



GMC_TRANS

- A Monte Carlo Generator for TMDs -

PKU-RBRC Workshop on Transverse Spin Physics

Beijing, June 30 - July 5, 2008

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A grayscale photograph of a hand holding a die. The hand is positioned at the top right, with fingers gripping the die. The die is white with black pips. The background is a light, neutral color. The text is overlaid on the center of the image.

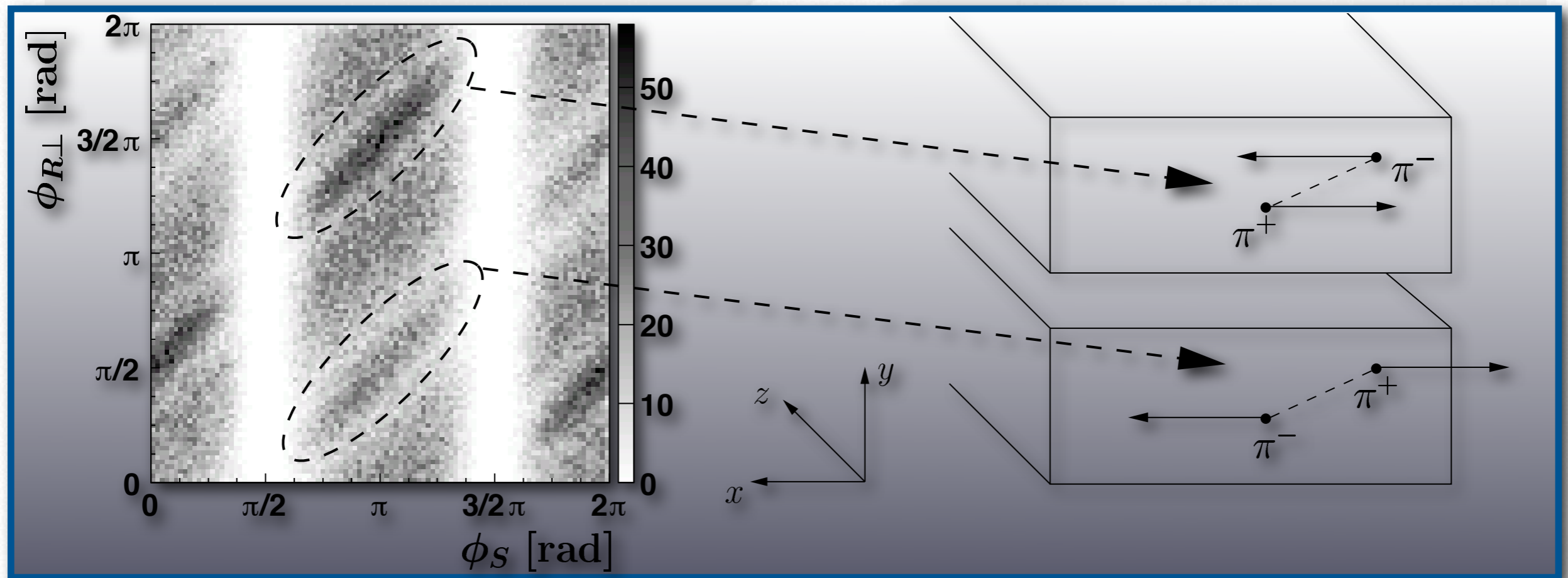
Prelude:
The Role of Acceptance in
Experiments

An unfortunate Lemma

- **“No particle-physics experiment has a perfect acceptance!”**
- **obvious for detectors with gaps/holes**
- **but also for “ 4π ”, especially when looking at complicated final states**

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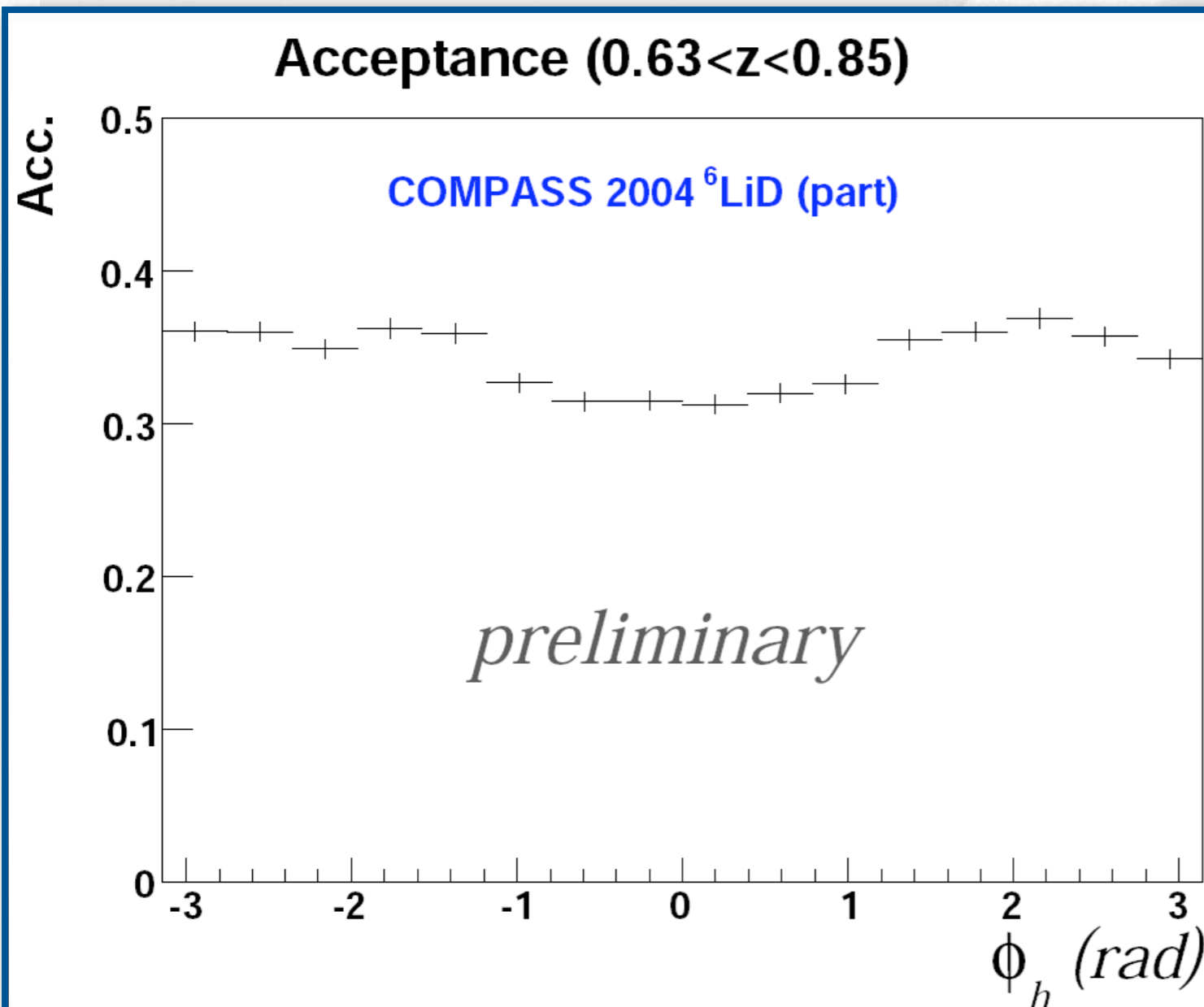


HERMES azimuthal acceptance for 2-hadron production

[P. van der Nat, Ph.D. thesis, Vrije Universiteit (2007)]

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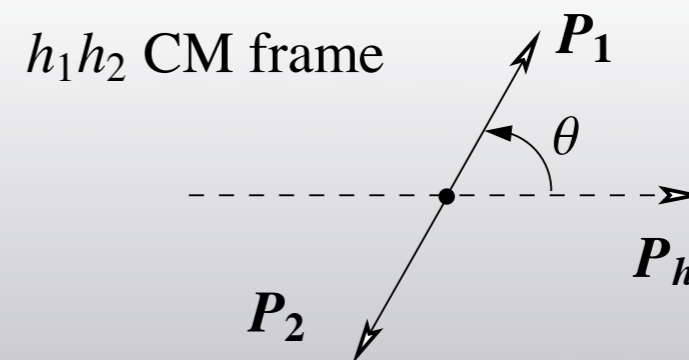
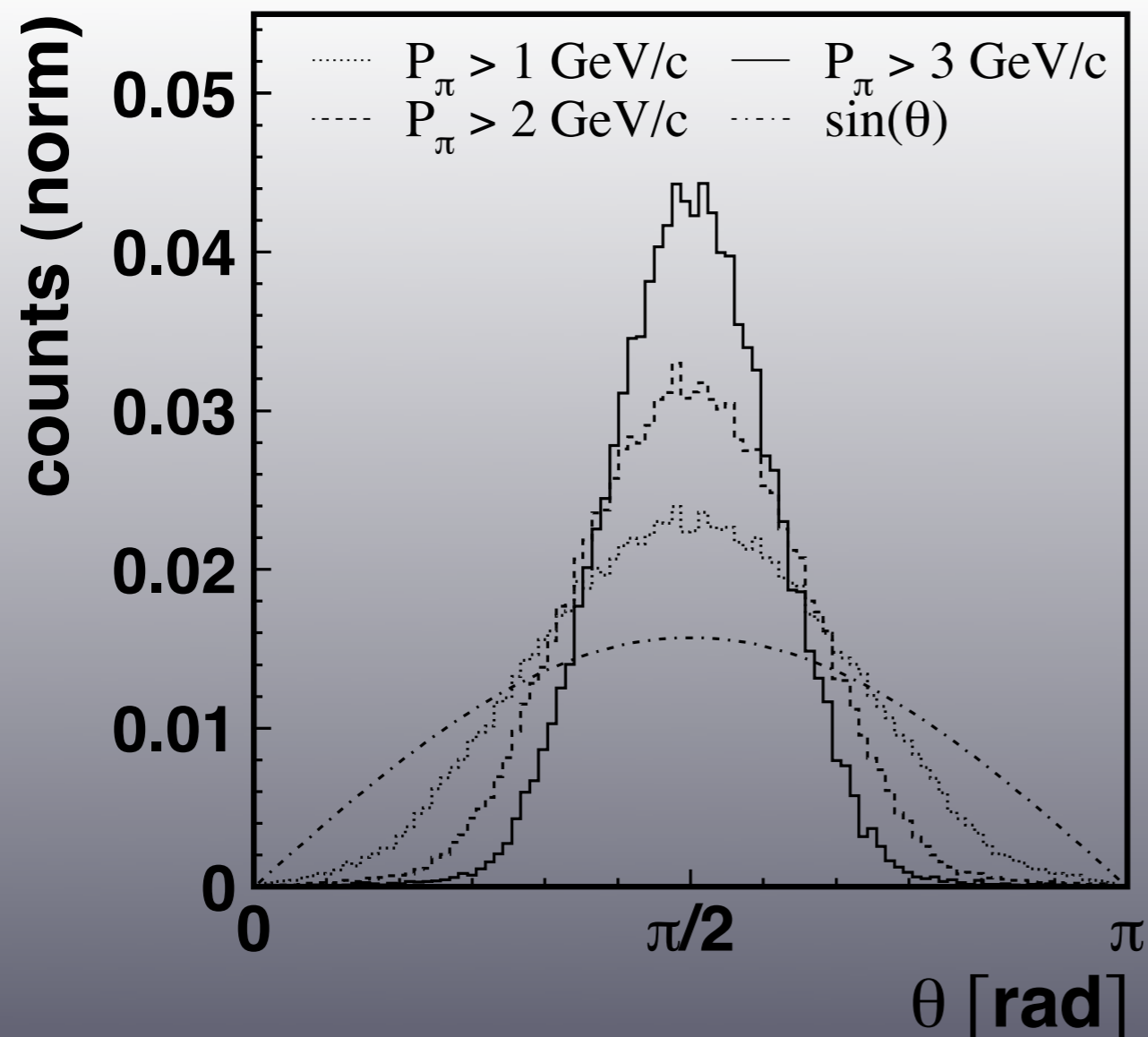


maybe “ 4π ” around beam axis, but not around virtual-photon axis because of lower limit on θ

[W. Käfer, Transversity 2008, Ferrara]

An unfortunate Lemma

- “No particle-physics experiment has a perfect acceptance!”



momentum cuts strongly distort kinematic distributions even for “ 4π ” acceptance

[P. van der Nat, Ph.D. thesis, Vrije Universiteit (2007)]

An unfortunate Lemma

- **“No particle-physics experiment has a perfect acceptance!”**
- **obvious for detectors with gaps/holes**
- **but also for “ 4π ”, especially when looking at complicated final states**
- **How acceptance effects are handled is one of the essential questions in experiments!**

Falsified Lemma I

- “acceptance cancels in asymmetries”



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$$A_{UT}(\phi, \Omega) = \frac{\sigma_{UT}(\phi, \Omega)}{\sigma_{UU}(\phi, \Omega)}$$

$$\Omega = x, y, z, \dots$$

$$= \frac{\sigma_{UT}(\phi, \Omega) \epsilon(\phi, \Omega)}{\sigma_{UU}(\phi, \Omega) \epsilon(\phi, \Omega)}$$

ϵ : detection efficiency

$$\neq \frac{\int d\Omega \sigma_{UT}(\phi, \Omega) \epsilon(\phi, \Omega)}{\int d\Omega \sigma_{UU}(\phi, \Omega) \epsilon(\phi, \Omega)} = \frac{\int d\Omega \sigma_{UT}(\phi, \Omega)}{\int d\Omega \sigma_{UU}(\phi, \Omega)} \equiv A_{UT}(\phi)$$

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Acceptance does **not cancel** in general when **integrating** numerator and denominator over (large) ranges in kinematic variables!

... possible ways out

- for *linear* dependence on *all kinematic variables* of asymmetry, average asymmetry equal to asymmetry at average kinematics
- for all other cases: can one maybe use 1-D (*projected*) acceptance function, e.g. $\epsilon(\phi)$, to correct asymmetry $A_{UT}(\phi)$?

Falsified Lemma II

- use Monte Carlo (physics generator * detector model) to extract acceptance function
- “projected acceptance function is independent from cross-section model”

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$$\Omega = x, y, z, \dots$$

$$\frac{\int d\Omega \pi_U(\phi, \Omega) \epsilon(\phi, \Omega)}{\int d\Omega \pi_U(\phi, \Omega) \sigma_{UU}(\phi, \Omega)}$$

$$\int d\Omega \epsilon(\phi, \Omega) \equiv \epsilon(\phi)$$

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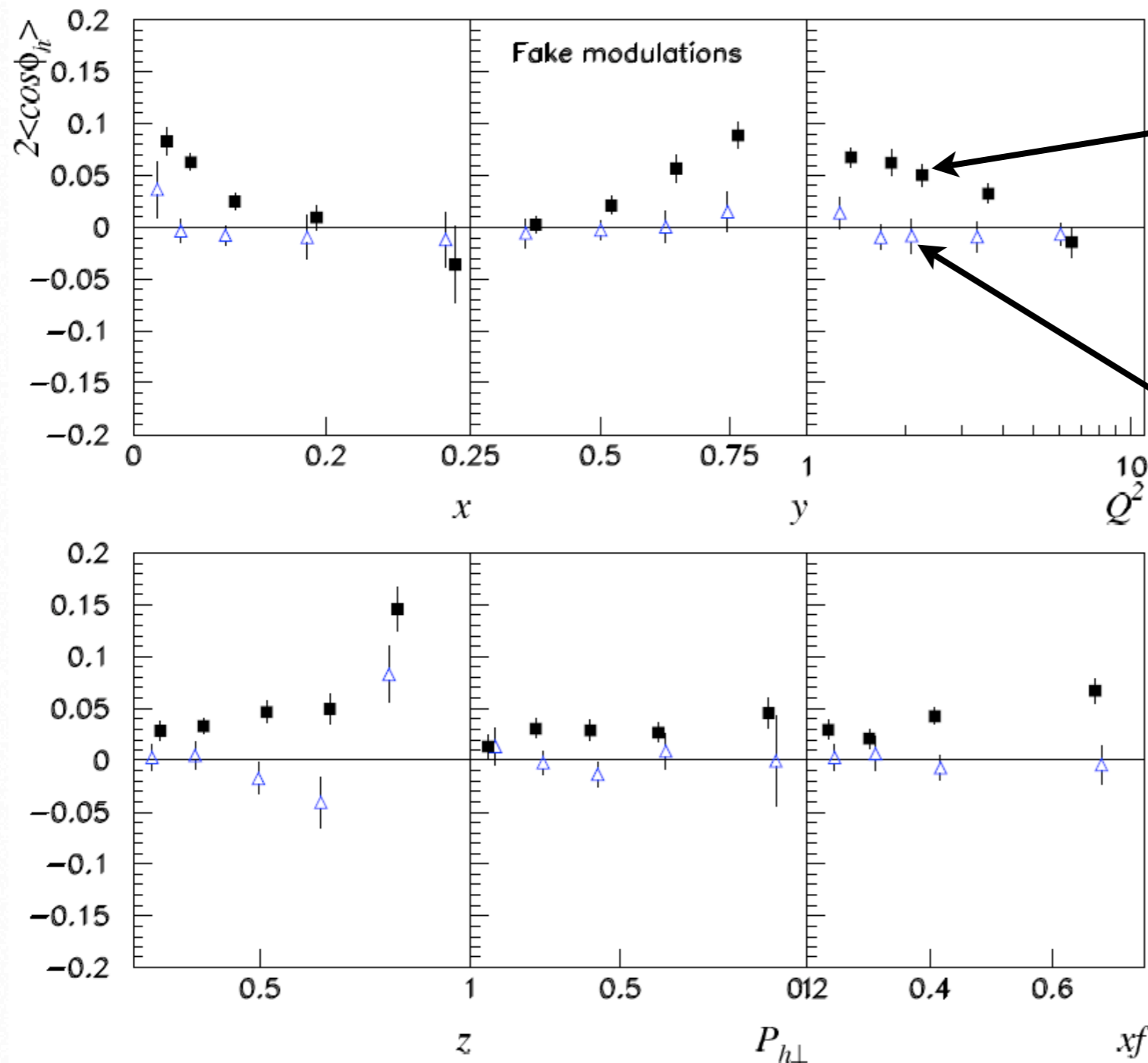
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Cross-section model does **not cancel** in general when **integrating** numerator and denominator over (large) ranges in kinematic variables!

“Classique” Example: $\langle \cos\phi \rangle_{UU}$



1D correction

(input: MC without azimuthal modulation)

5D correction

[F. Giordano, Transversity 2008, Ferrara]

... one way out: multi-D unfolding

true yield (used to
calculate azimuthal
moments)

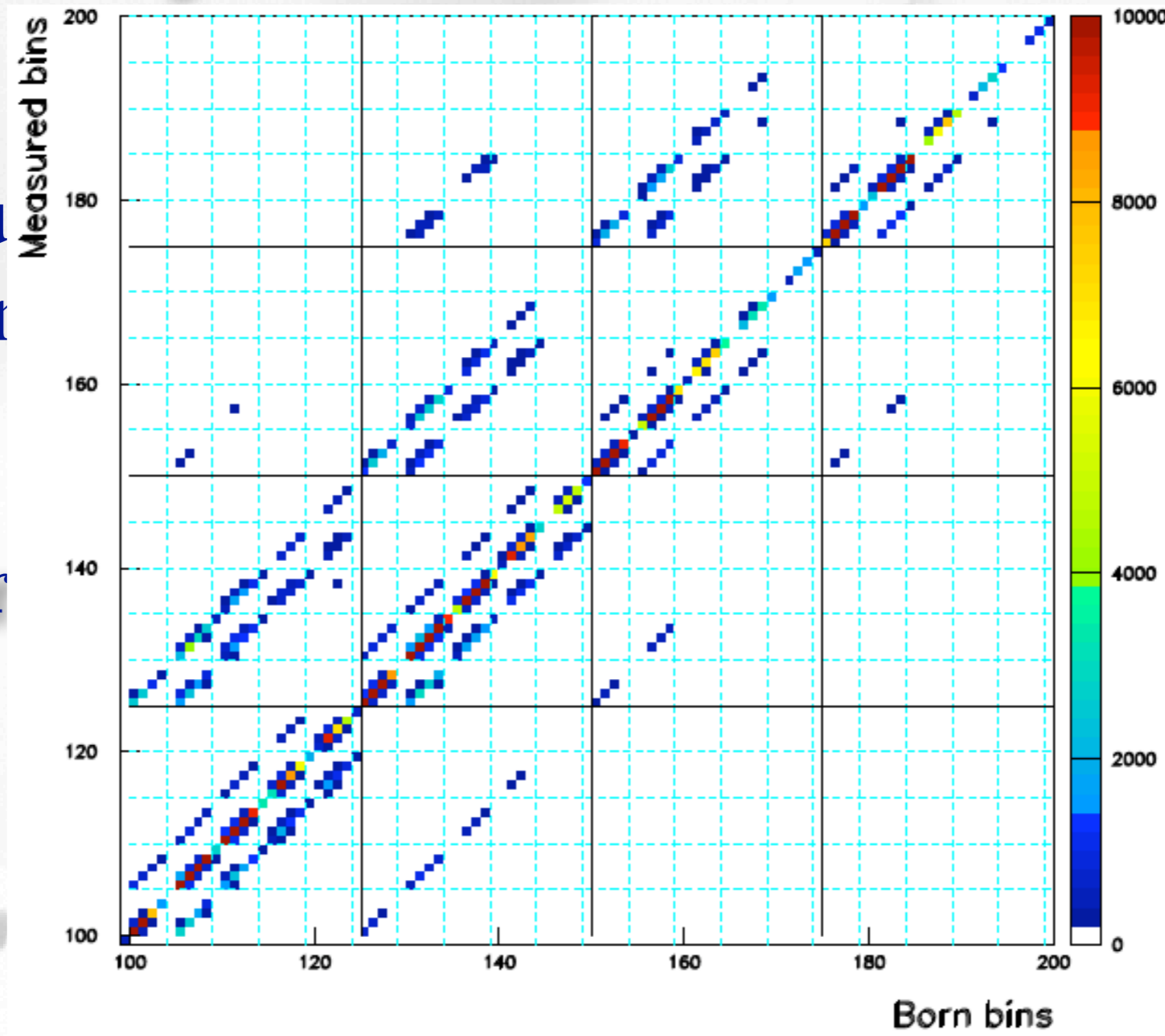
experimental yield

$$n_{\text{corr}} = S_{\text{MC}}^{-1} [n_{\text{exp}} - BG_{\text{MC}}]$$

(inverted) multi-dimensional
smearing matrix
(depends on detector and
radiative effects only!)

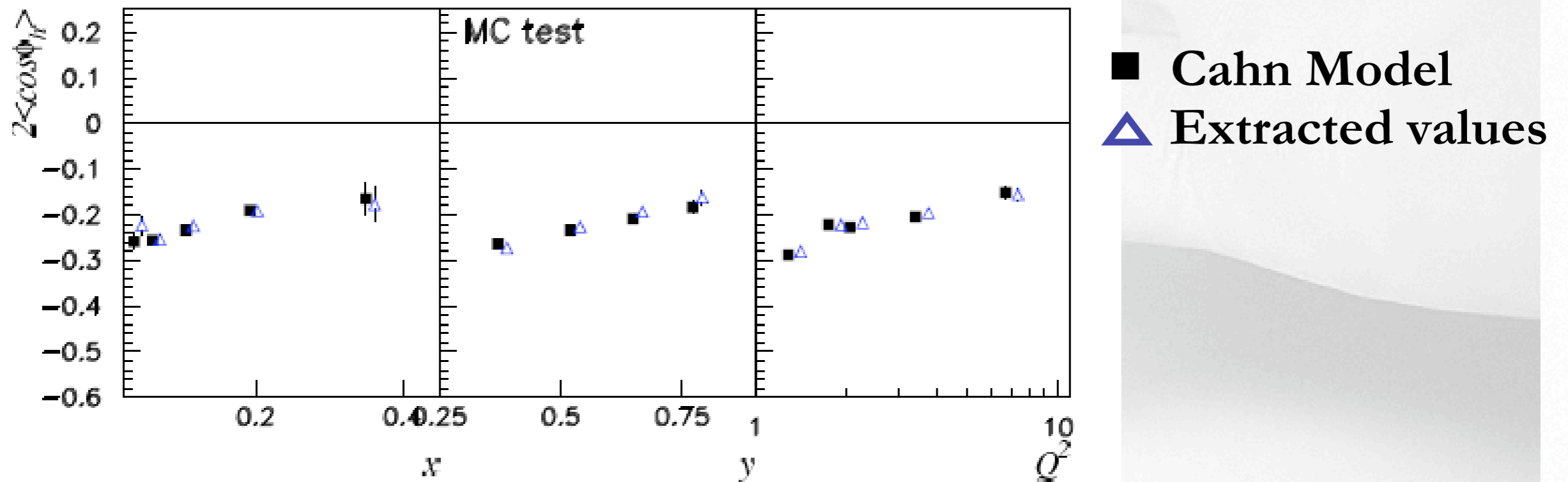
... one way out: multi-D unfolding

true
calcu
1
↓
 n_{cor}



(depends on detector and
radiative effects only!)

Unfolding Example



- extracted Cahn amplitudes in good agreement with model amplitudes
- apparent: need Cahn model for Monte Carlo simulation to test procedure
- also needed to extrapolate into unmeasured region

A hand holding a white die with black pips, with several other dice falling or rolling around it. The scene is set against a light, neutral background.

1st Entrée: gmc_trans ingredients

Initial Goals

- **physics generator for SIDIS pion production**
- **include transverse-momentum dependence, in particular simulate Collins and Sivers effects**
- **be fast**
- **allow comparison of input model and reconstructed amplitudes**
- **to be used with standard HERMES Monte Carlo**
- **be extendable (e.g., open for new models)**

Basic workings

- **use cross section that can (almost) be calculated analytically**
- **start from 1-hadron SIDIS expressions of Mulders & Tangerman (Nucl.Phys.B461:197-237,1996) and others**
- **use Gaussian Ansatz for all transverse-momentum dependencies of DFs and FFs**
- **unpolarized DFs (as well as helicity distribution) and FFs from fits/parametrizations (e.g., Kretzer FFs etc.)**
- **“polarized” DFs and FFs either related to unpolarized ones (e.g., saturation of Soffer bound for transversity) or some parametrizations used**

SIDIS Cross Section incl. TMDs

$$d\sigma_{UT} \equiv d\sigma_{UT}^{\text{Collins}} \cdot \sin(\phi + \phi_S) + d\sigma_{UT}^{\text{Sivers}} \cdot \sin(\phi - \phi_S)$$

$$d\sigma_{UT}^{\text{Collins}}(x, y, z, \phi_S, P_{h\perp}) \equiv -\frac{2\alpha^2}{sxy^2} B(y) \sum_q e_q^2 \mathcal{I} \left[\left(\frac{k_T \cdot \hat{P}_{h\perp}}{M_h} \right) \cdot h_1^q H_1^{\perp q} \right]$$

$$d\sigma_{UT}^{\text{Sivers}}(x, y, z, \phi_S, P_{h\perp}) \equiv -\frac{2\alpha^2}{sxy^2} A(y) \sum_q e_q^2 \mathcal{I} \left[\left(\frac{p_T \cdot \hat{P}_{h\perp}}{M_N} \right) \cdot f_{1T}^{\perp q} D_1^q \right]$$

$$d\sigma_{UU}(x, y, z, \phi_S, P_{h\perp}) \equiv \frac{2\alpha^2}{sxy^2} A(y) \sum_q e_q^2 \mathcal{I} \left[f_1^q D_1^q \right]$$

where

$$\mathcal{I}[\mathcal{W} f D] \equiv \int d^2 p_T d^2 k_T \delta^{(2)} \left(p_T - \frac{P_{h\perp}}{z} - k_T \right) [\mathcal{W} f(x, p_T) D(z, k_T)]$$

Gaussian Ansatz

- want to deconvolve convolution integral over transverse momenta
- easy Ansatz: Gaussian dependencies of DFs and FFs on intrinsic (quark) transverse momentum:

$$\mathcal{I}[f_1(x, \mathbf{p}_T^2) D_1(z, z^2 \mathbf{k}_T^2)] = f_1(x) \cdot D_1(z) \cdot \frac{R^2}{\pi z^2} \cdot e^{-R^2 \frac{P_{h\perp}^2}{z^2}}$$

$$\text{with } f_1(x, p_T^2) = f_1(x) \frac{1}{\pi \langle p_T^2 \rangle} e^{-\frac{p_T^2}{\langle p_T^2 \rangle}} \quad \frac{1}{R^2} \equiv \langle k_T^2 \rangle + \langle p_T^2 \rangle = \frac{\langle P_{h\perp}^2 \rangle}{z^2}$$

(similar: $D_1(z, z^2 \mathbf{k}_T^2)$)

Caution: different notations for intrinsic transverse momentum exist! (Here: Amsterdam notation)

Positivity Constraints

- **DFs (FFs) have to fulfill various positivity constraints (resulting cross section has to be positive!)**
 - **based on probability considerations can derive positivity limits for leading-twist functions:
Bacchetta et al., Phys.Rev.Lett.85:712-715, 2000**
- ➔ **transversity: e.g., Soffer bound**
- ➔ **Sivers and Collins functions: e.g., loose bounds:**

$$\frac{|p_T|}{2M_N} f_{1T}^\perp(x, p_T^2) \equiv f_{1T}^{\perp(1/2)}(x, p_T^2) \leq \frac{1}{2} f_1(x, p_T^2)$$
$$\frac{|k_T|}{2M_h} H_1^\perp(z, z^2 k_T^2) \equiv H_1^{\perp(1/2)}(z, z^2 k_T^2) \leq \frac{1}{2} D_1(z, z^2 k_T^2)$$

Positivity and the Gaussian Ansatz

$$\frac{|p_T|}{2M_N} f_{1T}^\perp(x, p_T^2) \leq \frac{1}{2} f_1(x, p_T^2)$$

with $f_1(x, p_T^2) = f_1(x) \frac{1}{\pi \langle p_T^2 \rangle} e^{-\frac{p_T^2}{\langle p_T^2 \rangle}}$

$$f_{1T}^\perp(x, p_T^2) = f_{1T}^\perp(x) \frac{1}{\pi \langle p_T^2 \rangle} e^{-\frac{p_T^2}{\langle p_T^2 \rangle}}$$

 $|p_T| f_{1T}^\perp(x) \leq M_N f_1(x)$

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 $|p_T| f_{1T}^\perp(x) \leq M_N f_1(x)$

No (useful) solution for non-zero Sivers fctn!

Modify Gaussian Width

$$f_{1T}^\perp(x, p_T^2) = f_{1T}^\perp(x) \frac{1}{(1-C)\pi\langle p_T^2 \rangle} e^{-\frac{p_T^2}{(1-C)\langle p_T^2 \rangle}}$$

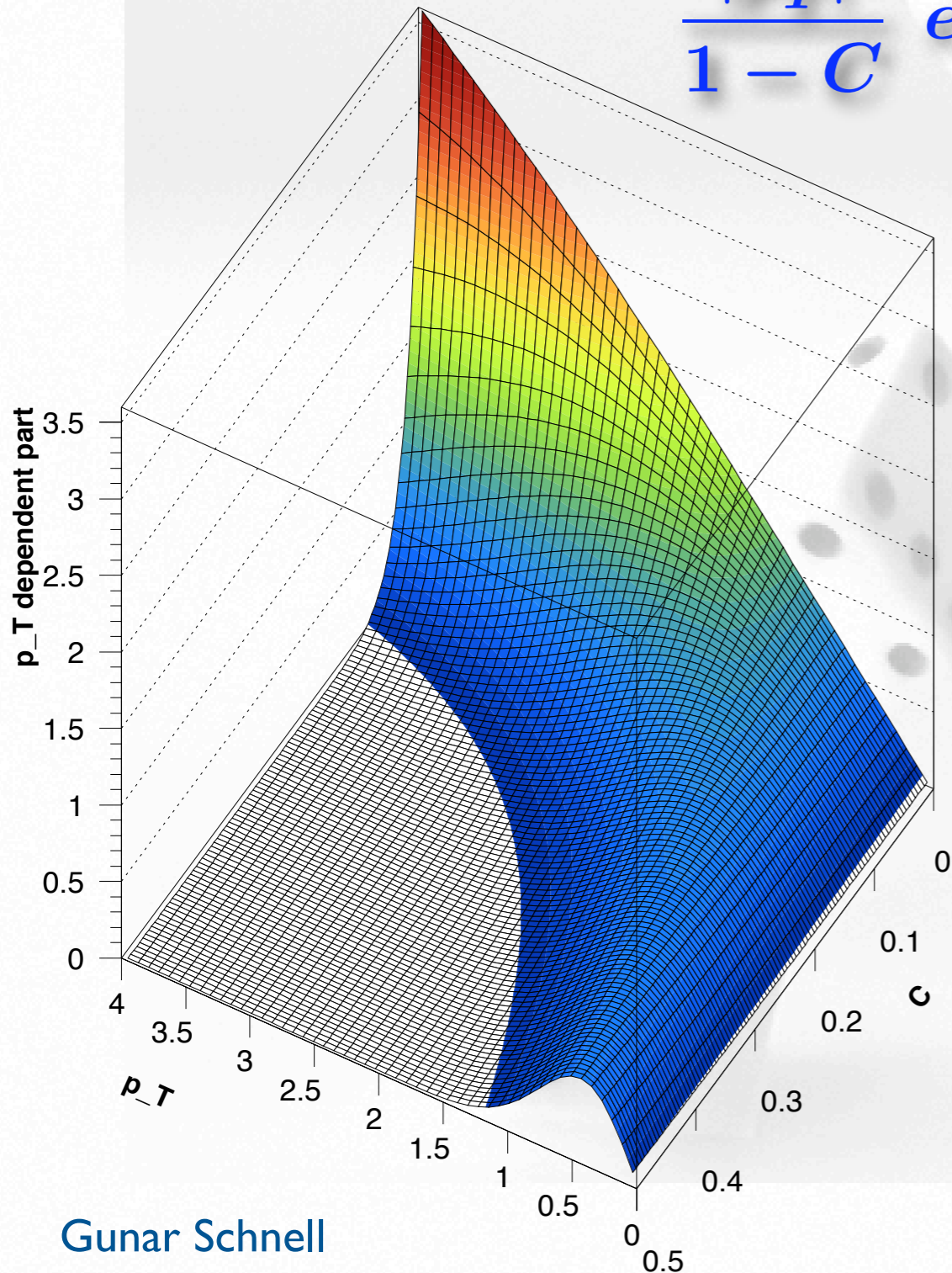
→ positivity limit:

$$f_{1T}^\perp(x) \frac{|p_T|}{2M_N} \frac{1}{\pi(1-C)\langle p_T^2 \rangle} e^{-\frac{p_T^2}{(1-C)\langle p_T^2 \rangle}} \leq 1/2 f_1(x) \frac{1}{\pi\langle p_T^2 \rangle} e^{-\frac{p_T^2}{\langle p_T^2 \rangle}}$$

$$\rightarrow \frac{|p_T|}{1-C} e^{-\frac{C}{1-C} \frac{p_T^2}{\langle p_T^2 \rangle}} \leq M_N \frac{f_1(x)}{f_{1T}^\perp(x)}$$

Reevaluation of positivity constraint

$$\frac{|p_T|}{1-C} e^{-\frac{C}{1-C} \frac{p_T^2}{\langle p_T^2 \rangle}} \leq M_N \frac{f_1(x)}{f_{1T}^\perp(x)}$$



Minimum at $p_T = \sqrt{\frac{\langle p_T^2 \rangle}{2C}}$

thus $\frac{f_{1T}^\perp(x)}{f_1(x)} \leq M_N \sqrt{\frac{2eC(1-C)}{\langle p_T^2 \rangle}}$

or

$$\frac{f_{1T}^{\perp(1/2)}(x)}{f_1(x)} \leq \frac{1}{2} \sqrt{\frac{e\pi C}{2}} (1-C) \leq 0.4$$

SIDIS Cross Section incl. TMDs

$$\sum_q \frac{e_q^2}{4\pi} \frac{\alpha^2}{(MExyz)^2} [\mathbf{X}_{UU} + |S_T| \mathbf{X}_{SIV} \sin(\phi_h - \phi_s) + |S_T| \mathbf{X}_{COL} \sin(\phi_h + \phi_s)]$$

using Gaussian Ansatz for transverse-momentum dependence of DFs and FFs:

$$\mathbf{X}_{UU} = R^2 e^{-R^2 P_{h\perp}^2 / z^2} \left(1 - y + \frac{y^2}{2}\right) f_1(x) \cdot D_1(z)$$

$$\begin{aligned} \mathbf{X}_{COL} &= + \frac{|P_{h\perp}|}{M_\pi z} \frac{(1 - C) \langle k_T^2 \rangle}{[\langle p_T^2 \rangle + (1 - C) \langle k_T^2 \rangle]^2} \exp \left[- \frac{P_{h\perp}^2 / z^2}{\langle p_T^2 \rangle + (1 - C) \langle k_T^2 \rangle} \right] \\ &\times (1 - y) \cdot h_1(x) \cdot H_1^\perp(z) \end{aligned}$$

$$\begin{aligned} \mathbf{X}_{SIV} &= - \frac{|P_{h\perp}|}{M_p z} \frac{(1 - C') \langle p_T^2 \rangle}{[\langle k_T^2 \rangle + (1 - C') \langle p_T^2 \rangle]^2} \exp \left[- \frac{P_{h\perp}^2 / z^2}{\langle k_T^2 \rangle + (1 - C') \langle p_T^2 \rangle} \right] \\ &\times \left(1 - y + \frac{y^2}{2}\right) f_{1T}^\perp(x) \cdot D_1(z) \end{aligned}$$

Sivers (azimuthal) Moments

use cross section expressions to evaluate azimuthal moments:

$$-\langle \sin(\phi - \phi_s) \rangle_{UT} = \frac{\sqrt{(1-C)\langle p_T^2 \rangle}}{\sqrt{(1-C)\langle p_T^2 \rangle + \langle k_T^2 \rangle}} \frac{A(y) \frac{1}{xy^2} \sum e_q^2 f_{1T}^{\perp(1/2)}(x) D_1(z)}{A(y) \frac{1}{xy^2} \sum e_q^2 f_1(x) D_1(z)}$$

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$$-\left\langle \frac{|P_{h\perp}|}{zM_N} \sin(\phi - \phi_s) \right\rangle_{UT} = \frac{2\sqrt{(1-C)\langle p_T^2 \rangle}}{M_N \sqrt{\pi}} \frac{A(y) \frac{1}{xy^2} \sum e_q^2 f_{1T}^{\perp(1/2)}(x) D_1(z)}{A(y) \frac{1}{xy^2} \sum e_q^2 f_1(x) D_1(z)}$$

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model-dependence on transverse momenta

“swallowed” by p_T^2 -moment of Sivers fct.: $f_{1T}^{\perp(1)}$

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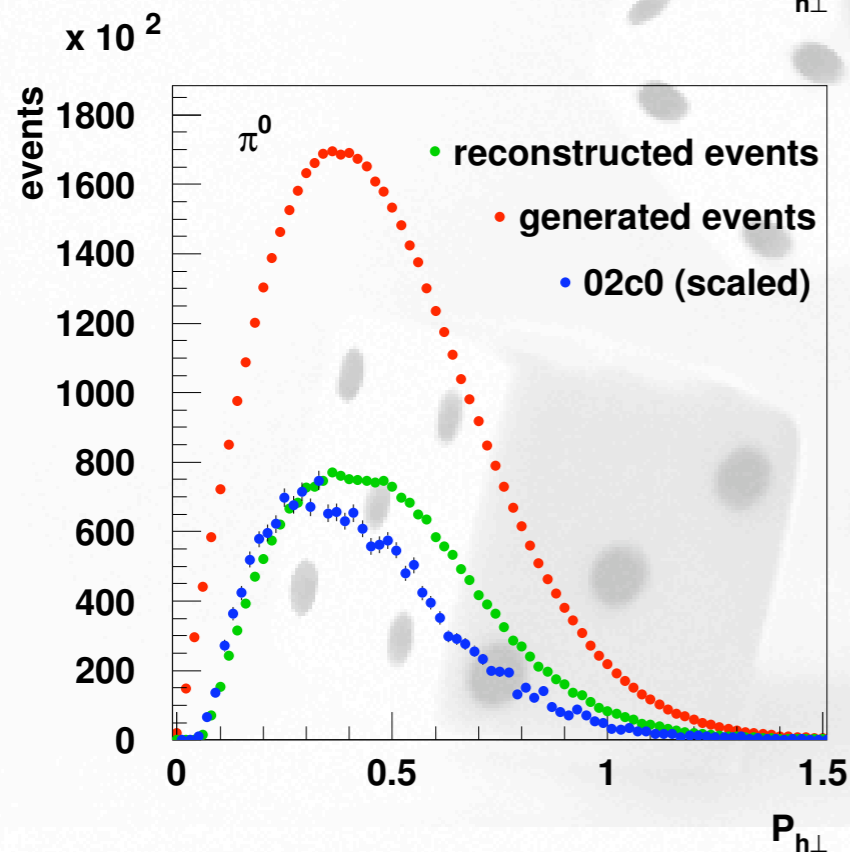
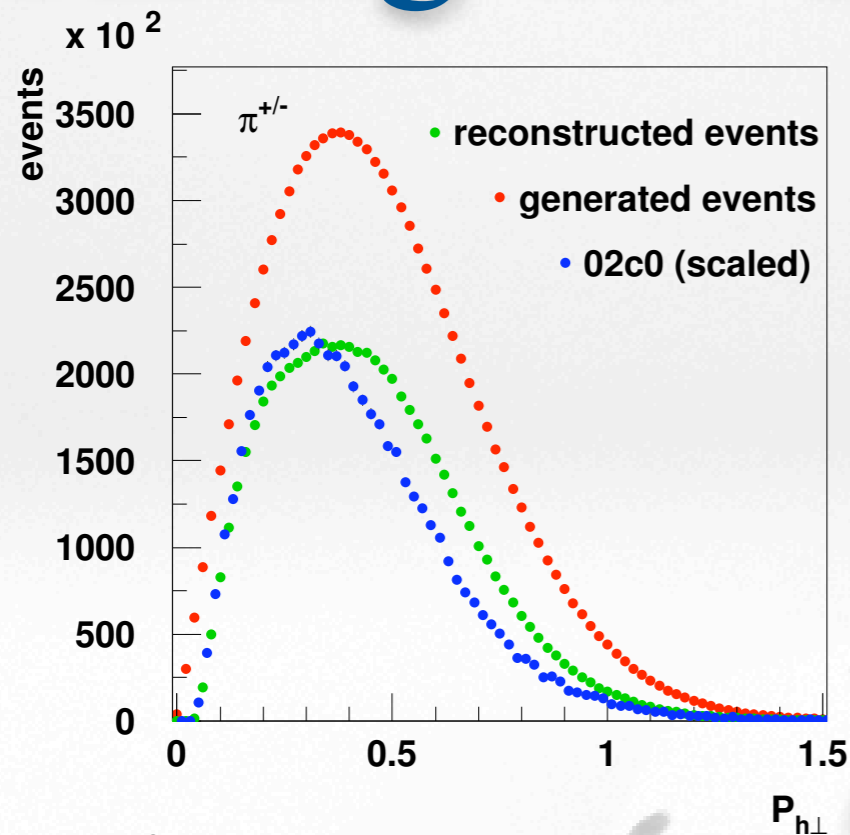
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(similar for Collins moments)

A hand in a white sleeve is shown holding a white die with black pips. Several other white dice are scattered on a light-colored surface around the hand. The scene is brightly lit, creating soft shadows.

2nd Entrée: Selected Results

Tuning the Gaussians in gmc_trans



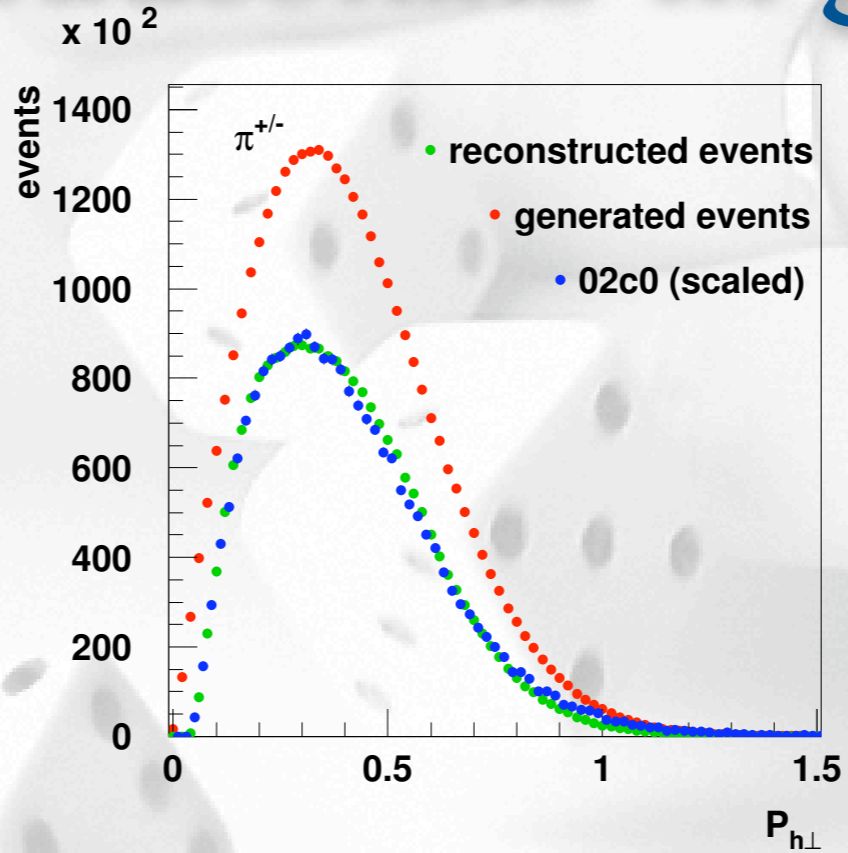
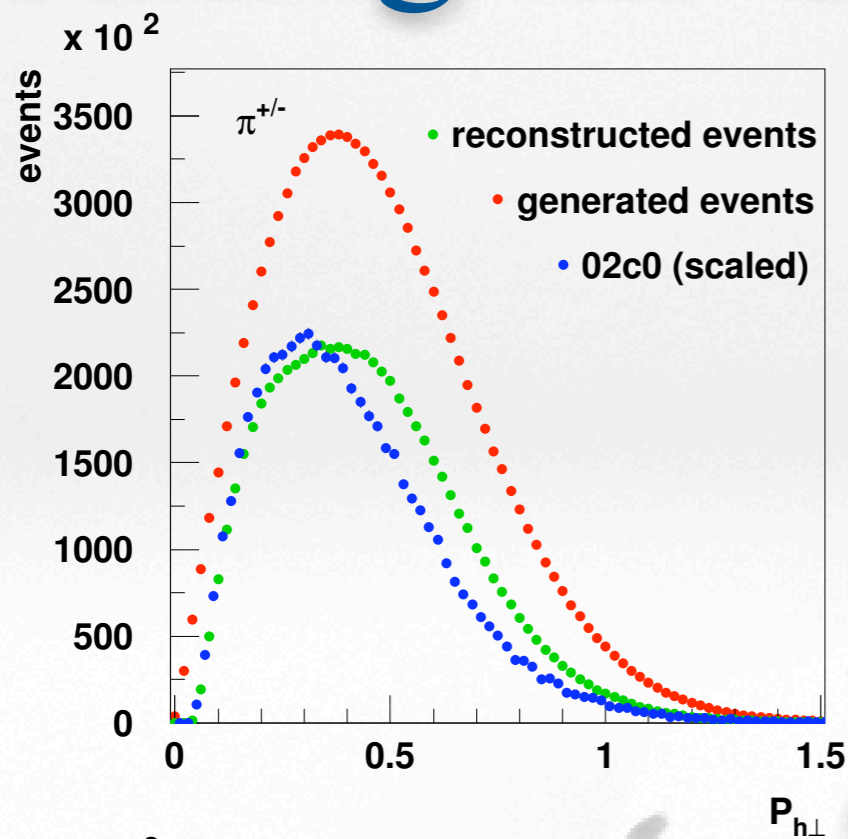
- constant Gaussian widths, i.e., no dependence on x or z :

$$\langle p_T \rangle = 0.44$$

$$\langle K_T \rangle = 0.44$$

- tune to data integrated over whole kinematic range

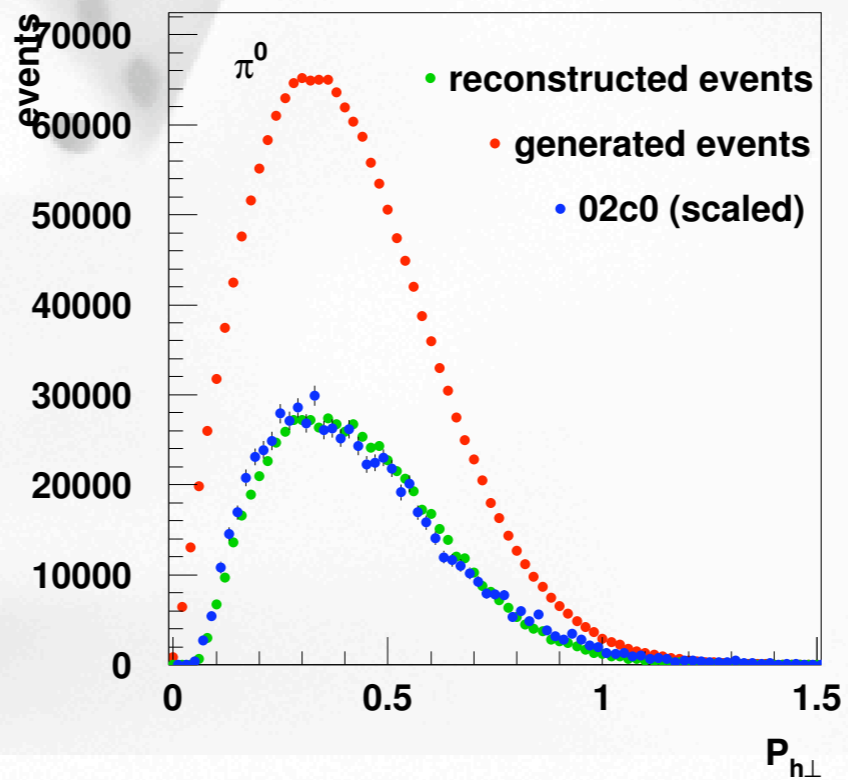
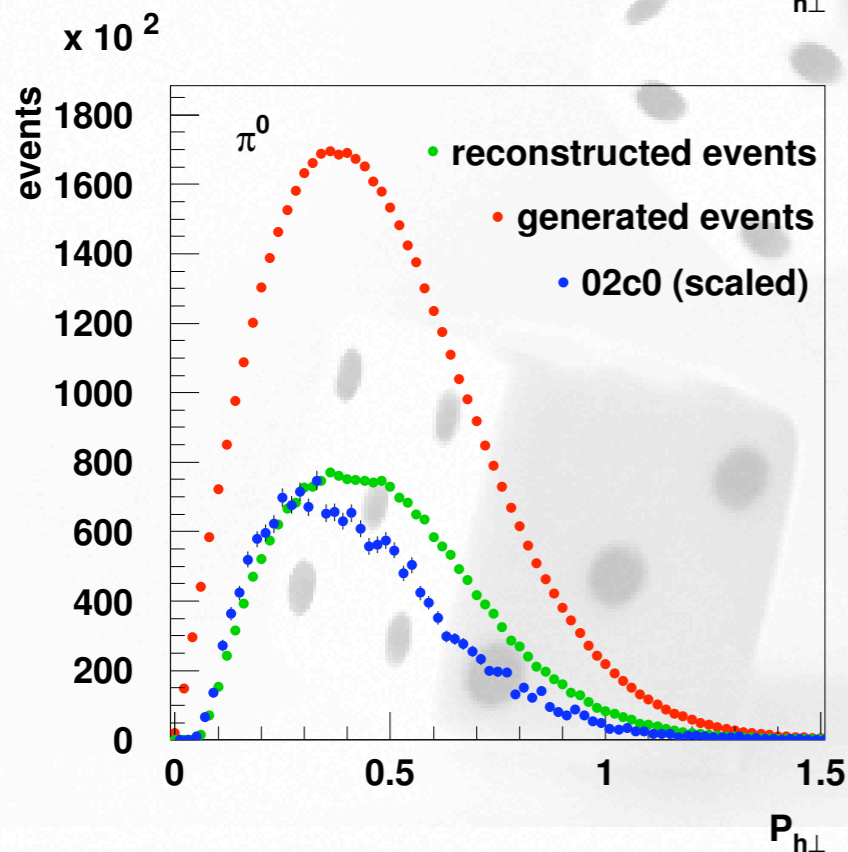
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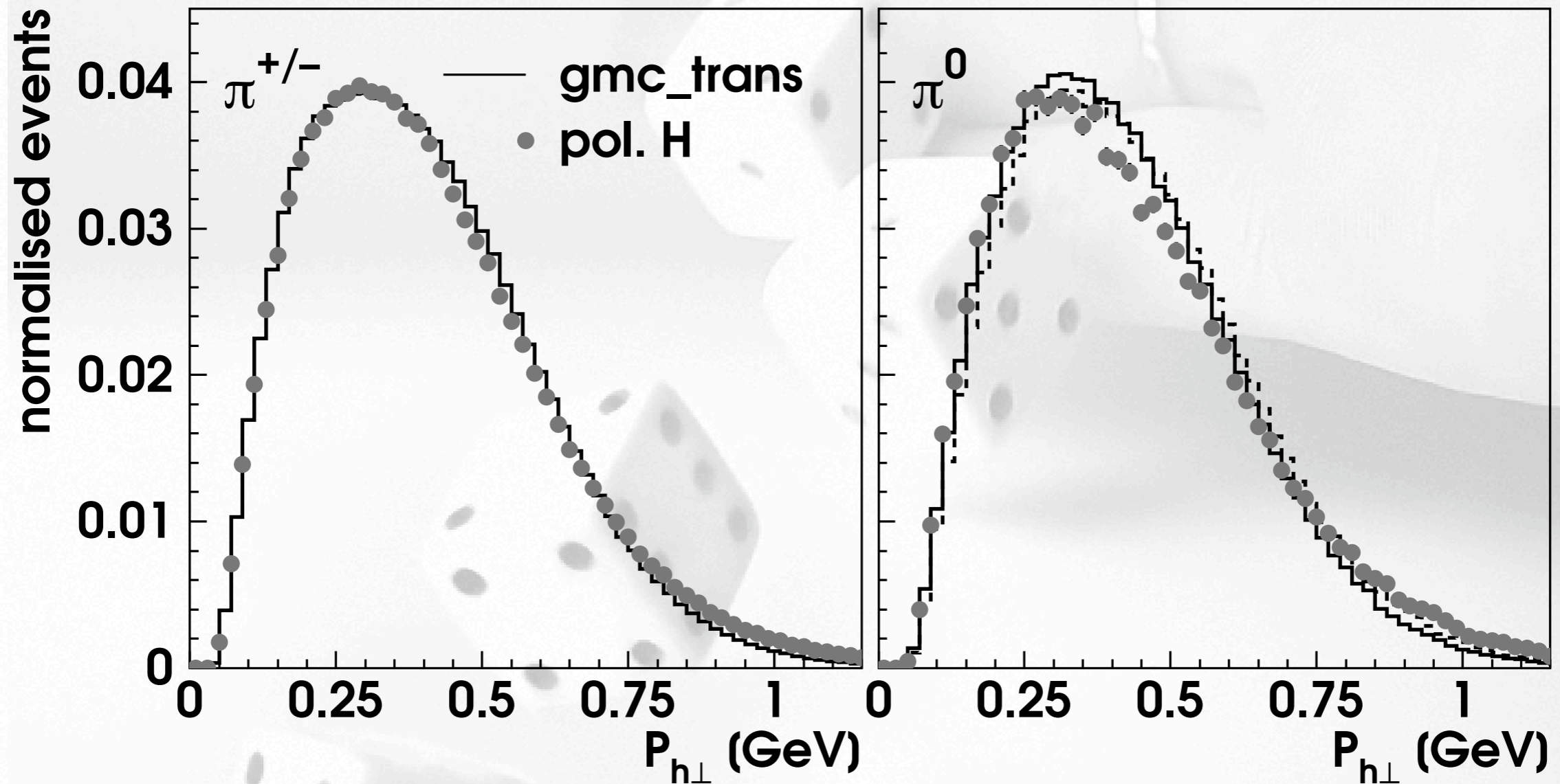
Better:

$$\langle p_T \rangle = 0.38$$

$$\langle K_T \rangle = 0.38$$



Comparison Data-MC:



$$\langle p_T^2 \rangle = \langle K_T^2 \rangle = 0.18 \text{ GeV}^2 \quad (\langle |\vec{p}_T| \rangle = \langle |\vec{K}_T| \rangle = 0.38 \text{ GeV})$$

$$\text{where: } \langle K_T^2 \rangle = z^2 \langle k_T^2 \rangle$$

Tuning the Gaussians in gmc_trans

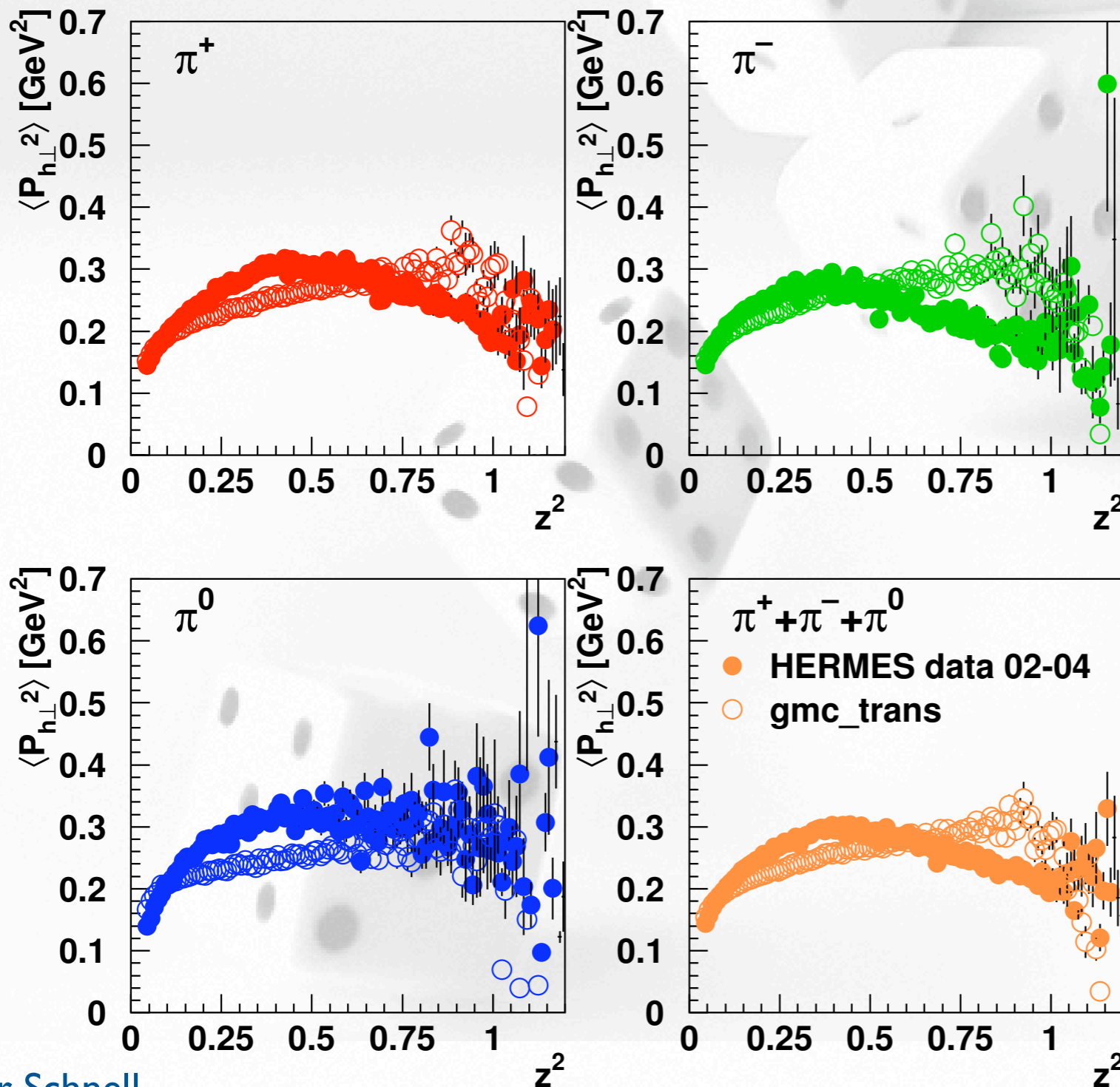
in general: $\langle P_{h\perp}^2(x, z) \rangle = z^2 \langle p_T^2(x) \rangle + \langle K_T^2(z) \rangle$

so far: $\langle P_{h\perp}^2(z) \rangle = z^2 \langle p_T^2 \rangle + \langle K_T^2 \rangle$

constant!

Tuning the Gaussians in gmc_trans

so far: $\langle P_{h\perp}^2(z) \rangle = z^2 \langle p_T^2 \rangle + \langle K_T^2 \rangle$



$$\langle p_T \rangle = 0.38$$

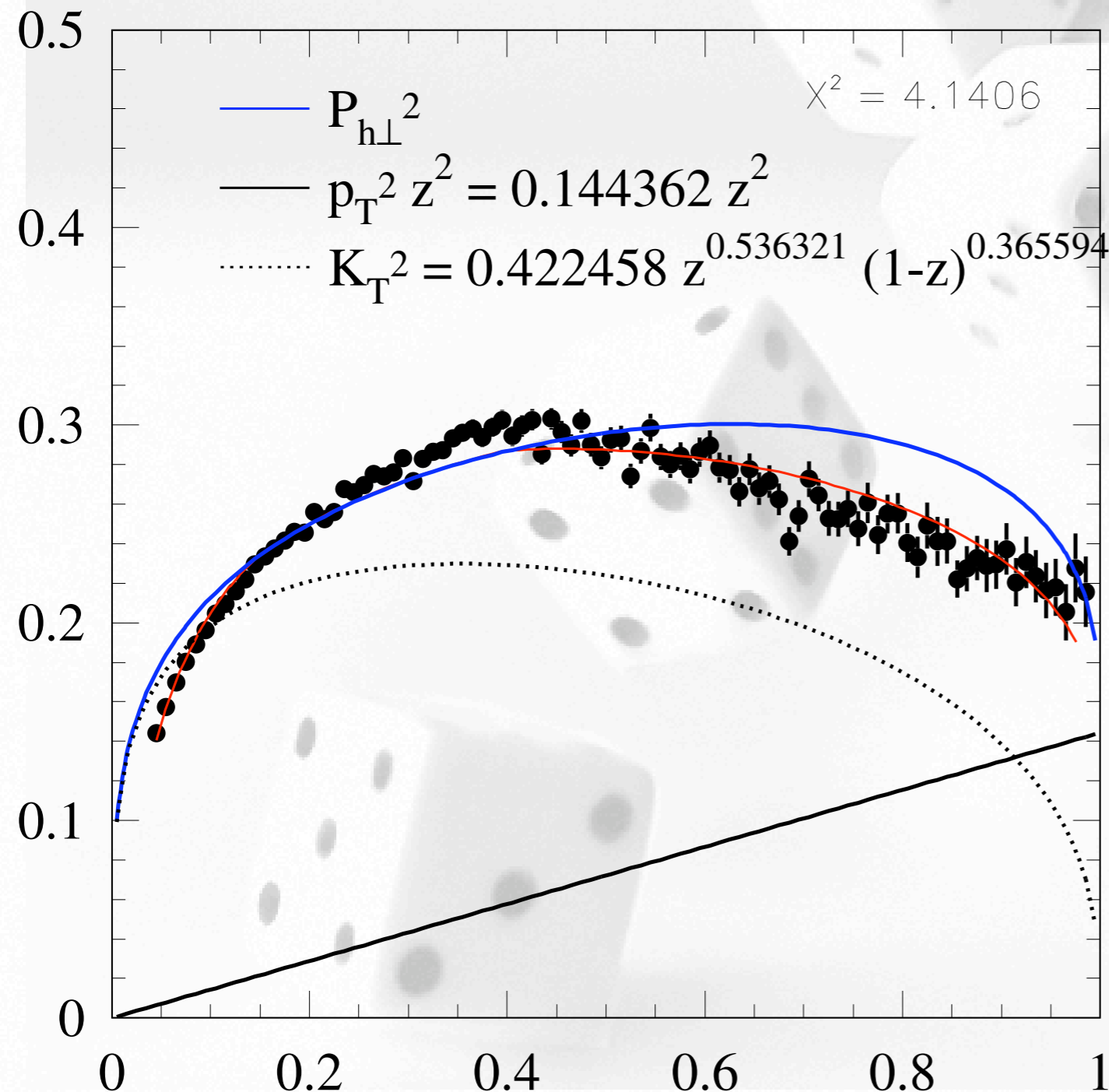
$$\langle K_T \rangle = 0.38$$

$$\langle p_T^2 \rangle \simeq 0.185$$

$$\langle K_T^2 \rangle \simeq 0.185$$

Tuning the Gaussians in gmc_trans

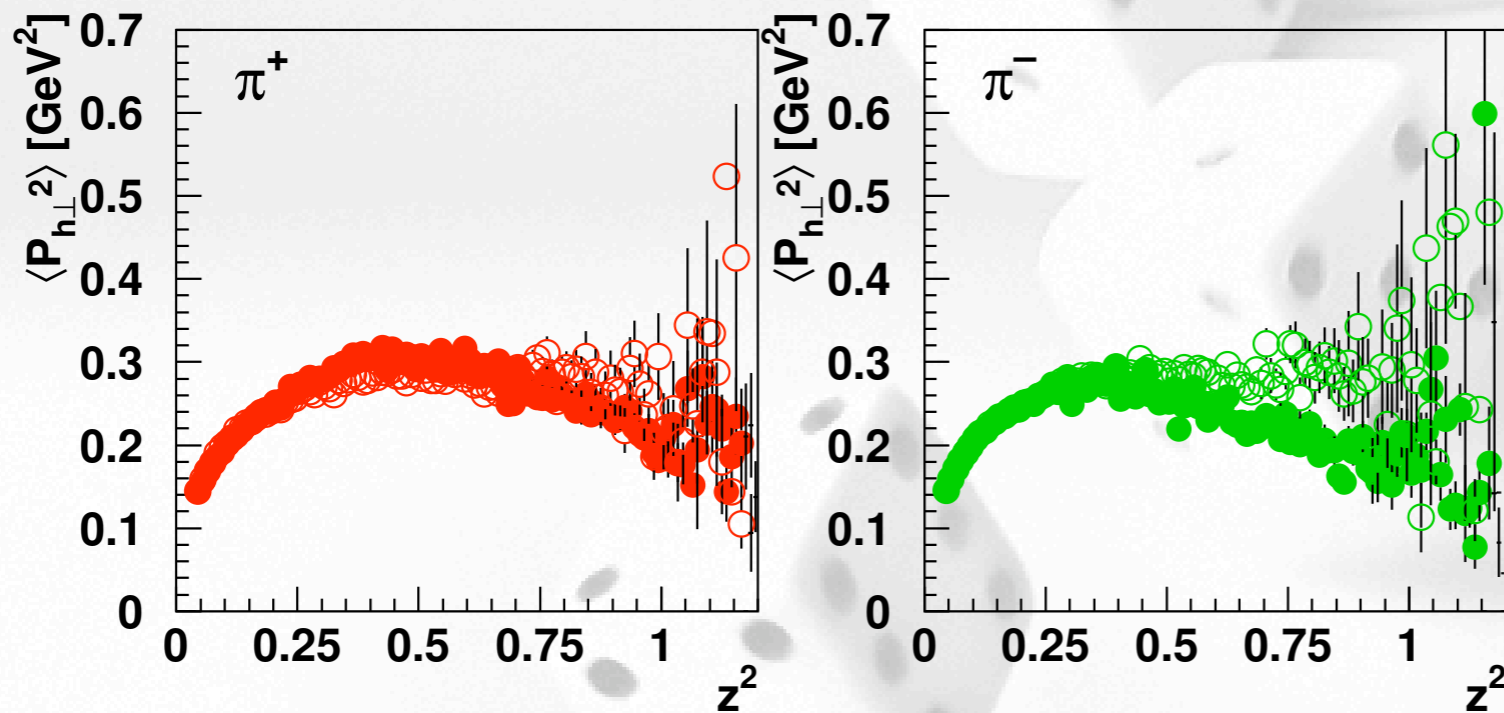
now: $\langle P_{h\perp}^2(z) \rangle = z^2 \langle p_T^2 \rangle + \langle K_T^2(z) \rangle$



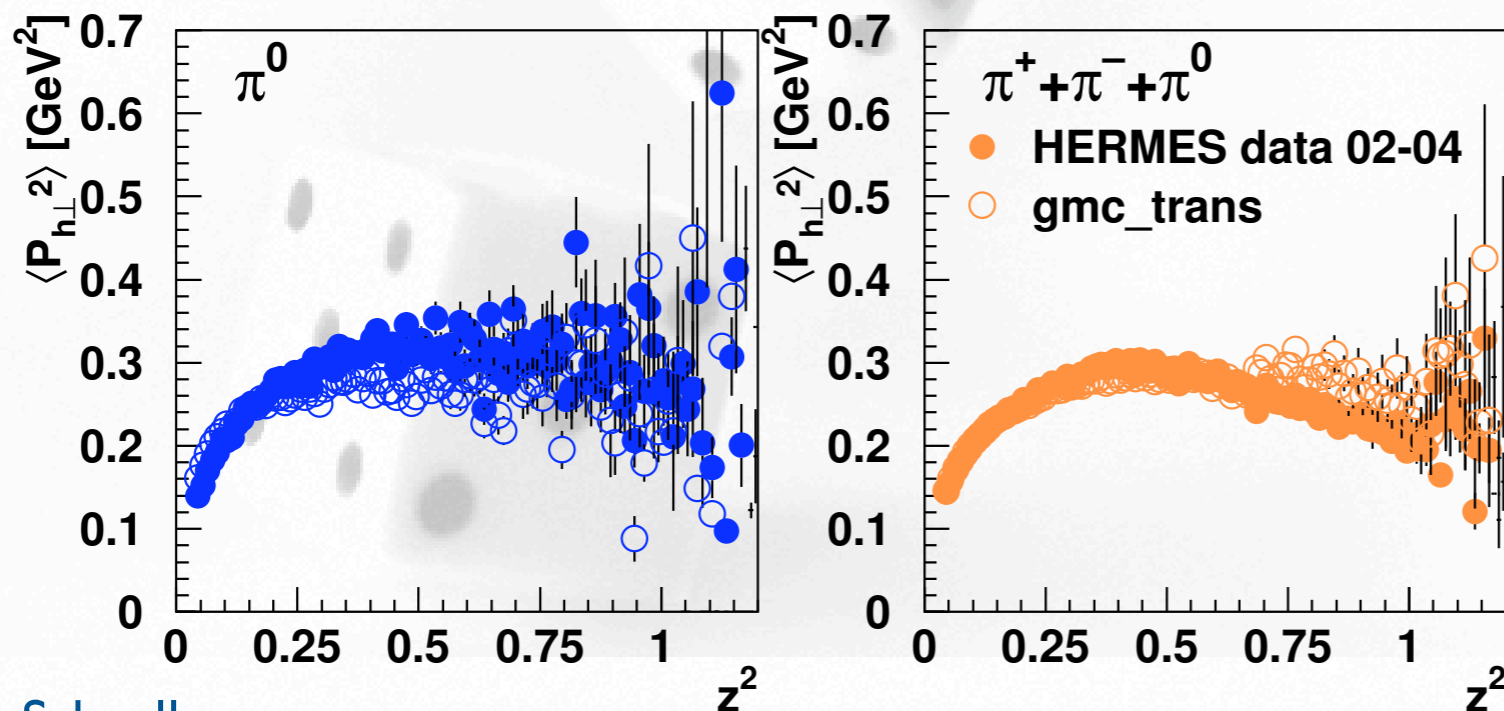
now z-dependent!
tuned to HERMES
data in acceptance
“Hashi set”

Tuning the Gaussians in gmc_trans

$$\langle P_{h\perp}^2(z) \rangle = z^2 \langle p_T^2 \rangle + \langle K_T^2(z) \rangle$$



z-dependent!



Some Simple Models for Transversity & Friends

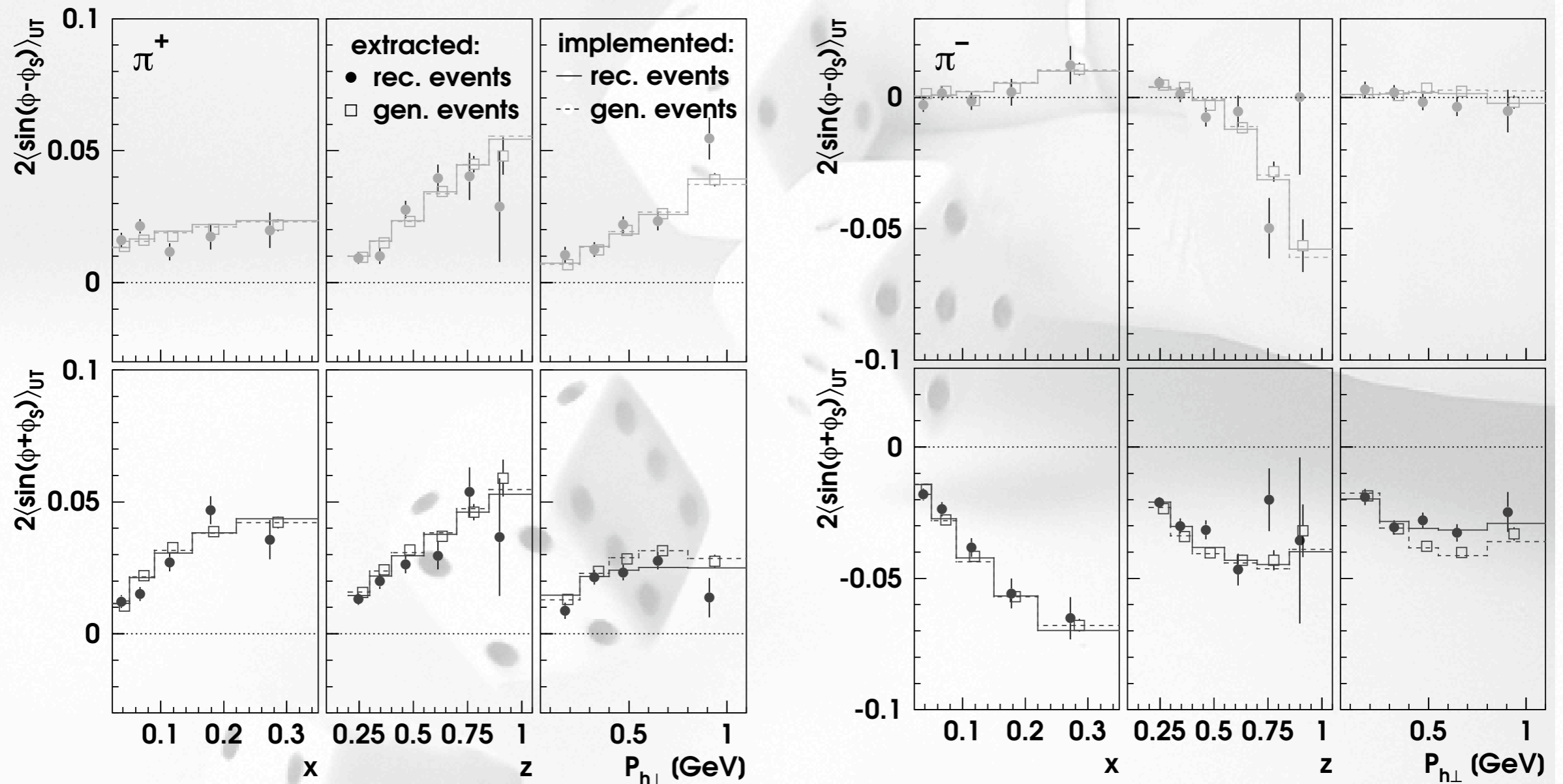
$$\begin{aligned}\delta u(x) &= 0.7 \cdot \Delta u(x) & f_{1T}^{\perp u}(x) &= -0.3 \cdot u(x) \\ \delta d(x) &= 0.7 \cdot \Delta d(x) & f_{1T}^{\perp d}(x) &= 0.9 \cdot d(x) \\ \delta q(x) &= 0.3 \cdot \Delta q(x) & f_{1T}^{\perp q}(x) &= 0.0 \quad q = \bar{u}, \bar{d}, s, \bar{s}\end{aligned}$$

$$H_{1,\text{fav}}^{\perp(1)}(z) = 0.65 \cdot D_{1,\text{fav}}(z)$$

$$H_{1,\text{dis}}^{\perp(1)}(z) = -1.30 \cdot D_{1,\text{dis}}(z)$$

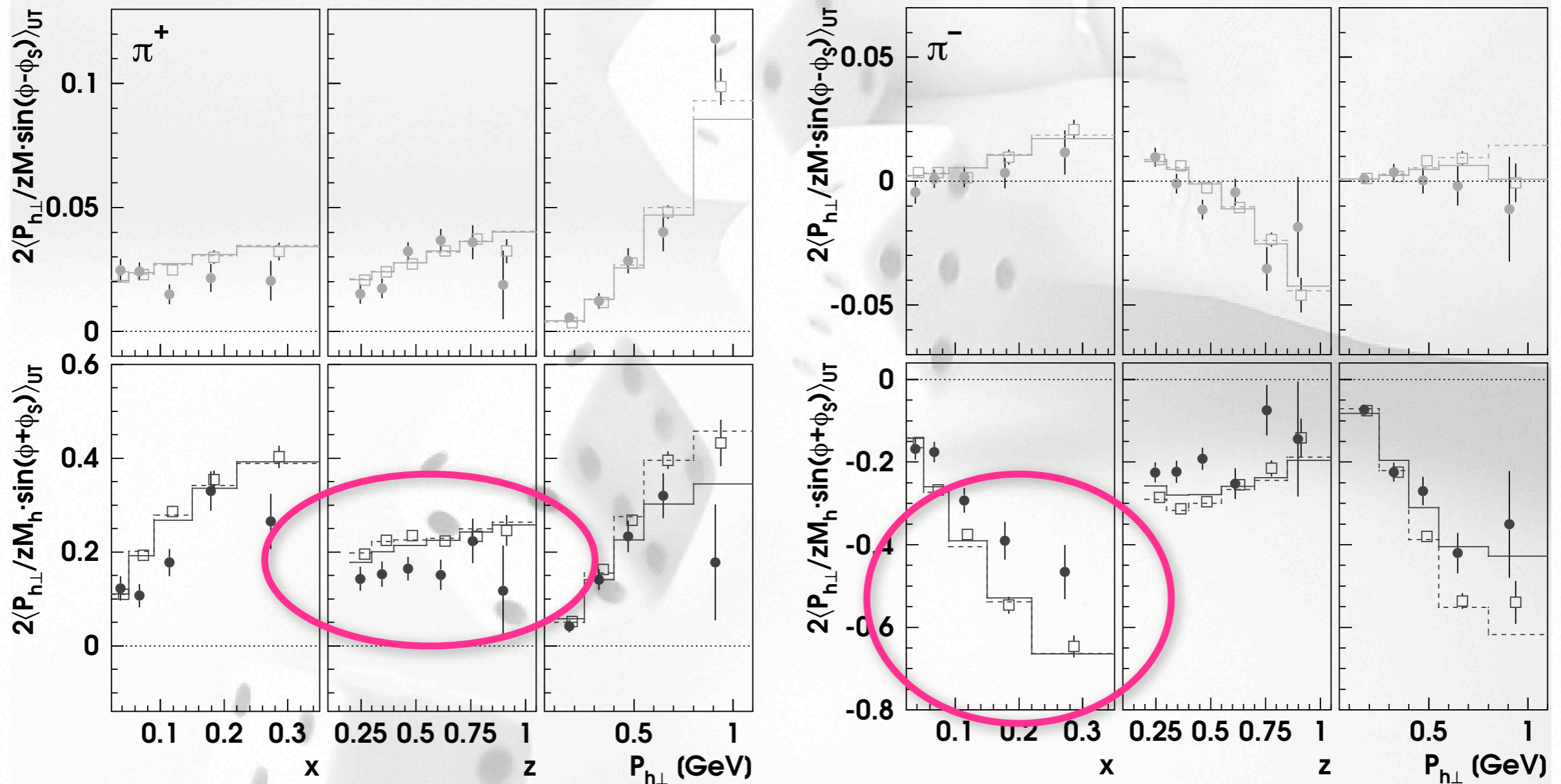
GRSV for PDFs and Kretzer FF for D_1

Generated vs. Extracted Amplitudes



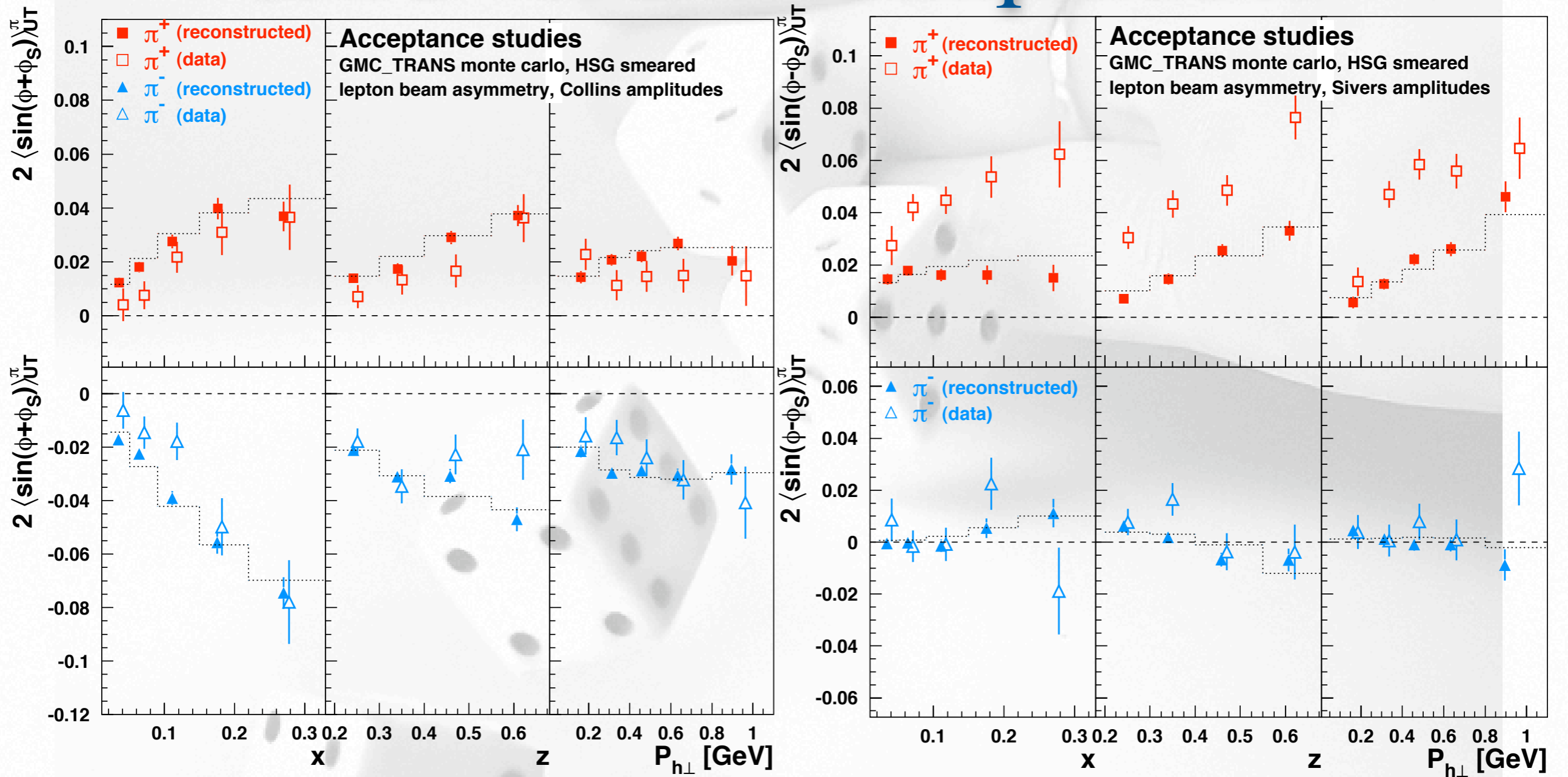
$$\begin{aligned}
 \delta u(x) &= 0.7 \cdot \Delta u(x) & f_{1T}^{\perp u}(x) &= -0.3 \cdot u(x) & H_{1,\text{fav}}^{\perp(1)}(z) &= 0.65 \cdot D_{1,\text{fav}}(z) \\
 \delta d(x) &= 0.7 \cdot \Delta d(x) & f_{1T}^{\perp d}(x) &= 0.9 \cdot d(x) & H_{1,\text{dis}}^{\perp(1)}(z) &= -1.30 \cdot D_{1,\text{dis}}(z) \\
 \delta q(x) &= 0.3 \cdot \Delta q(x) & f_{1T}^{\perp q}(x) &= 0.0 & q &= \bar{u}, \bar{d}, s, \bar{s}
 \end{aligned}$$

Comparison for Weighted Moments



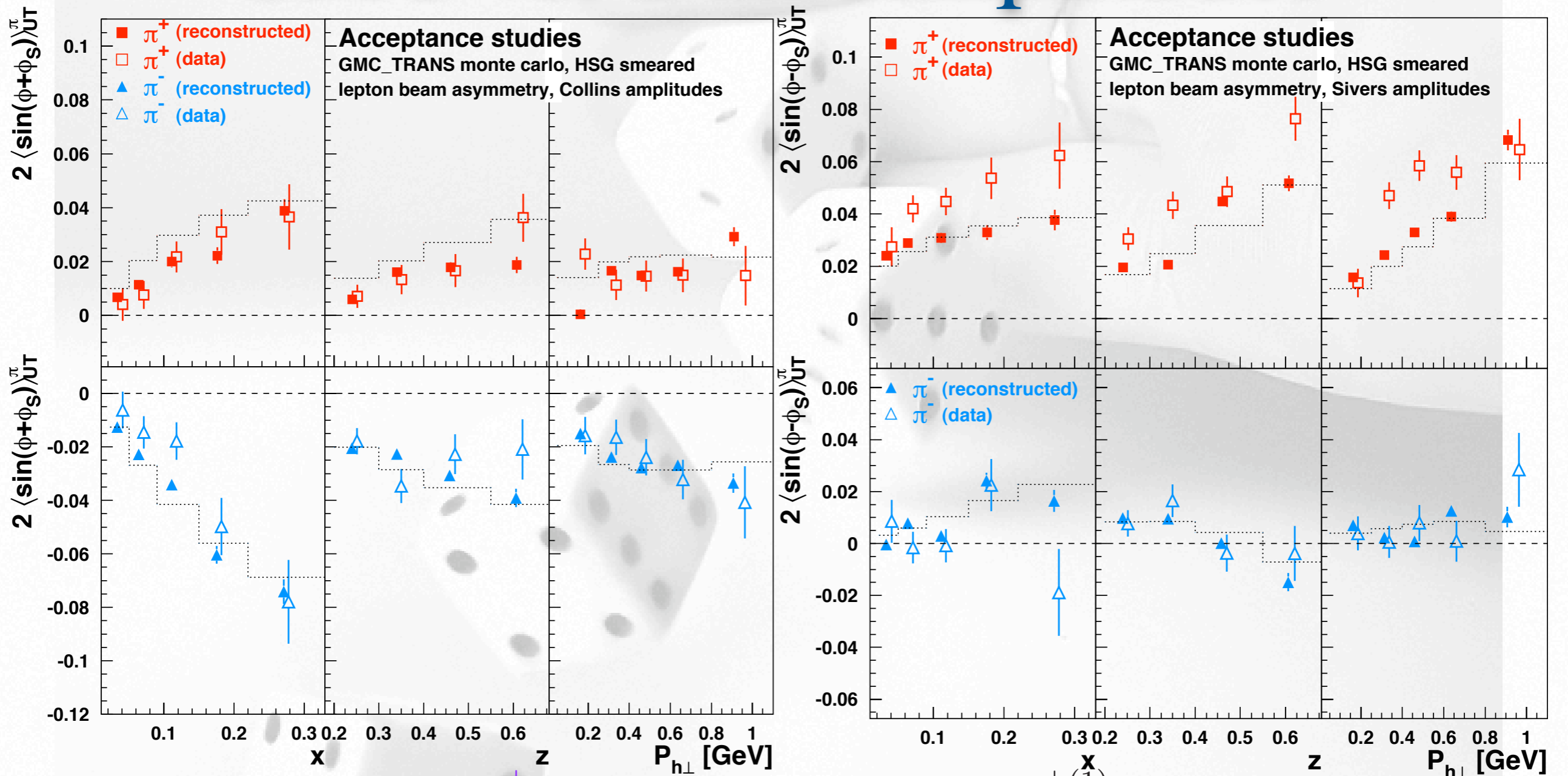
Not so good news for weighted moments

GMC vs. Data Amplitudes



$$\begin{aligned}
 \delta u(x) &= 0.7 \cdot \Delta u(x) & f_{1T}^{\perp u}(x) &= -0.3 \cdot u(x) & H_{1,\text{fav}}^{\perp(1)}(z) &= 0.65 \cdot D_{1,\text{fav}}(z) \\
 \delta d(x) &= 0.7 \cdot \Delta d(x) & f_{1T}^{\perp d}(x) &= 0.9 \cdot d(x) & H_{1,\text{dis}}^{\perp(1)}(z) &= -1.30 \cdot D_{1,\text{dis}}(z) \\
 \delta q(x) &= 0.3 \cdot \Delta q(x) & f_{1T}^{\perp q}(x) &= 0.0 & q &= \bar{u}, d, s, \bar{s}
 \end{aligned}$$

GMC vs. Data Amplitudes



$$\begin{aligned} \delta u(x) &= 0.7 \cdot \Delta u(x) & f_{1T}^{\perp u}(x) &= -0.6 \cdot u(x) & H_{1,\text{fav}}^{\perp(1)}(z) &= 0.65 \cdot D_{1,\text{fav}}(z) \\ \delta d(x) &= 0.7 \cdot \Delta d(x) & f_{1T}^{\perp d}(x) &= 1.05 \cdot d(x) & H_{1,\text{dis}}^{\perp(1)}(z) &= -1.30 \cdot D_{1,\text{dis}}(z) \\ \delta q(x) &= 0.3 \cdot \Delta q(x) & f_{1T}^{\perp q}(x) &= 0.3 \cdot q(x) & q &= \bar{u}, \bar{d}, s, \bar{s} \end{aligned}$$

“hashi” set III for transverse momentum widths

Gunar Schnell

$C_S = C_C = 0.25$
Transversity 2008, Beijing

Positivity Limits Revisited

- least stringent positivity constraints only involve considered ‘polarized’ function and the corresponding unpolarized function, e.g., Sivers vs. f_1
- more stringent relations arise from full density density matrix (e.g., Soffer relation for h_1 vs. g_1 and f_1):

A. Bacchetta, M. Boglione, A. Henneman, and P. Mulders, Phys. Rev. Lett. **85**, 712 (2000).

$$\begin{pmatrix} f_1 + g_{1L} & \frac{|p_T|}{M} e^{i\phi} (g_{1T} + if_{1T}^\perp) & \frac{|p_T|}{M} e^{-i\phi} (h_{1L}^\perp + ih_1^\perp) & 2h_1 \\ \frac{|p_T|}{M} e^{-i\phi} (g_{1T} - if_{1T}^\perp) & f_1 - g_{1L} & \frac{|p_T|^2}{M^2} e^{-2i\phi} h_{1T}^\perp & -\frac{|p_T|}{M} e^{-i\phi} (h_{1L}^\perp - ih_1^\perp) \\ \frac{|p_T|}{M} e^{i\phi} (h_{1L}^\perp - ih_1^\perp) & \frac{|p_T|^2}{M^2} e^{2i\phi} h_{1T}^\perp & f_1 - g_{1L} & -\frac{|p_T|}{M} e^{i\phi} (g_{1T} - if_{1T}^\perp) \\ 2h_1 & -\frac{|p_T|}{M} e^{i\phi} (h_{1L}^\perp + ih_1^\perp) & -\frac{|p_T|}{M} e^{-i\phi} (g_{1T} + if_{1T}^\perp) & f_1 + g_{1L} \end{pmatrix}$$

has to be positive definite!

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$$\left(\begin{array}{cccc} f_1 + g_L & \frac{|p_T|}{M} e^{i\phi} (g_T + if_{1T}^\perp) & \frac{|p_T|}{M} e^{-i\phi} (h_L^\perp + ih_{1T}^\perp) & 2h_1 \\ \frac{|p_T|}{M} e^{-i\phi} (g_T - if_{1T}^\perp) & f_1 - g_L & \frac{|p_T|^2}{M^2} e^{-2i\phi} h_{1T}^\perp & -\frac{|p_T|}{M} e^{-i\phi} (h_L^\perp - ih_{1T}^\perp) \\ \frac{|p_T|}{M} e^{i\phi} (h_L^\perp - ih_{1T}^\perp) & \frac{|p_T|^2}{M^2} e^{2i\phi} h_{1T}^\perp & f_1 - g_L & -\frac{|p_T|}{M} e^{i\phi} (g_T - if_{1T}^\perp) \\ 2h_1 & -\frac{|p_T|}{M} e^{i\phi} (h_L^\perp + ih_{1T}^\perp) & -\frac{|p_T|}{M} e^{-i\phi} (g_T + if_{1T}^\perp) & f_1 + g_L \end{array} \right)$$

has to be positive definite!

Positivity Limits Revisited

- **least stringent positivity constraints only involve considered ‘polarized’ function and the corresponding unpolarized function, e.g., Sivers vs. f_1**
- **more stringent relations arise from full helicity density matrix, e.g., Soffer relation for transversity vs. g_1 and f_1**

A. Bacchetta, M. Boglione, A. Henneman, and P. Mulders, Phys. Rev. Lett. **85**, 712 (2000).

- **reanalysis yields a more complex positivity limit for Sivers:**

$$\frac{\mathbf{p}_T^2}{M^2} \left(f_{1T}^\perp(x, \mathbf{p}_T^2) \right)^2 \leq f_1(x, \mathbf{p}_T^2) \left(f_1(x, \mathbf{p}_T^2) - 2 |h_1(x, \mathbf{p}_T^2)| \right)$$

(required setting all other DF to zero)

“Critique de Ferrara”

- “positivity limit has to involve either only f_1 or (almost) all PDFs”
- implemented positivity limit in `gmc_trans` (self-consistently) involves only all in `gmc_trans` non-zero PDFs: f_1 , h_1 , `Sivers` (and if wanted `BM`)
- however, we know g_1 is not zero, thus at least g_1 has to be considered as well for `gmc_trans` to be realistic:

$$\frac{\mathbf{p}_T^2}{M^2} (f_{1T}^\perp(x, \mathbf{p}_T^2))^2 \leq \left\{ (f_1(x, \mathbf{p}_T^2))^2 - (g_1(x, \mathbf{p}_T^2))^2 \right\} \left\{ 1 - \frac{2 |h_1(x, \mathbf{p}_T^2)|}{f_1(x, \mathbf{p}_T^2) + g_1(x, \mathbf{p}_T^2)} \right\}$$

- which positivity constraint is stronger and what about other PDFs?

Positivity for $g_1 = 0$ vs. $g_1 \neq 0$

$$\Delta(\text{P.L.}) = (g_1(x, p_T^2))^2 - 2g_1(x, p_T^2) |h_1(x, p_T^2)|$$

- for $g_1 < 0$ (e.g., down quarks) $g_1=0$ limit is less strict
- for $g_1 > 0$ (e.g., u-quarks) it depends on size of h_1
↳ so far in gmc_trans productions $g_1=0$ limit was always less strict
- nevertheless, now implemented P.L. check that involves g_1 (and also BM function)
- should check P.L. involving all functions, but too little known about other TMDs

“Problem” with Sea Quarks

\bar{s} quarks \Rightarrow

==== Check positivity limit for Transversity:

==== positivity violation:

$$\text{iquark} = -3: \text{lhs} = 24.5845945 > \text{rhs} = 0.5$$

$$\text{iquark} = -2: \text{lhs} = 0.48761702 < \text{rhs} = 0.5$$

$$\text{iquark} = -1: \text{lhs} = 0.08159421 < \text{rhs} = 0.5$$

$$\text{iquark} = 1: \text{lhs} = 0.35142772 < \text{rhs} = 0.5$$

$$\text{iquark} = 2: \text{lhs} = 0.25735636 < \text{rhs} = 0.5$$

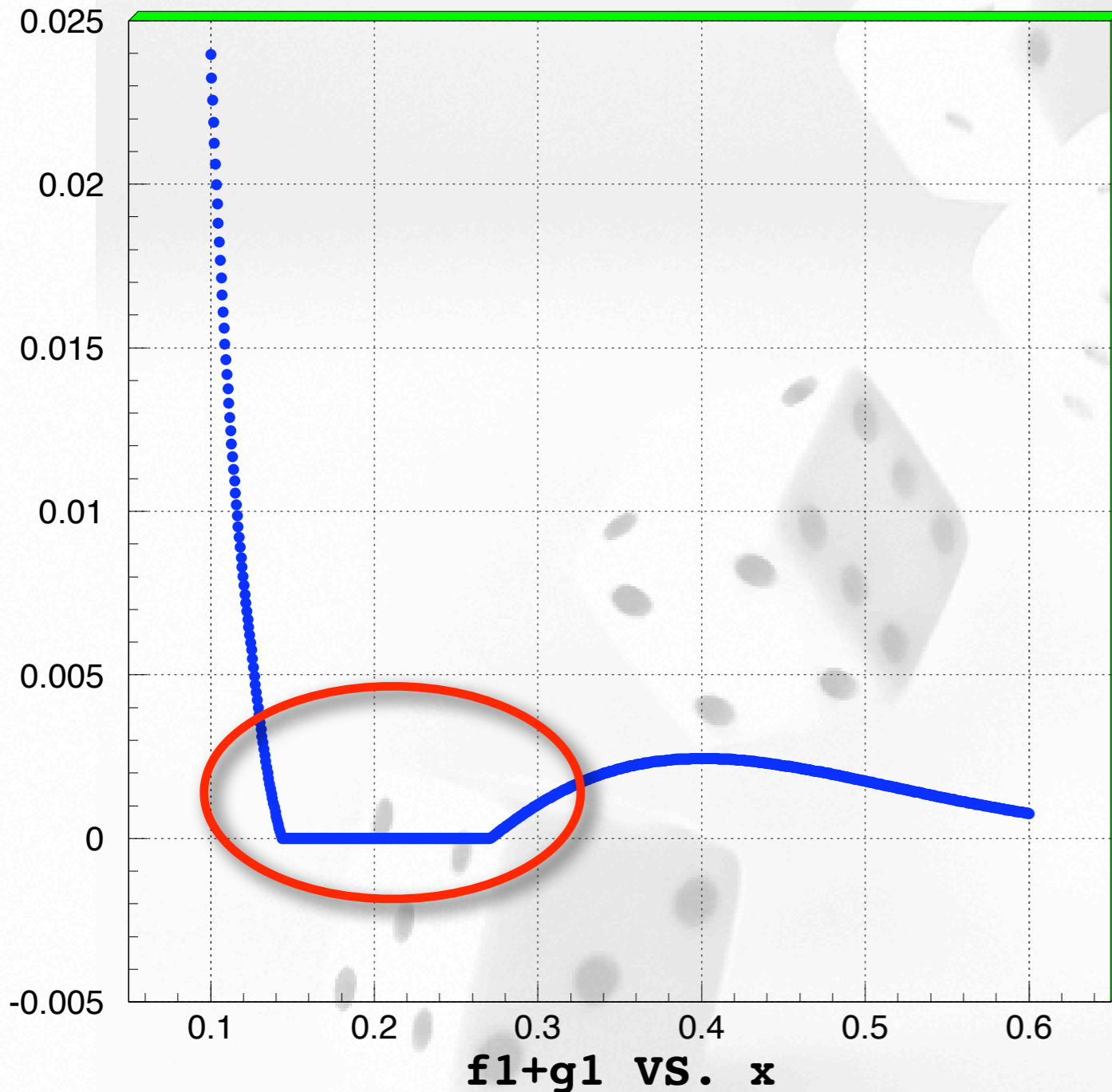
==== positivity violation:

s quarks \Rightarrow

$$\text{iquark} = 3: \text{lhs} = 24.5845945 > \text{rhs} = 0.5$$

- affected mainly (and strongly) *strange*-quark positivity limit

The Strange(!) Sea



- $f_1(x) + g_1(x)$ for strange quarks
- $LST(15) = 118$; 'standard' scenario, leading order GRSV
- $Q^2=1$
- Soffer bound:
 $|h_1(x)| < 0.5\{f_1(x)+g_1(x)\}$
can't be fulfilled for nonzero h_1

Sivers & Transversity Fits

by Anselmino et al.

$g_1=0$

$g_1 \neq 0$

=====
Check positivity limit for Transversity:

$$\text{iquark} = -3: \text{lhs} = 0. < \text{rhs} = 0.5$$

$$\text{iquark} = -2: \text{lhs} = 0. < \text{rhs} = 0.5$$

$$\text{iquark} = -1: \text{lhs} = 0. < \text{rhs} = 0.5$$

$$\text{iquark} = 1: \text{lhs} = 0.221290307 < \text{rhs} = 0.5$$

$$\text{iquark} = 2: \text{lhs} = 0.356384362 < \text{rhs} = 0.5$$

$$\text{iquark} = 3: \text{lhs} = 0. < \text{rhs} = 0.5$$

=====
Check positivity limit for T-odd DFs:

$$\text{iquark} = -3: \text{lhs} = 0.38756798 < \text{rhs} = 0.38756827$$

$$\text{iquark} = -2: \text{lhs} = 0.01550272 < \text{rhs} = 0.38756827$$

$$\text{iquark} = -1: \text{lhs} = 0.15502719 < \text{rhs} = 0.38756827$$

=====
positivity violation!

$$\text{iquark} = 1: \text{lhs} = 0.460370198 > \text{rhs} = 0.38756827$$

$$\text{iquark} = 2: \text{lhs} = 0.250900224 < \text{rhs} = 0.38756827$$

$$\text{iquark} = 3: \text{lhs} = 0.093016313 < \text{rhs} = 0.38756827$$

=====
Check positivity limit for Transversity:

$$\text{iquark} = -3: \text{lhs} = 0. < \text{rhs} = 0.5$$

$$\text{iquark} = -2: \text{lhs} = 0. < \text{rhs} = 0.5$$

$$\text{iquark} = -1: \text{lhs} = 0. < \text{rhs} = 0.5$$

$$\text{iquark} = 1: \text{lhs} = 0.309999922 < \text{rhs} = 0.5$$

$$\text{iquark} = 2: \text{lhs} = 0.239999932 < \text{rhs} = 0.5$$

$$\text{iquark} = 3: \text{lhs} = 0. < \text{rhs} = 0.5$$

=====
Check positivity limit for T-odd DFs:

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$$\text{iquark} = -1: \text{lhs} = 0.15502719 < \text{rhs} = 0.38756827$$

=====
positivity violation!

$$\text{iquark} = 1: \text{lhs} = 0.58568429 > \text{rhs} = 0.38756827$$

$$\text{iquark} = 2: \text{lhs} = 0.21341756 < \text{rhs} = 0.38756827$$

$$\text{iquark} = 3: \text{lhs} = 0.09301631 < \text{rhs} = 0.38756827$$

- Sivers function rather close to positivity limit for anti-s
- Sivers function for d quarks 20% too large

Sivers & Transversity Fits

by Anselmino et al.

$g_1=0$

$g_2=0$

=====
Check positivity limit for Transversity:

iquark= -3: lhs= 0. < rhs= 0.5
iquark= -2: lhs= 0. < rhs= 0.5
iquark= -1: lhs= 0. < rhs= 0.5
iquark= 1: lhs= 0.221290307 < rhs= 0.5
iquark= 2: lhs= 0.356384362 < rhs= 0.5
iquark= 3: lhs= 0. < rhs= 0.5

=====
Check positivity limit for Transversity:

iquark= -3: lhs= 0. < rhs= 0.5
iquark= -2: lhs= 0. < rhs= 0.5
iquark= -1: lhs= 0. < rhs= 0.5
iquark= 1: lhs= 0.9922 < rhs= 0.5
iquark= 2: lhs= 0.99999932 < rhs= 0.5
iquark= 3: lhs= 0. < rhs= 0.5

=====
Check positivity limit for T-odd DFs:

iquark= -3: lhs= 0.38756798 < rhs= 0.38756827
iquark= -2: lhs= 0.01550272 < rhs= 0.38756827
iquark= -1: lhs= 0.15502719 < rhs= 0.38756827

=====
Check positivity limit for T-odd DFs:

iquark= -3: lhs= 0.38756798 < rhs= 0.38756827
iquark= -2: lhs= 0.01550272 < rhs= 0.38756827
iquark= -1: lhs= 0.15502719 < rhs= 0.38756827

=====
positivity violation!

iquark= 1: lhs= 0.41437171 > rhs= 0.38756827
iquark= 2: lhs= 0.21341756 < rhs= 0.38756827
iquark= 3: lhs= 0.09301631 < rhs= 0.38756827

=====
positivity violation!

iquark= 1: lhs= 0.58568429 > rhs= 0.38756827
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iquark= 3: lhs= 0.09301631 < rhs= 0.38756827

Non-Trivial Role of other TMDs!?

- Sivers function rather close to positivity limit for anti-s
- Sivers function for d quarks 20% too large

A hand in a white sleeve is shown holding a white die with black pips. Several other white dice with black pips are scattered on a light-colored surface. The scene is brightly lit, creating soft shadows.

**(finally) Dessert:
the leaf of mint on the cake**

Beyond Collins and Sivers

- **certainly would like to model all TMDs, e.g., Boer-Mulders function, to get full cross section**
- **even go to subleading-twist, e.g., Cahn effect**
- **first attempts to implement those have been made**
- **leading twist -- “straight forward” (just a few more convolution integrals)**
- **subleading twist -- “hmmmm...”**
 - **biggest problem there: positivity limits don’t exist on DF and FF level**

Current **ToDo** and **Done** List

- **finish leading-twist implementation**
- **implement newest results from fits and model calculations on transversity, Sivers & Collins, ...**
- **add radiative corrections (e.g., RADGEN)**
- **make it portable to other experiments**

(since Ferrara meeting:)

- ✓ **Charged kaons and protons**
- ✓ **DSS FFs and published fits by Anselmino et al.**
- ✓ **neutron target**
 - ➔ **comparison of HERMES and COMPASS data possible (but not yet done)**

A hand in a white glove is shown dropping several white dice. The dice are in various stages of falling, with some already on the surface and others still in the air. The background is a plain, light-colored surface.

Epilogue

- **Acceptance plays crucial part in analysis of multi-particle final states**
- **Acceptance studies and/or corrections (e.g., unfolding) require realistic Monte Carlo simulation of underlying physics**
- **gmc_trans provides Collins and Sivers amplitudes for pions and kaons based on Gaussian Ansatz for TMDs**
- **Positivity limits \Rightarrow smaller Gaussian width for TMDs**
- **Comparison of unpolarized hadron yield suggests z-dependent average fragmentation K_T**
- **Don't fully trust GRSV strange polarization at low Q^2 !**
- **Non-trivial role of unmeasured TMDs in fulfilling positivity of Sivers distribution**