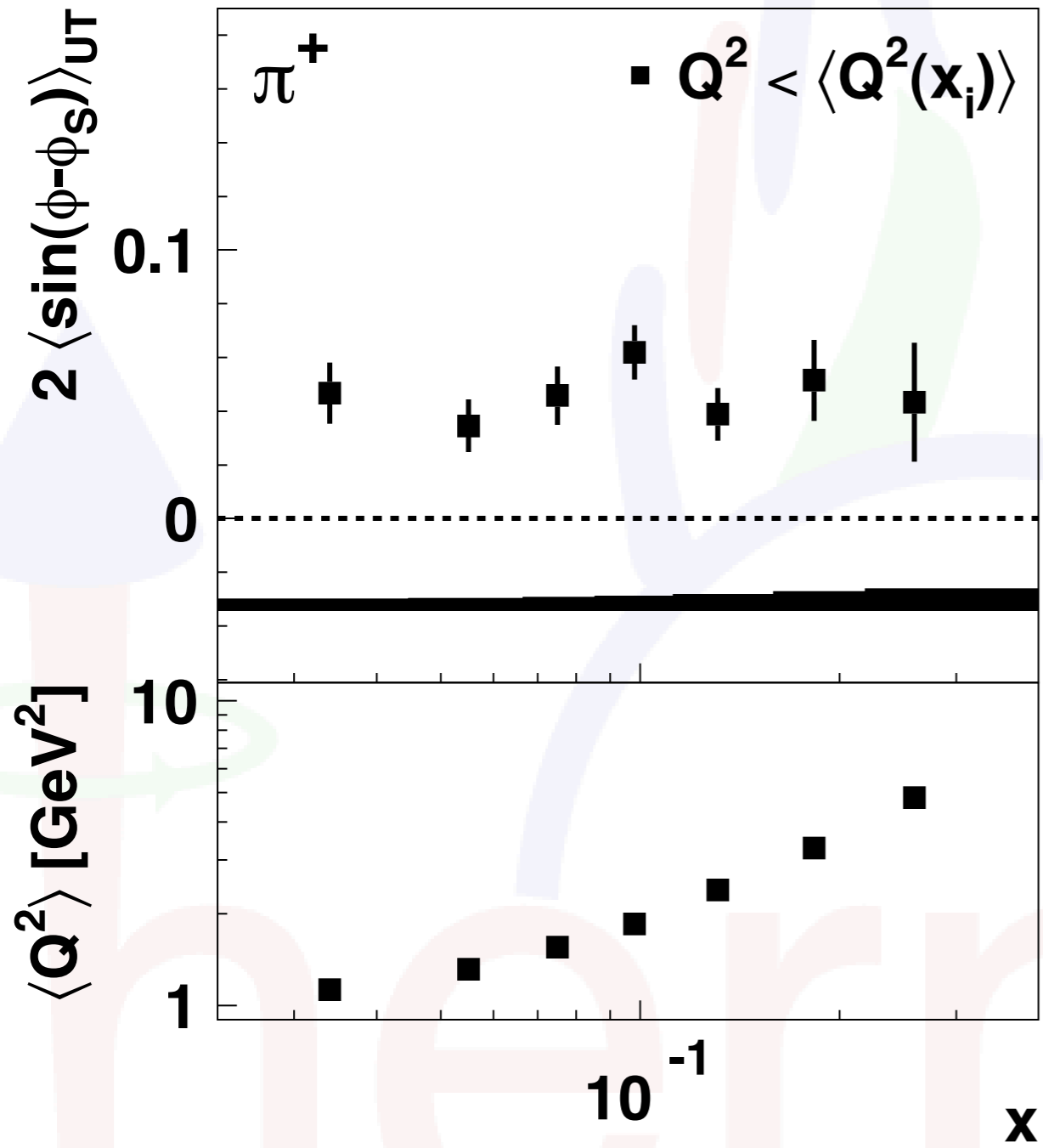
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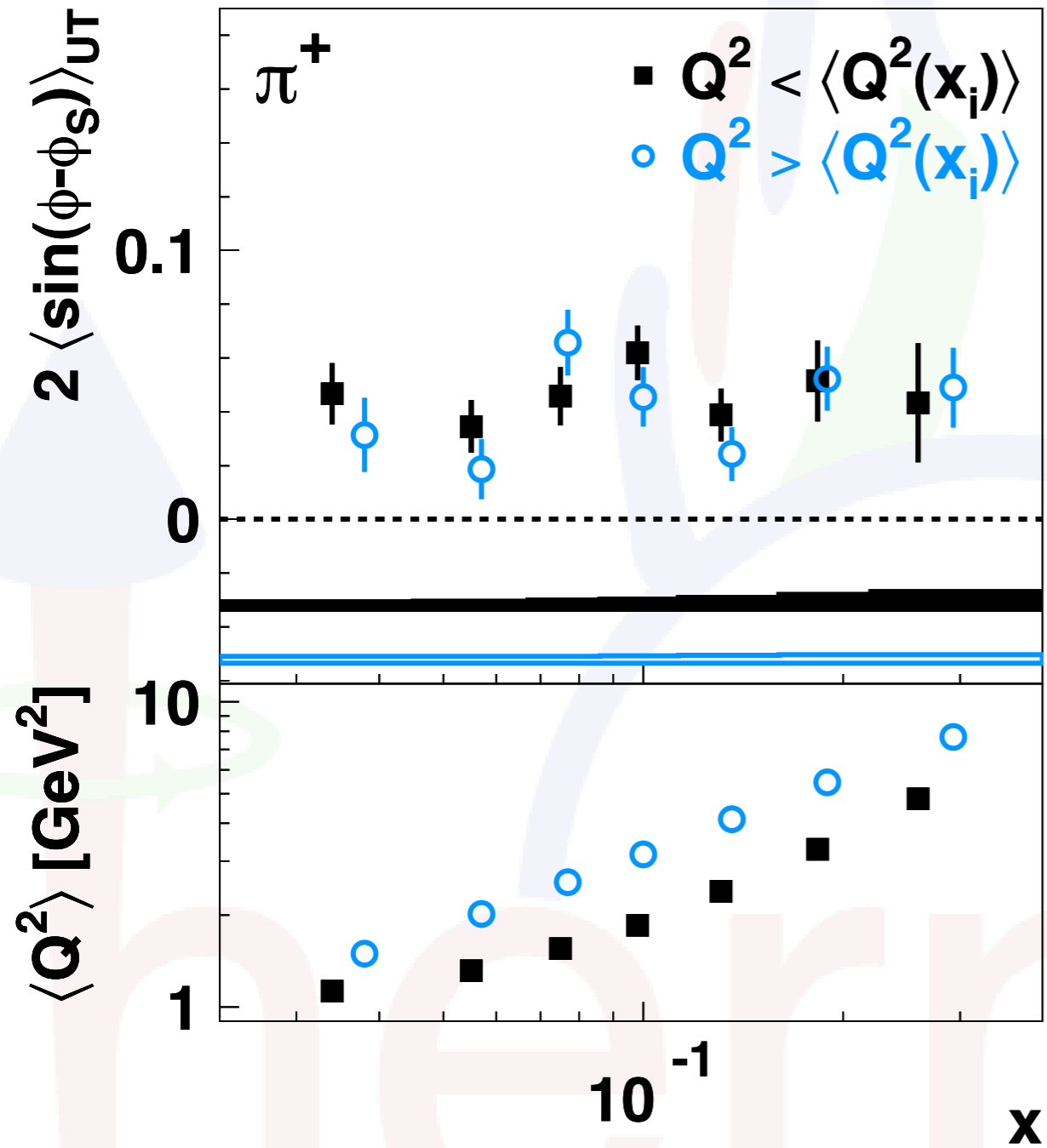
- only in low- $Q^2$  region significant ( $>90\%$  c.l.) deviation



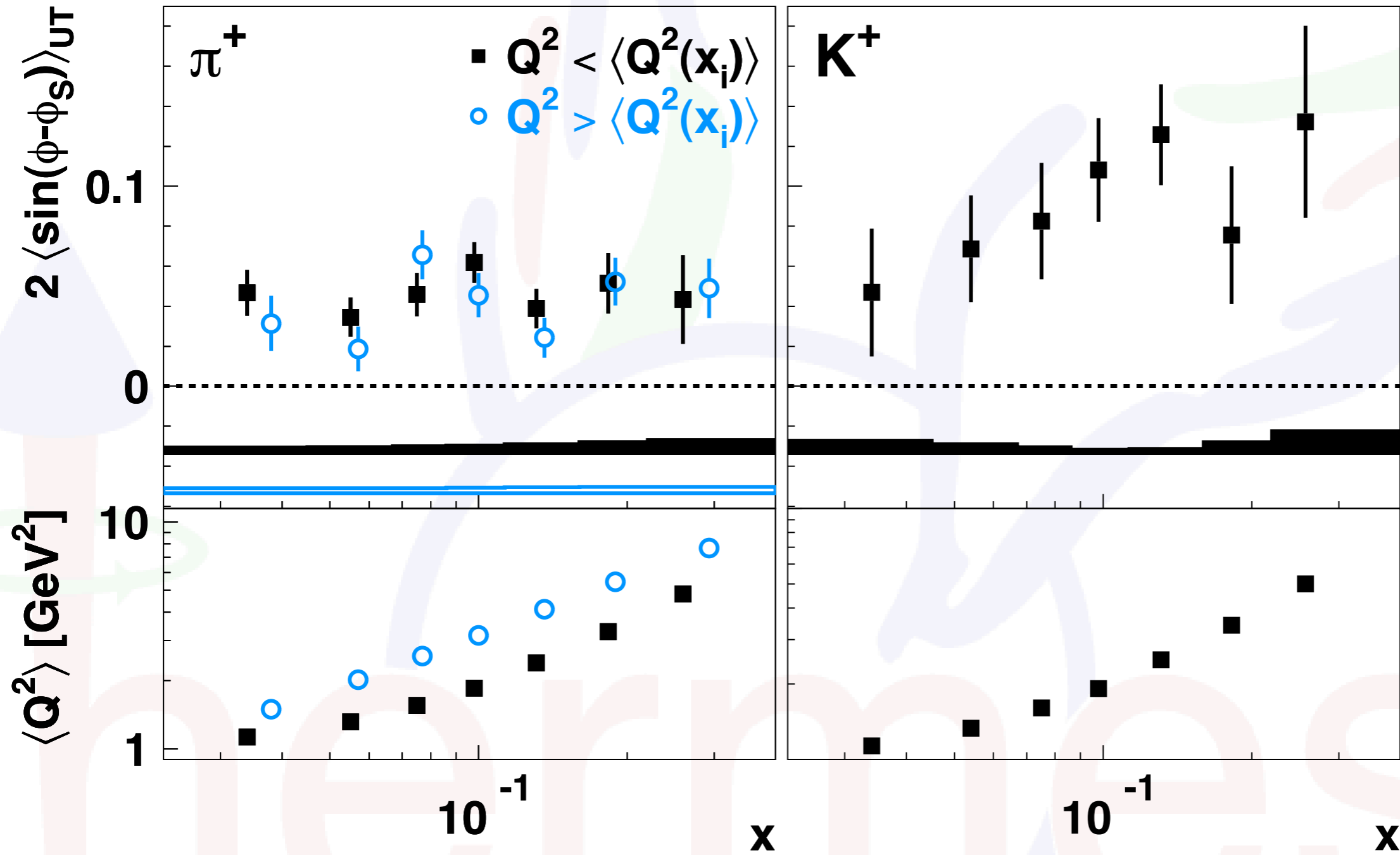
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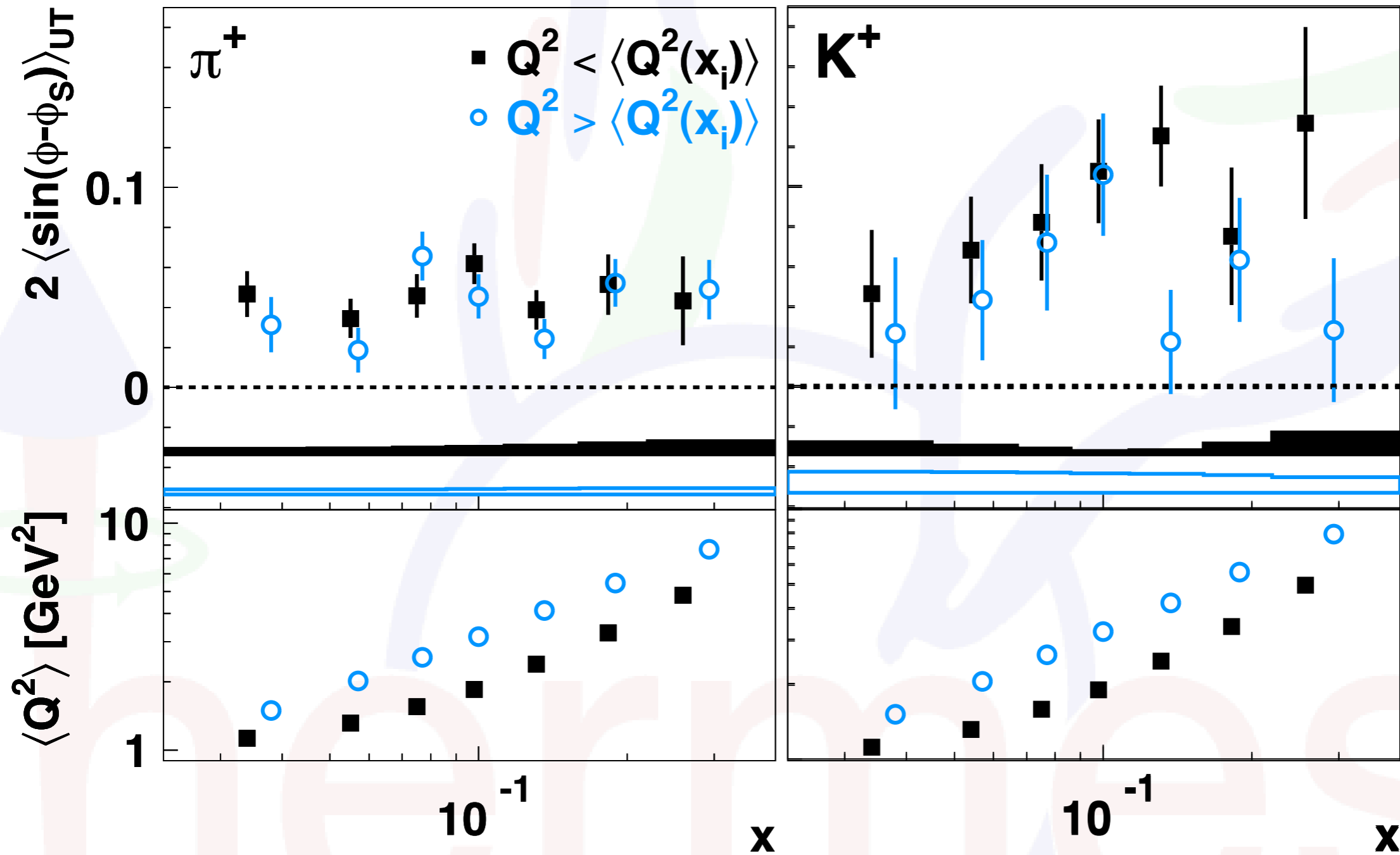
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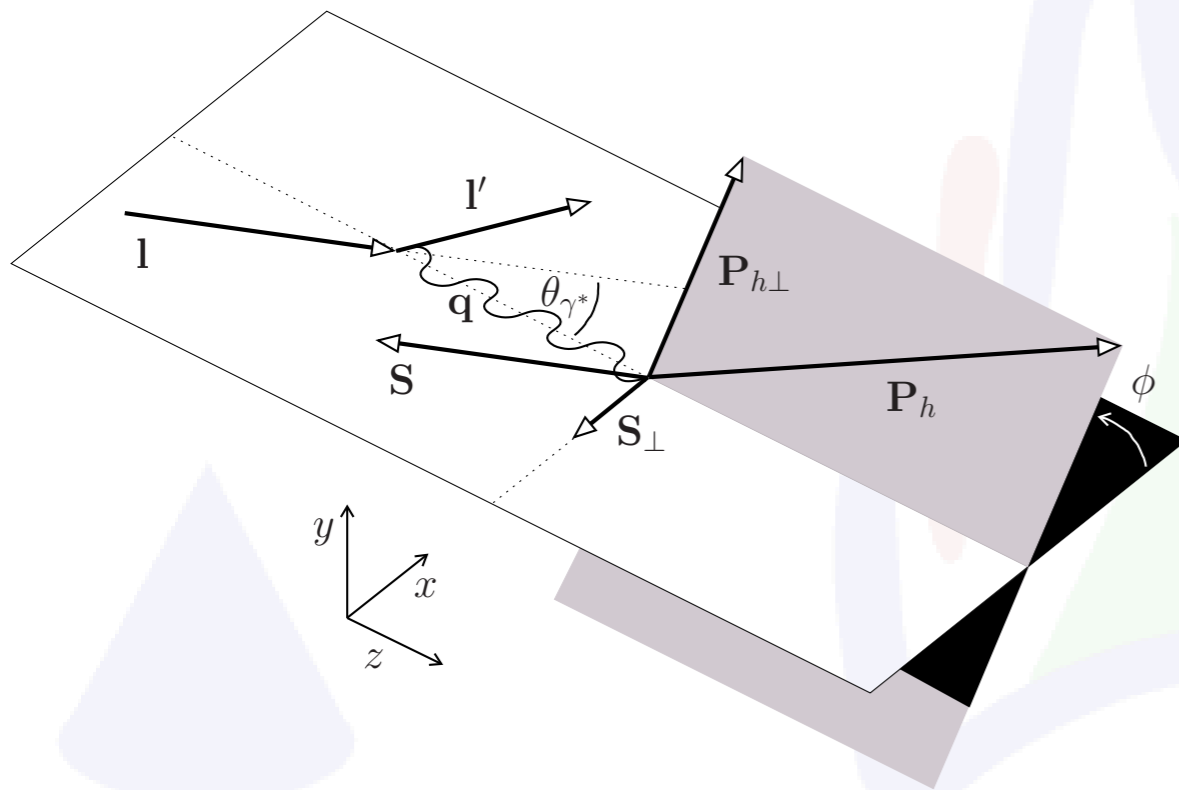
# $Q^2$ dependence of amplitudes



👉 hint of  $Q^2$  dependence of kaon amplitude

# Longitudinal SSA

# Mixing of azimuthal moments



Experiment: Target polarization w.r.t. beam direction "l"

Theory: Target polarization w.r.t. virtual-gamma direction "q"

☛ **Mixing of various amplitudes via**  
[Diehl and Sapeta, Eur. Phys. J. C41 (2005)]

$$\begin{pmatrix} \langle \sin \phi \rangle_{UL}^l \\ \langle \sin(\phi - \phi_S) \rangle_{UT}^l \\ \langle \sin(\phi + \phi_S) \rangle_{UT}^l \end{pmatrix} = \begin{pmatrix} \cos \theta_{\gamma^*} & -\sin \theta_{\gamma^*} & -\sin \theta_{\gamma^*} \\ \frac{1}{2} \sin \theta_{\gamma^*} & \cos \theta_{\gamma^*} & 0 \\ \frac{1}{2} \sin \theta_{\gamma^*} & 0 & \cos \theta_{\gamma^*} \end{pmatrix} \begin{pmatrix} \langle \sin \phi \rangle_{UL}^q \\ \langle \sin(\phi - \phi_S) \rangle_{UT}^q \\ \langle \sin(\phi + \phi_S) \rangle_{UT}^q \end{pmatrix}$$

( $\cos \theta_{\gamma^*} \simeq 1$ ,  $\sin \theta_{\gamma^*}$  up to 15% at HERMES energies)

# Mixing of azimuthal moments

$$\begin{pmatrix} \langle \sin \phi \rangle_{UL}^I \\ \langle \sin(\phi - \phi_S) \rangle_{UT}^I \\ \langle \sin(\phi + \phi_S) \rangle_{UT}^I \end{pmatrix} = \begin{pmatrix} \cos \theta_{\gamma^*} & -\sin \theta_{\gamma^*} & -\sin \theta_{\gamma^*} \\ \frac{1}{2} \sin \theta_{\gamma^*} & \cos \theta_{\gamma^*} & 0 \\ \frac{1}{2} \sin \theta_{\gamma^*} & 0 & \cos \theta_{\gamma^*} \end{pmatrix} \begin{pmatrix} \langle \sin \phi \rangle_{UL}^Q \\ \langle \sin(\phi - \phi_S) \rangle_{UT}^Q \\ \langle \sin(\phi + \phi_S) \rangle_{UT}^Q \end{pmatrix}$$

solve for photon-axis moments:

$$\begin{aligned} \langle \sin \phi \rangle_{UL}^Q &\simeq \langle \sin \phi \rangle_{UL}^I + \sin \theta_{\gamma^*} \left( \langle \sin(\phi + \phi_S) \rangle_{UT}^I + \langle \sin(\phi - \phi_S) \rangle_{UT}^I \right) \\ \langle \sin(\phi \pm \phi_S) \rangle_{UT}^Q &\simeq \langle \sin(\phi \pm \phi_S) \rangle_{UT}^I \\ &\quad - \frac{1}{2} \sin \theta_{\gamma^*} \left( \langle \sin \phi \rangle_{UL}^I + \tan \theta_{\gamma^*} \langle \sin(\phi \mp \phi_S) \rangle_{UT}^I \right) \end{aligned}$$

# Longitudinal Target-Spin Asymmetry

$$\langle \sin \phi \rangle_{UL}^q = \langle \sin \phi \rangle_{UL}^I + \sin \theta_{\gamma^*} \left( \langle \sin(\phi + \phi_S) \rangle_{UT}^I + \langle \sin(\phi - \phi_S) \rangle_{UT}^I \right)$$

$$\langle \sin \phi \rangle_{UL}^q \propto \frac{M}{Q} \mathcal{I} \left[ \frac{\hat{P}_{h\perp} k_T}{M_h} \left( \frac{M_h}{zM} g_1 G^\perp + x h_L H_1^\perp \right) + \frac{\hat{P}_{h\perp} p_T}{M} \left( \frac{M_h}{zM} h_{1L}^\perp \tilde{H} - x f_L^\perp D_1 \right) \right]$$

Bacchetta et al., Phys. Lett. B 595 (2004) 309

⇒ they are all **subleading-twist** expressions!

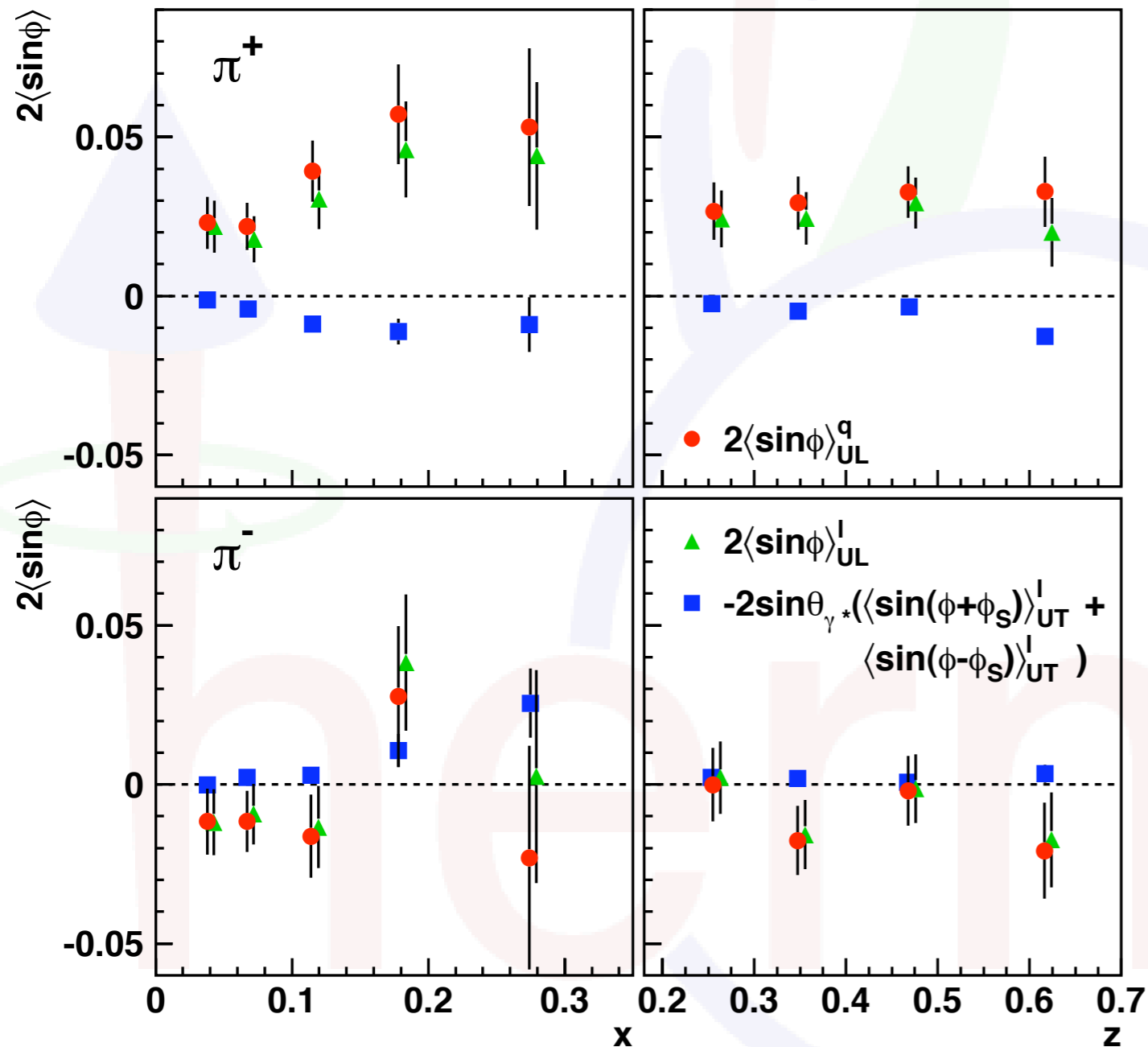
$\langle \sin \phi \rangle_{UL}^I$  ... Airapetian et al., Phys. Rev. Lett. 84 (2000) 4047

$\langle \sin(\phi \pm \phi_S) \rangle_{UT}^I$  ... Airapetian et al., Phys. Rev. Lett. 94 (2005) 012002



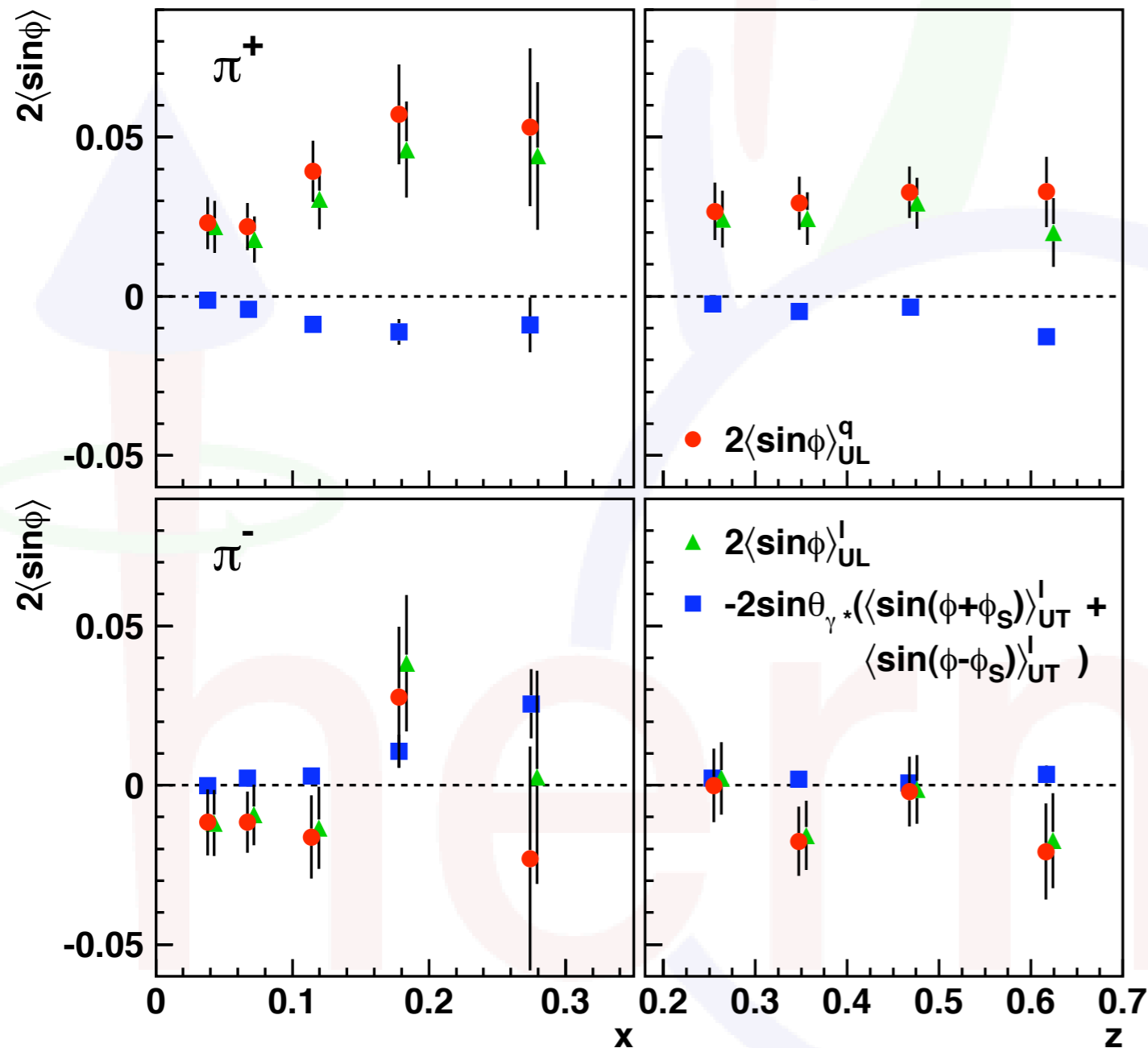
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# Longitudinal Target-Spin Asymmetry

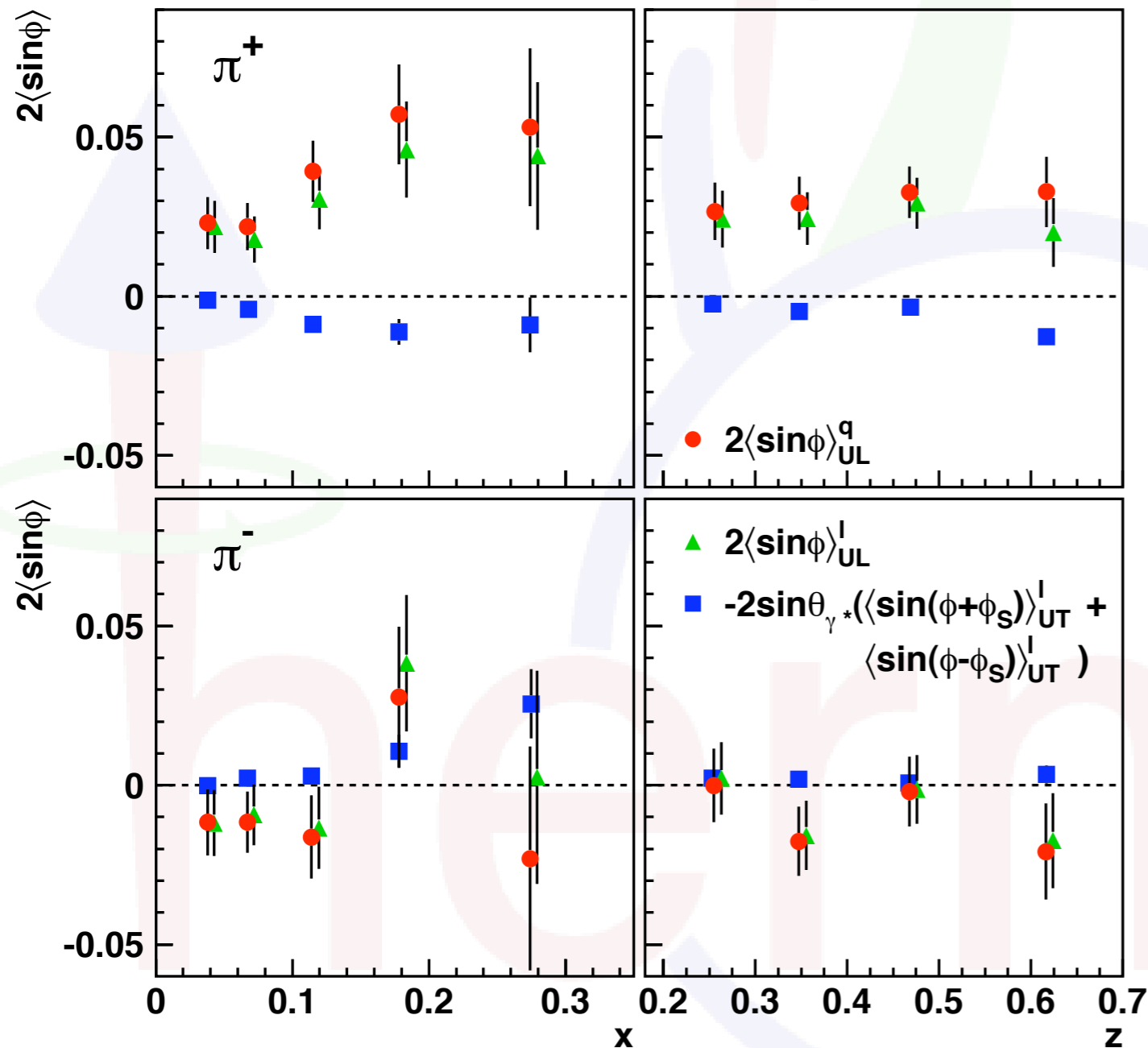
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clear evidence for twist-3 asymmetry

# Longitudinal Target-Spin Asymmetry

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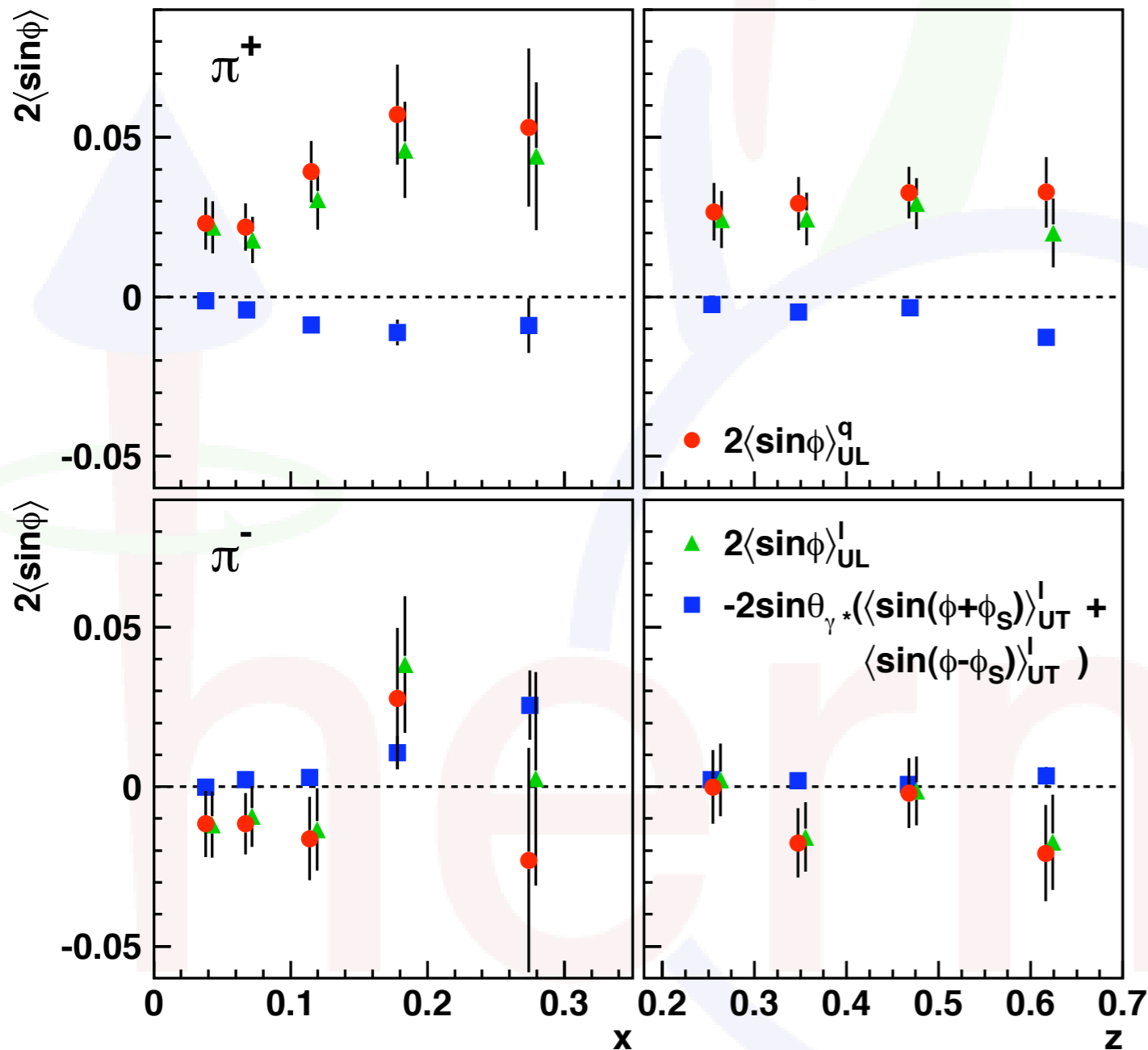


clear evidence for twist-3 asymmetry

$A_{UL}$  significantly positive for  $\pi^+$

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- clear evidence for twist-3 asymmetry
- $A_{UL}$  significantly positive for  $\pi^+$
- consistent with zero for  $\pi^-$

# The other longitudinal SSA

- longitudinally polarized beam & unpolarized target  $\Rightarrow$  subleading-twist

[Bacchetta et al., Phys. Lett. B 595 (2004) 309]

$$\langle \sin \phi \rangle_{LU} \propto \lambda_e \frac{M}{Q} \mathcal{I} \left[ x e(x) H_1^\perp(z) - \frac{M_h}{zM} h_1^\perp(x) E(z) \right. \\ \left. + \frac{M_h}{zM} f_1(x) G^\perp(z) - x g^\perp(x) D_1(z) \right. \\ \left. + \frac{m_q}{M} h_1^\perp(x) D_1(z) - \frac{m_q}{M} f_1(x) H_1^\perp(z) \right]$$

quark-mass suppressed  $\rightarrow$

# The other longitudinal SSA

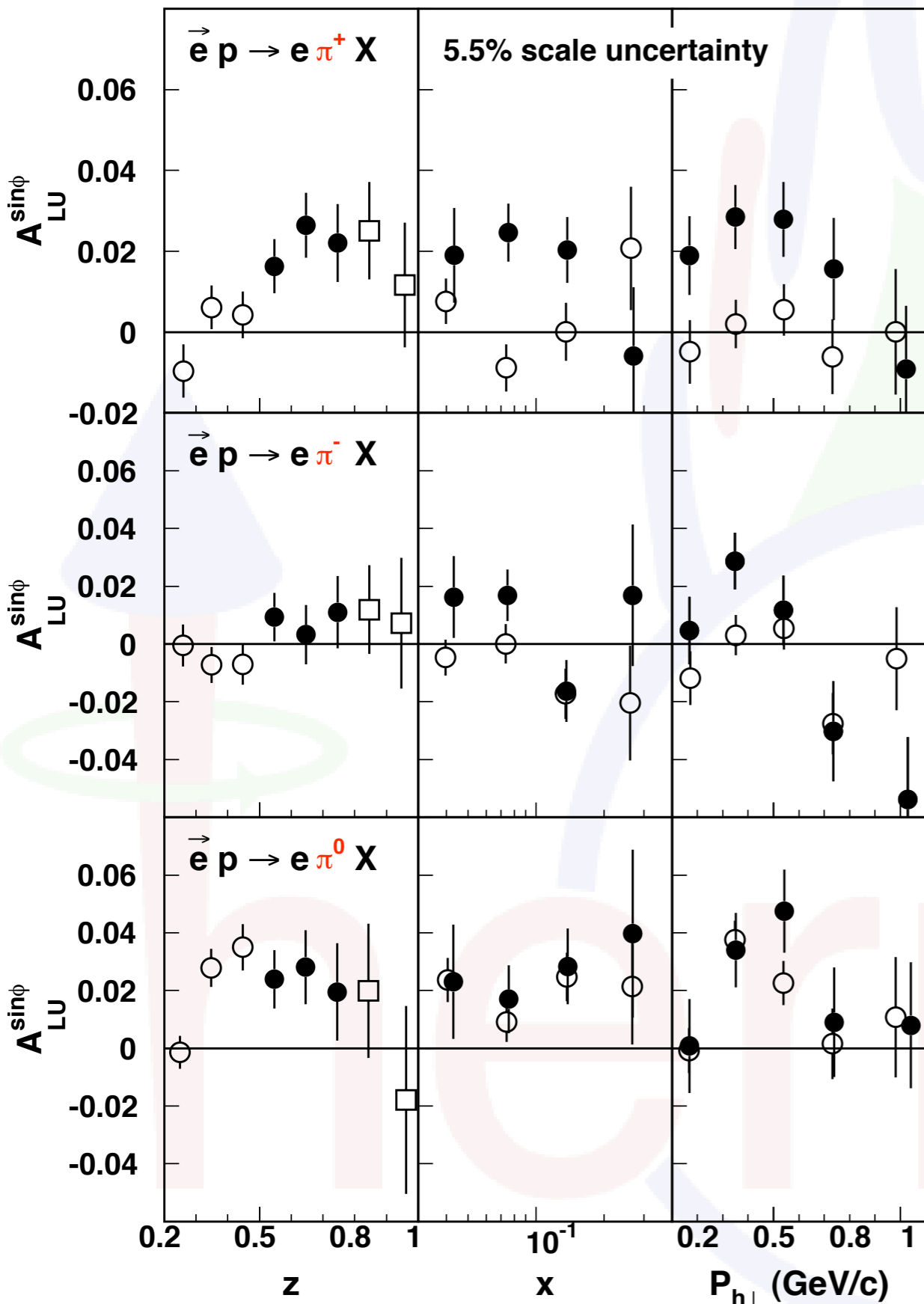
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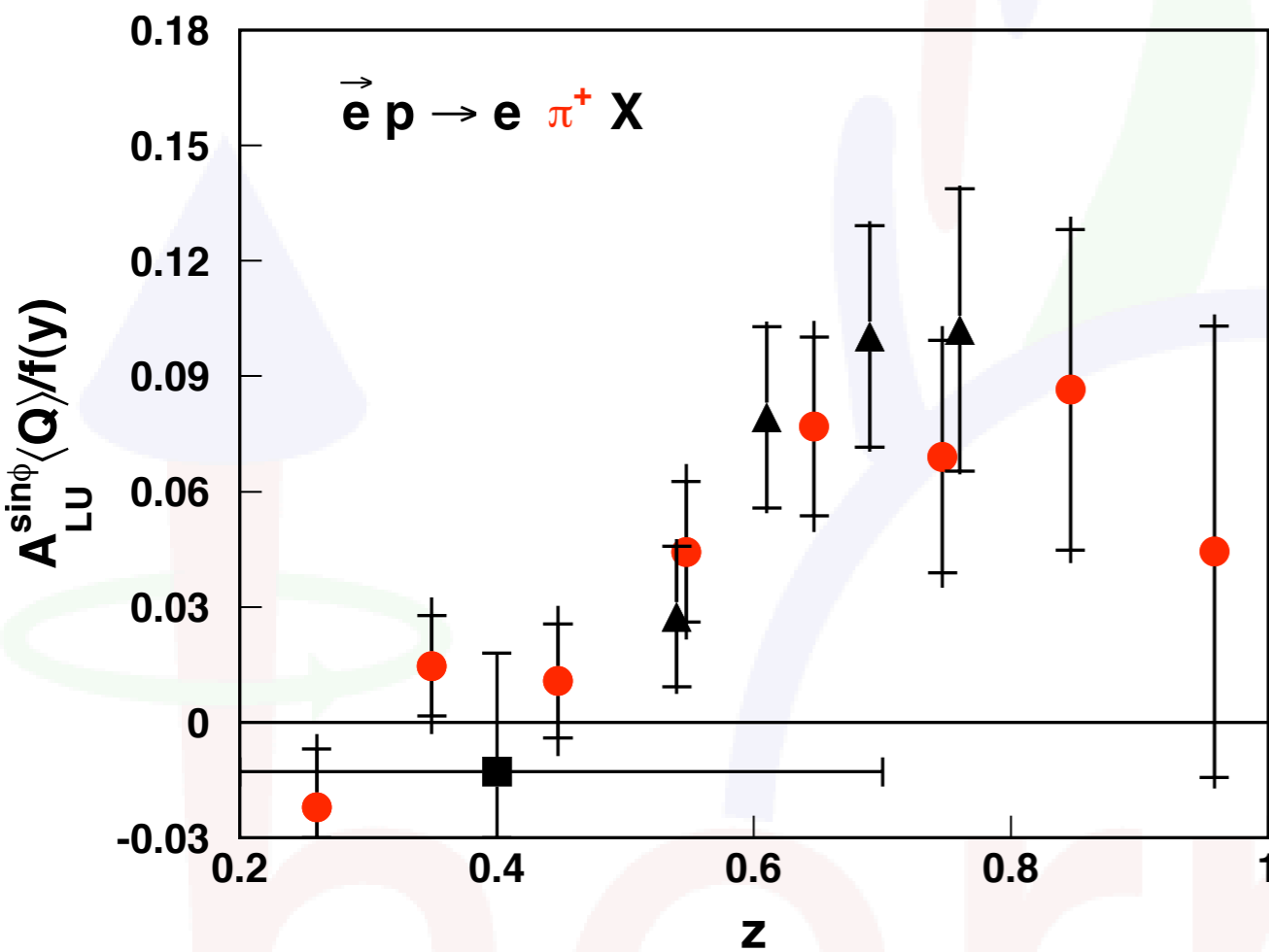
many terms contributing - difficult to separate

# Longitudinal-beam-spin asymmetry



- lepton-beam asymmetries, i.e., include kinematic prefactors
- BSA studied in three distinct  $z$  ranges
- significantly positive for  $\pi^+$  and  $\pi^0$
- consistent with zero for  $\pi^-$

# Longitudinal-beam-spin asymmetry



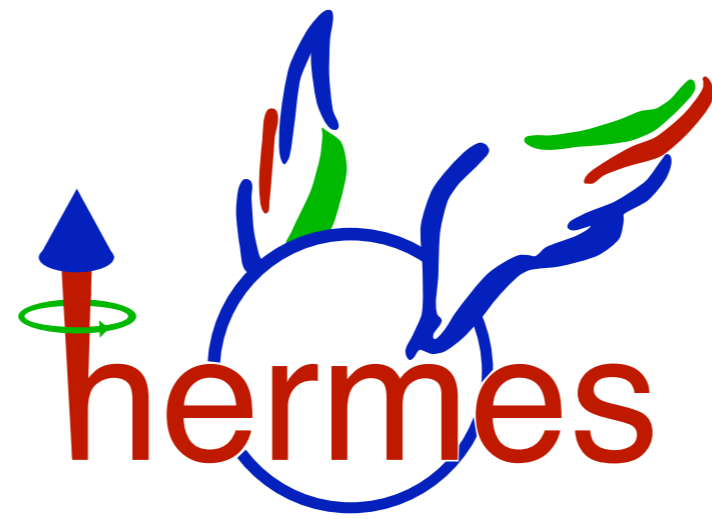
- comparison with CLAS results needs “rescaling” to virtual-photon asymmetries

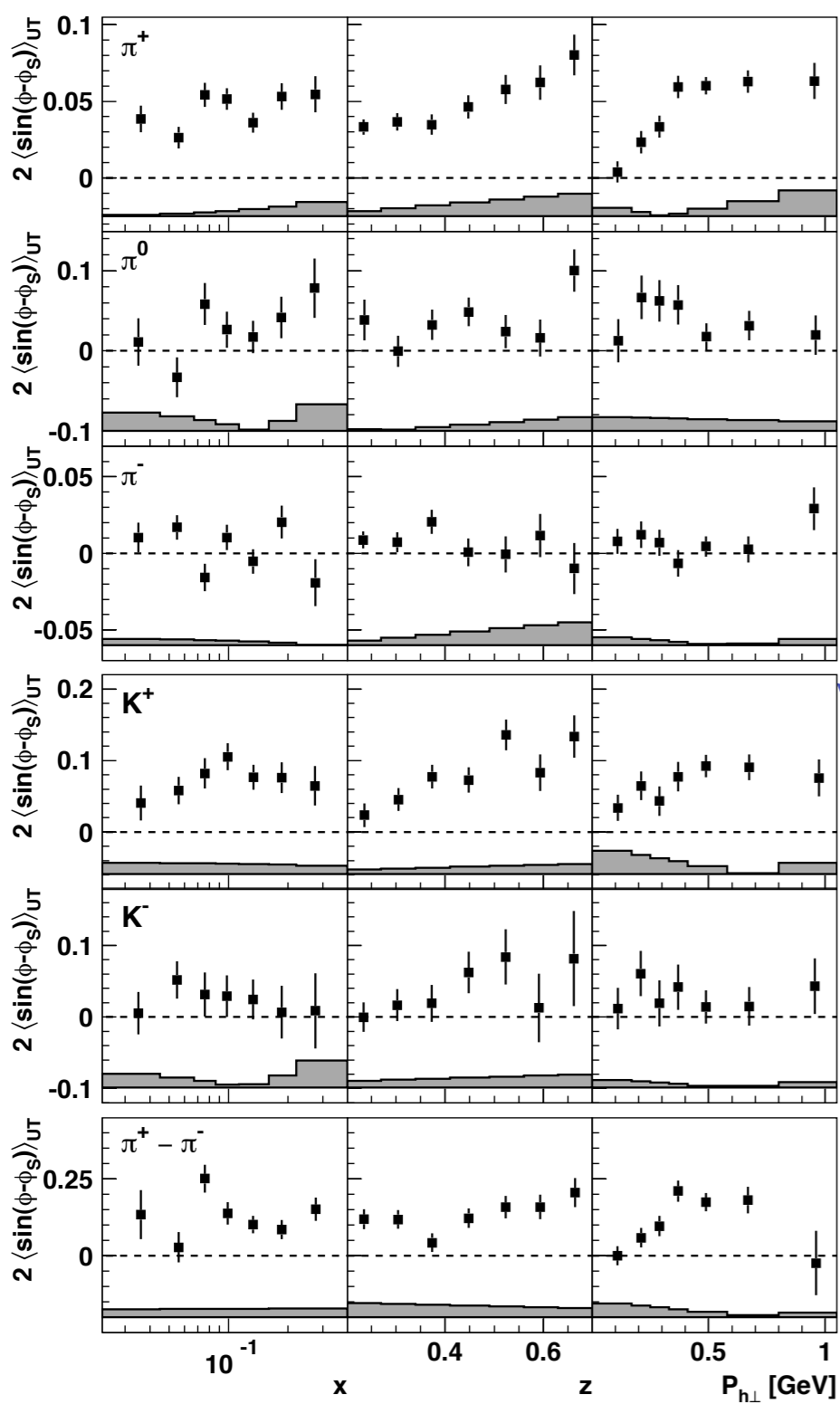
- take out common prefactor:

$$\frac{1}{Q} \frac{2y\sqrt{1-y}}{1-y+y^2/2}$$

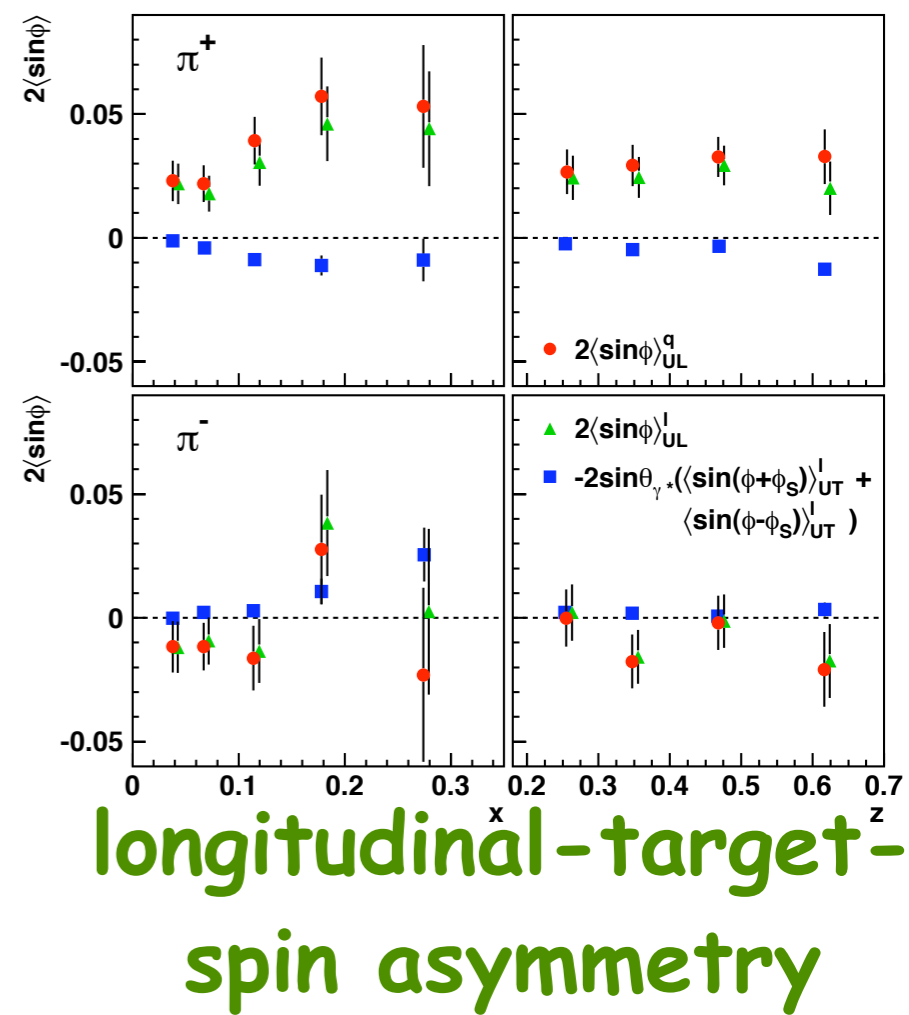
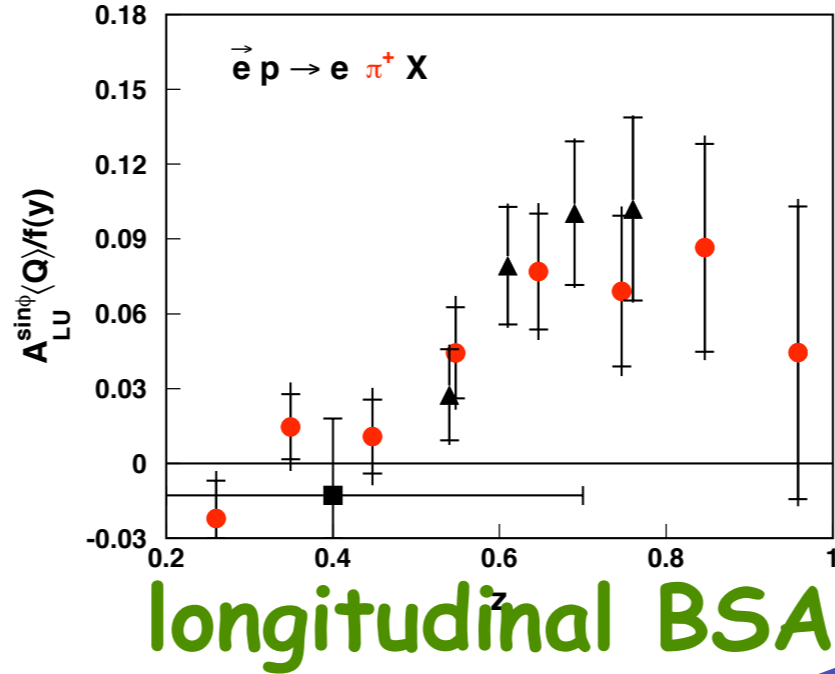
- good agreement with CLAS







orbital angular momentum



valence Sivers

