



University
of Glasgow

The 3D Structure of the Proton

Ralf Kaiser, IAEA and University of Glasgow



- From Rutherford Scattering to Deeply Virtual Compton Scattering (DVCS)
- Generalised Parton Distributions and the 3D Structure of the Proton
- Recent DVCS Results from HERMES
- Future Experiments in DVCS

Imagine you have a sand filled sack, and a cannon ball hidden somewhere inside. One way of figuring out where the cannon ball is, is shooting at it with a shotgun. You get a kind of picture and some of the bullets scatter back.



One (important) difference: Electron scattering off a proton, or alpha particles off a nucleus, is more like shooting individual bullets at lots of different (albeit supposedly identical) sacks. A combination of imaging and destructive testing....

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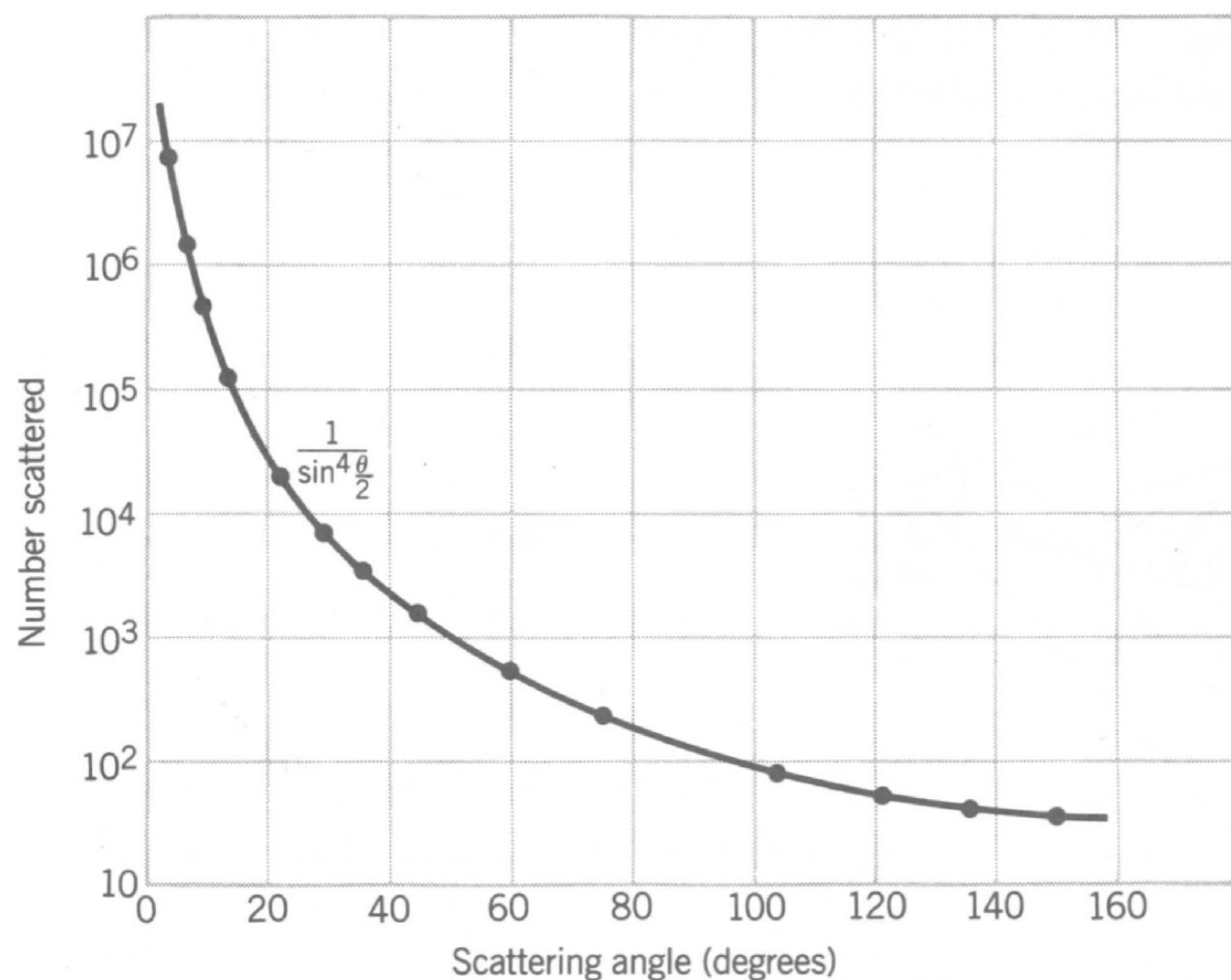
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Rutherford Experiment: Nuclear Atom



Classical as well as quantum mechanical result under the assumption that

- target recoil can be neglected
- spin effects can be neglected
- the target is **point-like**

$$\left(\frac{d\sigma}{d\Omega} \right)_{\text{Rutherford}} = \frac{(Ze^2)^2}{(4\pi\epsilon_0) \cdot 4E^2 \sin^4 \frac{\theta}{2}}$$



$$\frac{d\sigma}{d \cos \theta} = \left(\frac{d\sigma}{d \cos \theta} \right)_R \left[\frac{(1 + \cos \theta) / 2}{1 + \frac{(1 - \cos \theta) KE}{Mc^2}} \right]$$

Electron Rutherford formula

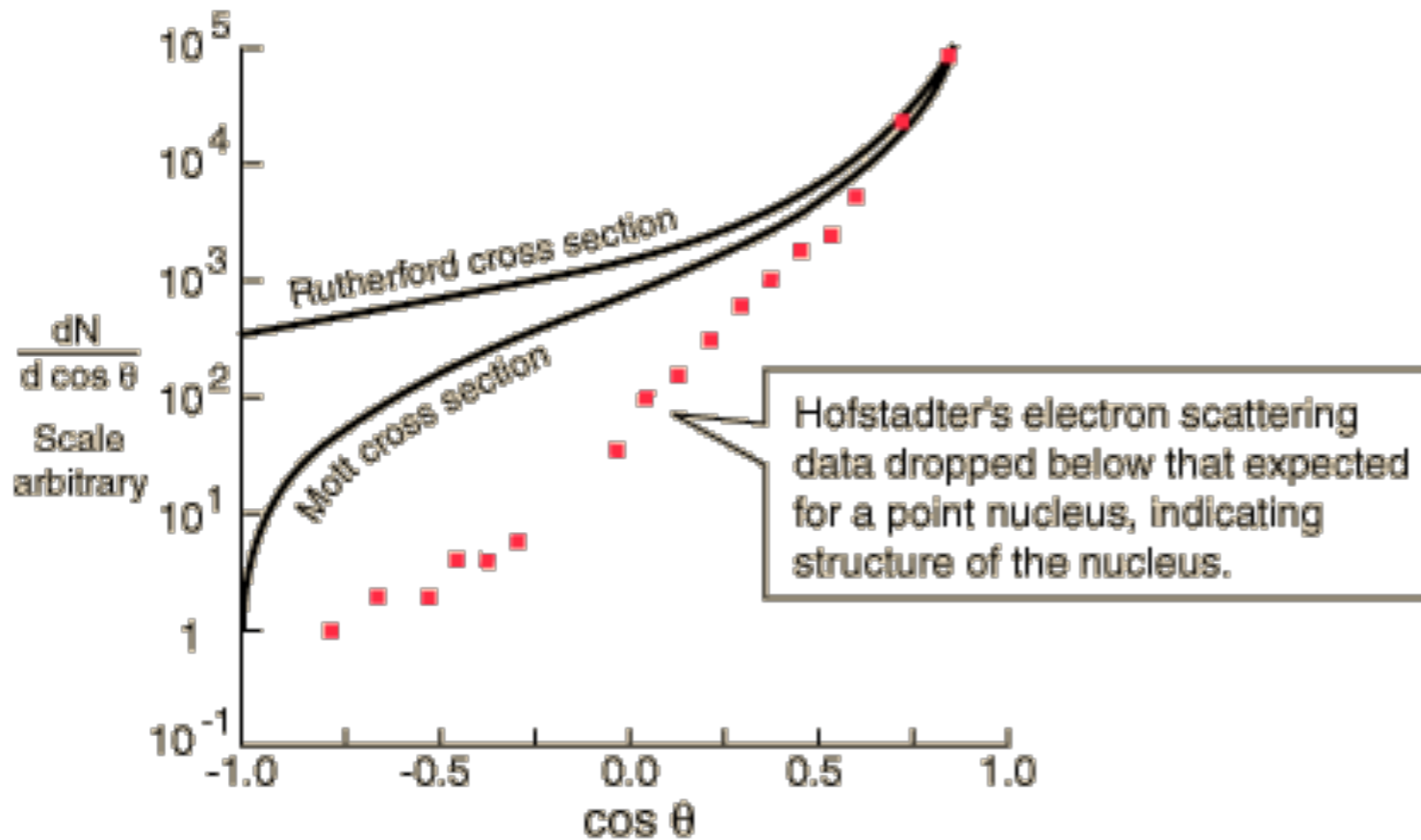
Electron magnetic moment effect

Nuclear recoil effect

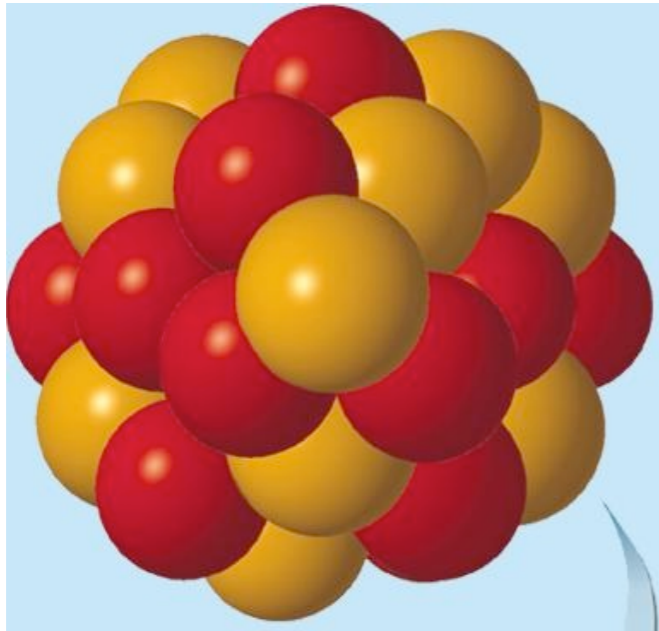
Taking target recoil and spin effects into account leads to the **Mott cross section**.

Any further deviation is therefore an indication that the **target is not point-like**.

This is the basic method to show that something has a **substructure**.



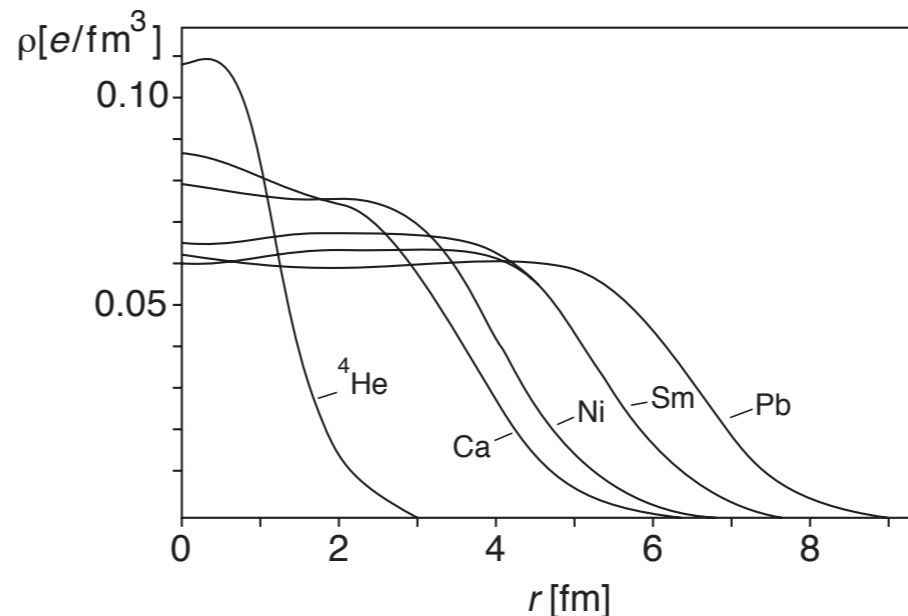
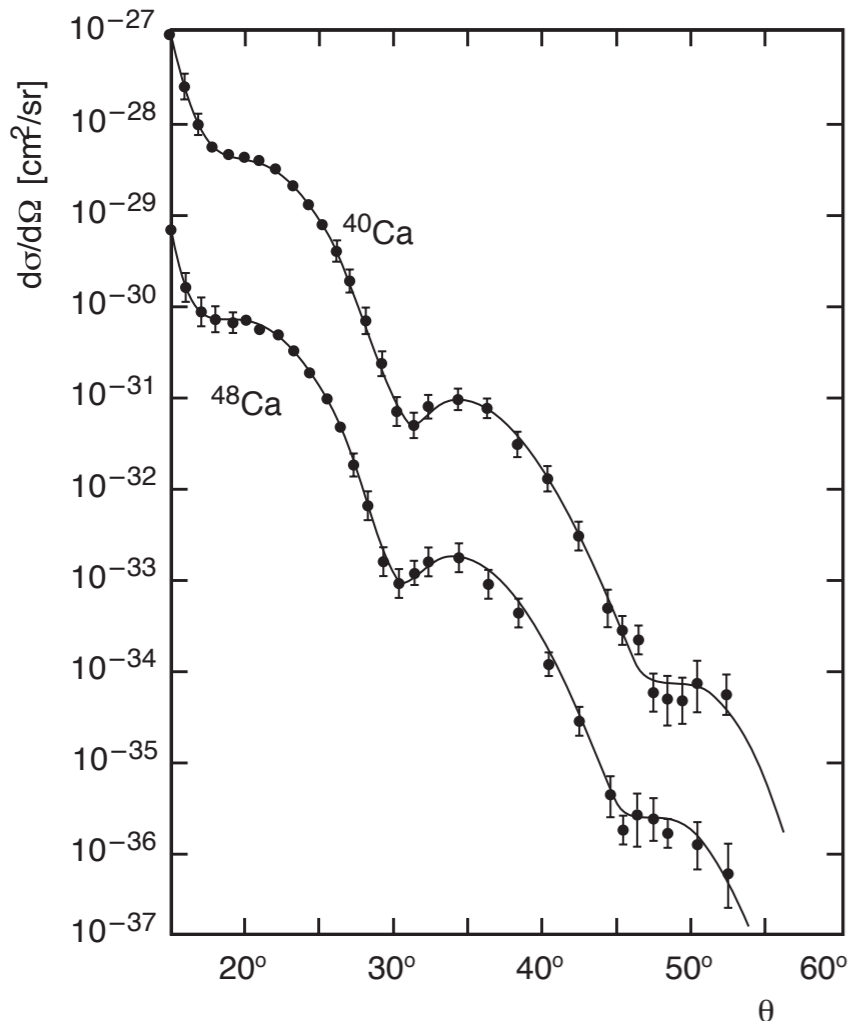
R.Hofstadter et al.,
Phys.Rev.92, 978 (1953)



$$\left(\frac{d\sigma}{d\Omega}\right)_{\text{exp}} = \left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}} \cdot |F(q^2)|^2$$

Nuclear Form Factor: Ratio of measured elastic electron scattering cross section and theoretical cross section for a point-like particle.

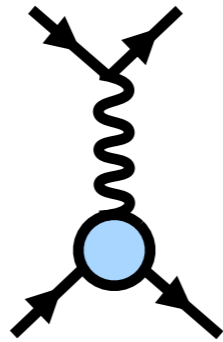
$$f(r) = \frac{1}{(2\pi)^3} \int F(q^2) e^{-iqx/\hbar} d^3q$$



Radial charge distribution from Fourier transformation.

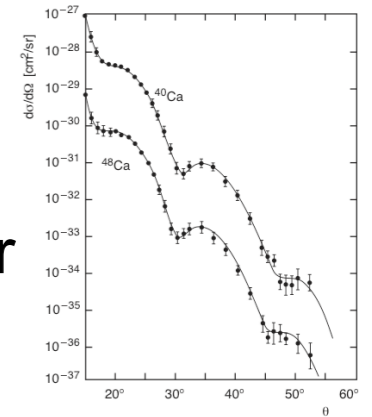


Elastic Scattering off a Nucleus



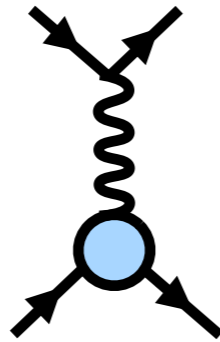
Deviation from Rutherford Scattering (point-like) →

Nuclear Form Factor



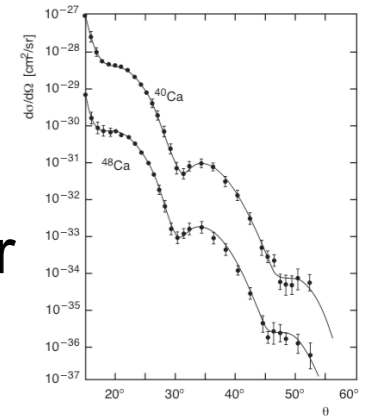


Elastic Scattering off a Nucleus

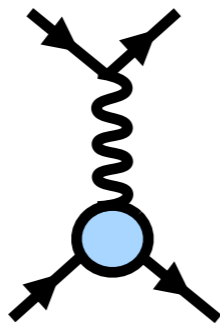


Deviation from Rutherford Scattering (point-like) →

Nuclear Form Factor

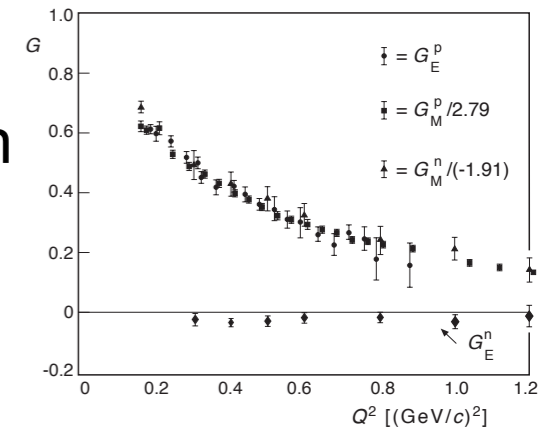


Elastic Scattering off a Nucleon

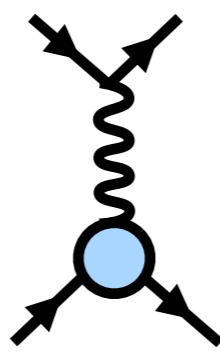


Deviation from Rutherford Scattering (point-like) →

Nucleon Form Factors

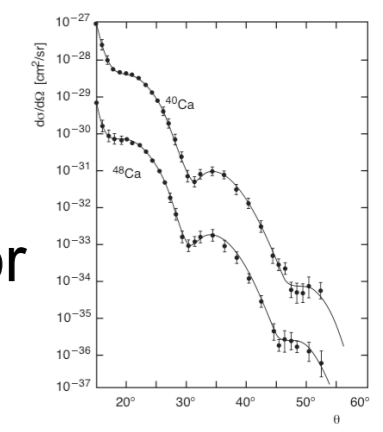


Elastic Scattering off a Nucleus

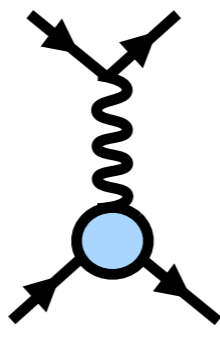


Deviation from Rutherford Scattering (point-like) →

Nuclear Form Factor

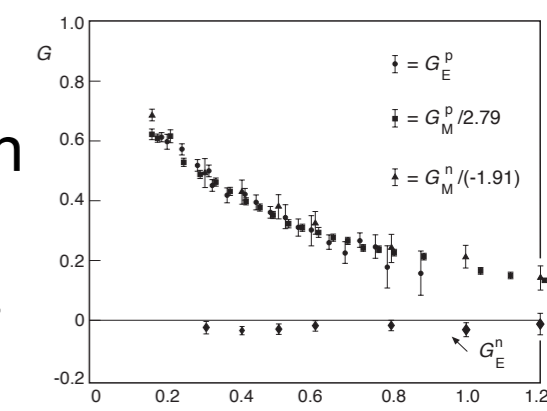


Elastic Scattering off a Nucleon

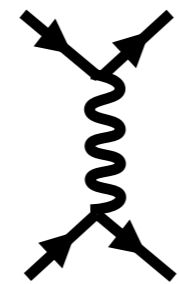


Deviation from Rutherford Scattering (point-like) →

Nucleon Form Factors

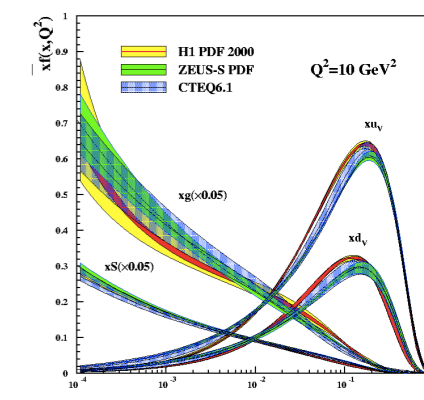


Elastic Scattering off a Quark/Parton i.e. DIS



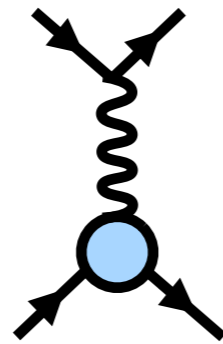
Parameterisation of the Experimental Results →

Parton Distribution Functions



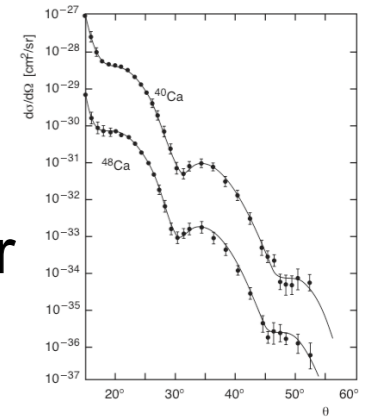
Structure and Rutherford Scattering

Elastic Scattering off a Nucleus

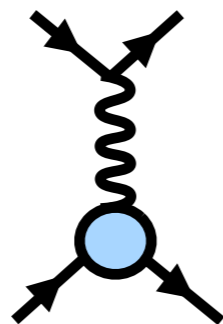


Deviation from Rutherford Scattering (point-like) →

Nuclear Form Factor

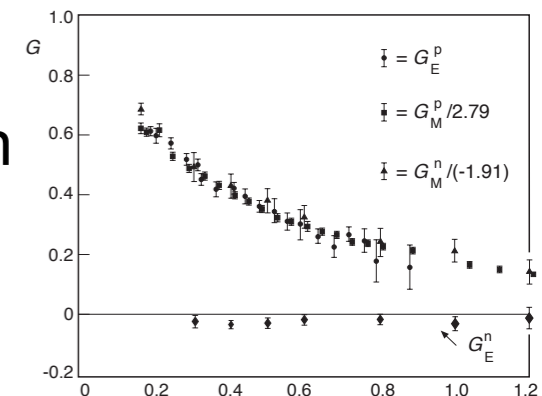


Elastic Scattering off a Nucleon

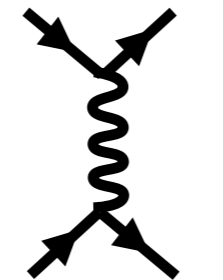


Deviation from Rutherford Scattering (point-like) →

Nucleon Form Factors

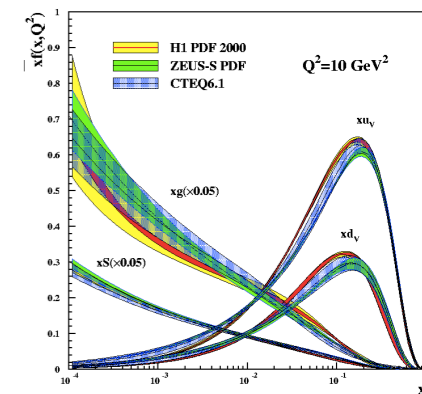


Elastic Scattering off a Quark/Parton i.e. DIS

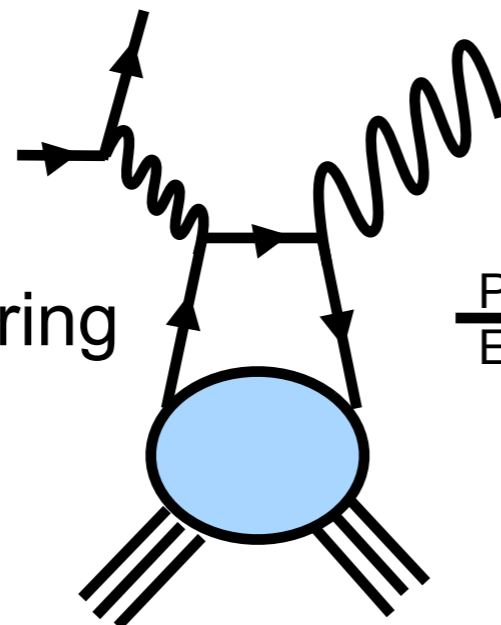


Parameterisation of the Experimental Results →

Parton Distribution Functions

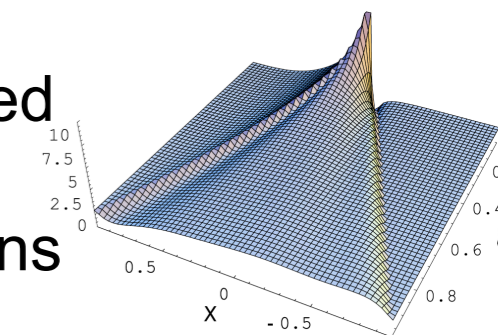


Deeply Virtual Compton Scattering i.e. DVCS



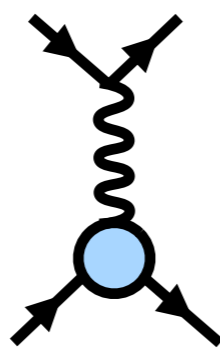
Parameterisation of the Experimental Results →

Generalised Parton Distributions



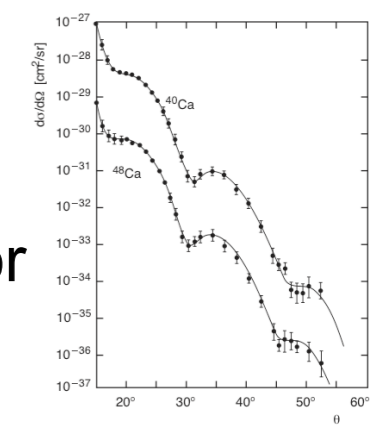
Structure and Rutherford Scattering

Elastic Scattering off a Nucleus

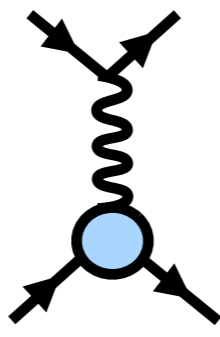


Deviation from Rutherford Scattering (point-like) →

Nuclear Form Factor

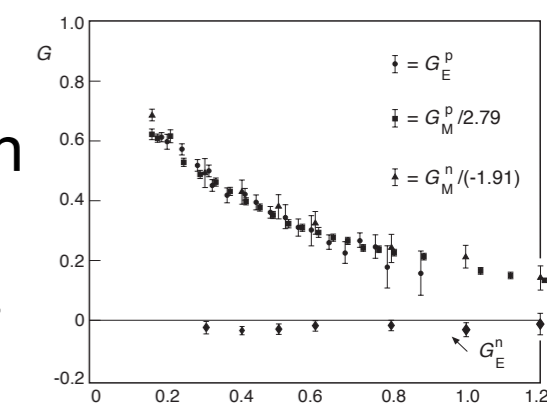


Elastic Scattering off a Nucleon

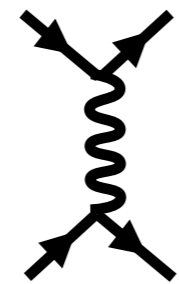


Deviation from Rutherford Scattering (point-like) →

Nucleon Form Factors

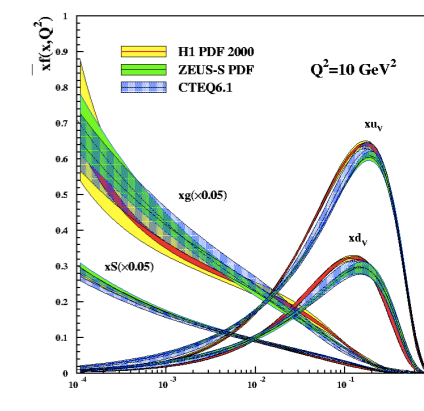


Elastic Scattering off a Quark/Parton i.e. DIS

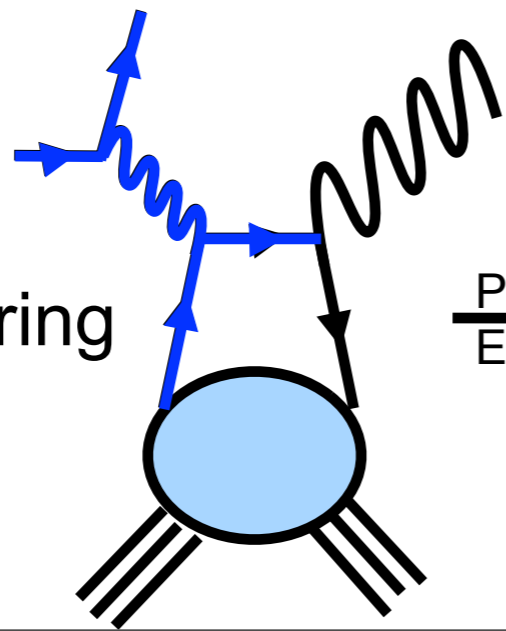


Parameterisation of the Experimental Results →

Parton Distribution Functions

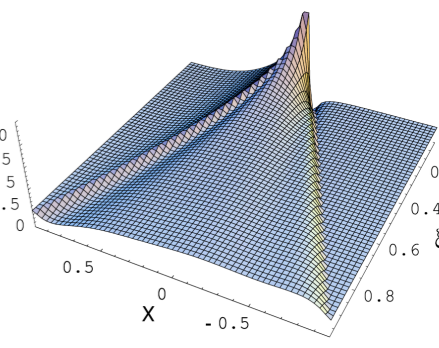


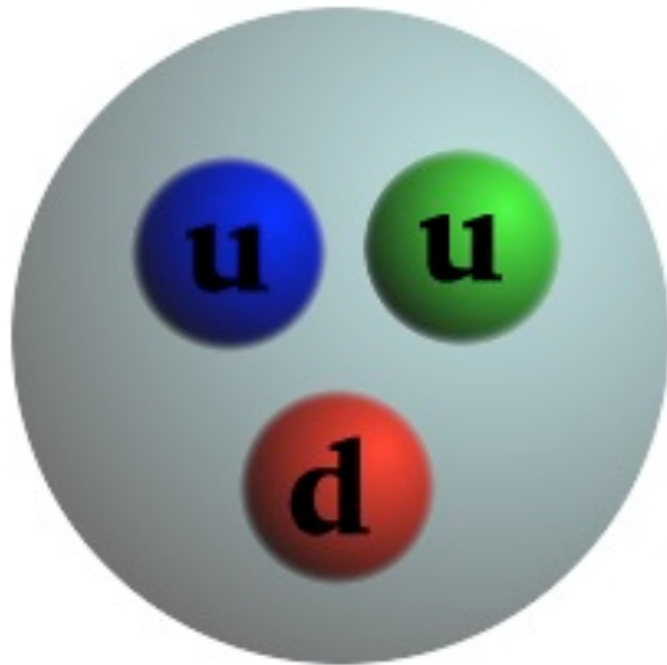
Deeply Virtual Compton Scattering i.e. DVCS



Parameterisation of the Experimental Results →

Generalised Parton Distributions



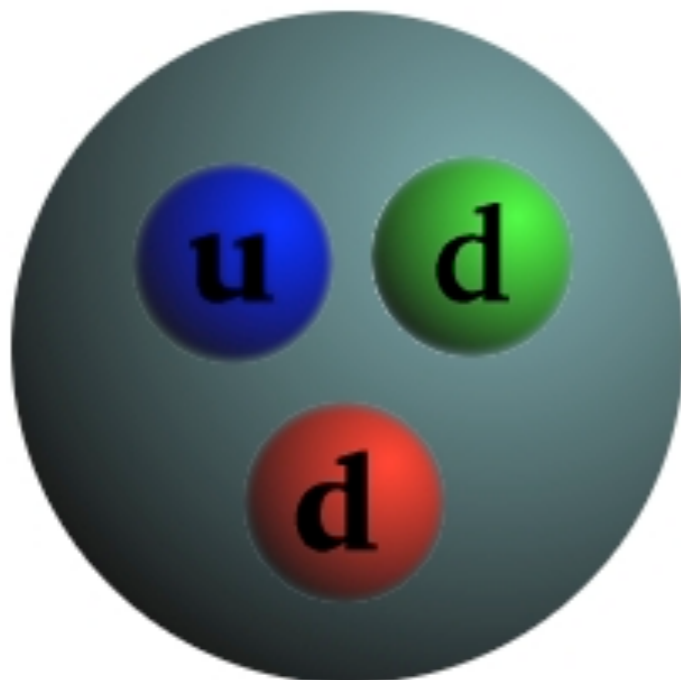


Proton

3 quarks (uud)

$$Q = \frac{2}{3} + \frac{2}{3} - \frac{1}{3} = 1$$

quarks in 3 colors

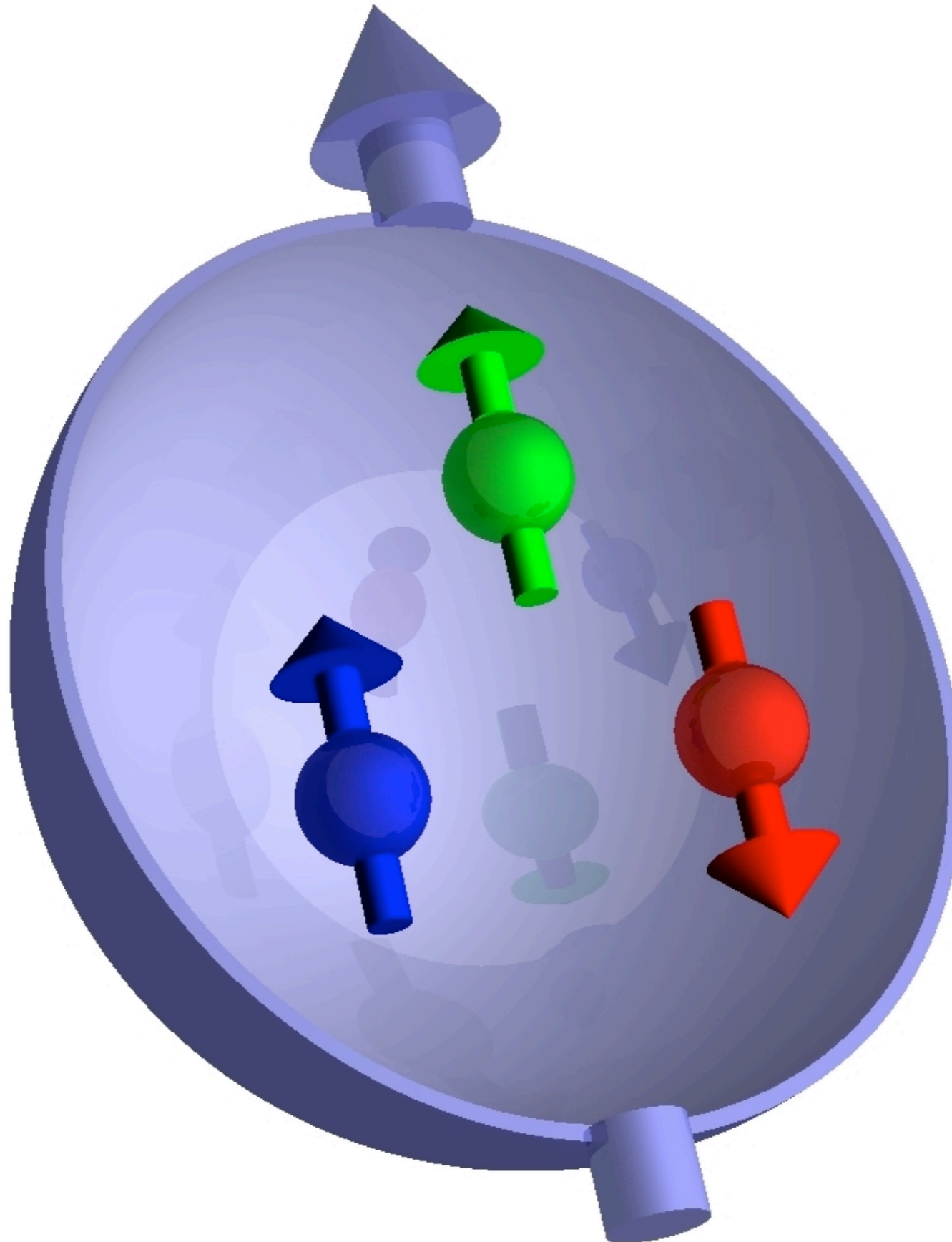


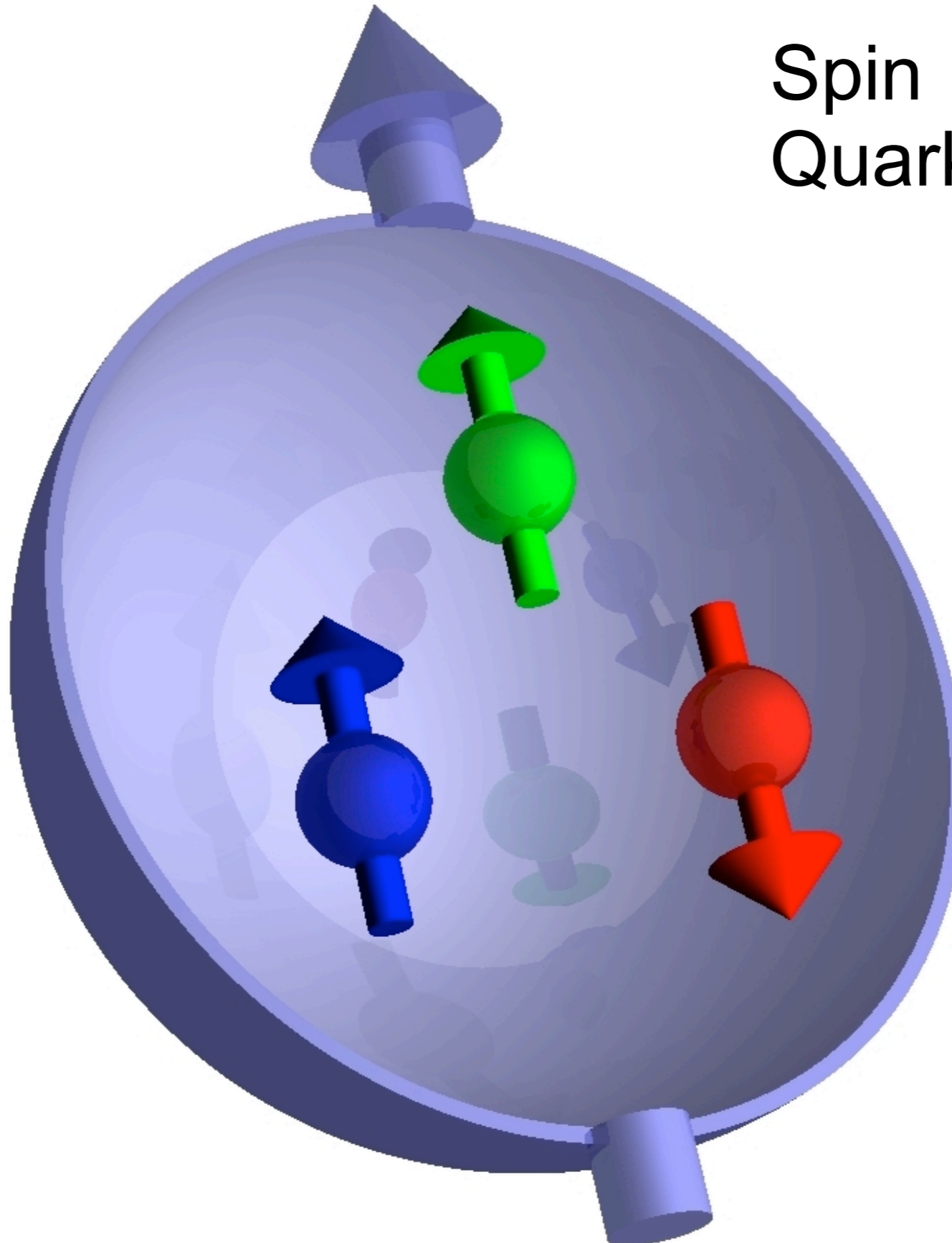
Neutron

3 quarks (udd)

$$Q = \frac{2}{3} - \frac{1}{3} - \frac{1}{3} = 0$$

quarks in 3 colors



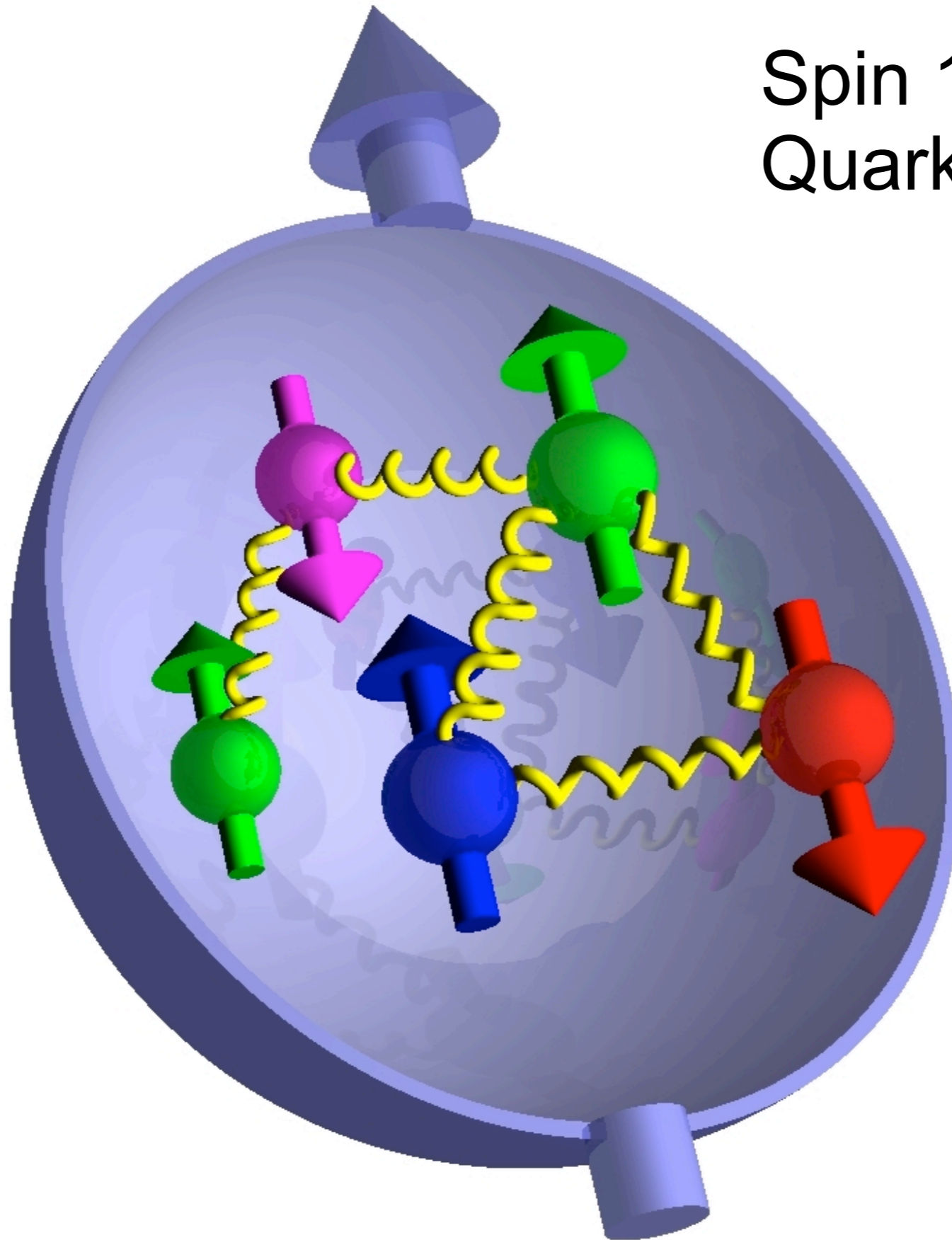


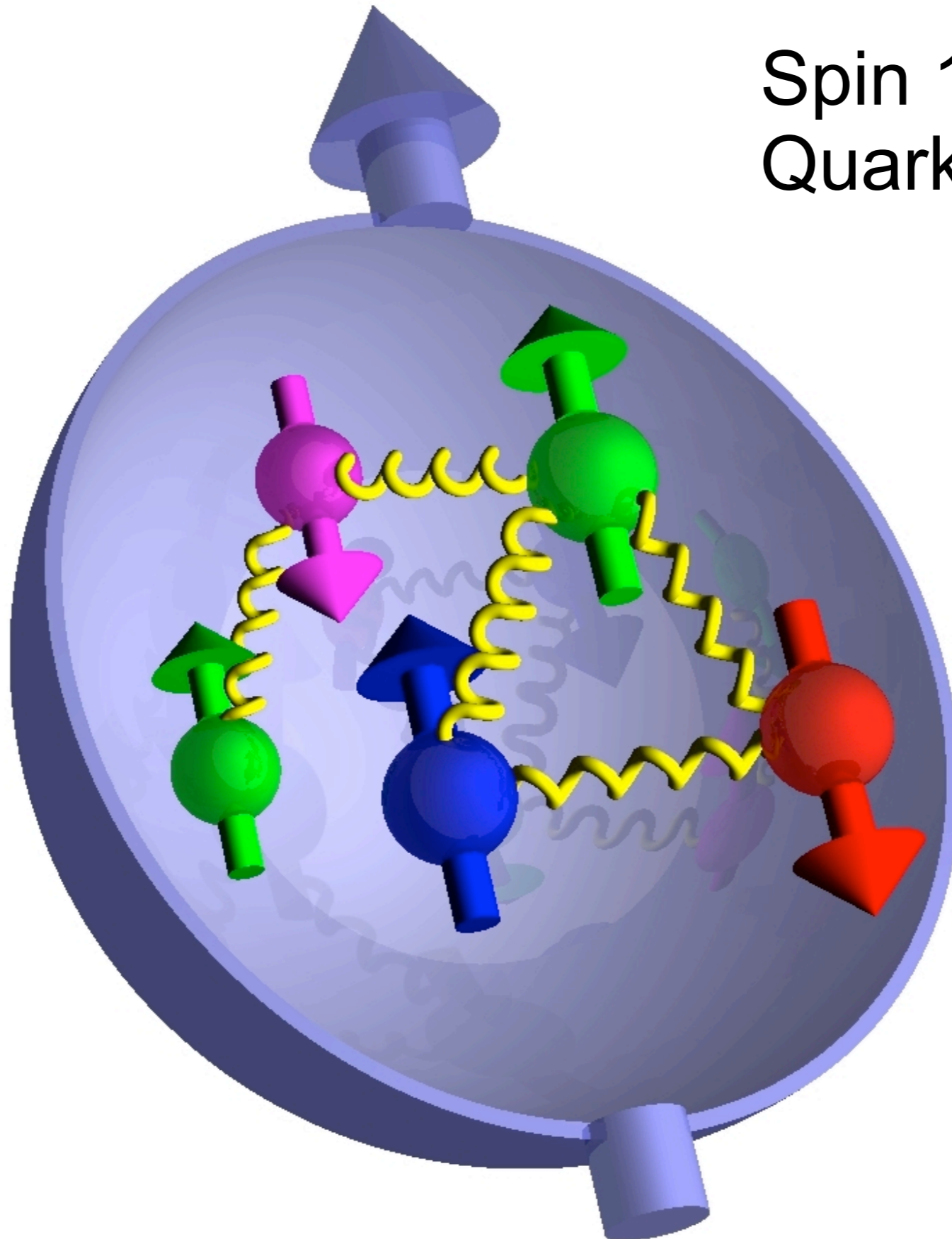
Spin $1/2$

Quarks also Spin $1/2$



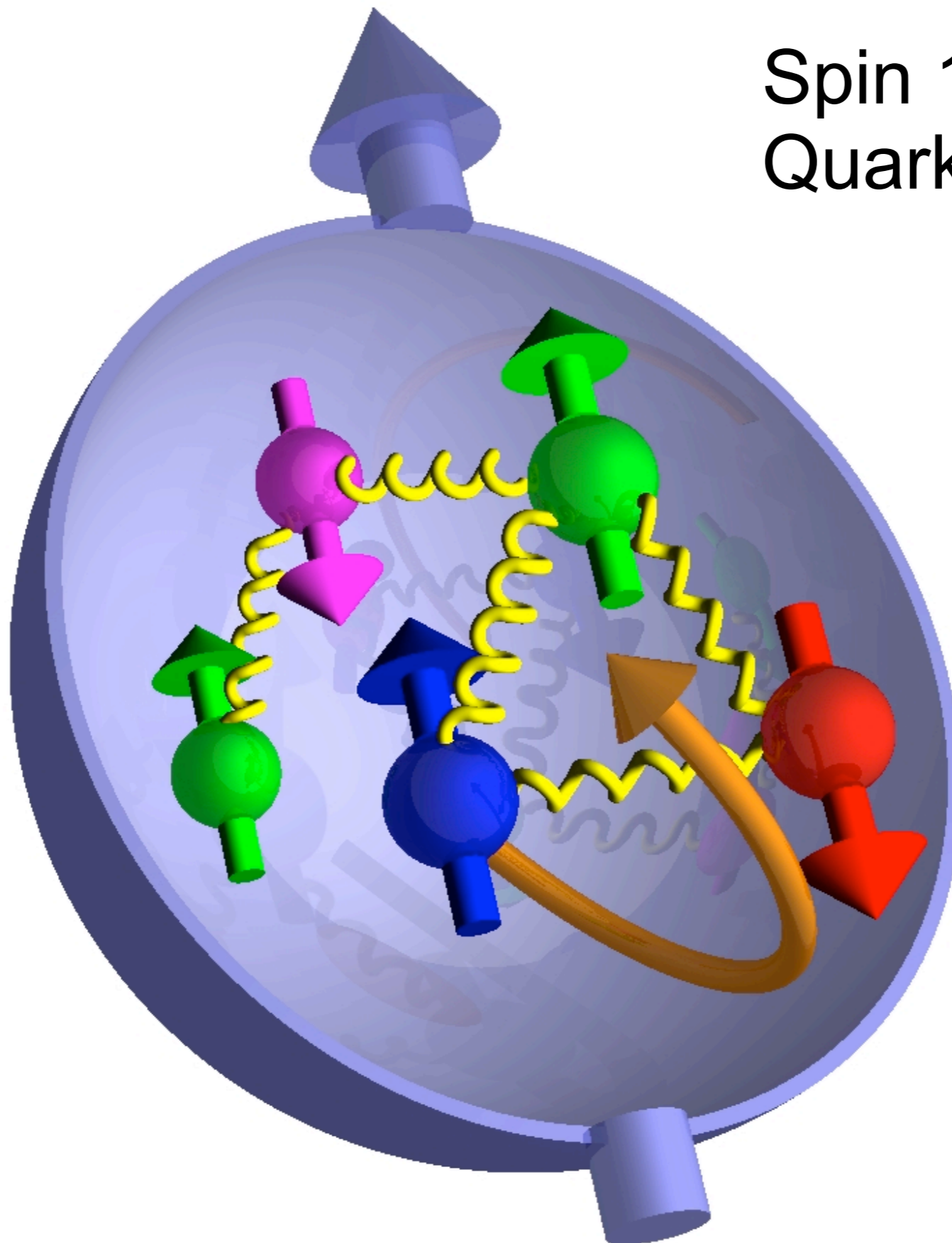
Spin $1/2$
Quarks also Spin $1/2$





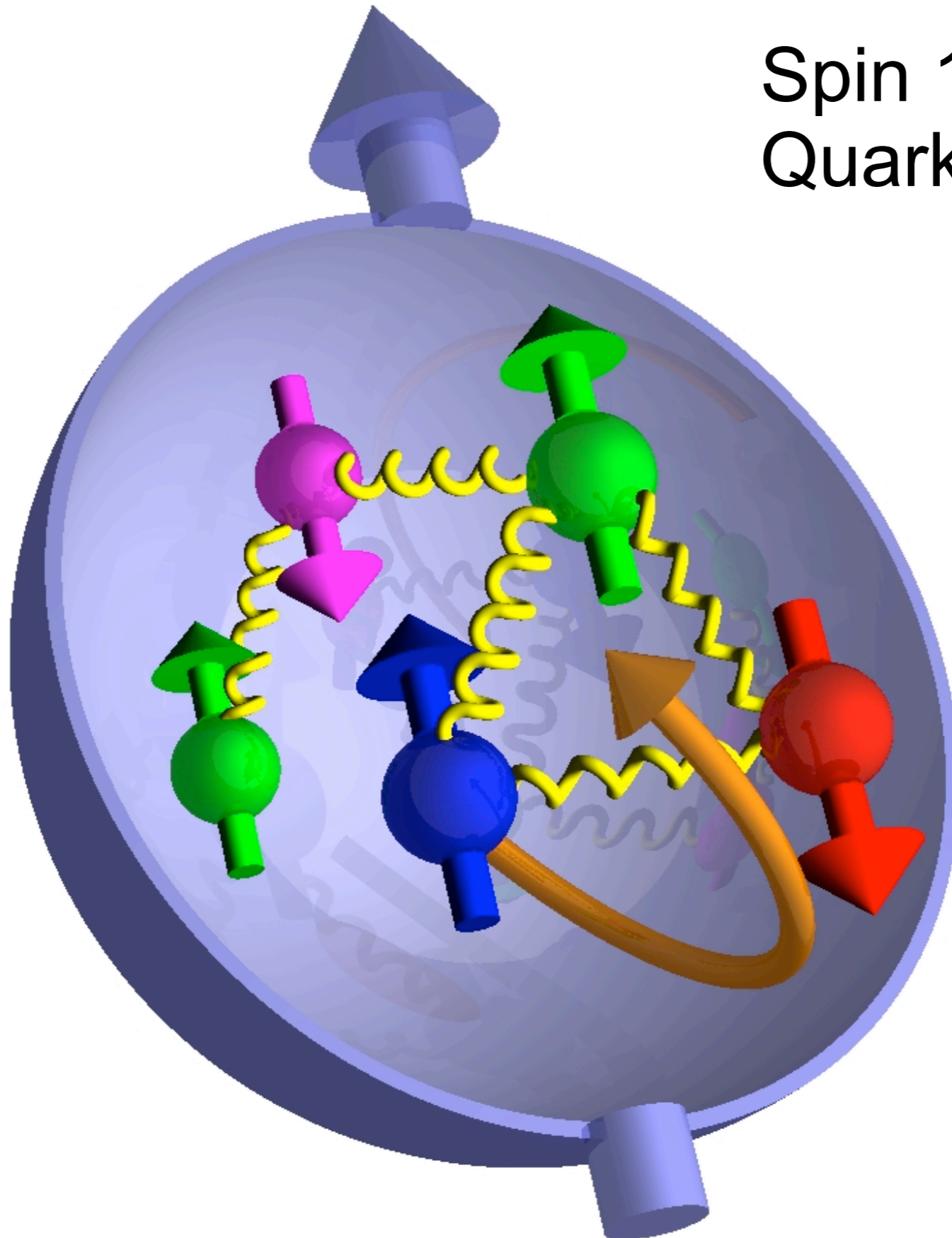
Spin $1/2$
Quarks also Spin $1/2$

Gluons &
Sea Quarks



Spin $1/2$
Quarks also Spin $1/2$

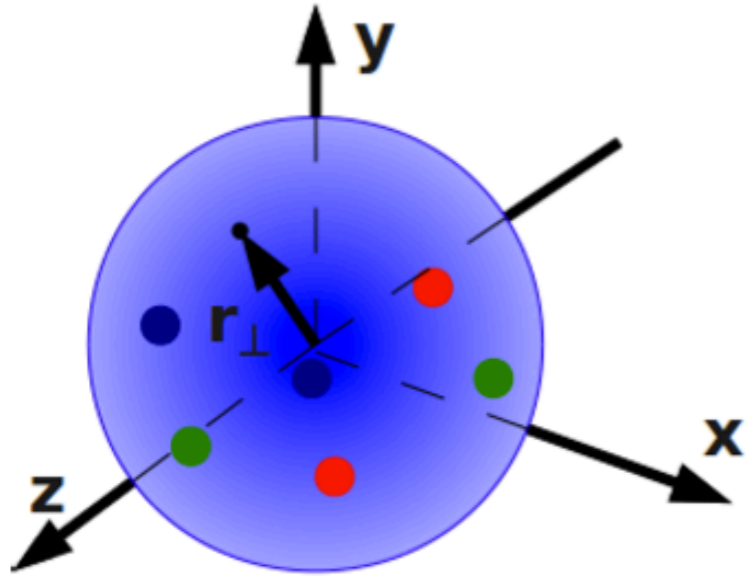
Gluons &
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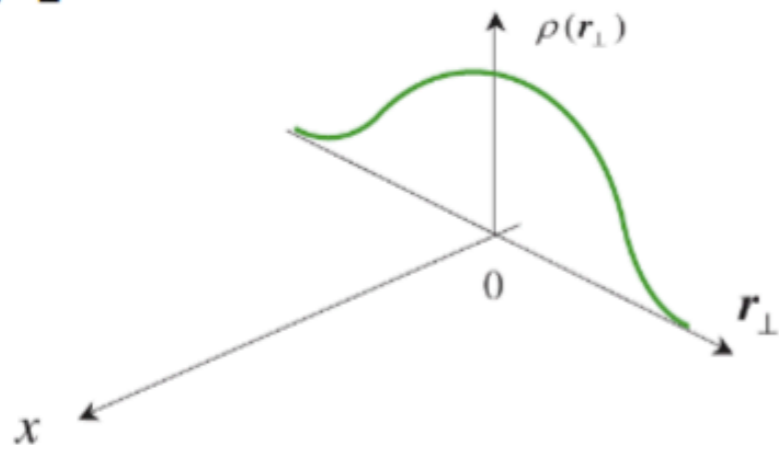
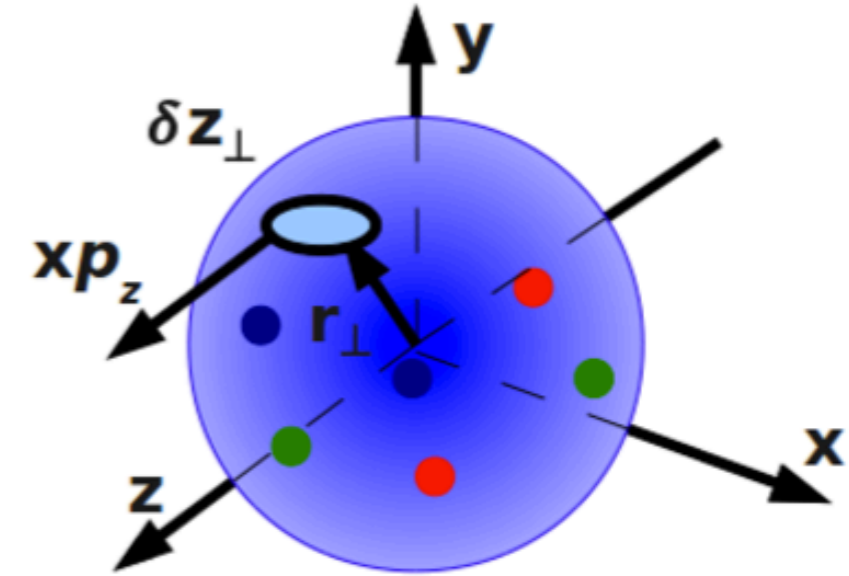
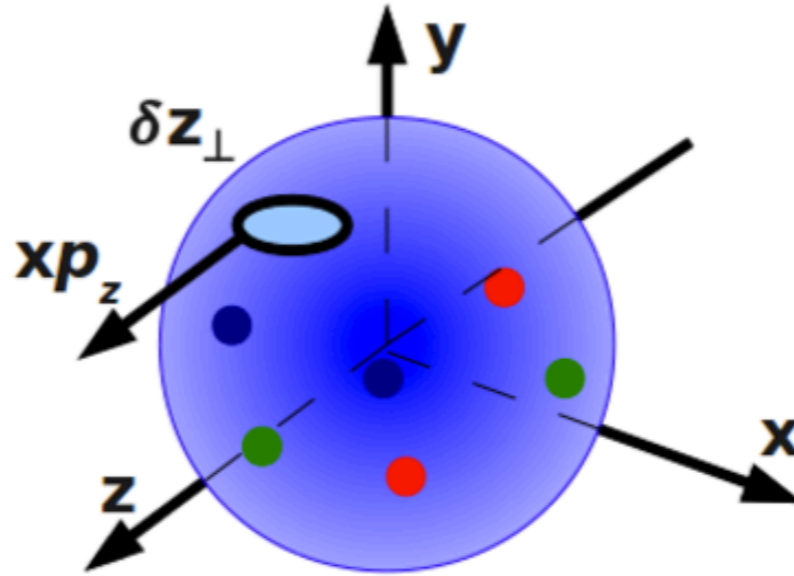
Spin $1/2$
Quarks also Spin $1/2$

Gluons &
Sea Quarks

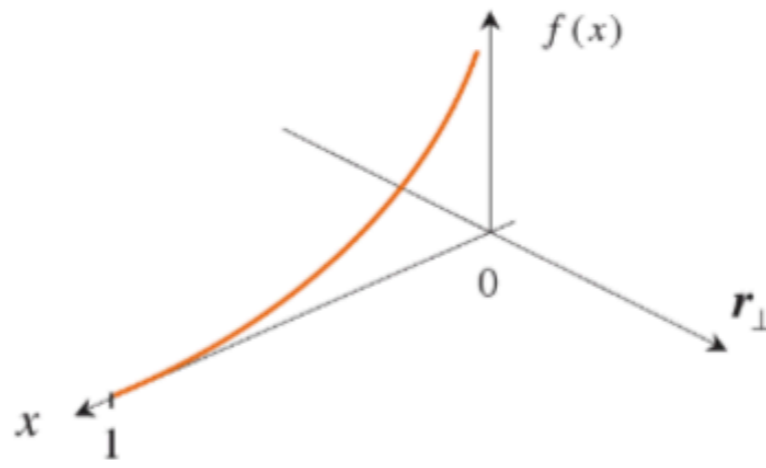
Orbital
Angular
Momentum



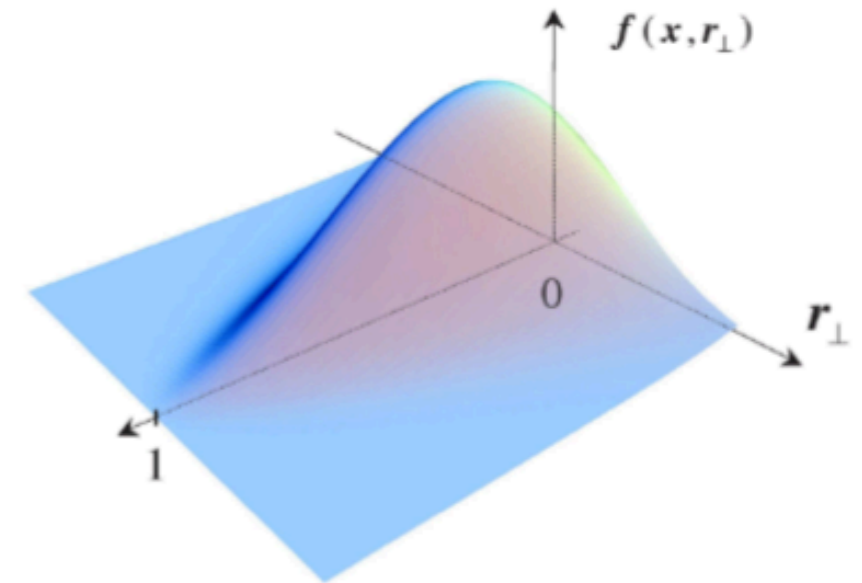
$p_z \rightarrow \infty$



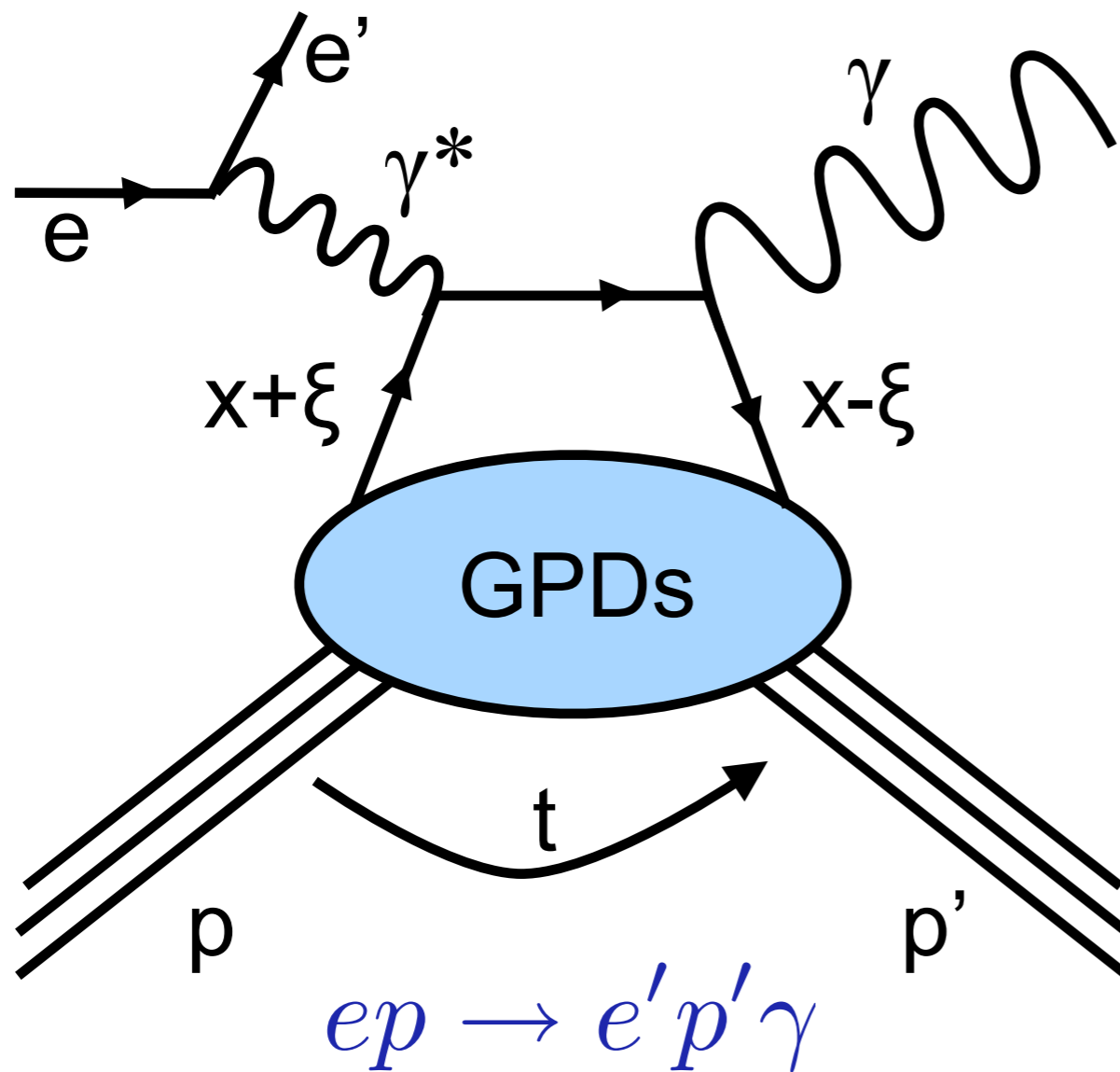
Form Factors (FFs)



Parton Distribution Functions (PDFs)

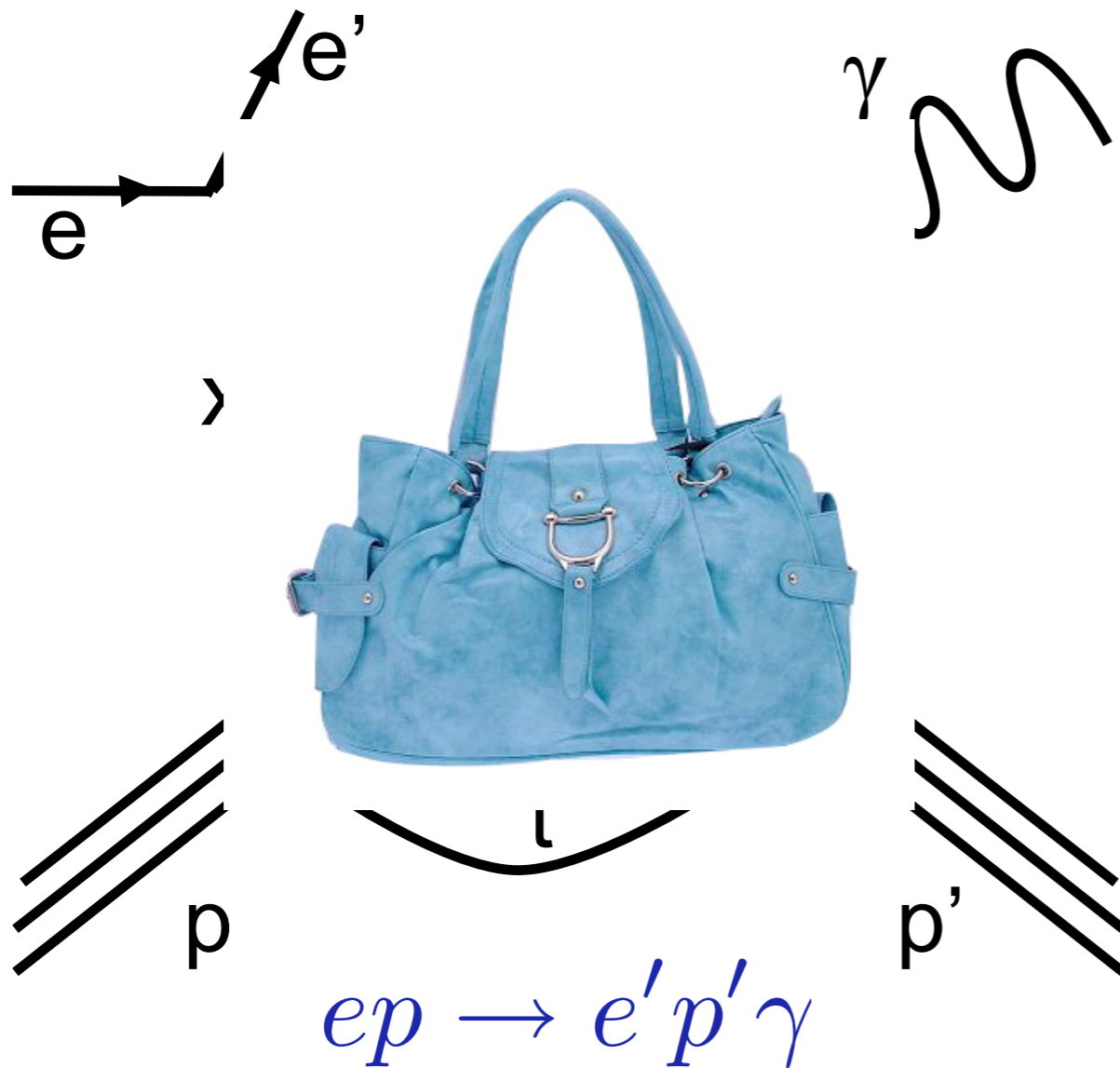


Generalised Parton Distributions (GPDs)



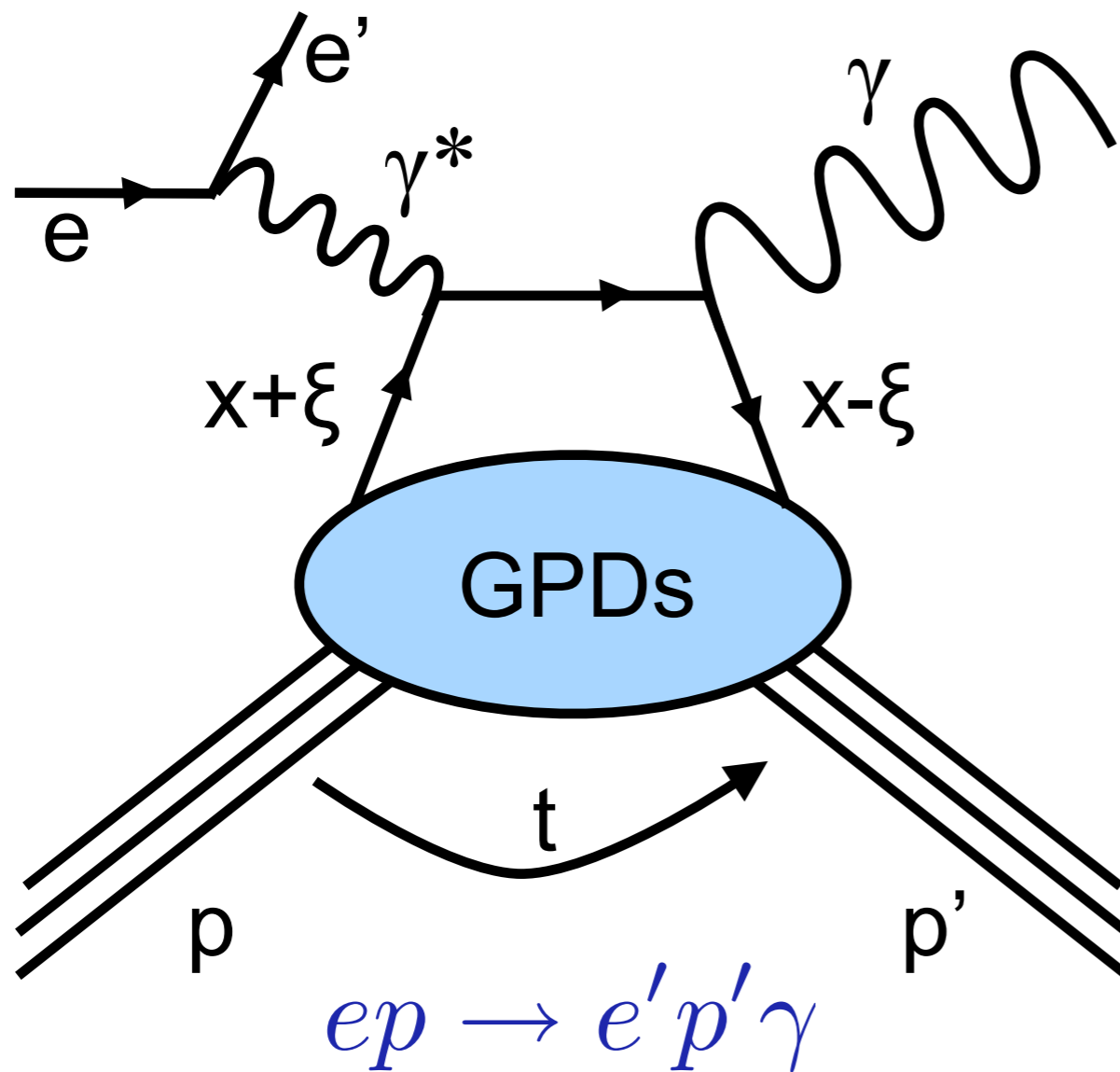
- GPDs are functions of 3 variables: $H_q(x, \xi, t)$ as well as of Q^2 .
- They include PDFs as limiting case:
 $q(x) = H_q(x, 0, 0)$
- Form factors are first moments of GPDs
- 4 quark GPDs:
 $H, \tilde{H}, E, \tilde{E}$

Often the so-called handbag diagram is used to illustrate GPDs. The simplest process to access GPDs is **Deeply Virtual Compton Scattering (DVCS)**, shown in the diagram.



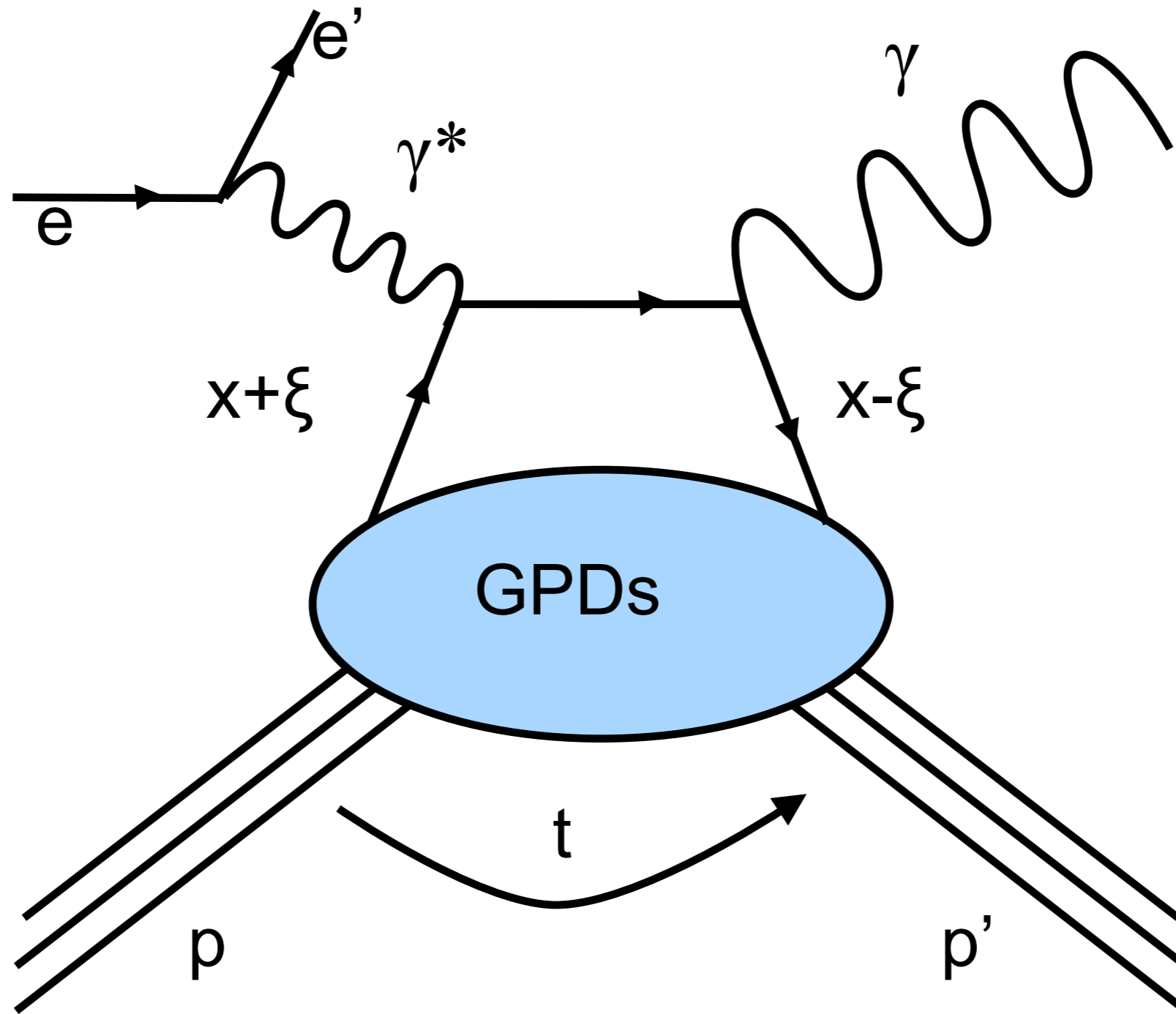
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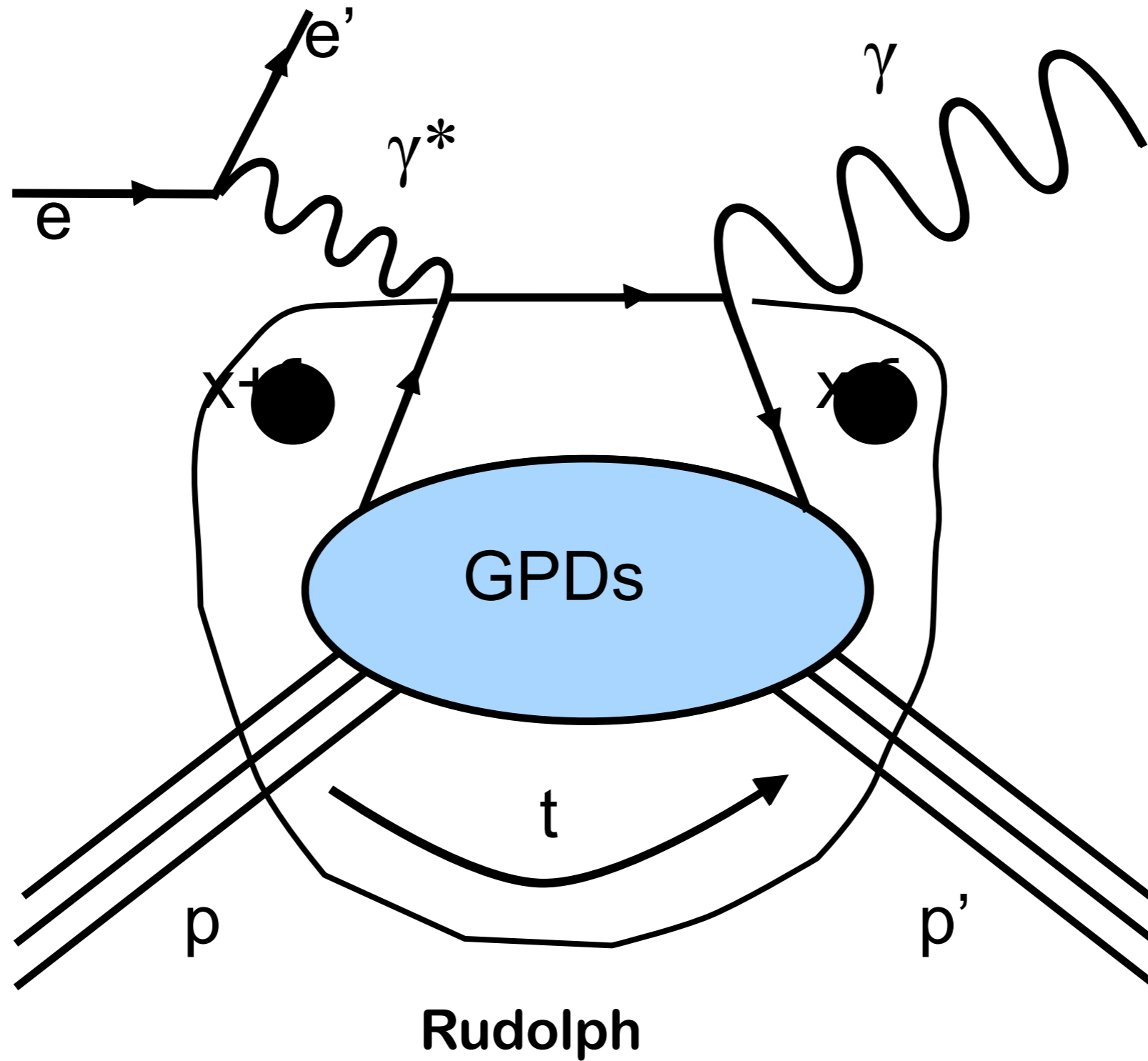
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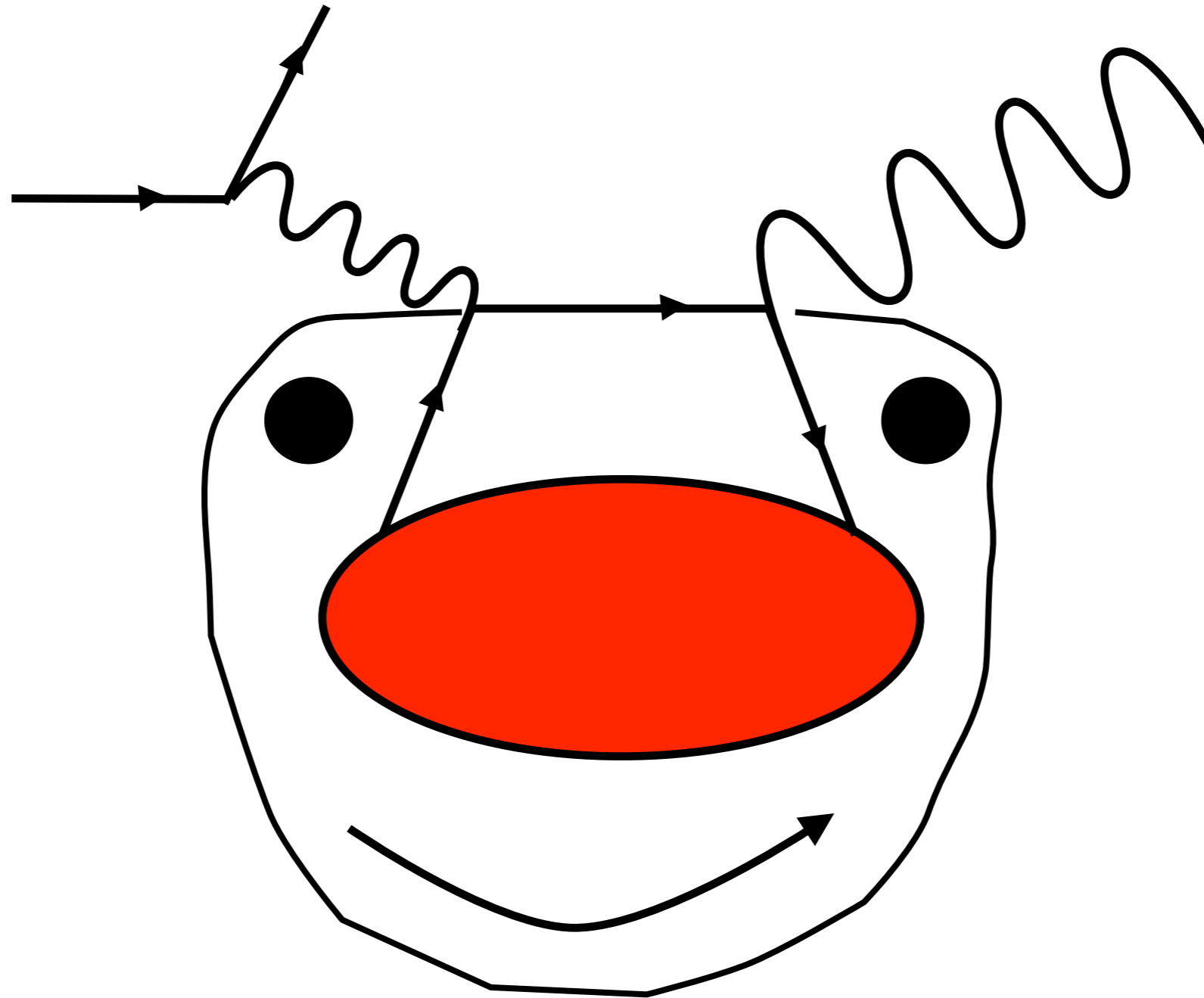


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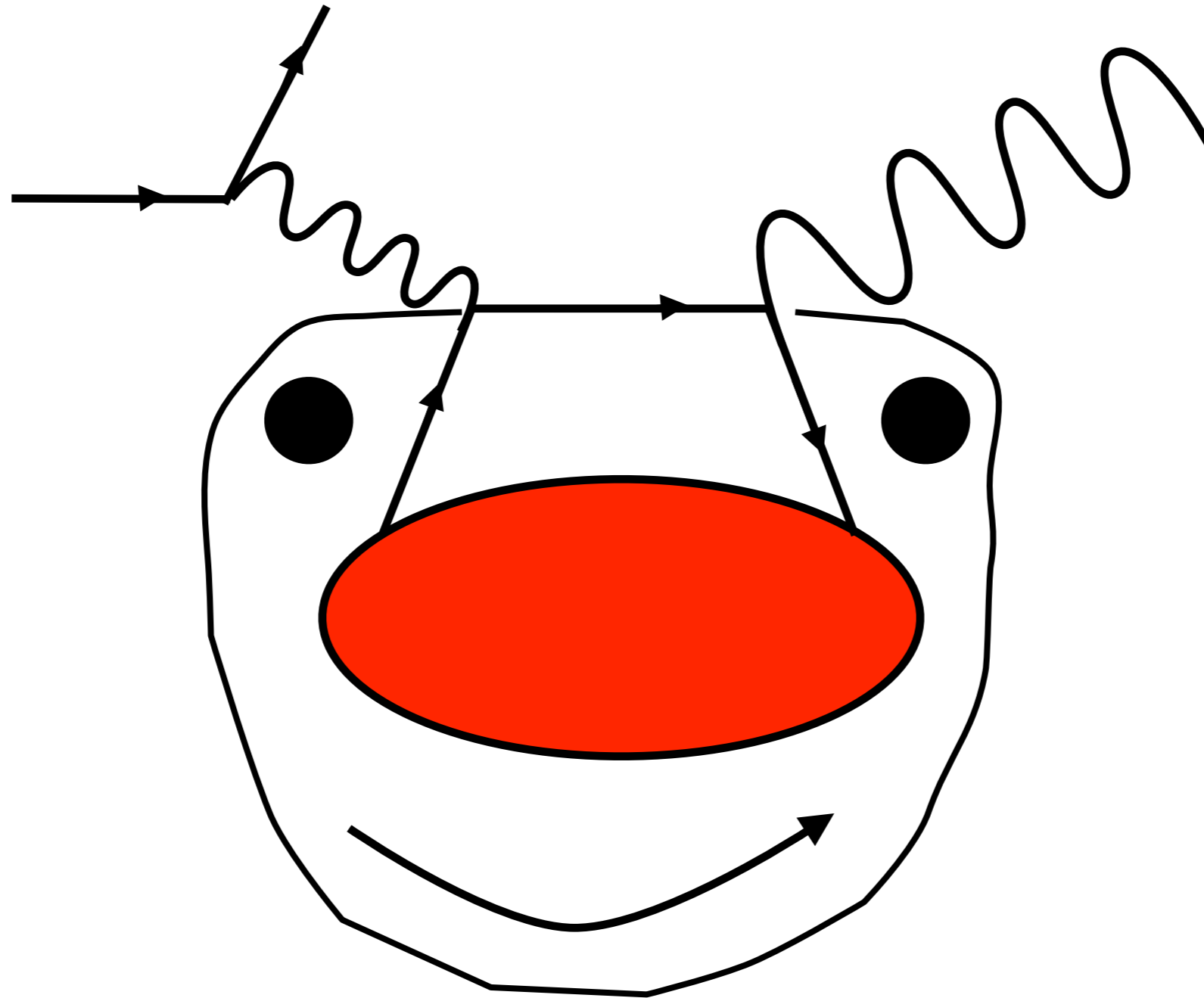
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Rudolph

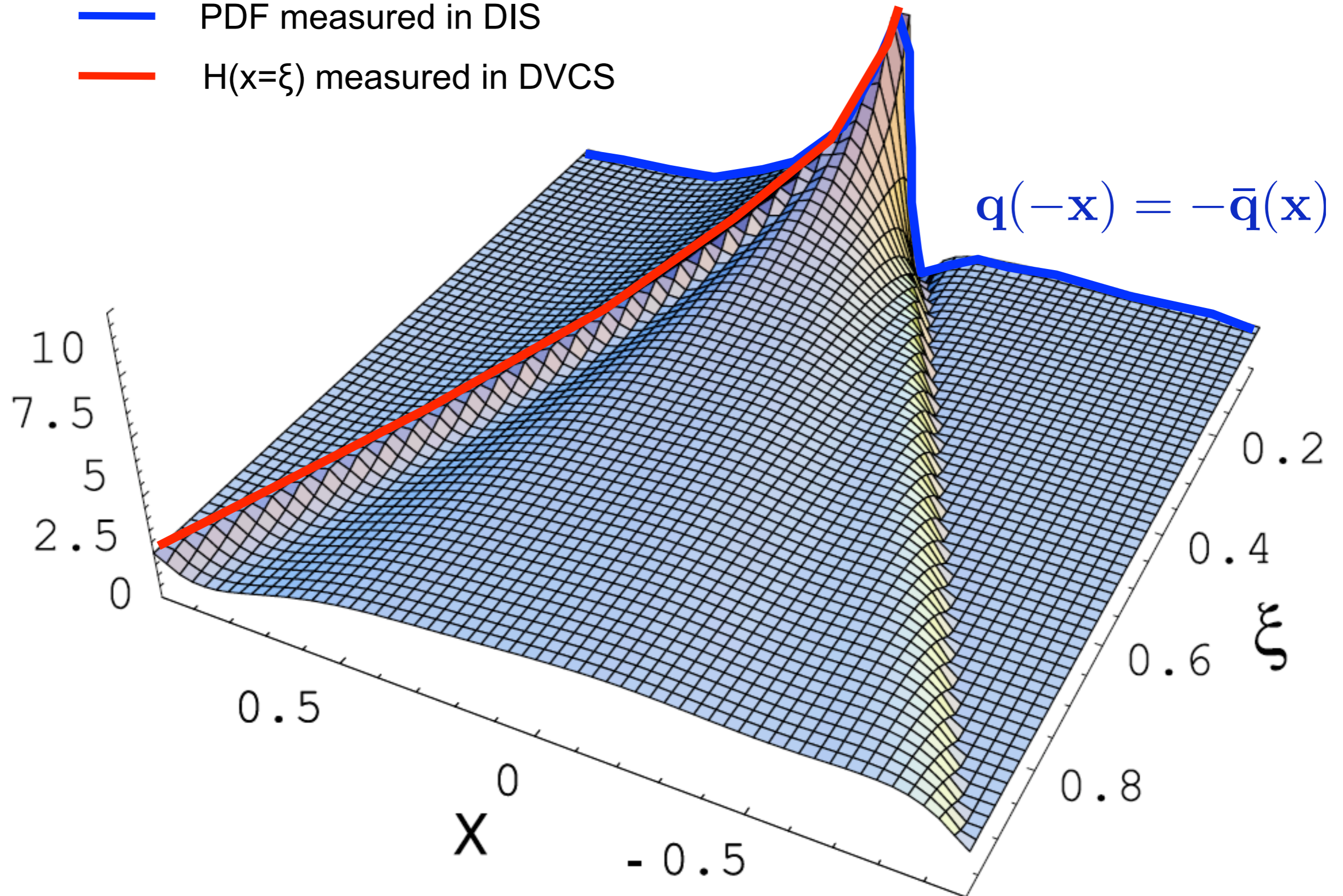


Rudolph

(c) Max Kaiser, 7 (now 9)

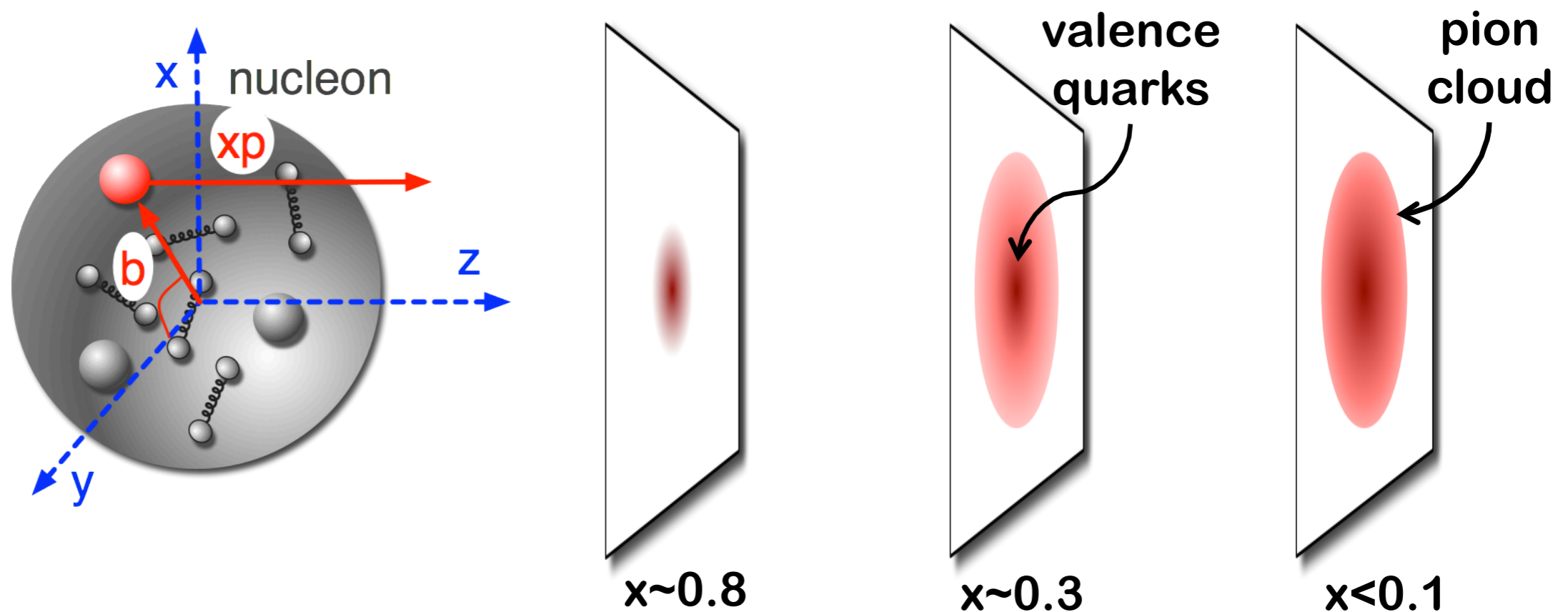


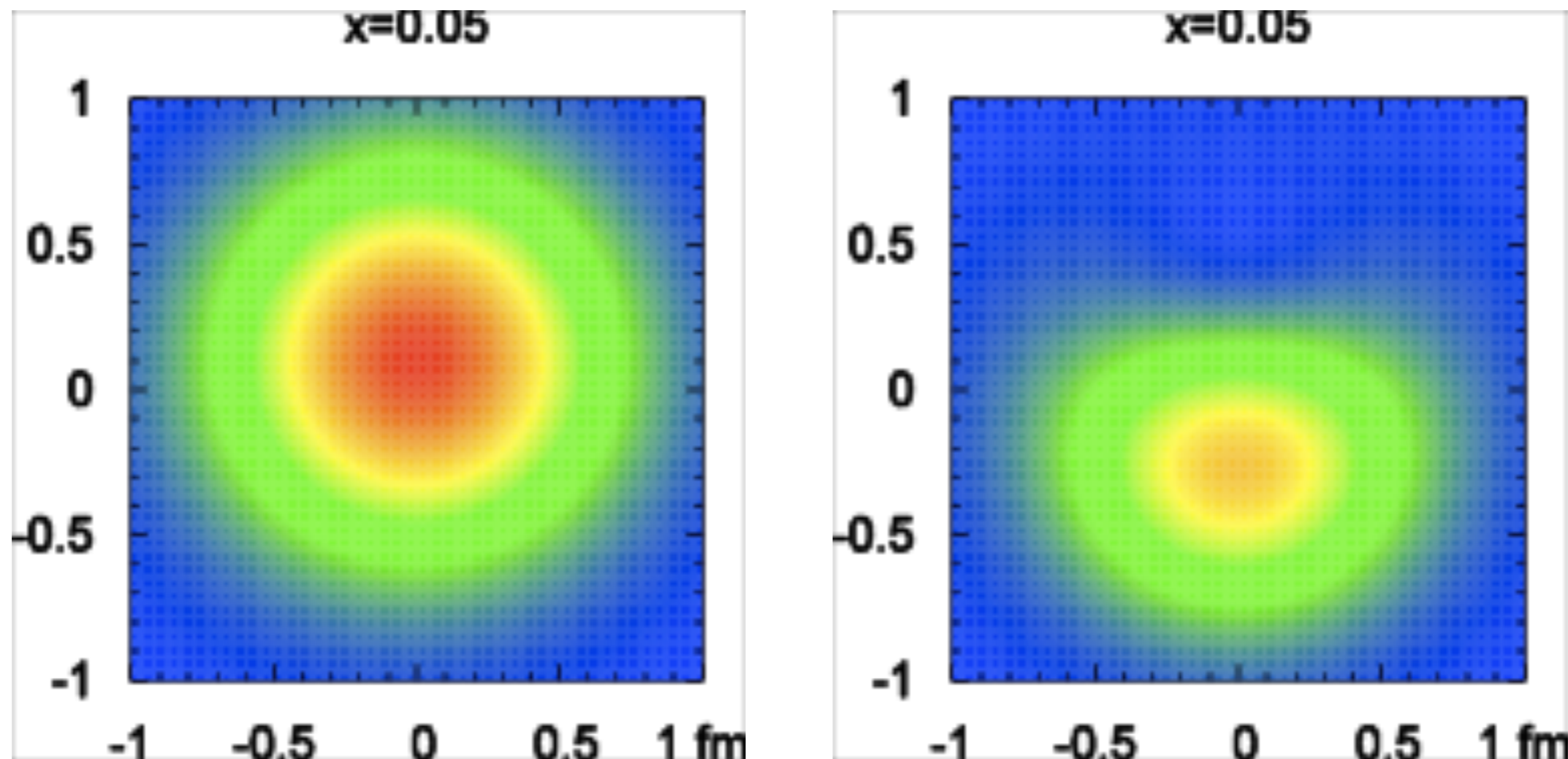
- PDF measured in DIS
- $H(x=\xi)$ measured in DVCS



Fourier transformation of GPDs at $\xi=0$ yields 2+1 dimensional picture of the nucleons, i.e. longitudinal in momentum fraction and transversal in impact parameter space

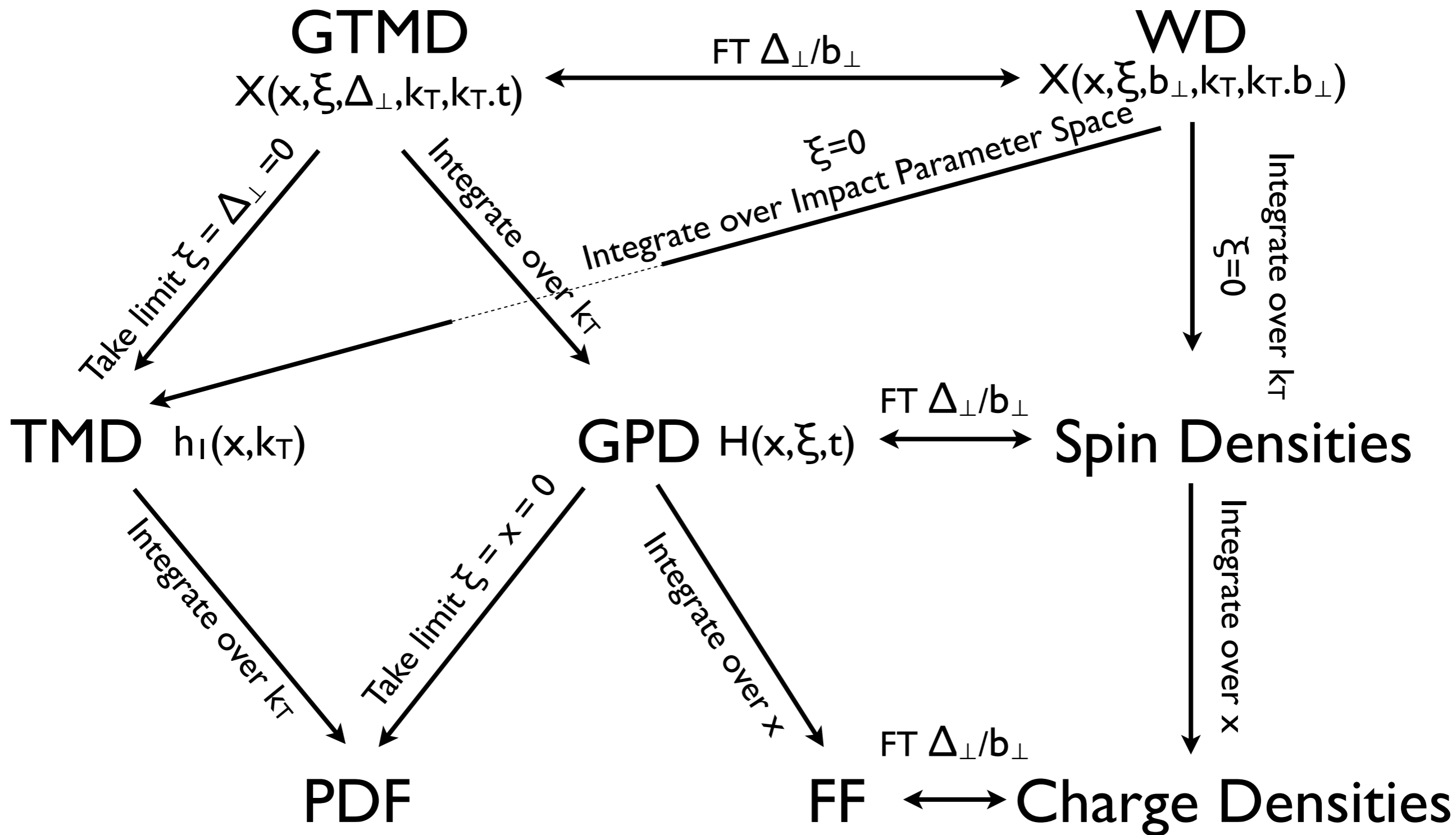
$$q(x, b_{\perp}) = \int \frac{d^2 \Delta_{\perp}^2}{(2\pi)^2} H(x, 0, -\Delta_{\perp}^2) e^{-i\Delta_{\perp} \cdot b_{\perp}}$$



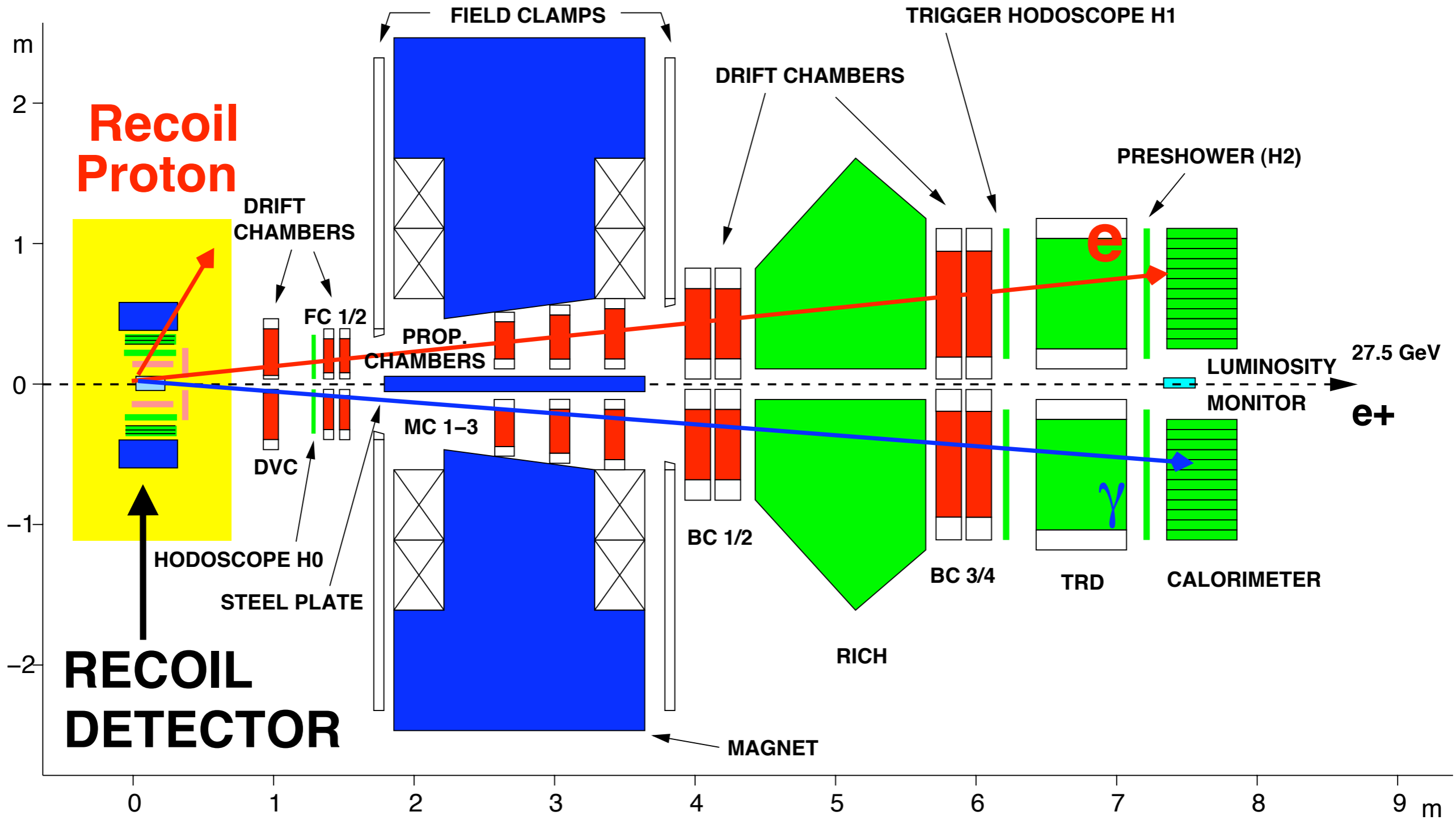


u-quark (left) and d-quark (right) density in impact parameter plane. Proton polarised in x-direction. GPD model fit based on existing form factor data.

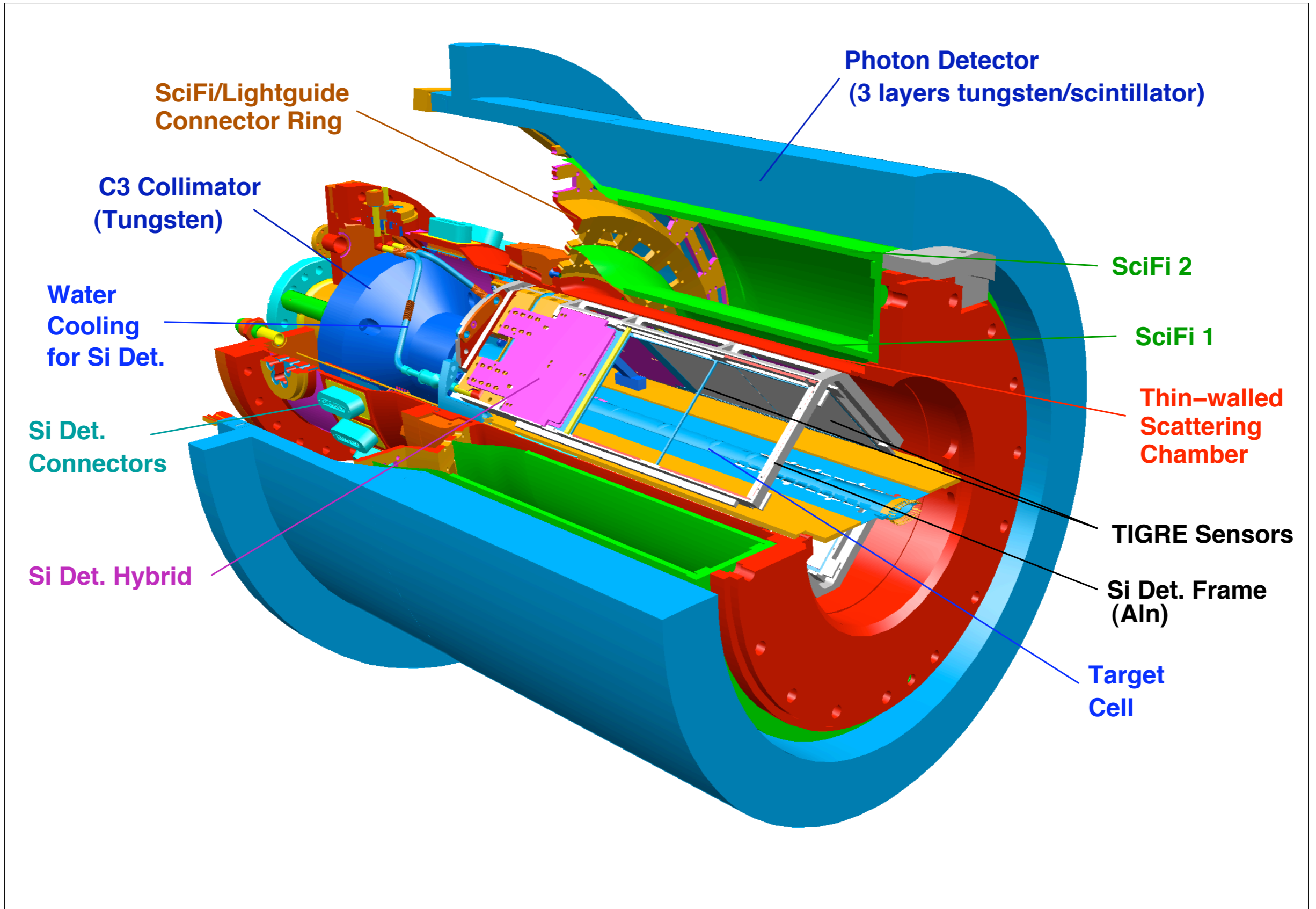
[P.Kroll, AIP Conf.Proc.904:76-86,2007]

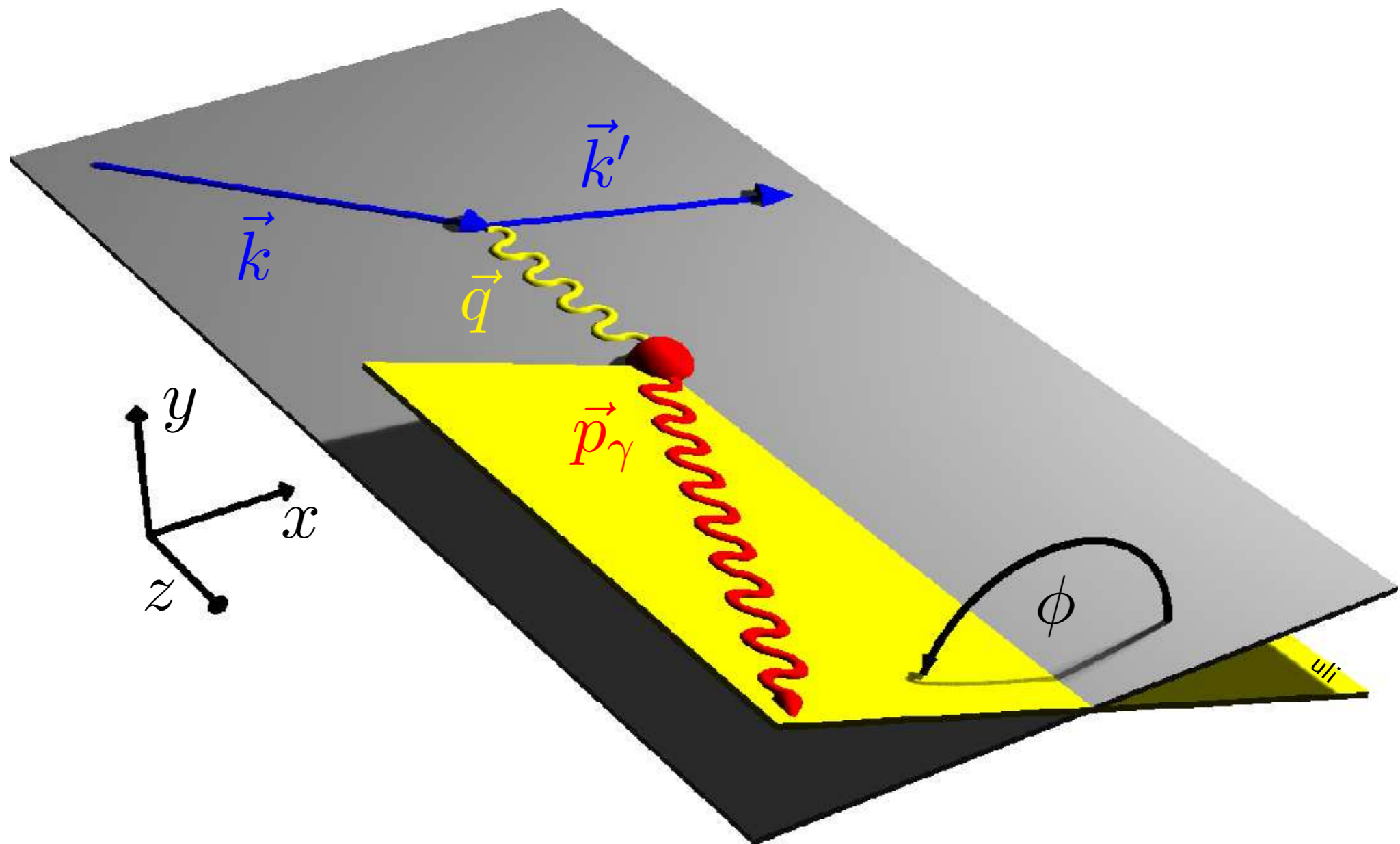


Courtesy M.Murray, Glasgow



DVCS measurements with Recoil Detector in 2006/7 yielded about as much data as 1995-2005.









$$\mathcal{A}_C(\phi) \equiv \frac{d\sigma^+(\phi) - d\sigma^-(\phi)}{d\sigma^+(\phi) + d\sigma^-(\phi)} \quad \tilde{\propto} \quad \text{Re}(\mathcal{H})$$

$$\mathcal{A}_{LU}(\phi) \equiv \frac{[\sigma^{\rightarrow\leftarrow}(\phi) + \sigma^{\rightarrow\Rightarrow}(\phi)] - [\sigma^{\leftarrow\leftarrow}(\phi) + \sigma^{\leftarrow\Rightarrow}(\phi)]}{[\sigma^{\rightarrow\leftarrow}(\phi) + \sigma^{\rightarrow\Rightarrow}(\phi)] + [\sigma^{\leftarrow\leftarrow}(\phi) + \sigma^{\leftarrow\Rightarrow}(\phi)]} \quad \tilde{\propto} \quad \text{Im}(\mathcal{H})$$

$$\mathcal{A}_{UL}(\phi) \equiv \frac{[\sigma^{\leftarrow\Rightarrow}(\phi) + \sigma^{\rightarrow\Rightarrow}(\phi)] - [\sigma^{\leftarrow\leftarrow}(\phi) + \sigma^{\rightarrow\leftarrow}(\phi)]}{[\sigma^{\leftarrow\Rightarrow}(\phi) + \sigma^{\rightarrow\Rightarrow}(\phi)] + [\sigma^{\leftarrow\leftarrow}(\phi) + \sigma^{\rightarrow\leftarrow}(\phi)]} \quad \tilde{\propto} \quad \text{Im}(\tilde{\mathcal{H}})$$

$$\mathcal{A}_{LL}(\phi) \equiv \frac{[\sigma^{\rightarrow\Rightarrow}(\phi) + \sigma^{\leftarrow\leftarrow}(\phi)] - [\sigma^{\leftarrow\Rightarrow}(\phi) + \sigma^{\rightarrow\leftarrow}(\phi)]}{[\sigma^{\rightarrow\Rightarrow}(\phi) + \sigma^{\leftarrow\leftarrow}(\phi)] + [\sigma^{\leftarrow\Rightarrow}(\phi) + \sigma^{\rightarrow\leftarrow}(\phi)]} \quad \tilde{\propto} \quad \text{Re}(\tilde{\mathcal{H}})$$



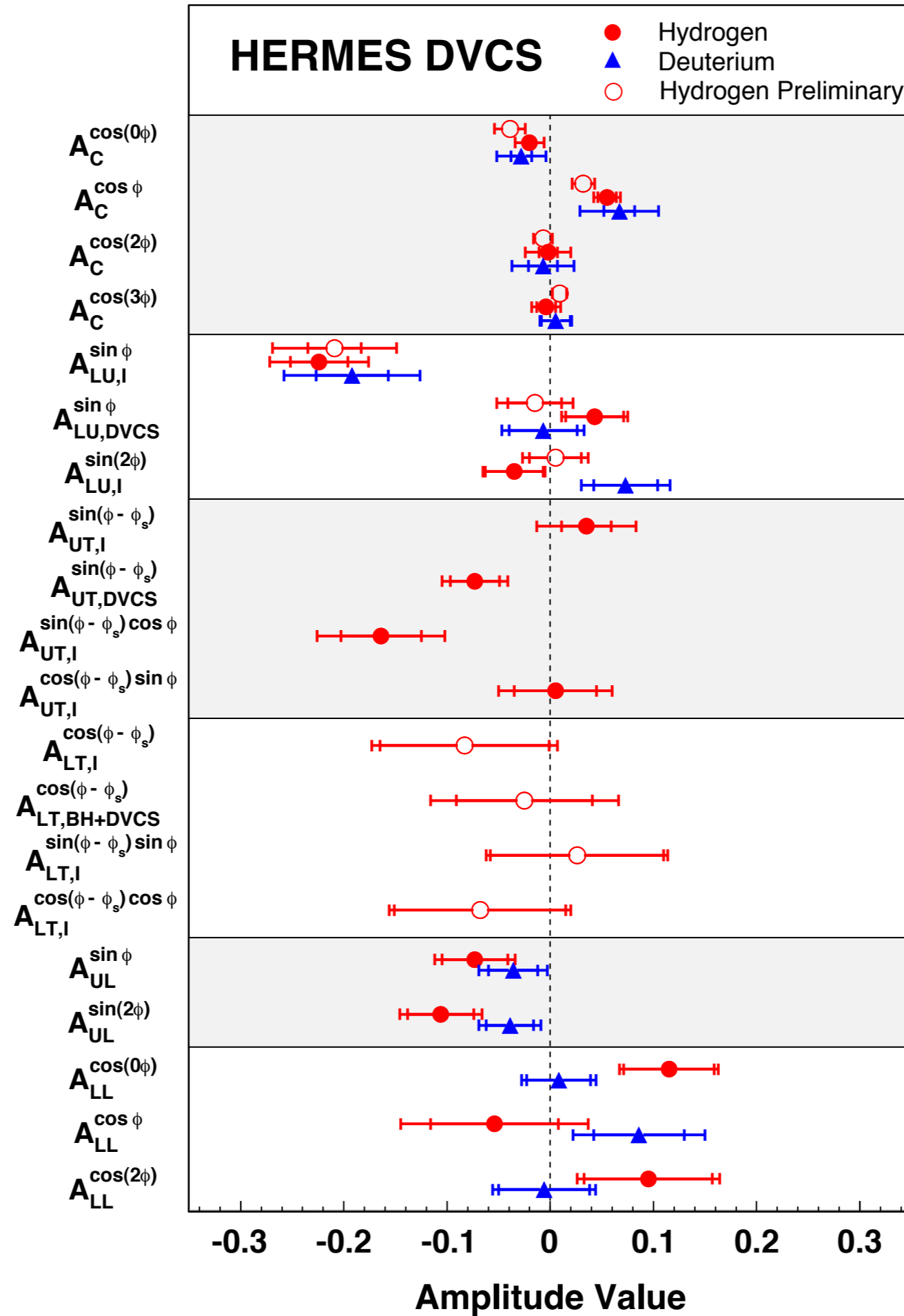
$$\mathcal{A}_C(\phi) \equiv \frac{d\sigma^+(\phi) - d\sigma^-(\phi)}{d\sigma^+(\phi) + d\sigma^-(\phi)} \quad \tilde{\propto} \quad \text{Re}(\mathcal{H})$$

$$\mathcal{A}_{LU}(\phi) \equiv \frac{[\sigma^{\rightarrow\leftarrow}(\phi) + \sigma^{\rightarrow\Rightarrow}(\phi)] - [\sigma^{\leftarrow\leftarrow}(\phi) + \sigma^{\leftarrow\Rightarrow}(\phi)]}{[\sigma^{\rightarrow\leftarrow}(\phi) + \sigma^{\rightarrow\Rightarrow}(\phi)] + [\sigma^{\leftarrow\leftarrow}(\phi) + \sigma^{\leftarrow\Rightarrow}(\phi)]} \quad \tilde{\propto} \quad \text{Im}(\mathcal{H})$$

$$\mathcal{A}_{UL}(\phi) \equiv \frac{[\sigma^{\leftarrow\Rightarrow}(\phi) + \sigma^{\rightarrow\Rightarrow}(\phi)] - [\sigma^{\leftarrow\leftarrow}(\phi) + \sigma^{\rightarrow\leftarrow}(\phi)]}{[\sigma^{\leftarrow\Rightarrow}(\phi) + \sigma^{\rightarrow\Rightarrow}(\phi)] + [\sigma^{\leftarrow\leftarrow}(\phi) + \sigma^{\rightarrow\leftarrow}(\phi)]} \quad \tilde{\propto} \quad \text{Im}(\tilde{\mathcal{H}})$$

$$\mathcal{A}_{LL}(\phi) \equiv \frac{[\sigma^{\rightarrow\Rightarrow}(\phi) + \sigma^{\leftarrow\leftarrow}(\phi)] - [\sigma^{\leftarrow\Rightarrow}(\phi) + \sigma^{\rightarrow\leftarrow}(\phi)]}{[\sigma^{\rightarrow\Rightarrow}(\phi) + \sigma^{\leftarrow\leftarrow}(\phi)] + [\sigma^{\leftarrow\Rightarrow}(\phi) + \sigma^{\rightarrow\leftarrow}(\phi)]} \quad \tilde{\propto} \quad \text{Re}(\tilde{\mathcal{H}})$$

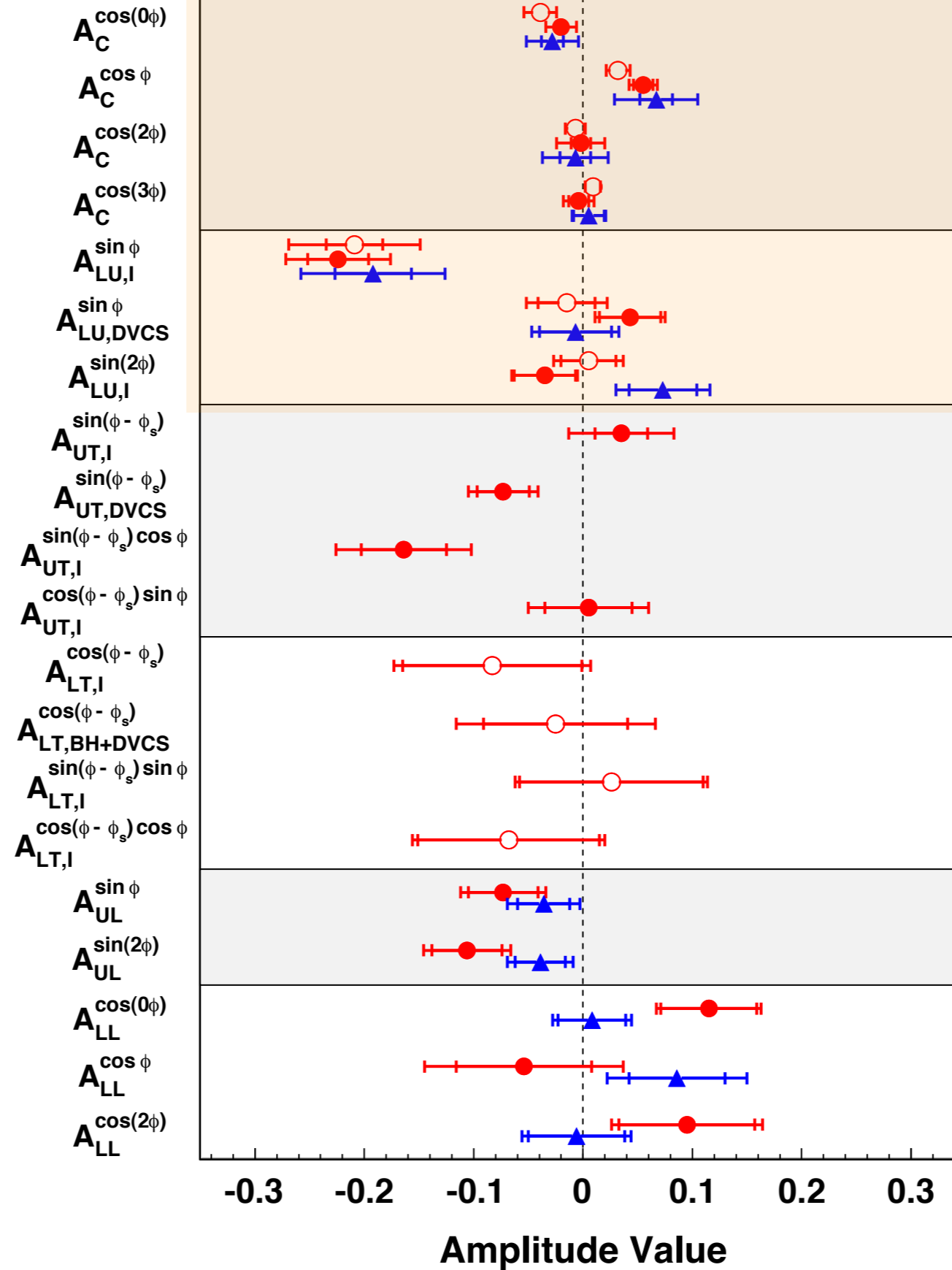
Statistics: Only 1 DVCS event for 1000 DIS events at HERMES kinematics !

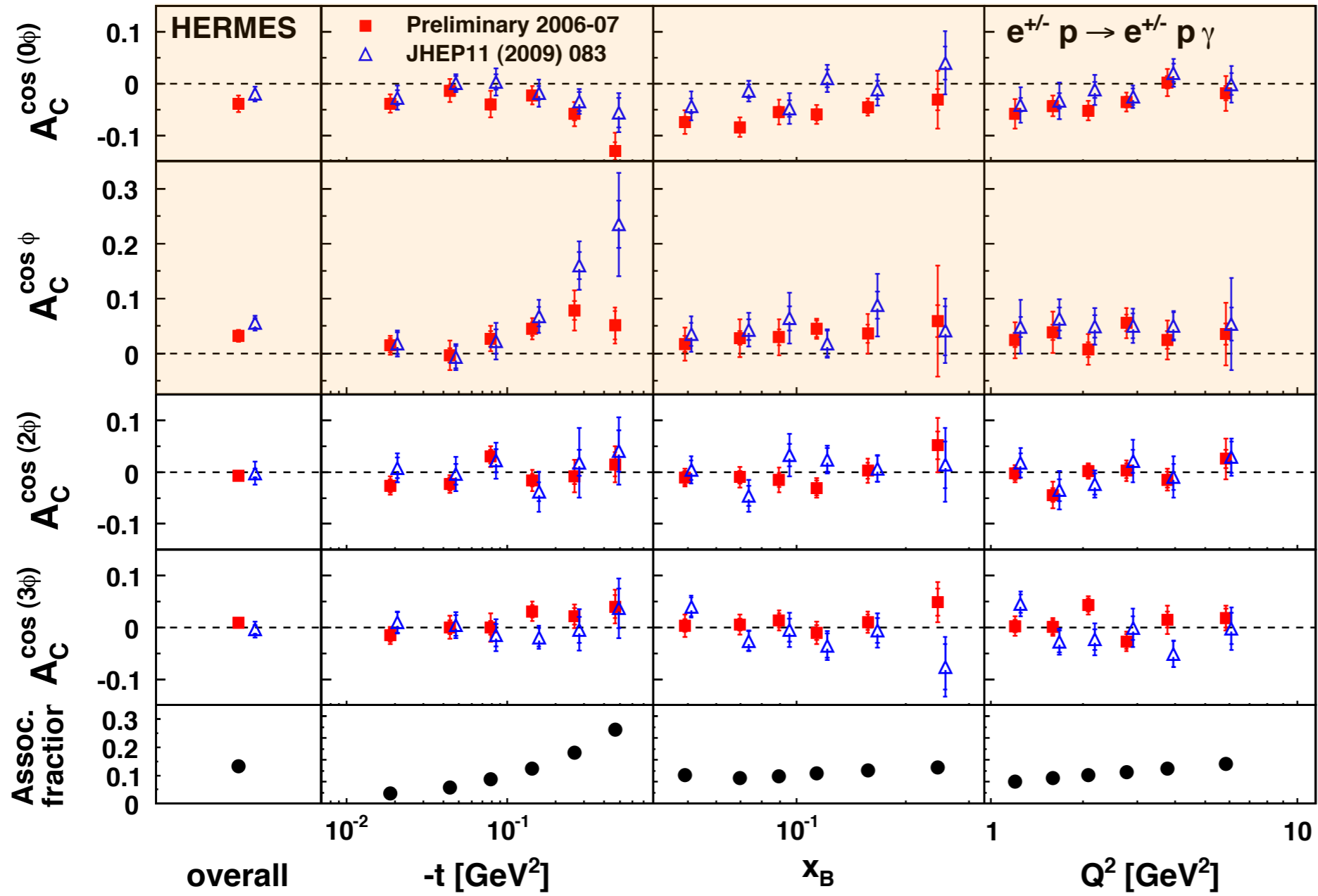




HERMES DVCS

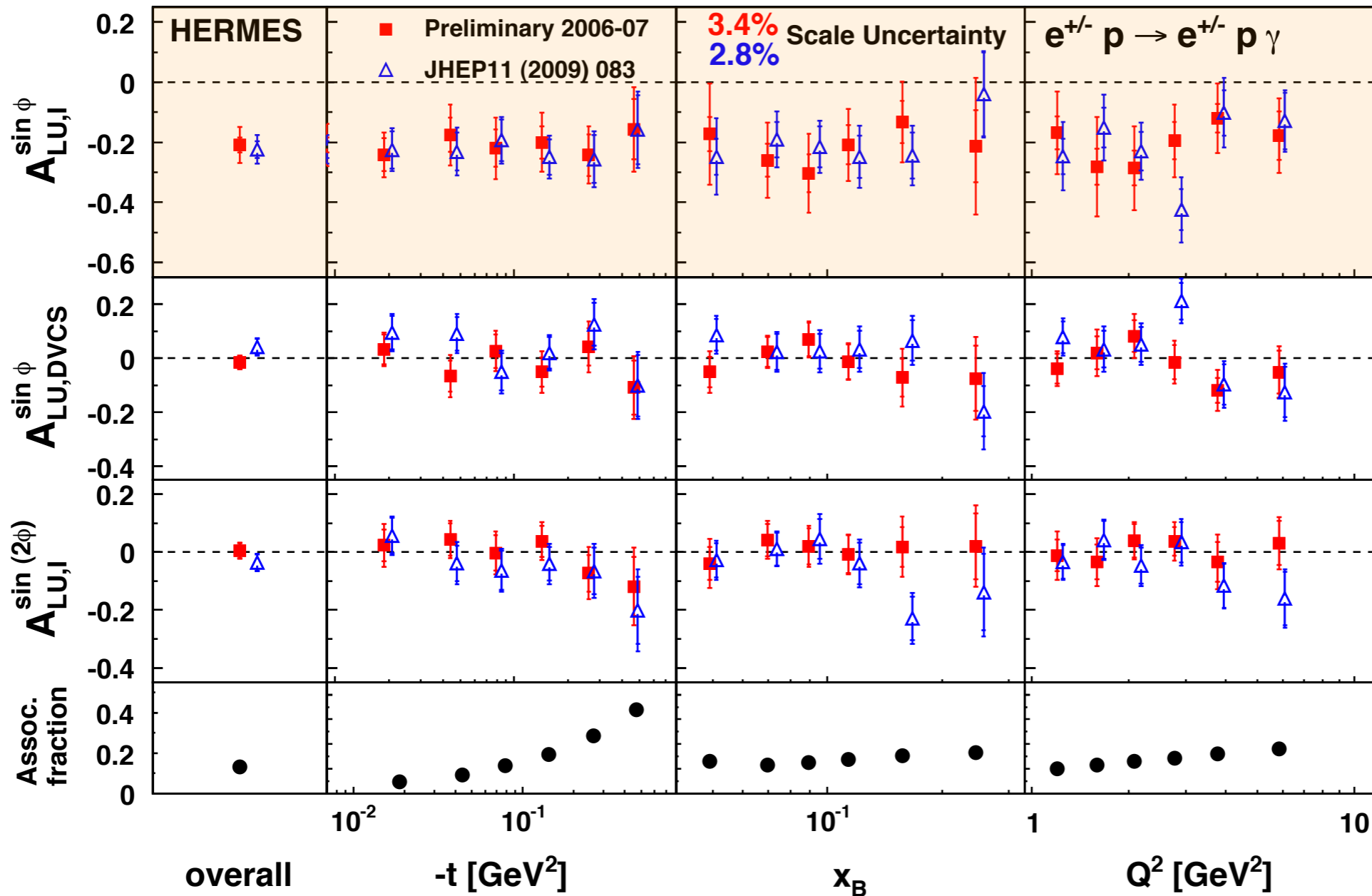
- Hydrogen
- ▲ Deuterium
- Hydrogen Preliminary





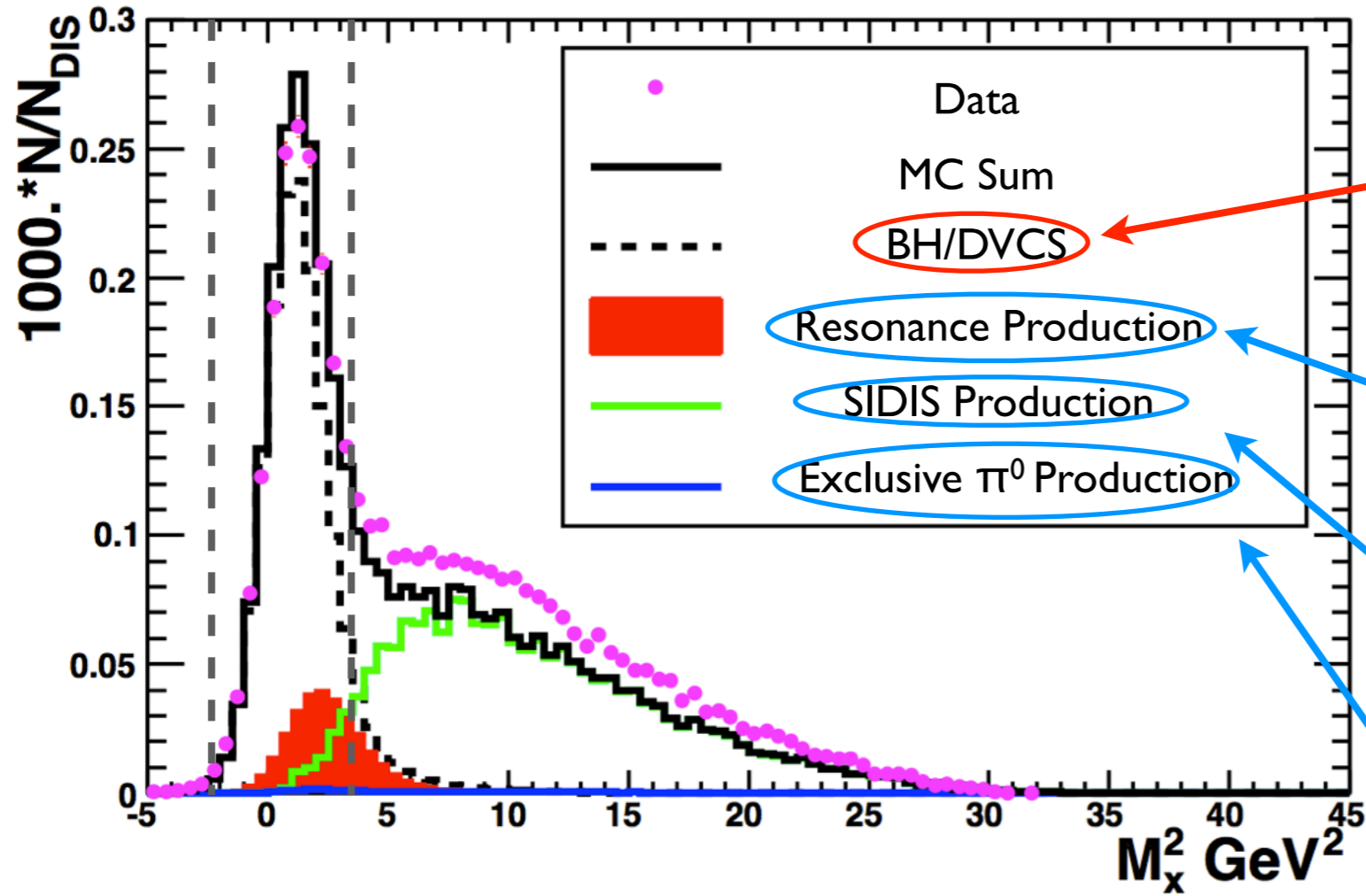
Beam Charge Asymmetries access $\text{Re}(\mathcal{H})$

← Δ -resonance



Beam Spin Asymmetries access $\text{Im}(\mathcal{H})$

Larger values for the BSA than BCA



Wanted

BH/DVCS from Δ , e.g.
 $e \Delta \rightarrow e \Delta \gamma \rightarrow e p \pi^0$

$e p \rightarrow e X$

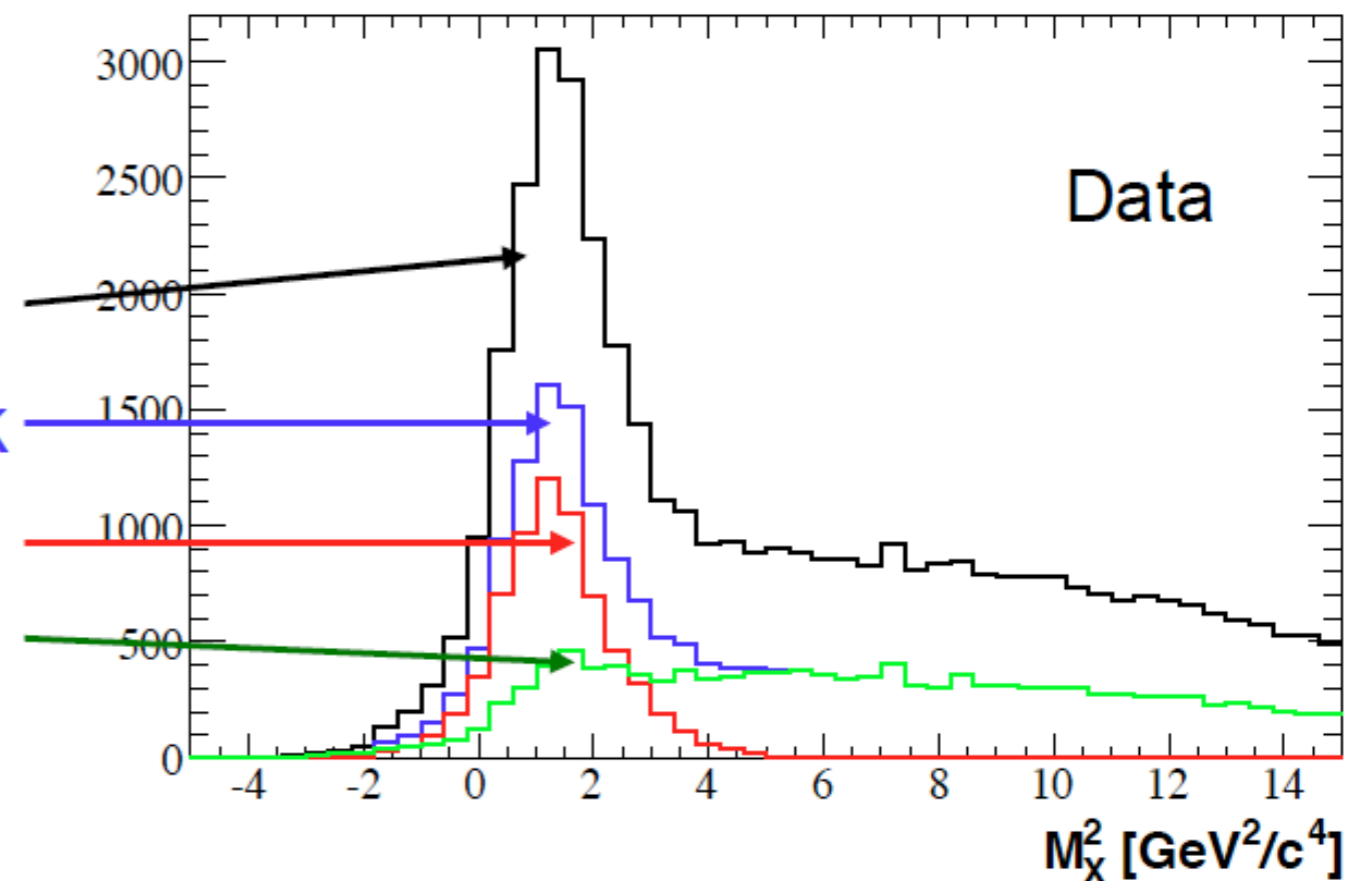
$e p \rightarrow e p$

Kinematic event fitting technique

- All 3 particles in final state detected → 4 constraints from energy-momentum conservation
- Selection of elastic DVCS with high efficiency ($\sim 84\%$)
- Allows to suppress background from associated and semi-inclusive processes to a negligible level ($\sim 0.1\%$)

Missing mass distribution

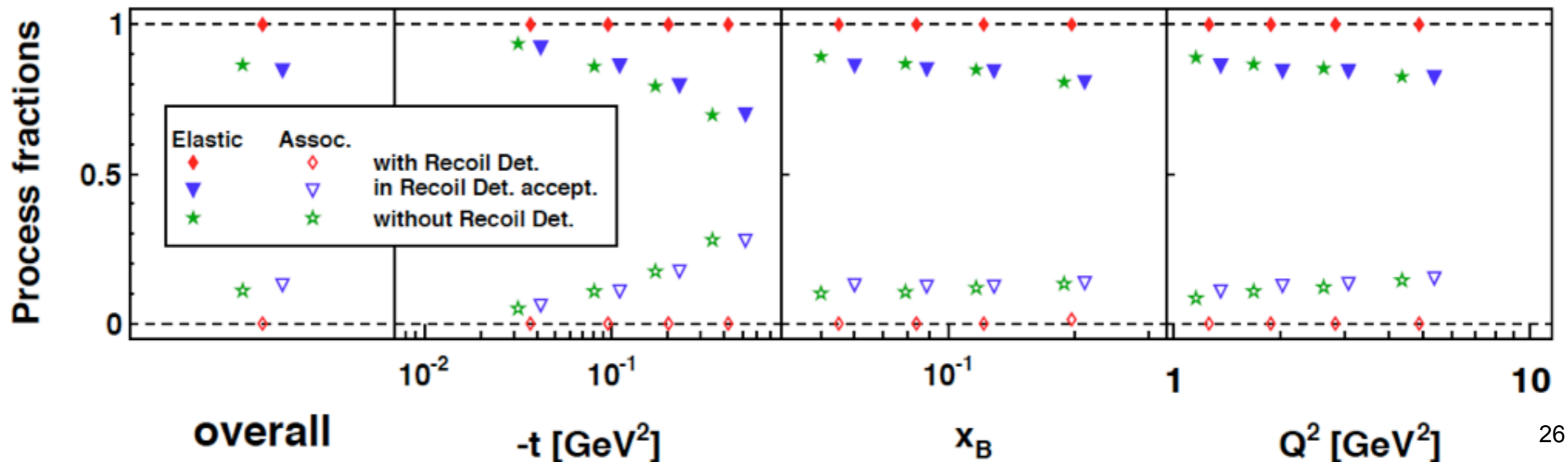
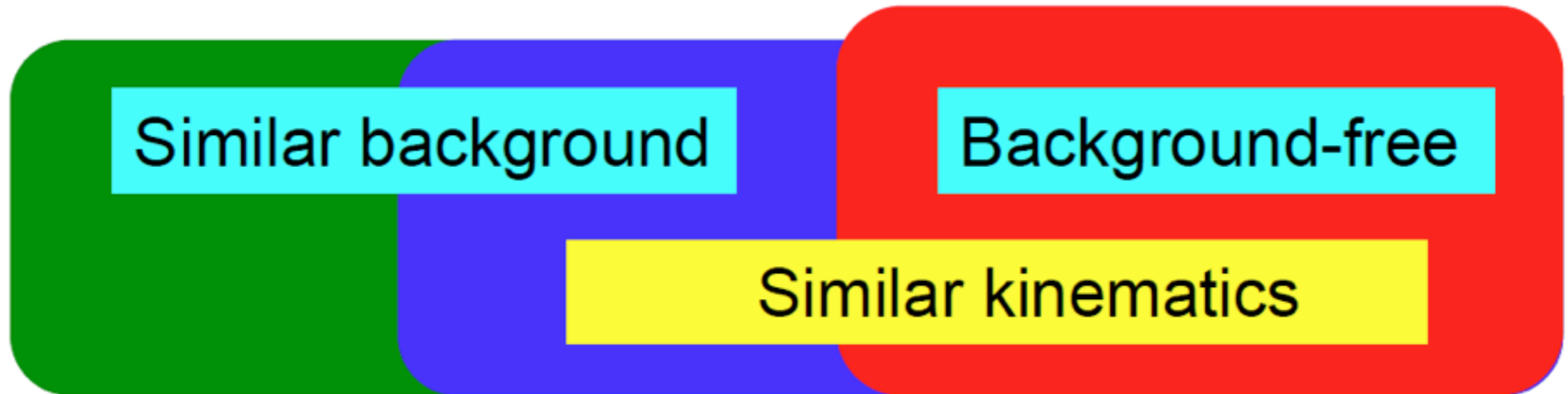
- No requirement for Recoil
- Positively charged Recoil track
- Kinematic fit probability $> 1\%$
- Kinematic fit probability $< 1\%$

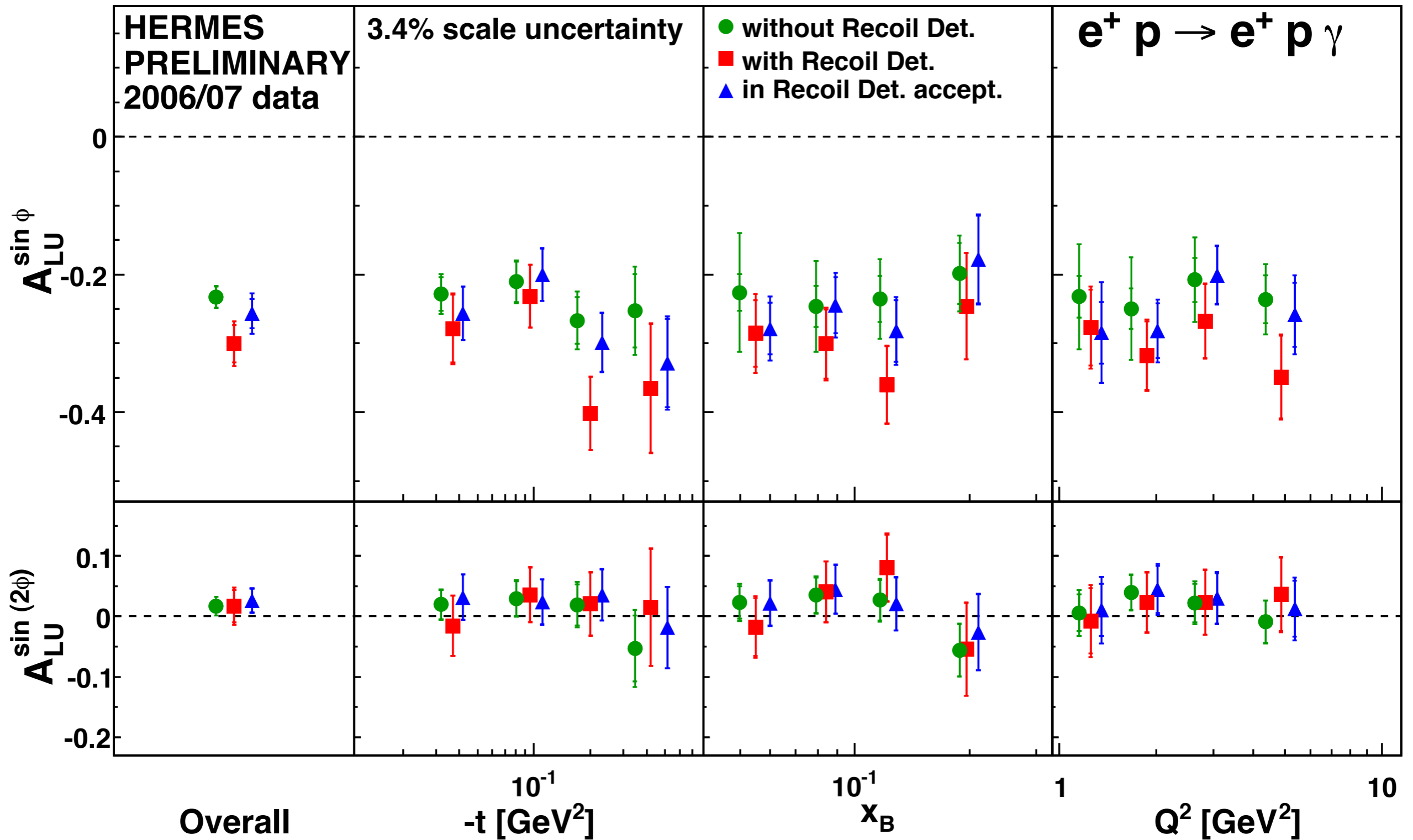


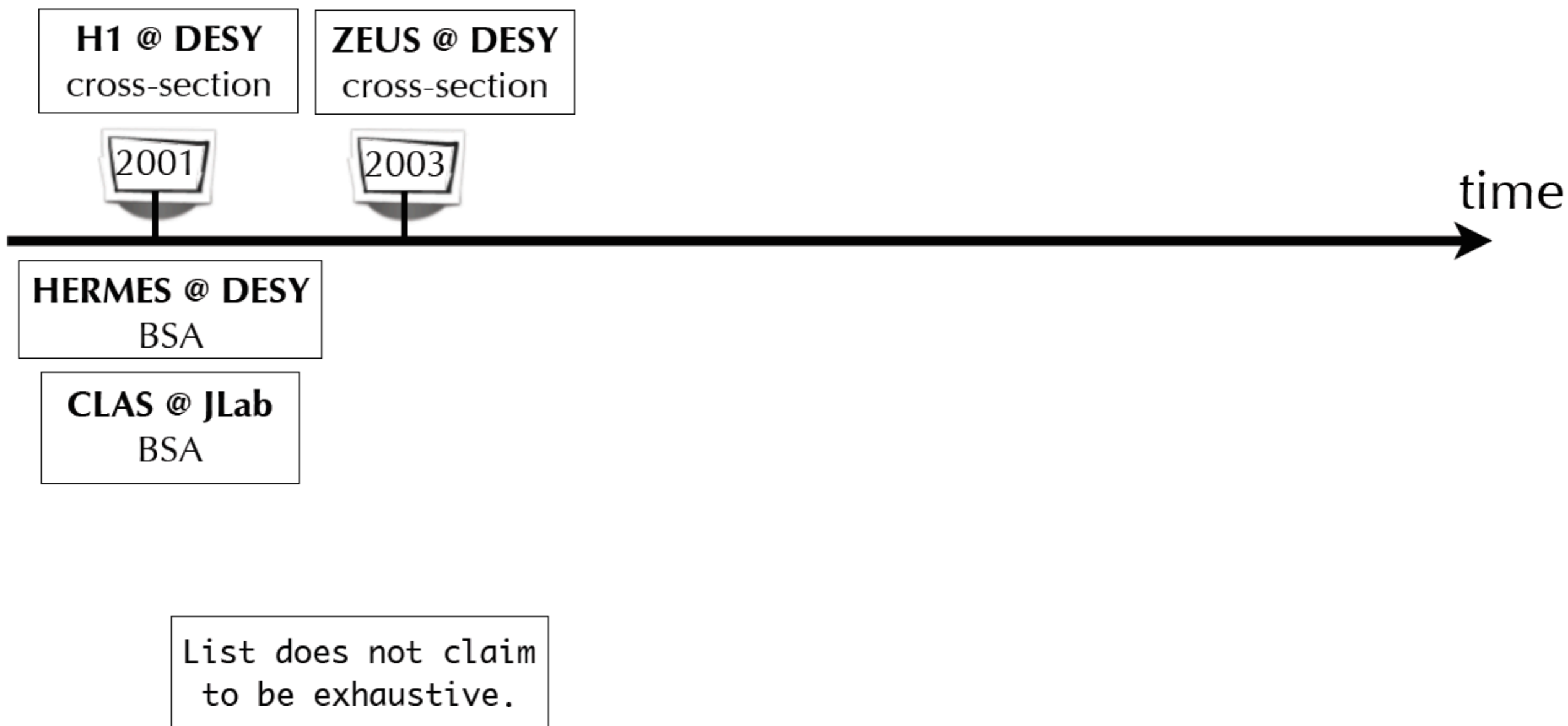
Without Recoil Detector

In Recoil Detector acceptance

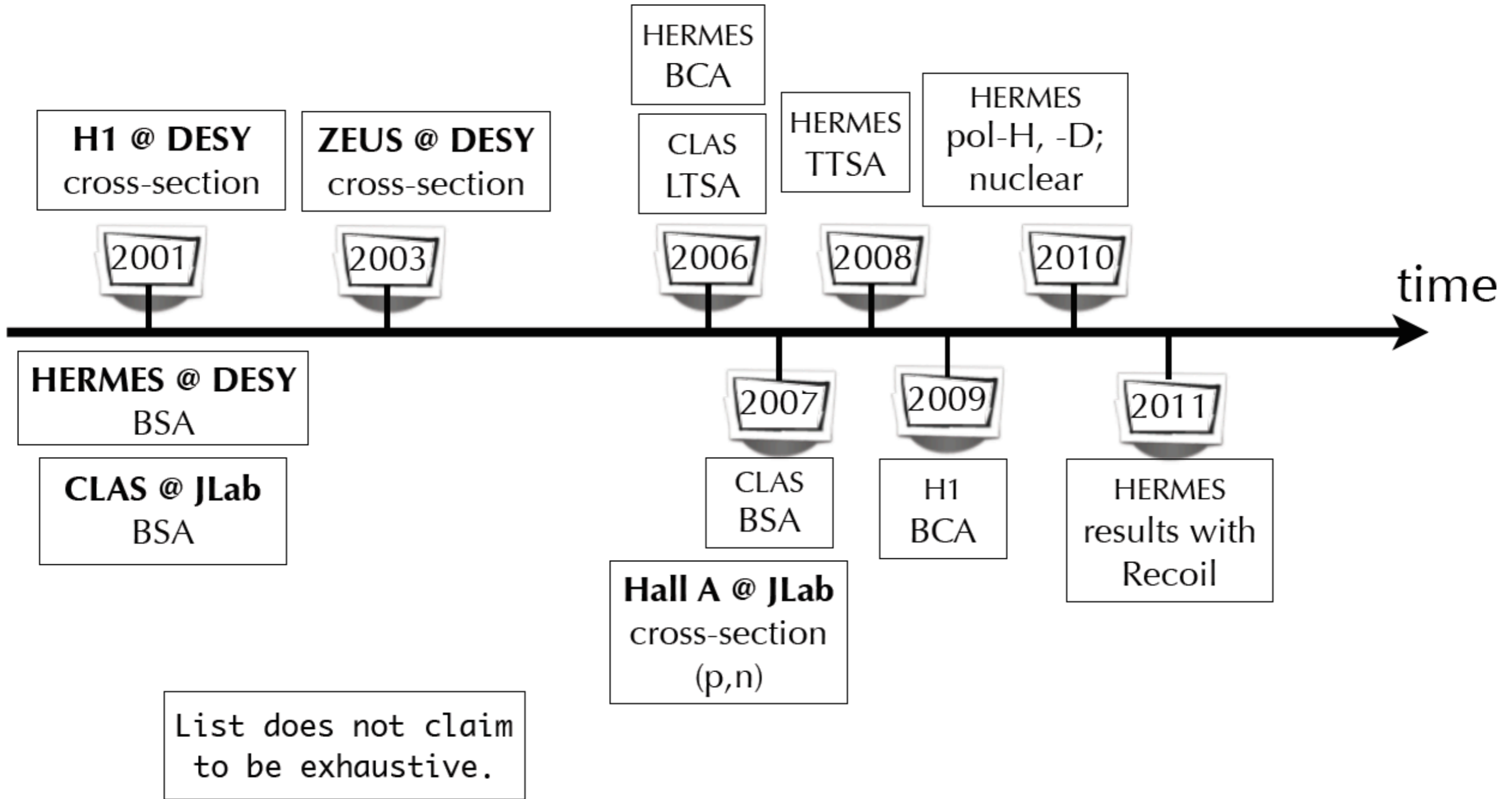
With Recoil Detector



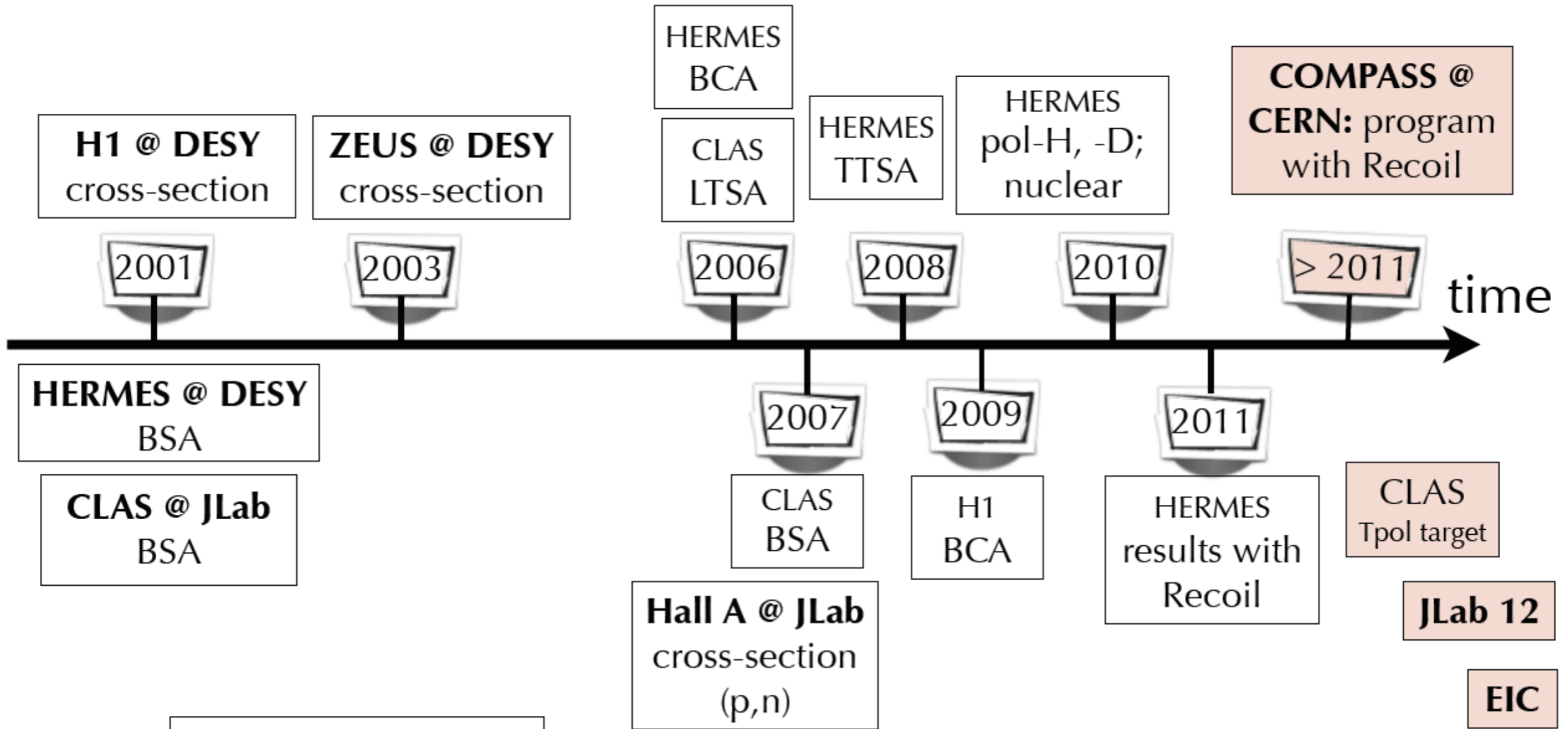




Courtesy C.Riedl, DESY

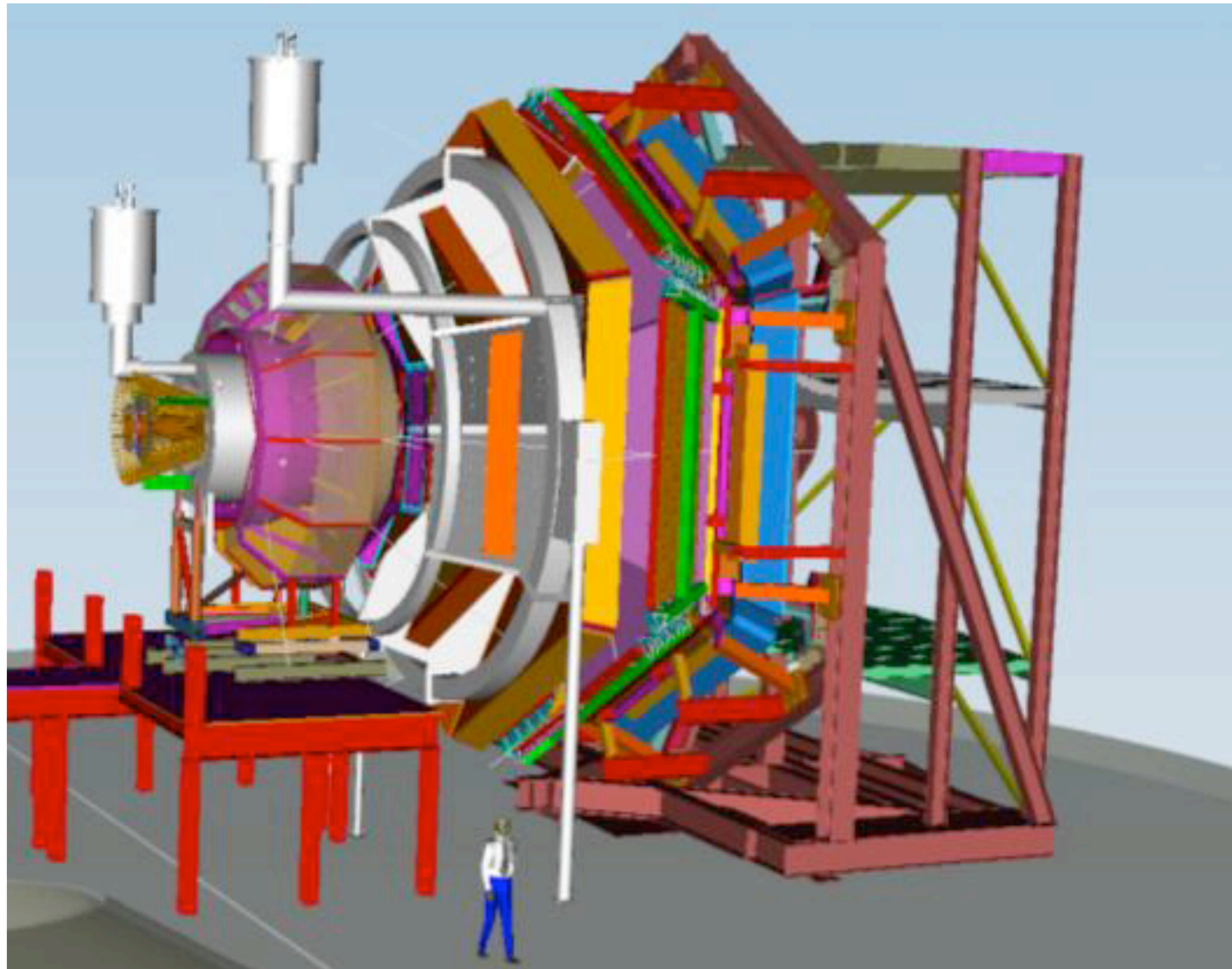


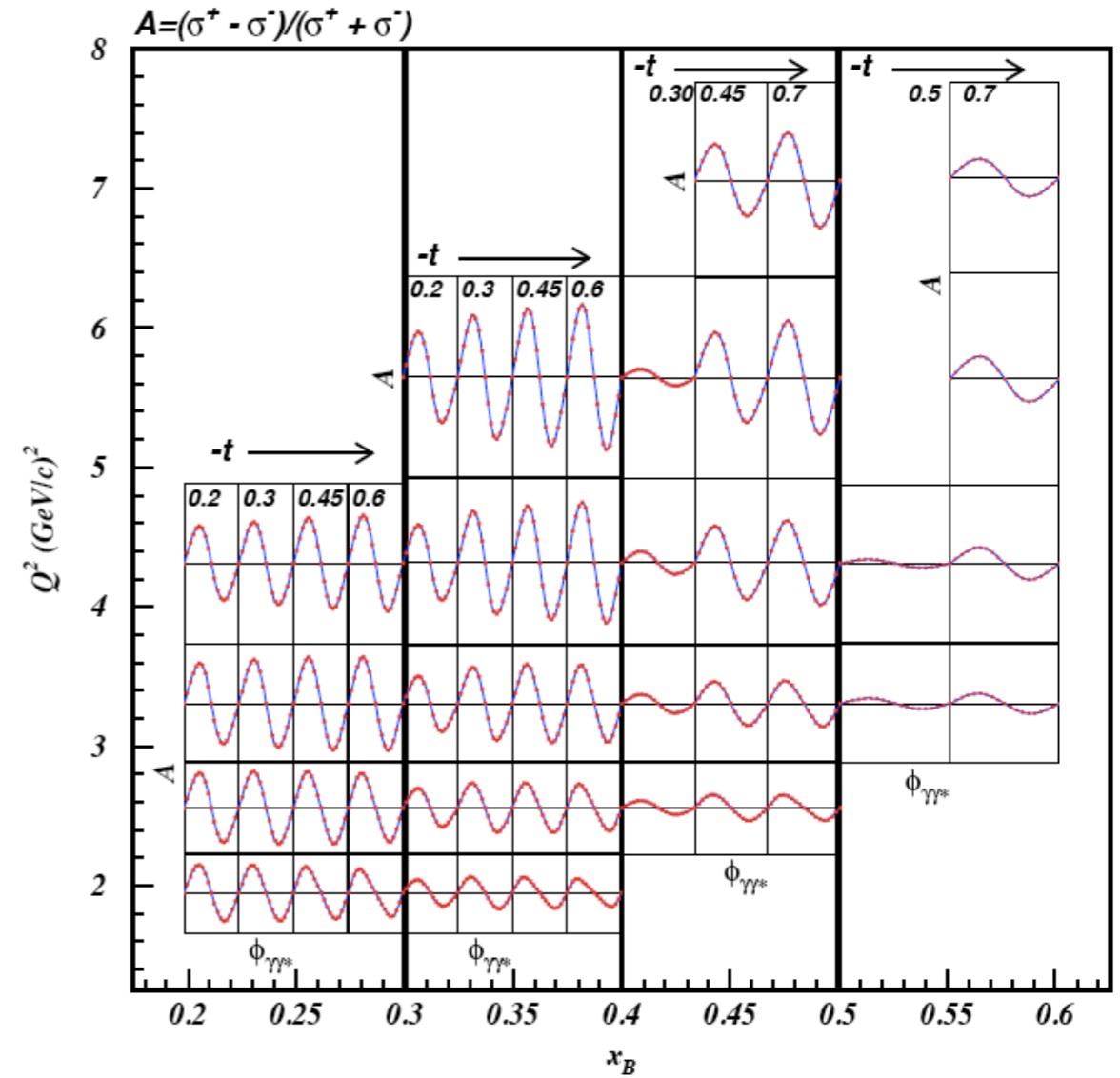
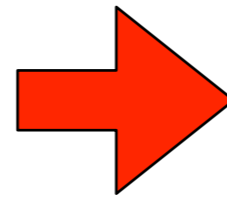
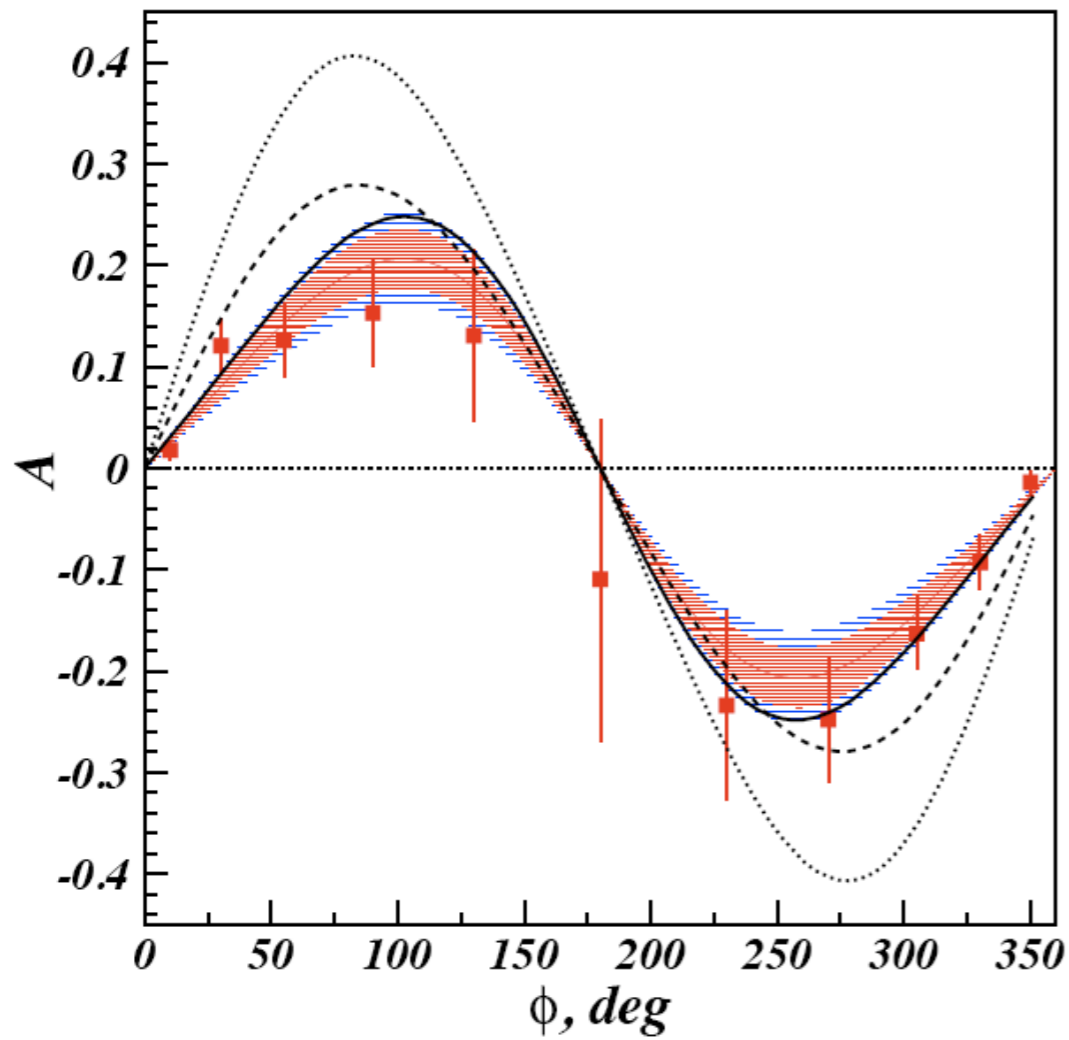
Courtesy C.Riedl, DESY



List does not claim to be exhaustive.

Courtesy C.Riedl, DESY





CLAS
 Phys.Rev.Lett.87:182002,2001.

Projection for CLAS12
 2000 hrs at $L=10^{35} \text{ cm}^{-2}\text{s}^{-1}$



Tests in 2008-09

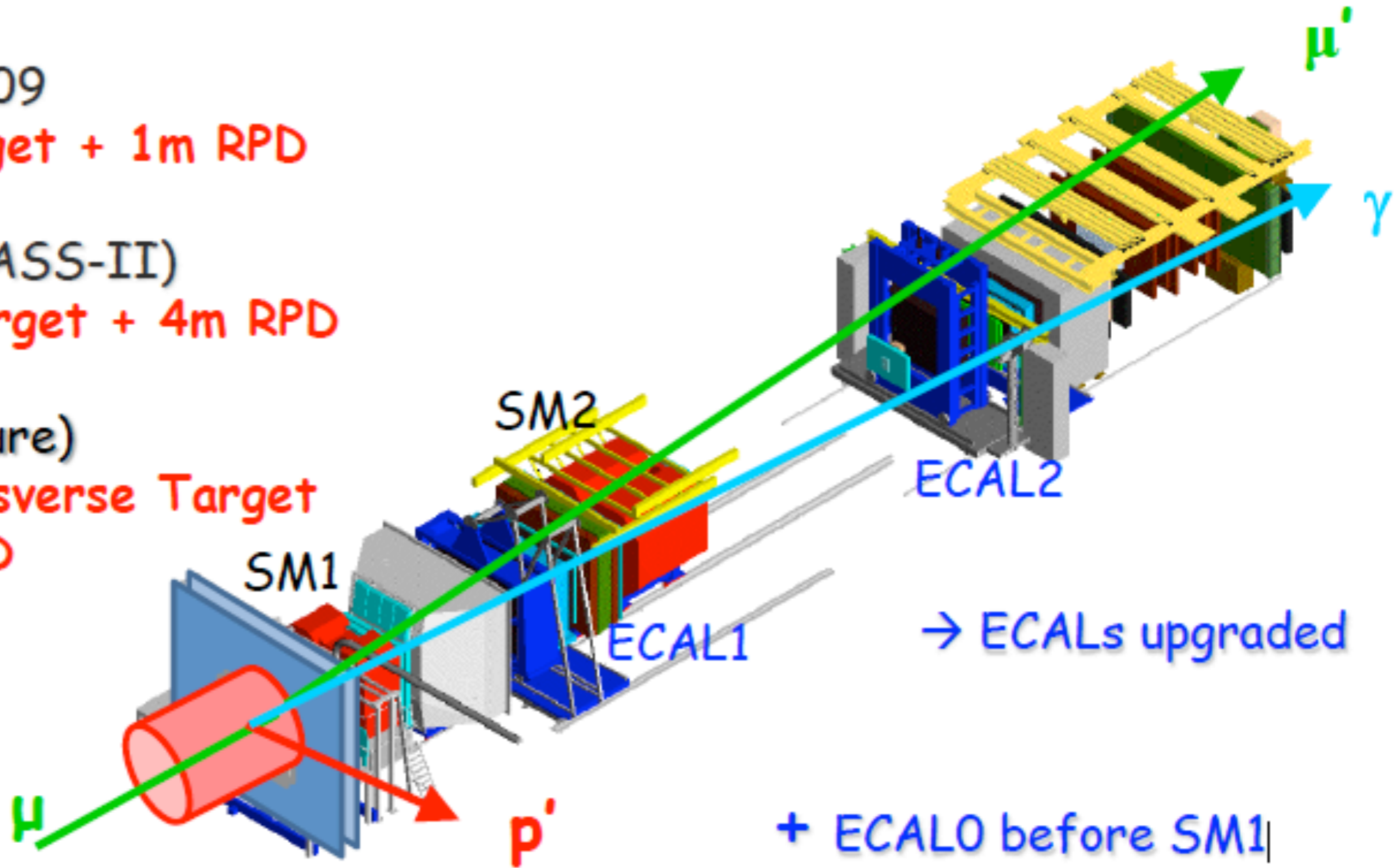
40cm LH2 target + 1m RPD

Phase 1 (COMPASS-II)

2.5 m LH2 target + 4m RPD

Phase 2 (in future)

Polarised Transverse Target
integrating RPD

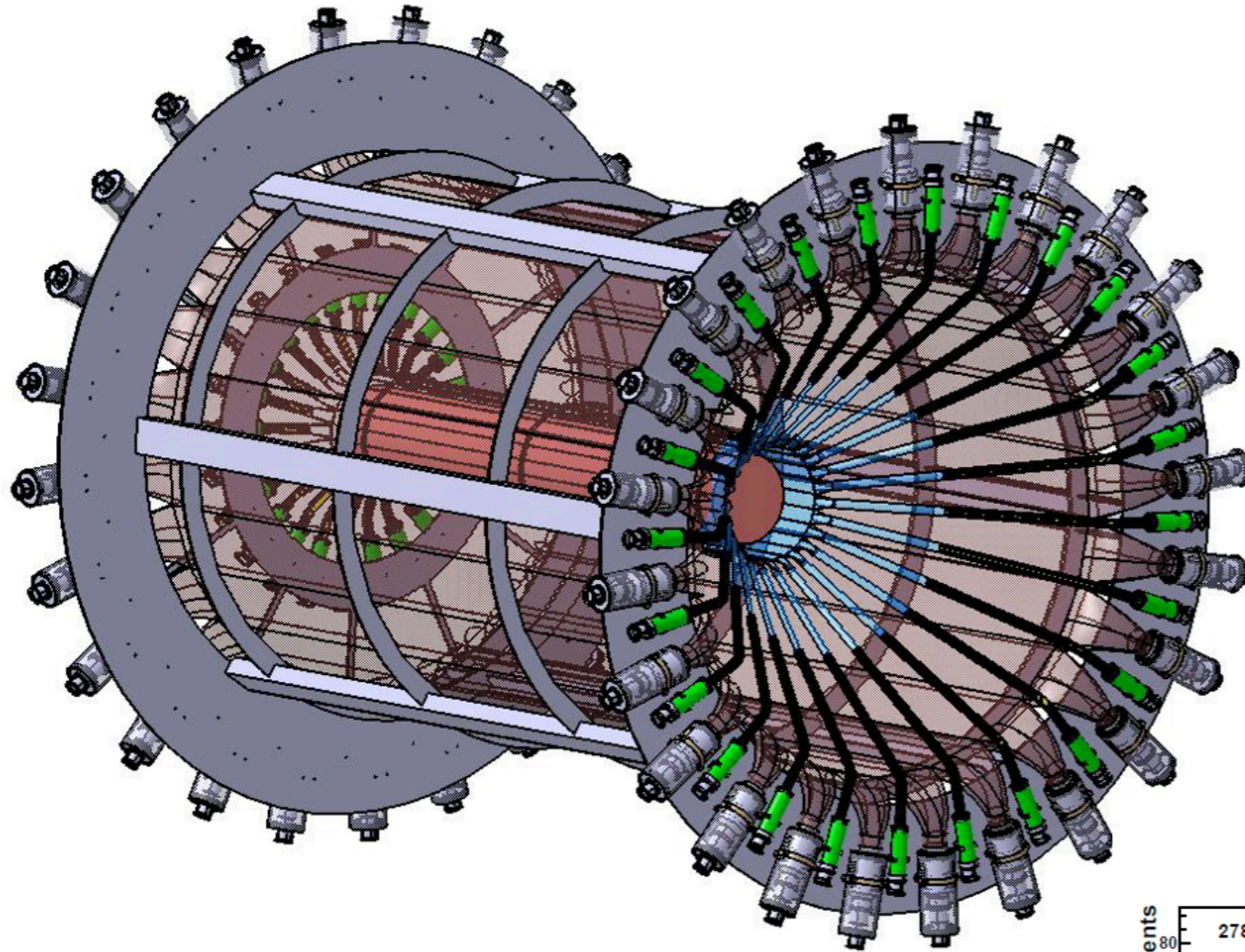


$4.6 \cdot 10^8 \mu^+$

for $2.7 \cdot 10^{13}$ protons per SPS spill
(9.6s each 48 s)

→ Lumi= $10^{32} \text{ cm}^{-2} \text{ s}^{-1}$ with 2.5m LH2 target

Courtesy N.D'Hose



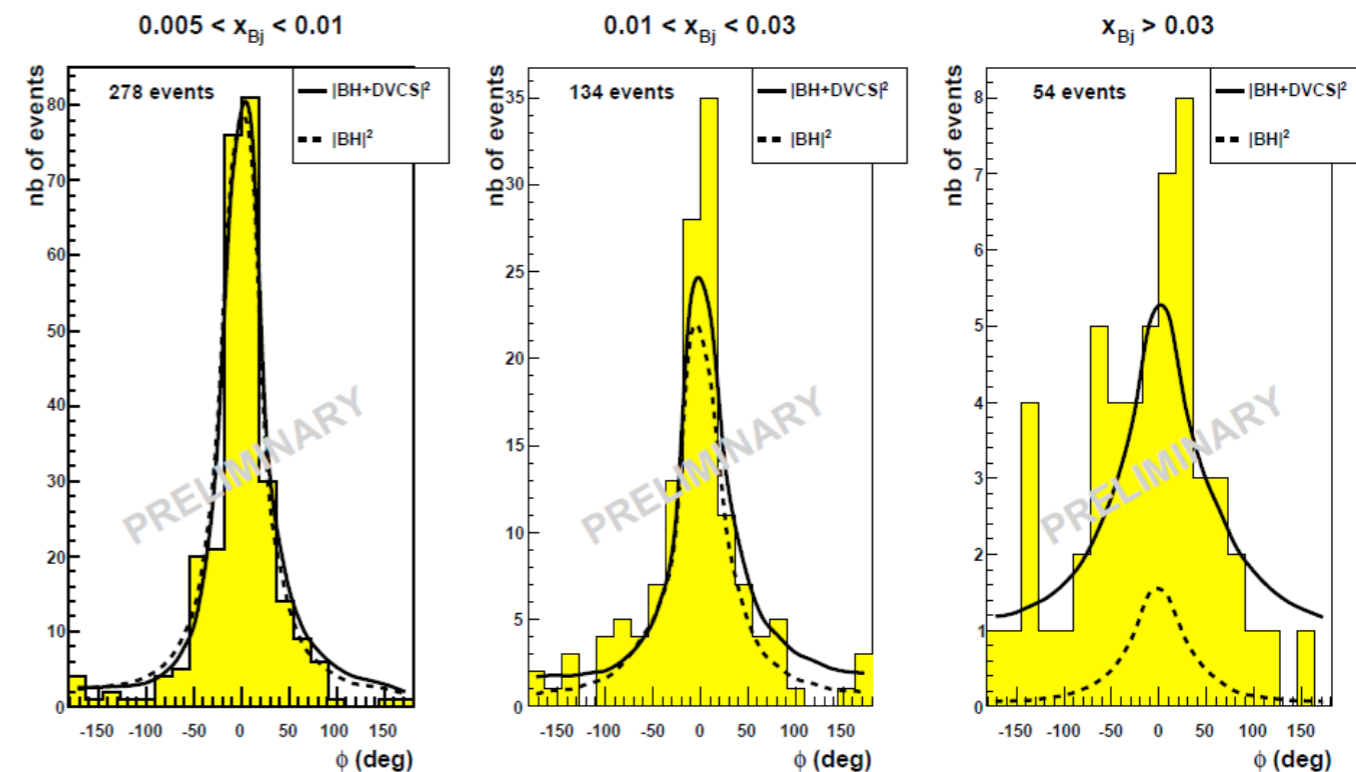
3.6 m long scintillator slabs
~ 300 ps timing resolution

Tests made with

- 2006: 4m sector prototype
- 2008-9: 1m long RPD

Observation of BH and DVCS events in 2009 data taken with 1 m RPD.

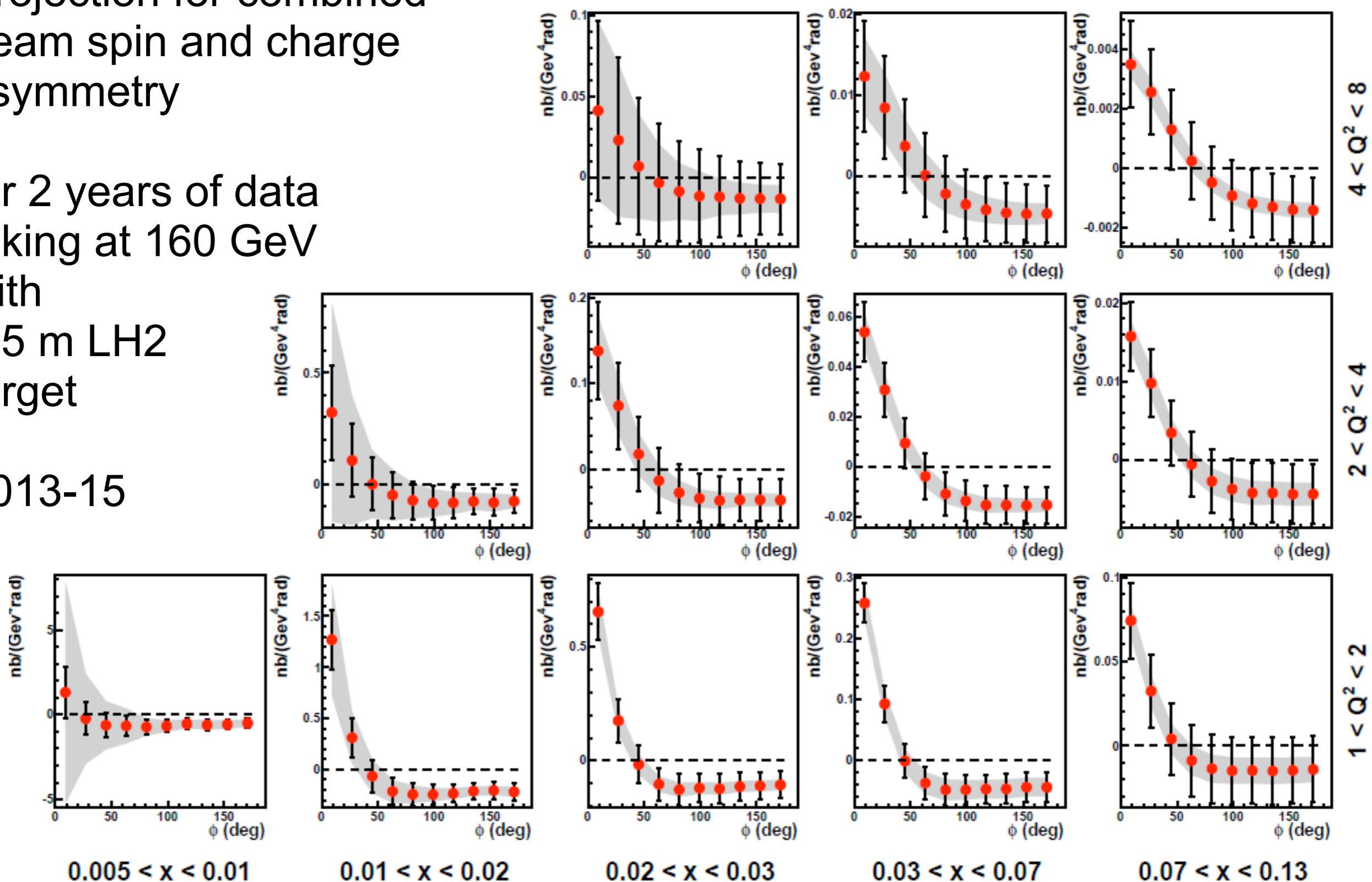
Courtesy N.D'Hose, Saclay

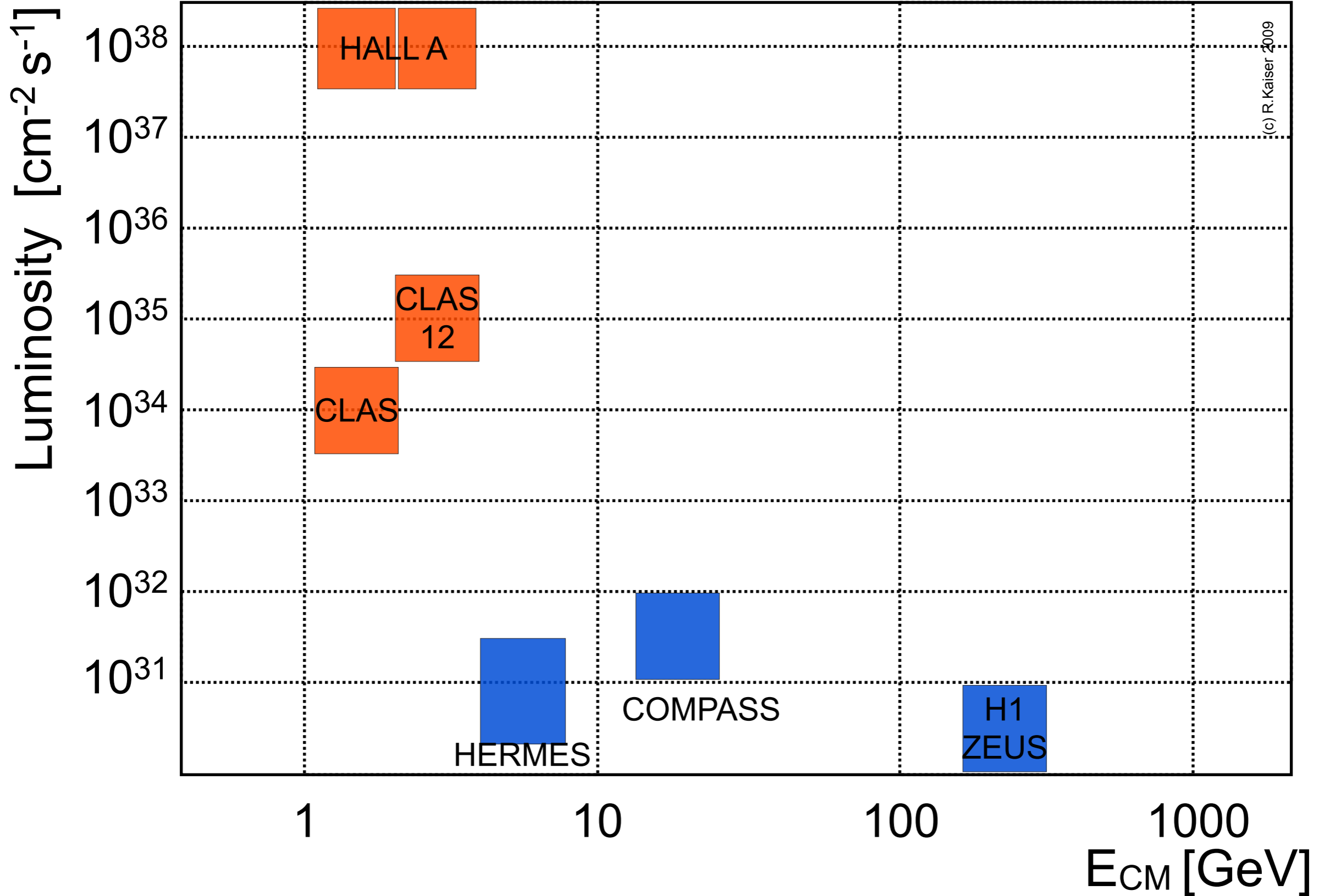


Projection for combined
beam spin and charge
asymmetry

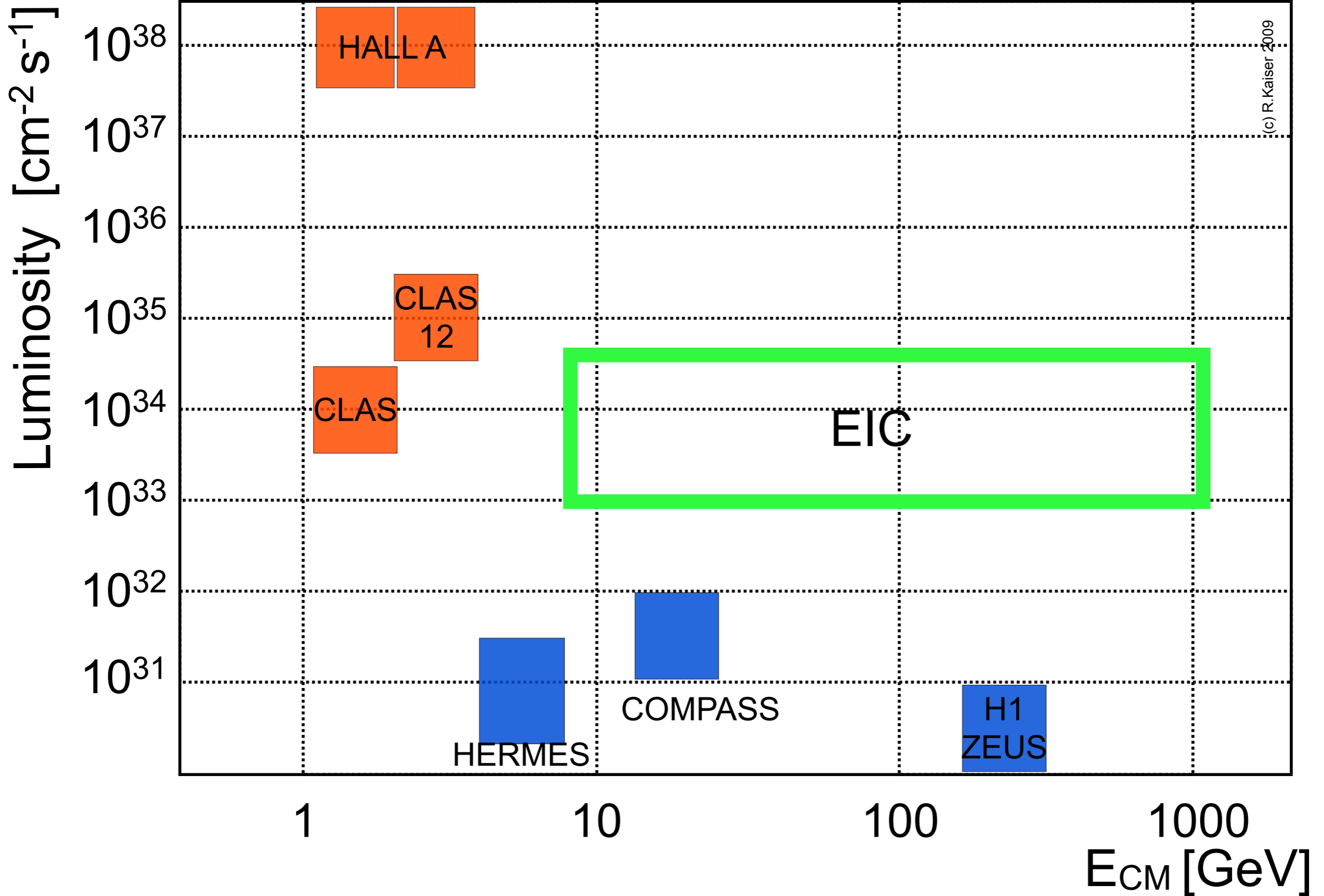
for 2 years of data
taking at 160 GeV
with
2.5 m LH2
target

2013-15

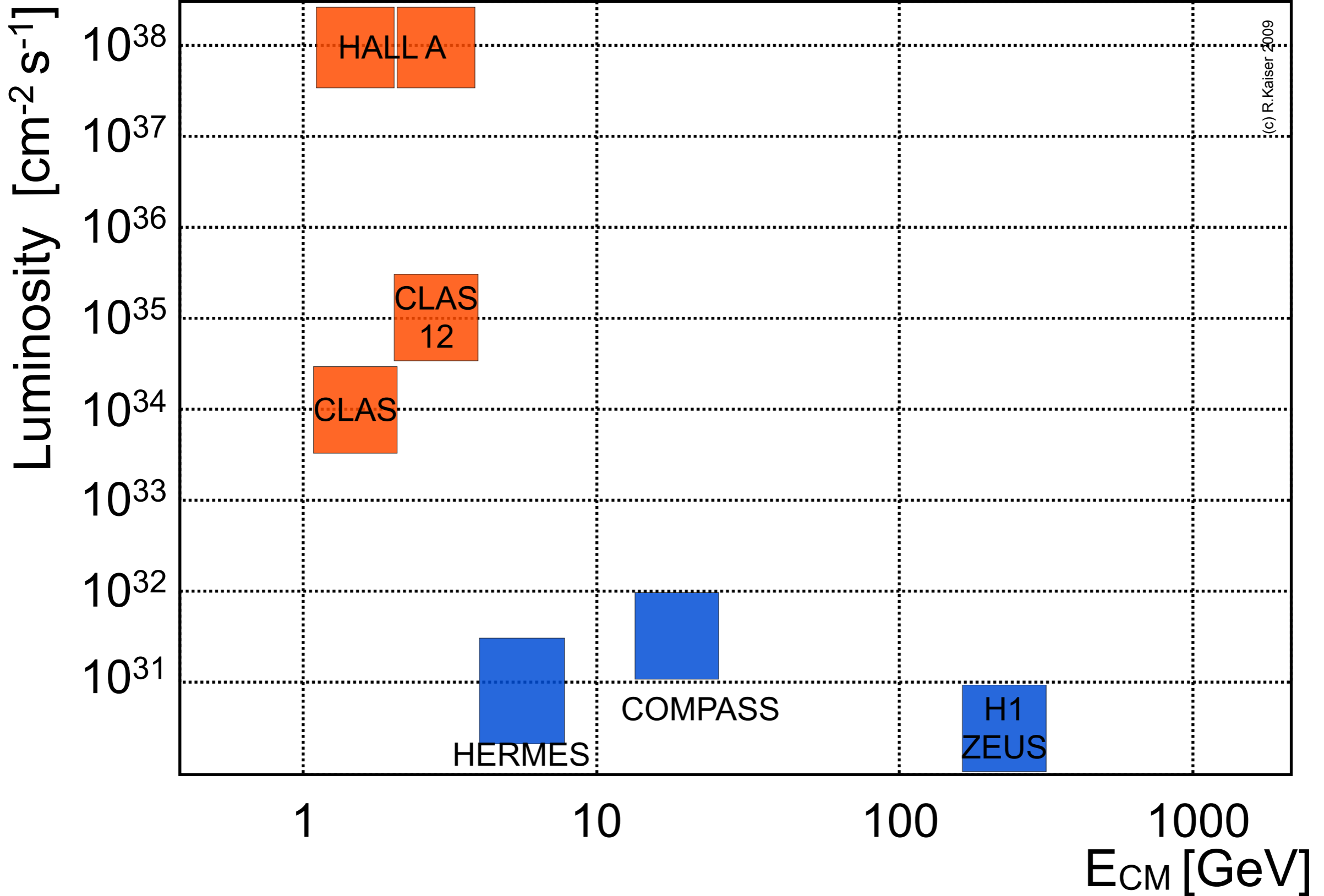




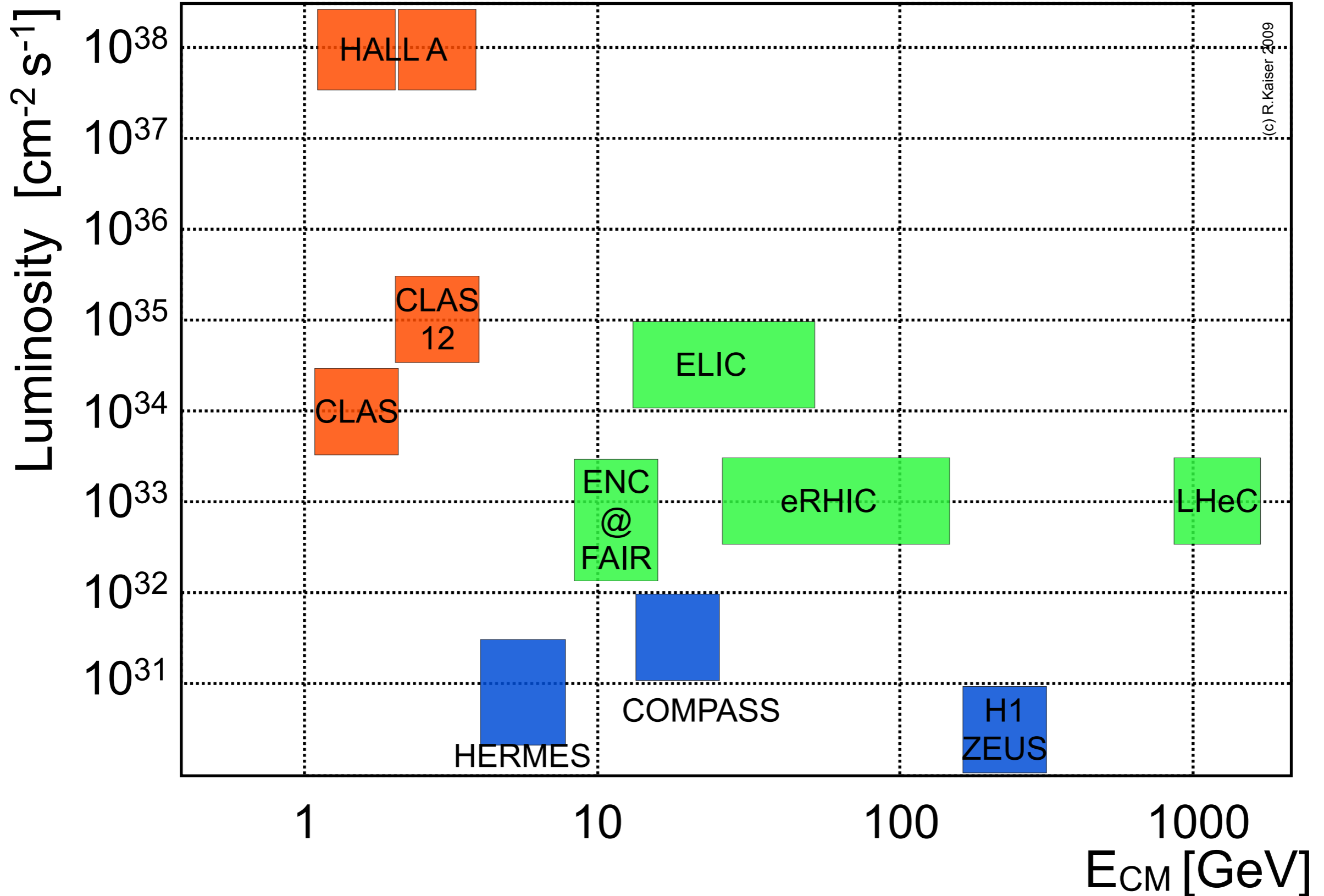
(c) R.Kaiser 2009



(c) R.Kaiser 2009



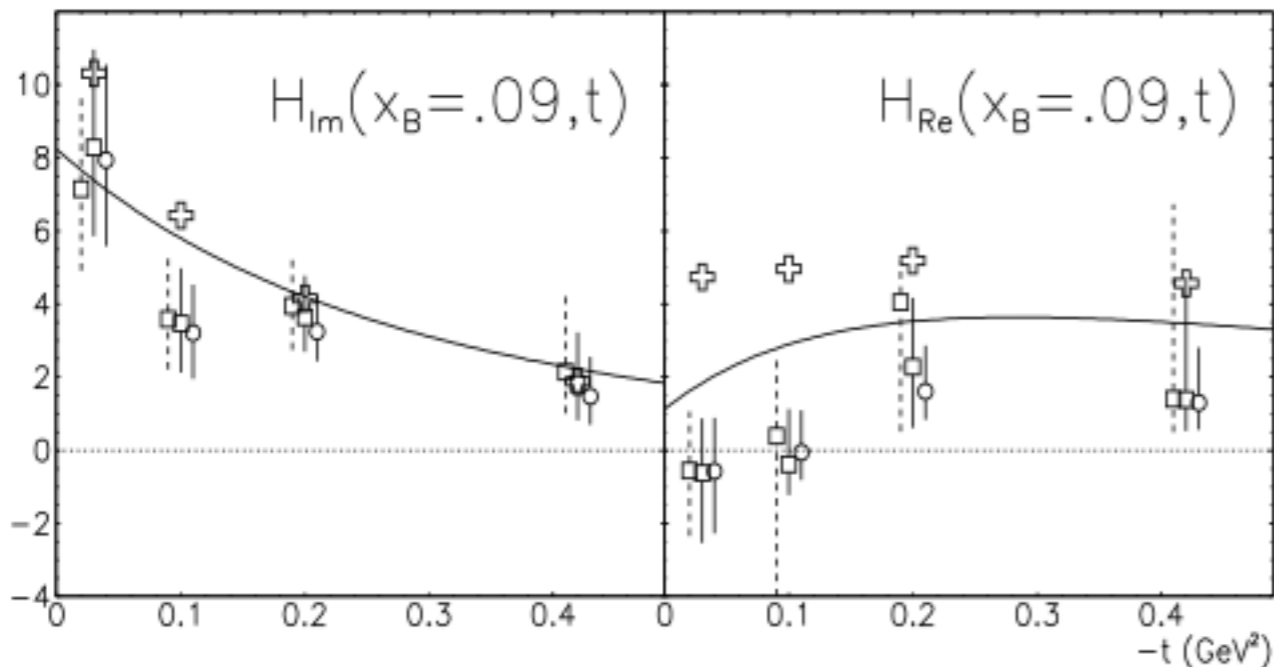
(c) R.Kaiser 2009



- Generalised Parton Distributions are promising to revolutionise our knowledge about nucleon structure and will eventually deliver a 3D picture of the proton.
- Recent and present experiments at HERMES and JLab are playing a pioneering role in this field.
- Future experiments especially at JLab after the upgrade and at COMPASS will further complete the picture.
- Ultimately, a future ep-facility with high luminosity and an energy range up to higher energies will be required to finalise the picture. This could be EIC and/or ENC.
- All of this will only be successful in the combination of experiments, lattice calculations and GPD model fits to the data.

**Thank you very
much for your
attention !**

Additional Slides



<http://arxiv.org/abs/1005.4922>

M. Guidal

New CFF Fit Result incorporating A_{UL} moments



Postulate GPDs from first principle models

$x H(x, x, t)$



<http://arxiv.org/abs/0904.0458>

Kumerički and Müller

To appear in Nucl. Phys. B

