

DVCS at HERMES

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(on behalf of the HERMES Collaboration)

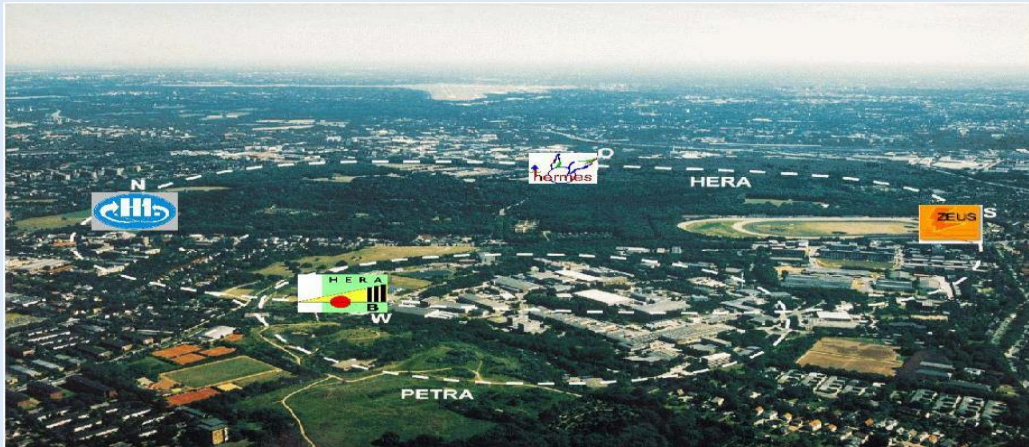
21st International Symposium on Spin Physics (SPIN14)
Beijing, China, Oct. 20-24, 2014

- HERMES experiment at HERA
- DVCS measurements and GPDs
- HERMES experiment at HERA
- DVCS: measurement of azimuthal asymmetries at HERMES
- Measurements of BSAs: use of Recoil Detector information

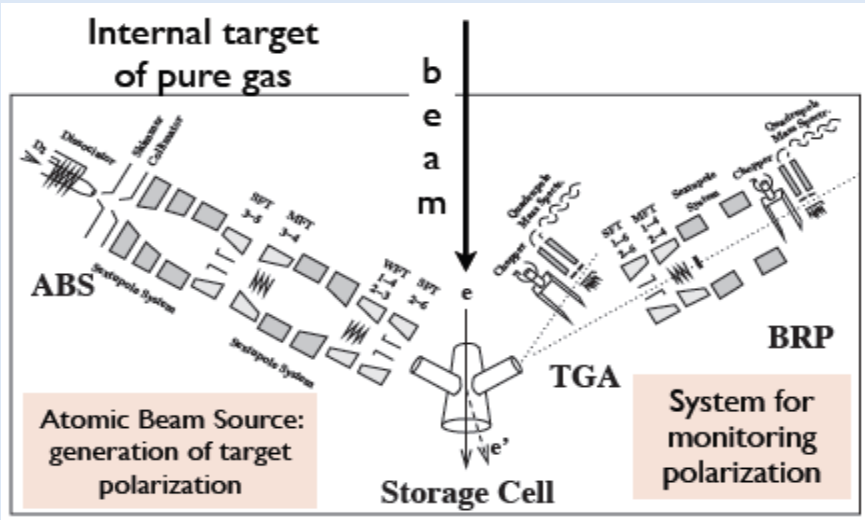
Summary



HERMES at DESY

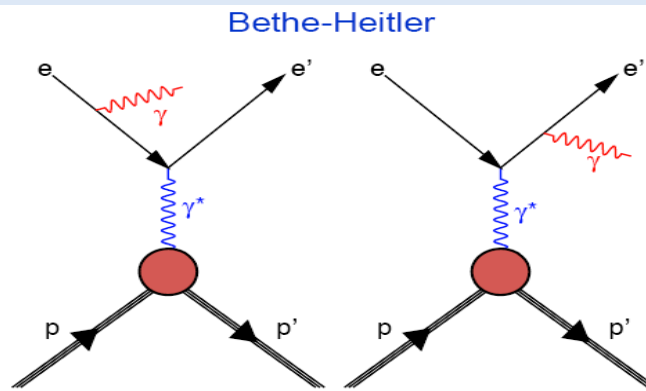
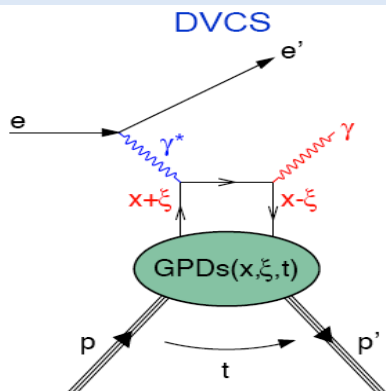


Self-polarized e^+ and e^- beams
 27.6 GeV
 Helicity switched every few months



Polarized hydrogen (Long., Trans.), deuterium (Long.)
 Polarization flipped at 60-180 s time interval
 Unpolarized *He, N, Ne, Kr, Xe*

Deeply virtual Compton scattering & GPDs

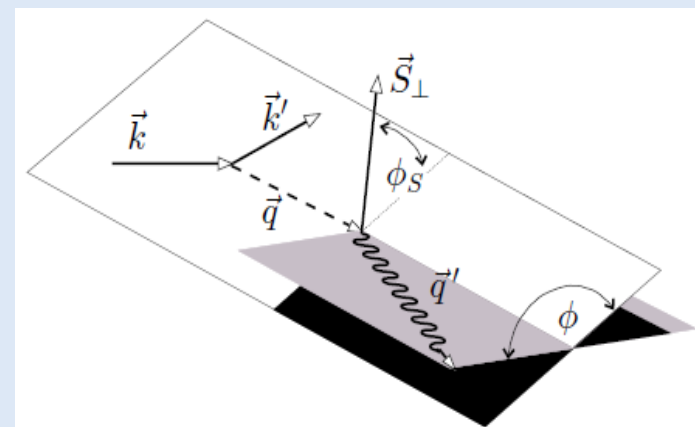
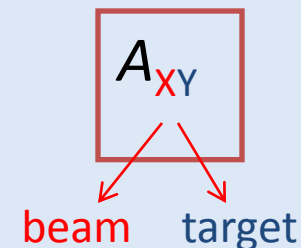


- Theoretically cleanest way to access **GPDs**
- Interference between **DVCS** and **Bethe-Heitler** amplitude
- $|\tau_{\text{DVCS}}| \ll |\tau_{\text{BH}}|$ at **HERMES**

Access to GPD combinations through azimuthal asymmetries

HERMES: Complete set of asymmetries

- Both **beam charges**
- Both **beam helicities**
- Unpolarized ^1H , ^2H , and **also nuclear** targets
- **Longitudinally polarized** ^1H and ^2H targets
- **Transversely polarized** ^1H target
- **Recoil detector**: unpolarized ^1H and ^2H



- **Beam-Charge Asymmetry**

$$\sigma(e^+, \phi) - \sigma(e^-, \phi) \propto \Re[F_1 \mathcal{H}]$$

- **Beam-Spin Asymmetry**

$$\sigma(\vec{e}, \phi) - \sigma(\vec{e}, \phi) \propto \Im[F_1 \mathcal{H}]$$

- **Longitudinal Target-Spin Asymmetry**

$$\sigma(\vec{P}, \phi) - \sigma(\vec{P}, \phi) \propto \Im[F_1 \tilde{\mathcal{H}}]$$

- **Longitudinal Double-Spin Asymmetry**

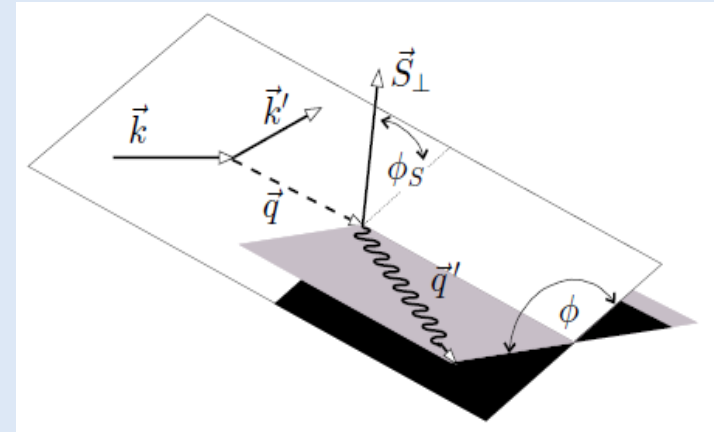
$$\sigma(\vec{P}, \vec{e}, \phi) - \sigma(\vec{P}, \vec{e}, \phi) \propto \Re[F_1 \tilde{\mathcal{H}}]$$

- **Transverse Target-Spin Asymmetry**

$$\sigma(\phi, \phi_S) - \sigma(\phi, \phi_S + \pi) \propto \Im[F_2 \mathcal{H} - F_1 \mathcal{E}]$$

- **Transverse Double-Spin Asymmetry**

$$\sigma(\vec{e}, \phi, \phi_S) - \sigma(\vec{e}, \phi, \phi_S + \pi) \propto \Re[F_2 \mathcal{H} - F_1 \mathcal{E}]$$



Compton Form Factors: convolutions of **GPDs** with hard scattering kernels

$$F(\xi, t) = \sum_q \int_{-1}^1 dx C_q^\mp(\xi, x) F^q(x, \xi, t) \longrightarrow \text{GPD}$$

DVCS without recoil detector

- Event with exactly **one** DIS-lepton and exactly one trackless cluster in the calorimeter.
- No recoil detection \rightarrow Exclusivity via missing mass: $M_X^2 = (q + P - q')^2$

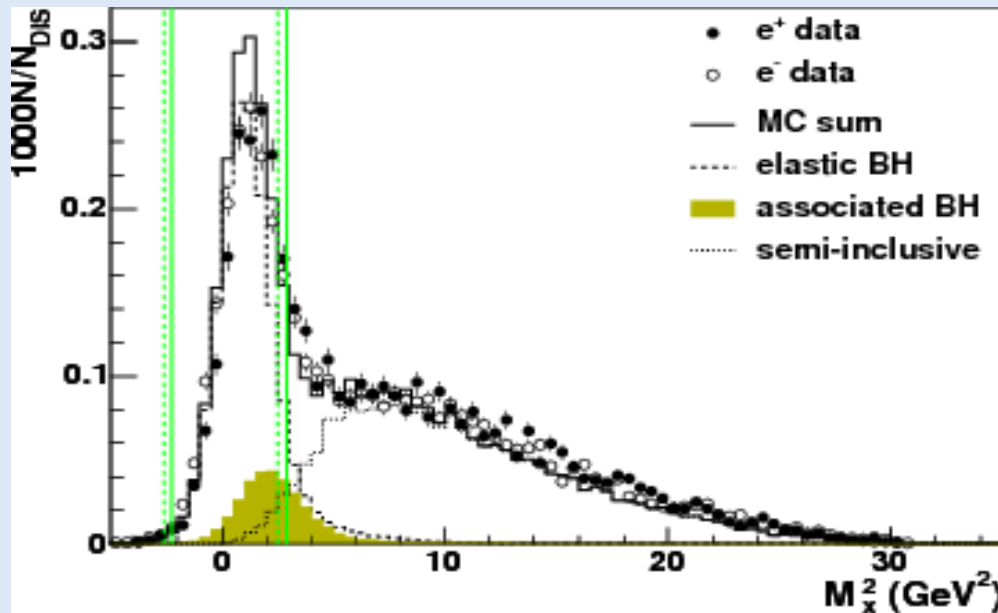
$$5 < \Theta_{\gamma^*\gamma} < 45 \text{ mrad}$$

$$-t < 0.7 \text{ GeV}^2, E_\gamma > 5 \text{ GeV}$$

$$0.03 < x_B < 0.35, 1 < Q^2 < 10 \text{ GeV}^2$$

$$W > 3 \text{ GeV}, \nu < 22 \text{ GeV}$$

MC for background and cuts,
systematic uncertainty



$$e p \rightarrow e' X \gamma$$

$e p \rightarrow e' p \gamma$; elastic BH

$e p \rightarrow e' \Delta^+ \gamma$; associated BH

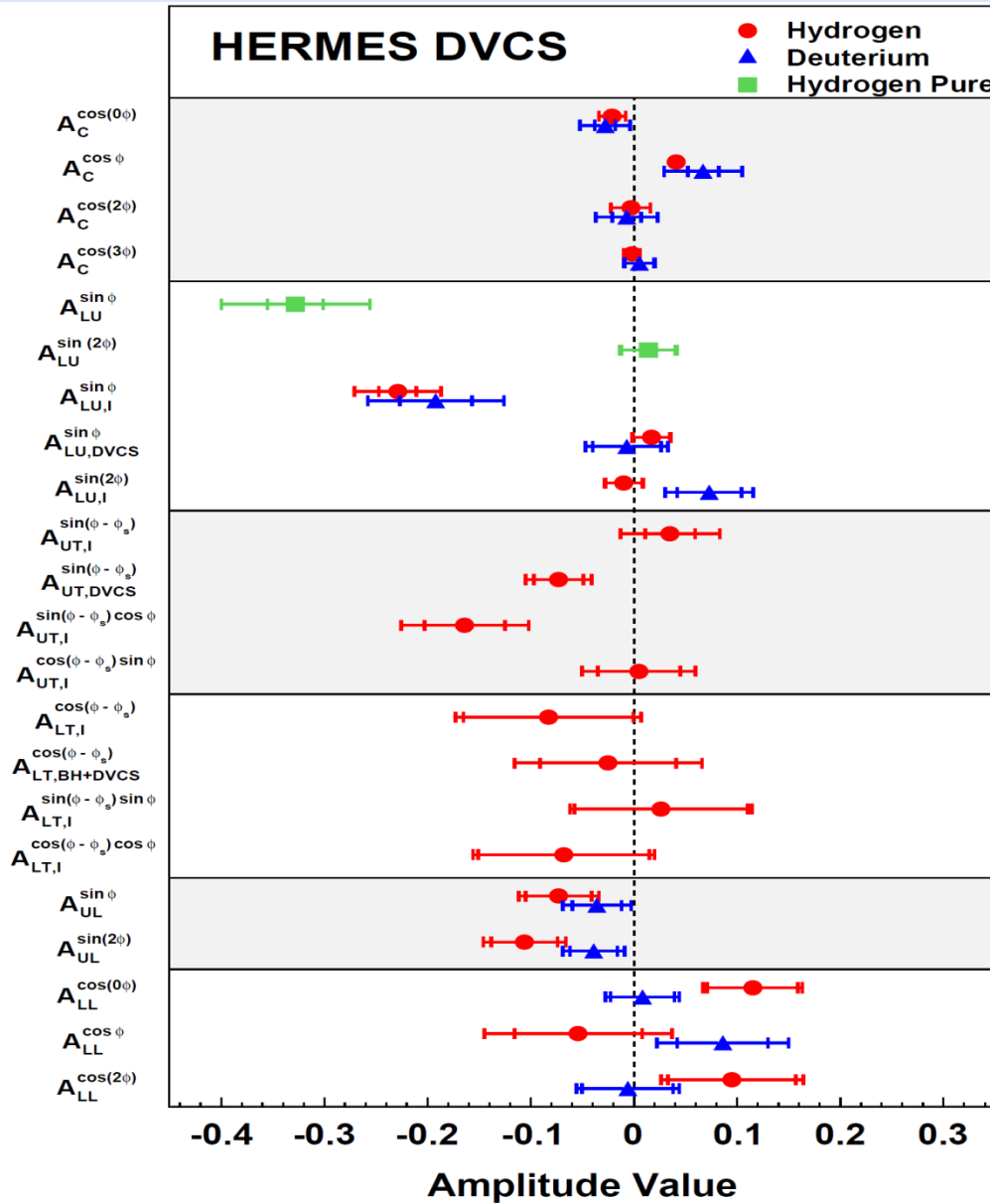
$e p \rightarrow e' \pi^0 X$; semi-inclusive

Correction; π^0 background ($\approx 3\%$)

Associated ($\approx 12\%$); **part of signal**

\rightarrow Exclusive bin ($-(1.5)^2 < M_X^2 < (1.7)^2 \text{ GeV}^2$)

DVCS asymmetries at HERMES



● Beam-charge asymmetry

GPD \tilde{H}

H: [PRL 87 \(2001\) 182001](#)

[PRD 75 \(2007\) 011103](#)

[JHEP 11 \(2009\) 083](#)

[JHEP 07 \(2012\) 032](#) [JHEP 10 \(2012\) 042](#)

D: [Nucl. Phys. B 829 \(2010\)1](#)

● Beam-spin asymmetry

GPD \tilde{H}

● Transverse target-spin asymmetry

GPD \tilde{E}

H: [JHEP 06 \(2008\) 066](#)

● Transverse double-spin asymmetry

GPD \tilde{E}

H: [Phys. Lett. B 704 \(2011\) 15](#)

● Longitudinal target spin asymmetry

GPD \tilde{H}

H: [JHEP 06 \(2010\) 019](#)

D: [Nucl. Phys. B 842 \(2011\) 265](#)

● Longitudinal double spin asymmetry

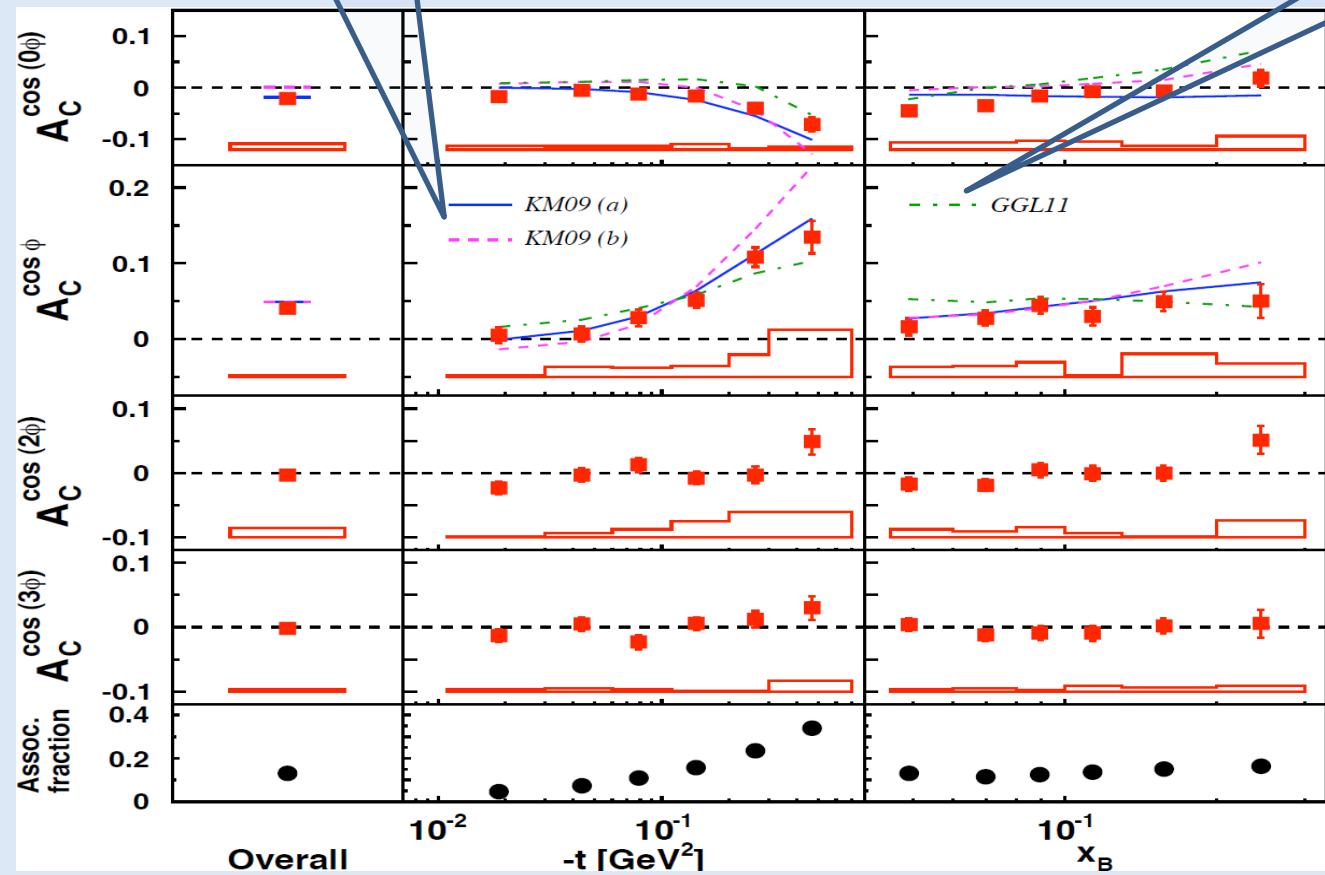
GPD \tilde{H}

Beam-charge asymmetry A_C

KM09:global fit
Including data from HERA
HERMES and Jlab
K. Kumerički, D. Müller
Nucl. Phys. **B 84** (2010) 1

JHEP 07 (2012) 032, arXiv:1203.6287

GGL11:model calculation
G. Goldstein, S. Liuti,
J. Hernandez
Phys. Rev. **D 84** 034007 (2010)



$$\propto -A_C^{\cos(\phi)}$$

$$\propto \text{Re} [F_1 \mathcal{H}]$$

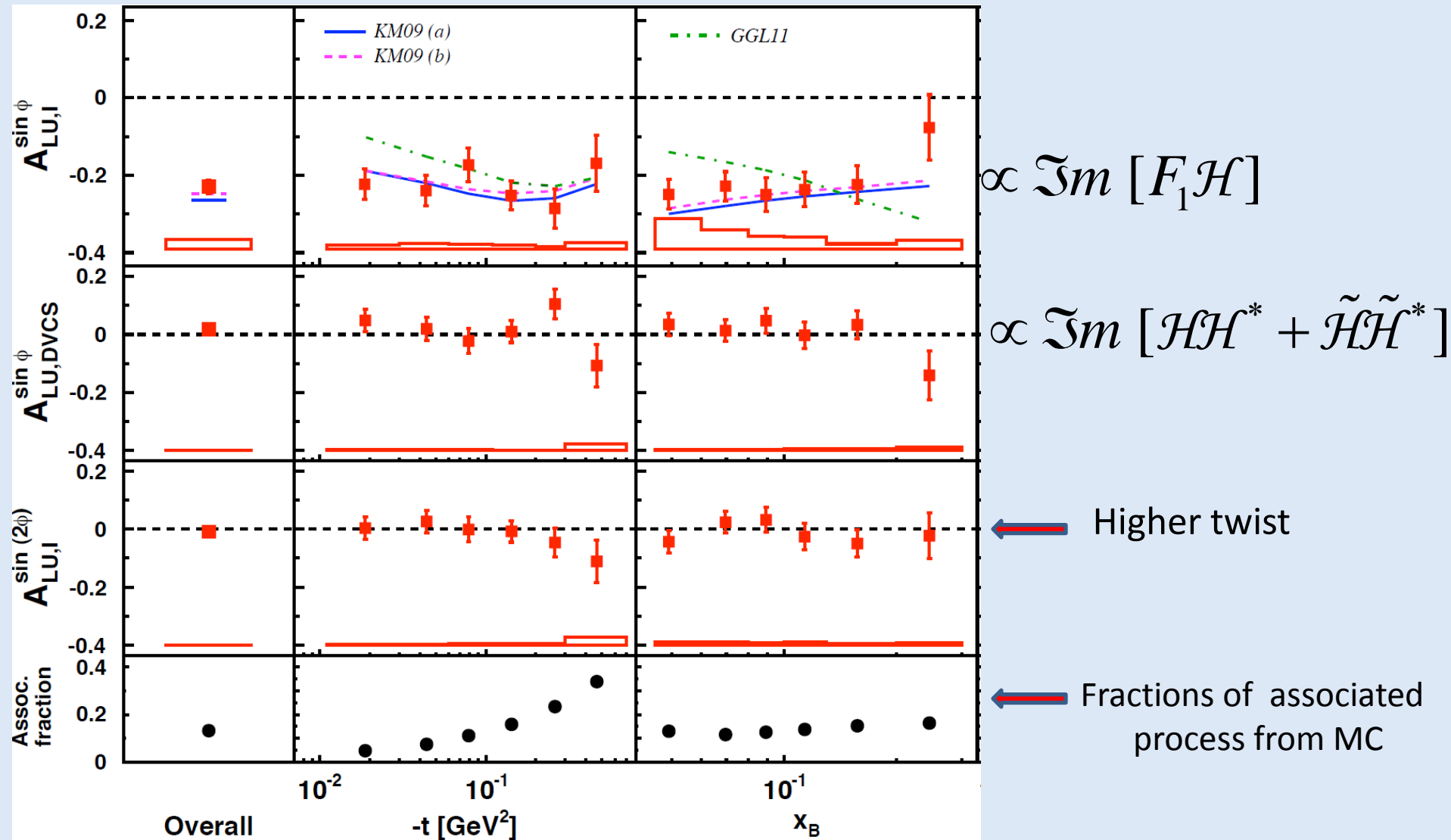
← Higher twist

← Gluon leading twist

← Fractions of associated process from MC

Beam-charge-separated asymmetries $A_{LU,I}$ & $A_{LU,DVCS}$

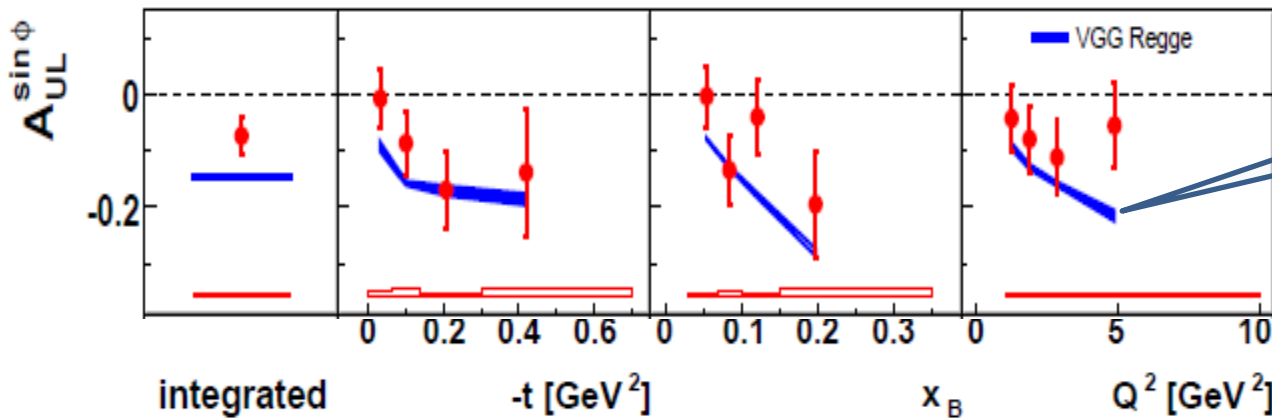
JHEP 07 (2012) 032, arXiv:1203.6287



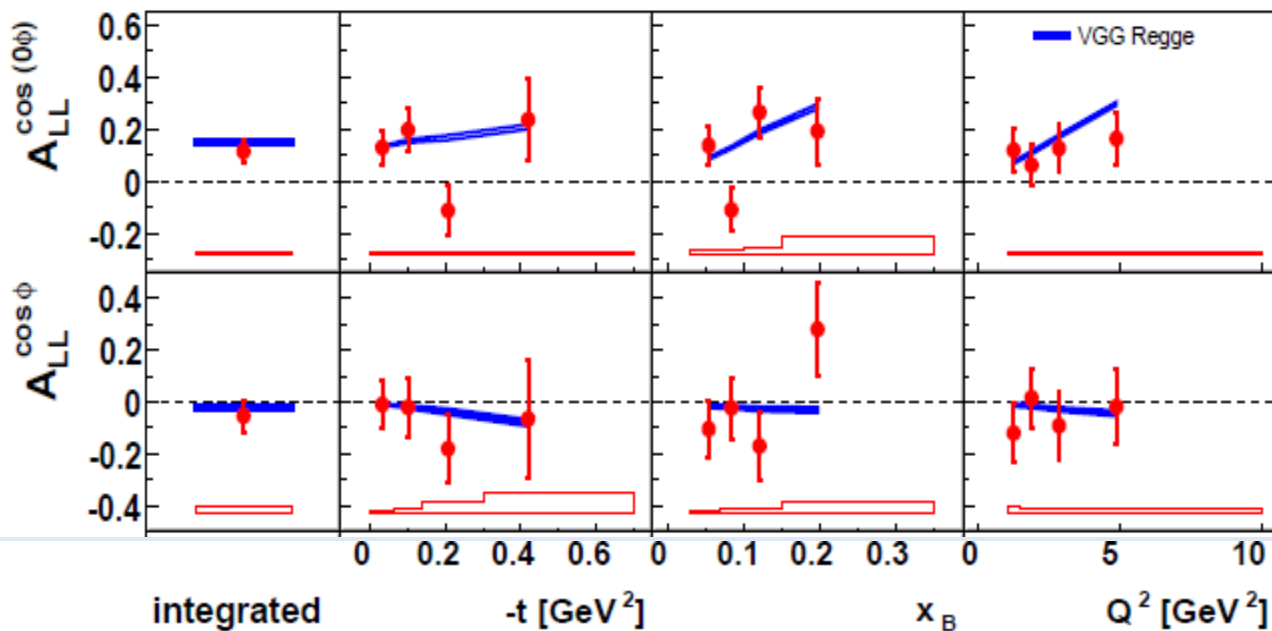
Longitudinal single- and double-spin asymmetries $A_{U(L)L}$

JHEP 06 (2010) 019, arXiv:1004.0177

VGG: model calculation
 M. Vanderhaeghen, P. Guichon,
 M. Guidal
 Phys. Rev. **D60** (1999) 0940177
 Prog. Nucl. Phys. **47** (2001) 401



$$\propto \Im [F_1 \tilde{H}]$$

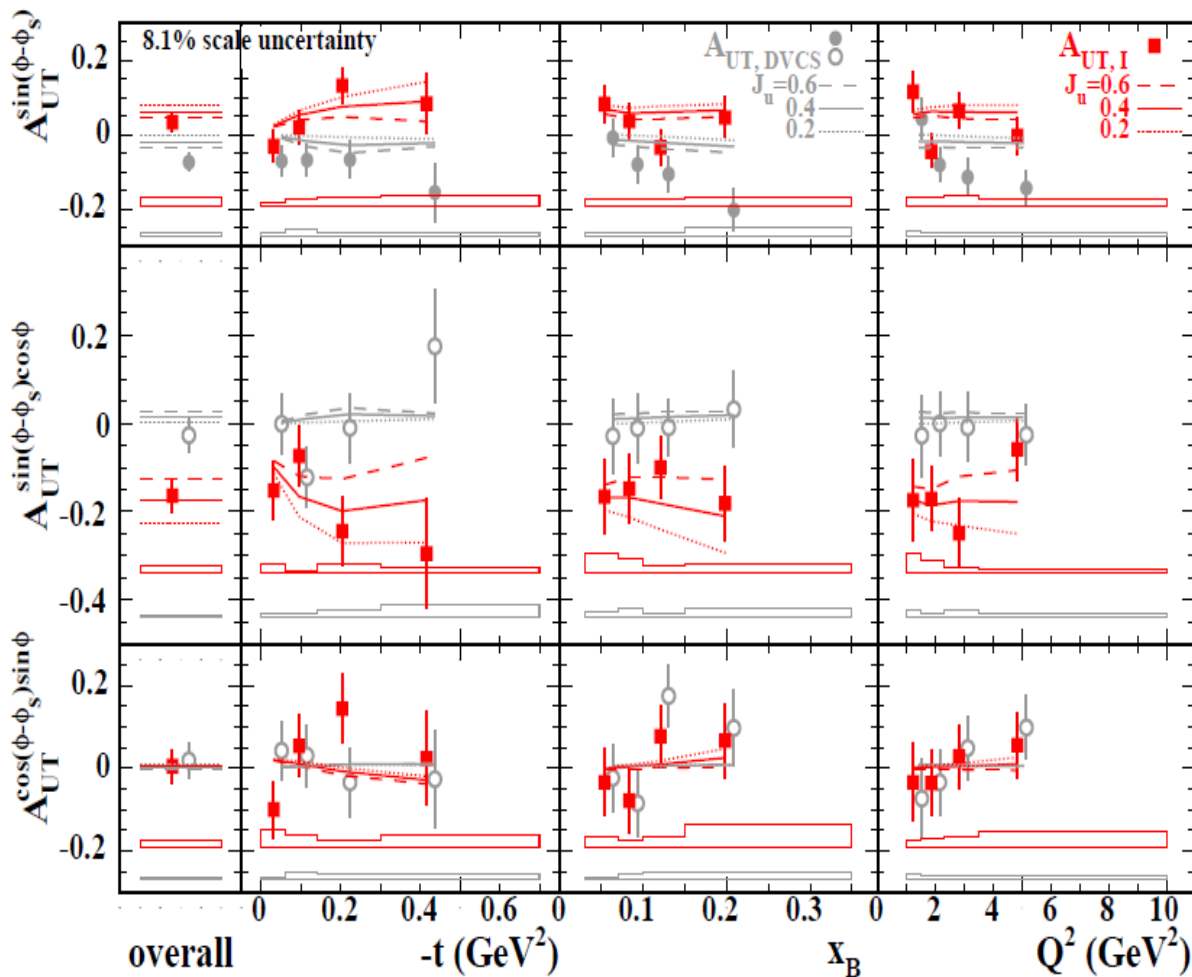


Relatively large BH
 contribution to these
 asymmetries

$$\propto \Re [F_1 \tilde{H}]$$

DVCS: Transverse target-spin asymmetry A_{UT}

Sensitive to GPD E JHEP 06 (2008) 066, arXiv:0802.2499



Sensitive to J_u

$$\propto \Im [F_2 \mathcal{H} - F_1 \mathcal{E}]$$

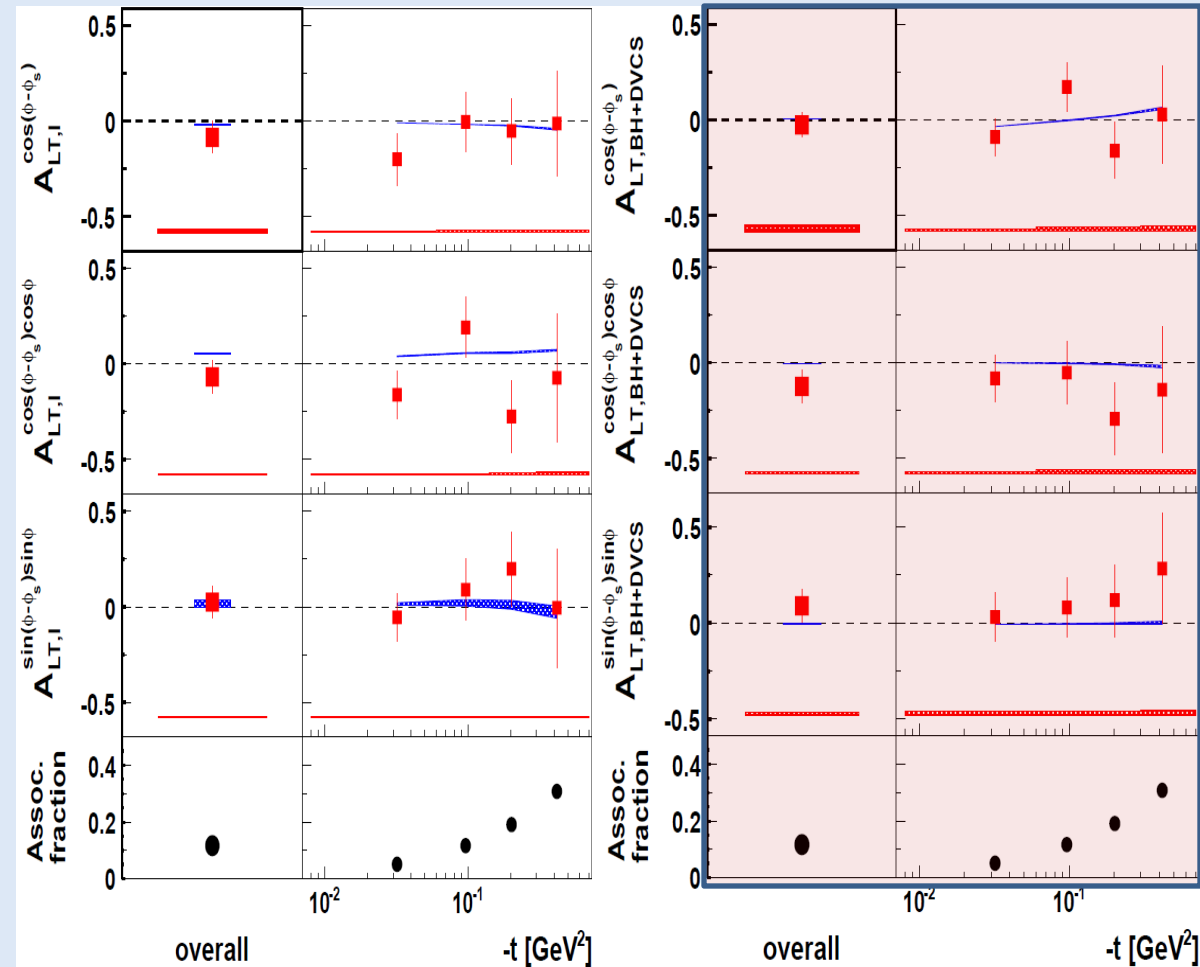
Not sensitive to J_u

$$\propto \Im [F_2 \tilde{\mathcal{H}} - (F_1 + \xi F_2) \tilde{\mathcal{E}}]$$

DVCS: Transverse double-spin asymmetry A_{LT}

Phys Lett. B704 (2011) 15, arXiv:1106.2990

Full set of data: e+/e- beams;
both helicities; target
polarization - positive/negative.



$$\propto A_{LT}^{\cos(\phi-\phi_S)\cos(\phi)}$$

$$\propto \text{Re} [F_2 \tilde{H} - (F_1 + \xi F_2) \tilde{E}]$$

$$\propto \text{Re} [\mathcal{H}E^* - \mathcal{E}H^* - \xi (\tilde{H}\tilde{E}^* - \tilde{E}\tilde{H}^*)]$$

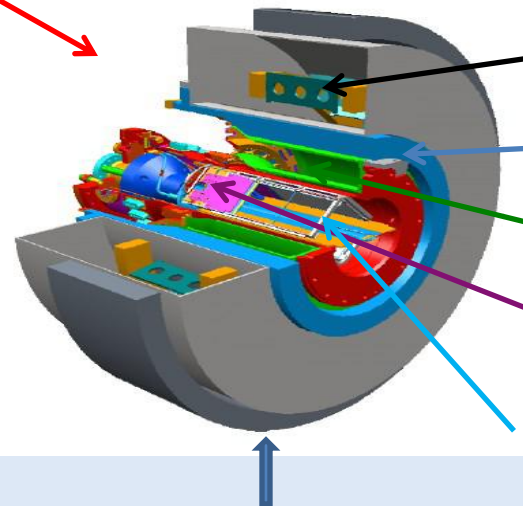
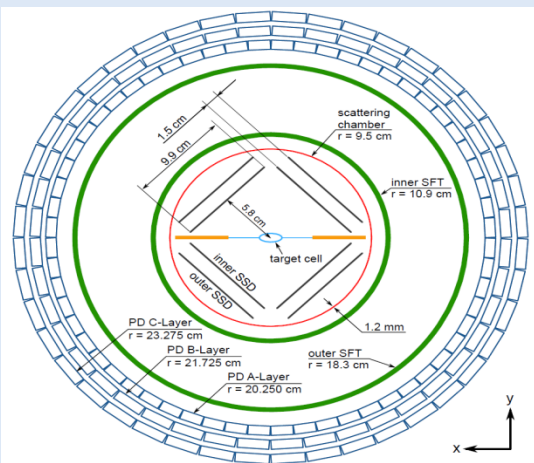
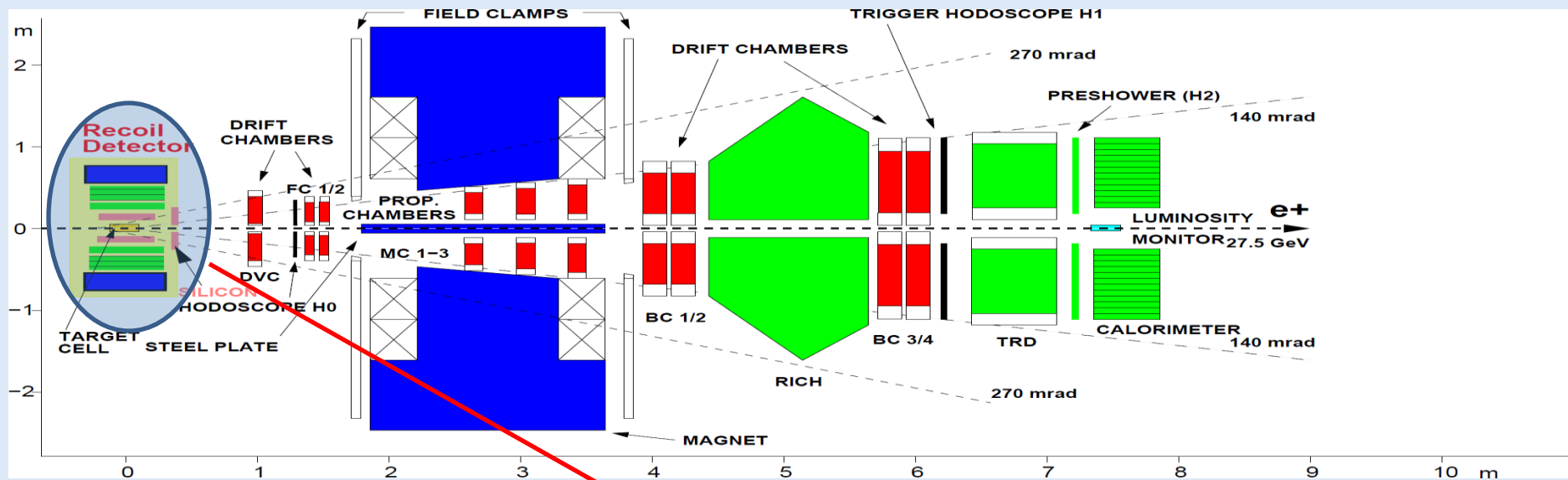
$$\propto \text{Re} [F_2 \mathcal{H} - F_1 \mathcal{E}]$$

$$\propto \text{Re} [-\tilde{H}E^* - \tilde{H}^*E + \xi (\mathcal{H}\tilde{E}^* + \tilde{E}\mathcal{H}^*)]$$

Sensitive to both GPDs
entering the Ji sum rule

Consistent with zero, cancellations between E and H
Sensitivity to J_u is suppressed by kinematic factors

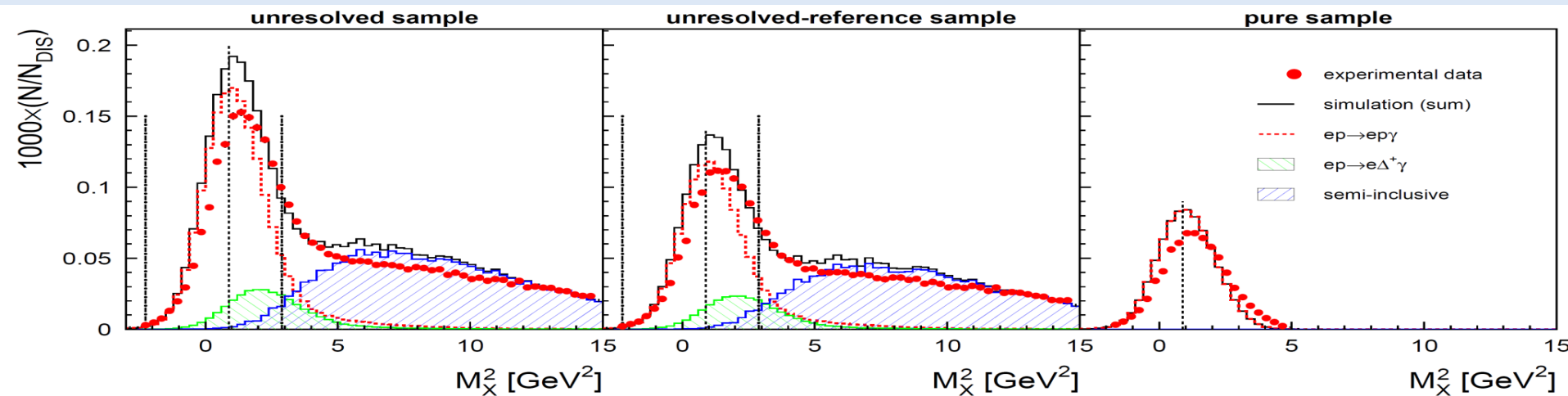
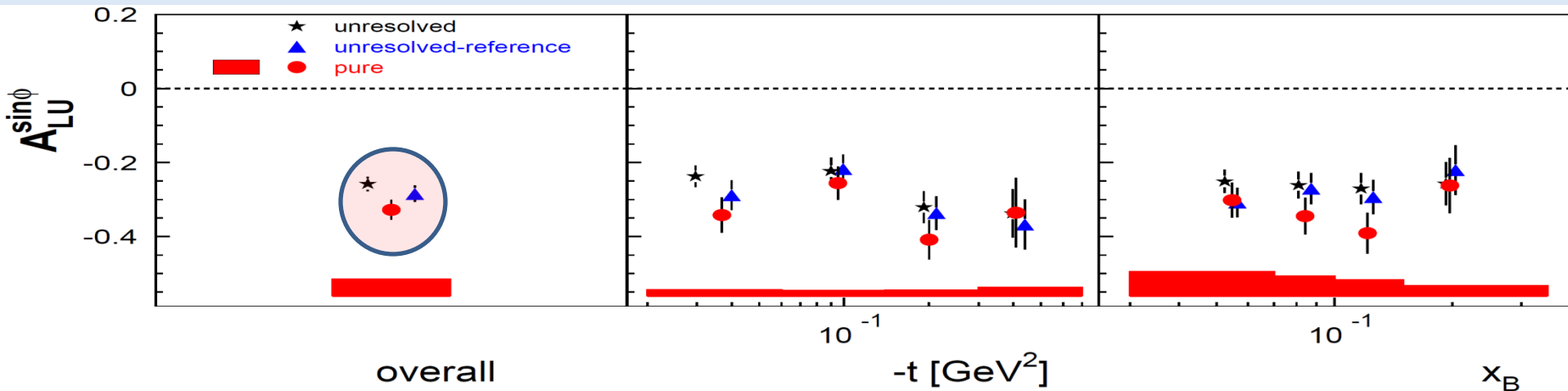
DVCS with recoil detector



- 1 T SC Solenoid
- Photon Detector
- Scintillating-Fiber Tracker
- Silicon-Strip Detector
- Unpolarized H and D targets

Recoil Detector to tag exclusivity

A. Airapetian et al., JINST B (2013) P05012

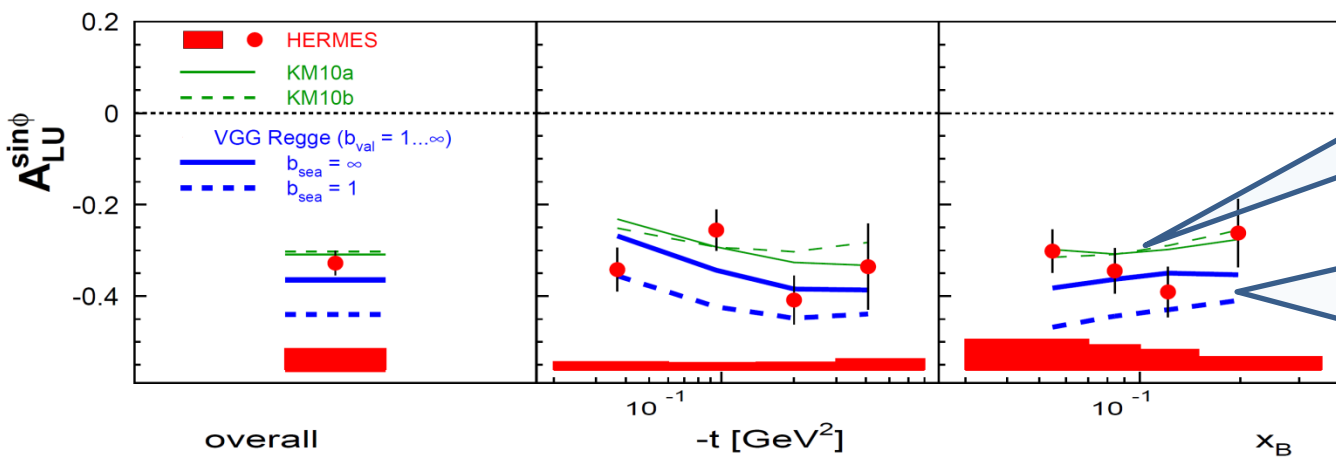


- Practically no contamination of associated process.
- Indication that leading amplitude for pure elastic process is larger (0.054 ± 0.016) than for unresolved signal (elastic+associated).

JHEP 10 (2012) 042, arXiv:1206.5683

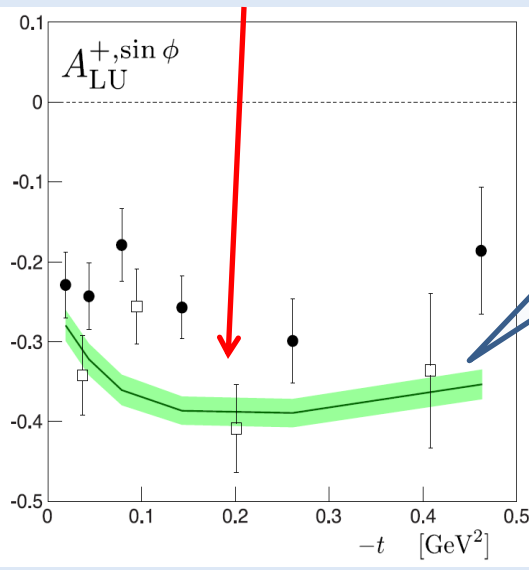
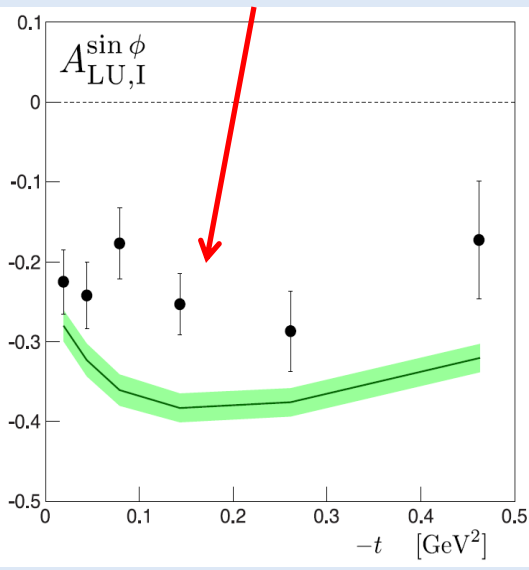
KM10: global fit
Including data from HERA
HERMES and Jlab
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Nucl. Phys. **B 84** (2010) 1

VGG: model calculation
M. Vanderhaeghen, P. Guichon,
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Prog. Nucl. Phys. **47** (2001) 401



JHEP 07 (2012) 032

JHEP 10 (2012) 042

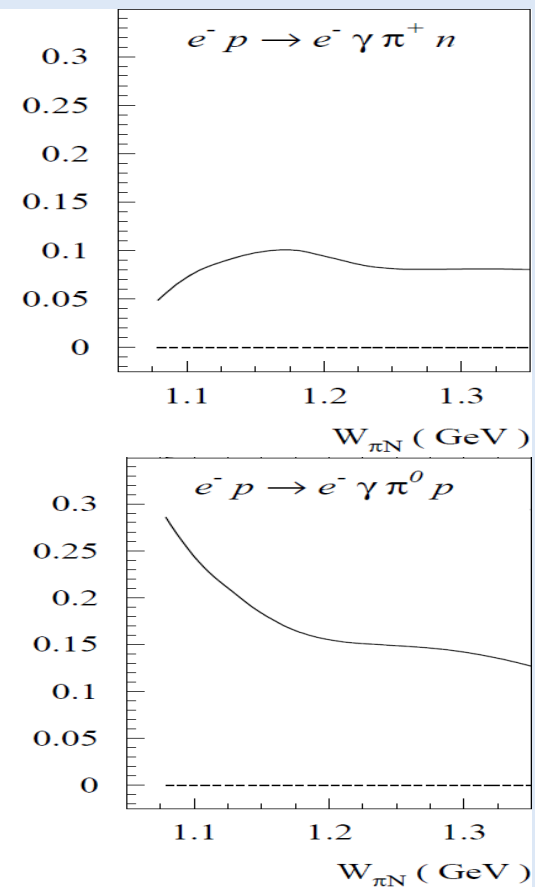
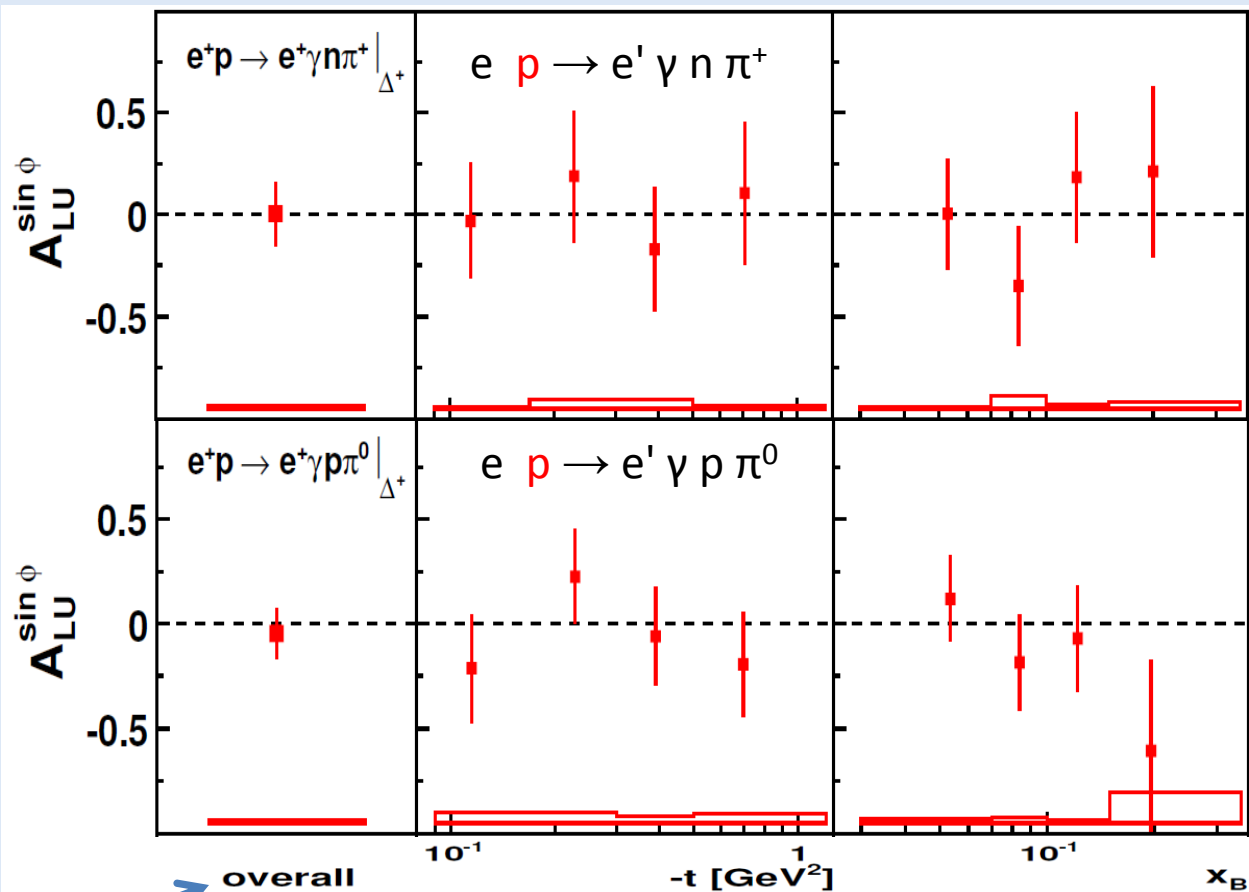


KMS: model calculation
GPDs are extracted from HEMP.
P. Kroll, H Moutarde, F. Sabatie,
Eur. Phys. J. C **73** (2001) 2278

The leading amplitude for pure elastic process is well described by recent fits to previously published data and by KMS model fit to exclusive meson data.

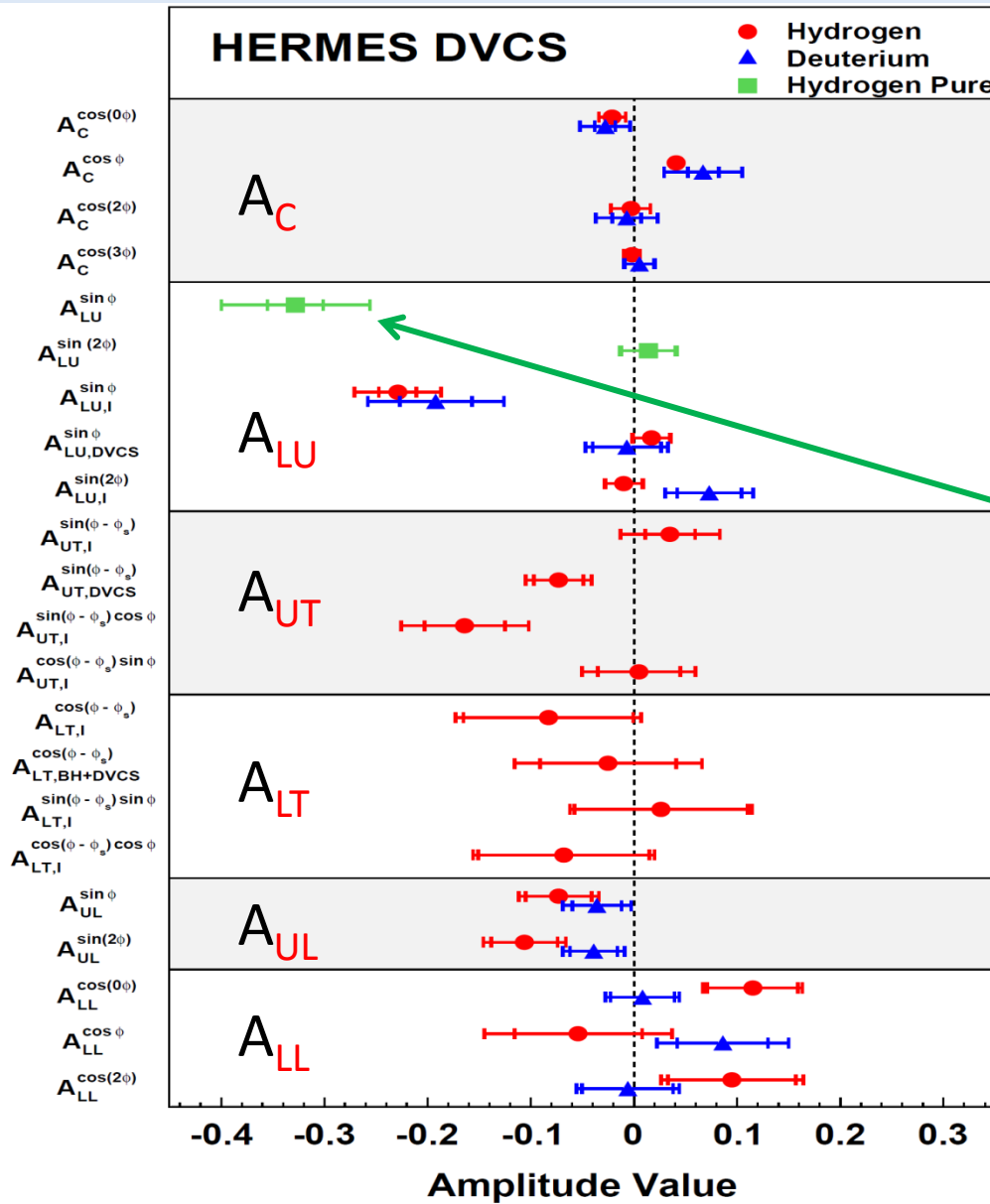
Beam-spin asymmetry in „associated“ DVCS : $ep \rightarrow e\gamma\Delta^+$

JHEP 01 (2014) 077, arXiv:1206.5683



- **Associated DVCS/BH:** ($77 \pm 2\%$ for $n\pi^+$ & $85 \pm 1\%$ for $p\pi^0$)
- **Correction: π^0 SIDIS background:** ($23 \pm 3\%$ for $p\pi^0$ & $11 \pm 1\%$ for $n\pi^+$ channel);
- **Elastic:** ($0.2 \pm 0.1\%$ for $n\pi^+$ & $4.6 \pm 0.1\%$ for $p\pi^0$)

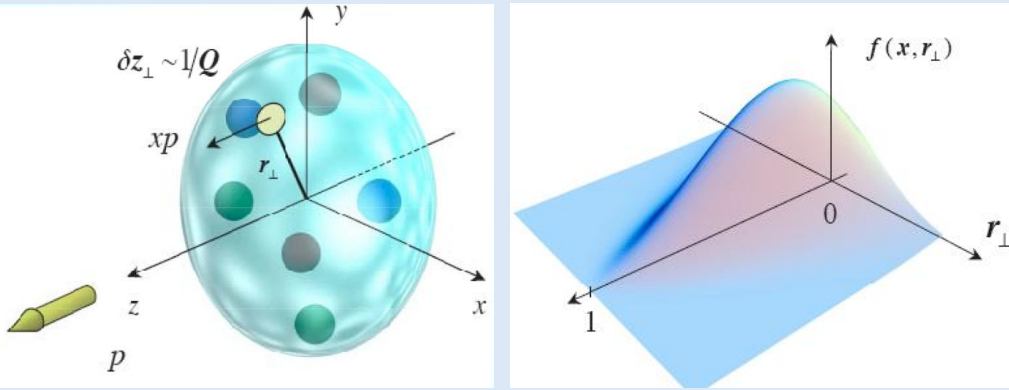
P. Guichon et al.,
PRD 68 (2003) 034018



- HERMES measured “full set” of DVCS-related asymmetries on proton and nuclear targets.
- Data with recoil-proton detection allows clean separation of DVCS/BH contribution in a signal.
- Indication of larger amplitude for pure sample.
- Associated DVCS results consistent with zero and also with model prediction.

Backup Slides

Deeply virtual Compton scattering & GPDs



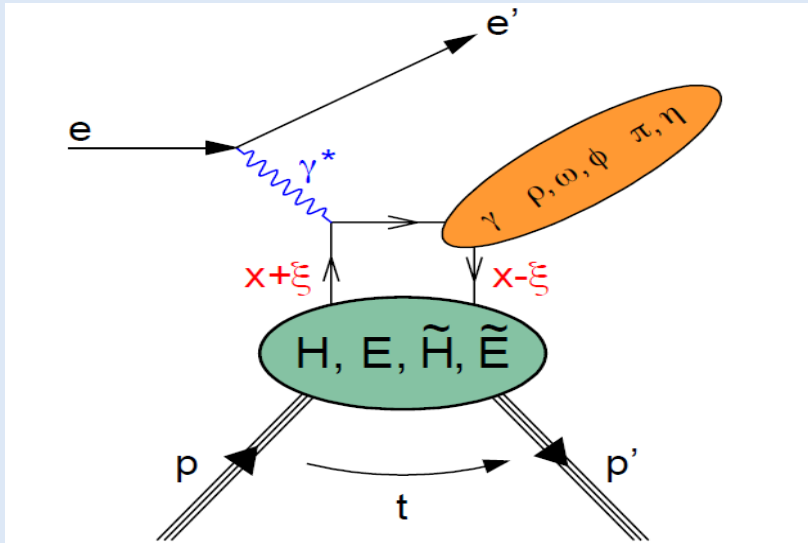
Correlated information about **longitudinal momentum $x p$** and **transverse spatial position r_{\perp}**

Ji sum rule \Rightarrow access OAM

$$J_q = \frac{1}{2} \lim_{t \rightarrow 0} \int dx x [H^q(x, \xi, t) + E^q(x, \xi, t)]$$

$$\frac{1}{2} = \frac{1}{2} \Delta \Sigma + L_q + J_q$$

H^q and E^q : quark **G**eneralized **P**arton **D**istributions (**GPDs**)



Spin-1/2 target: 4 chiral-even leading-twist quark **GPDs** $H, E, \tilde{H}, \tilde{E}$

Final state sensitive to different **GPDs**

DVCS (γ) $H, E, \tilde{H}, \tilde{E}$

Vector mesons (ρ, ω, ϕ) H, E ,

Pseudoscalar mesons (π, η) \tilde{H}, \tilde{E}

Azimuthal dependences in DVCS

Unpolarized **proton** target

$$\frac{d^4\sigma}{dQ^2 dx_B dt d\phi} \propto \left(|\tau_{\text{BH}}|^2 + |\tau_{\text{DVCS}}|^2 + \text{I} \right)$$

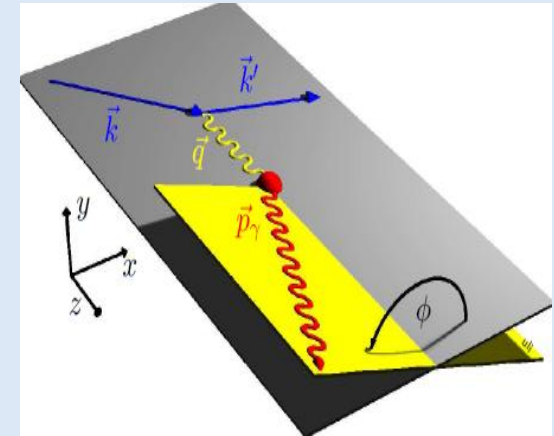
$$|\tau_{\text{BH}}|^2 = \frac{K_{\text{BH}}}{\mathcal{P}_1(\phi)\mathcal{P}_2(\phi)} \sum_{n=0}^2 \boxed{C_n^{\text{BH}}} \cos(n\phi)$$

$$|\tau_{\text{DVCS}}|^2 = K_{\text{DVCS}} \left\{ \sum_{n=0}^2 \boxed{C_n^{\text{DVCS}}} \cos(n\phi) + \sum_{n=1}^2 \boxed{S_n^{\text{DVCS}}} \sin(n\phi) \right\}$$

$$\text{I} = -\frac{e_l K_{\text{I}}}{\mathcal{P}_1(\phi)\mathcal{P}_2(\phi)} \left\{ \sum_{n=0}^3 \boxed{C_n^{\text{I}}} \cos(n\phi) + \sum_{n=1}^3 \boxed{S_n^{\text{I}}} \sin(n\phi) \right\}$$

Fourier coefficients are related to certain linear or bi-linear combinations of **Compton Form Factors (CFFs)**:

$$\boxed{F(\xi, t)} = \sum_q \int_{-1}^1 dx C_q^{\mp}(\xi, x) \boxed{F^q(x, \xi, t)} \longrightarrow \boxed{\text{GPD}}$$

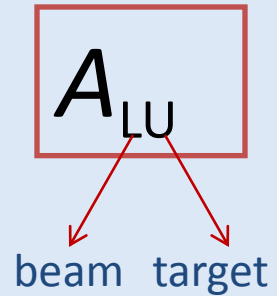


Azimuthal asymmetries in DVCS off unpolarized targets

$$\sigma_{LU}(\phi, P_l, e_l) = \sigma_{UU} [1 + e_l A_C(\phi) + e_l P_l A_{LU}^I(\phi) + P_l A_{LU}^{DVCS}(\phi)]$$

Charge-difference beam-helicity asymmetry:

$$A_{LU}^I(\phi) = \frac{(\sigma^{+\rightarrow} - \sigma^{+\leftarrow}) - (\sigma^{-\rightarrow} - \sigma^{-\leftarrow})}{(\sigma^{+\rightarrow} + \sigma^{+\leftarrow}) + (\sigma^{-\rightarrow} + \sigma^{-\leftarrow})} = -\frac{1}{D(\phi)} \frac{x_B}{y} \sum_{n=1}^2 S_n^I \sin(n\phi)$$



Charge-averaged beam-helicity asymmetry:

$$A_{LU}^{DVCS}(\phi) = \frac{(\sigma^{+\rightarrow} - \sigma^{+\leftarrow}) + (\sigma^{-\rightarrow} - \sigma^{-\leftarrow})}{(\sigma^{+\rightarrow} + \sigma^{+\leftarrow}) + (\sigma^{-\rightarrow} + \sigma^{-\leftarrow})} = \frac{1}{D(\phi)} \cdot \frac{x_B^2 t \mathcal{P}_1(\phi) \mathcal{P}_2(\phi)}{Q^2} S_1^{DVCS} \sin(\phi)$$

Beam-Charge asymmetry:

$$A_C(\phi) = \frac{(\sigma^{+\rightarrow} + \sigma^{+\leftarrow}) - (\sigma^{-\rightarrow} + \sigma^{-\leftarrow})}{(\sigma^{+\rightarrow} + \sigma^{+\leftarrow}) + (\sigma^{-\rightarrow} + \sigma^{-\leftarrow})} = -\frac{1}{D(\phi)} \frac{x_B}{y} \sum_{n=0}^3 C_n^I \cos(n\phi)$$

- Measurement with both **beam helicity** and both beam charges
 → **separate** contributions from DVCS and Interference term
- This **separation** is impossible in measurements of single-charge beam-helicity asymmetry $A_{LU}(\phi) = (\sigma^{\rightarrow} - \sigma^{\leftarrow}) / (\sigma^{\rightarrow} + \sigma^{\leftarrow})$

Asymmetries on longitudinally polarized targets

Single-charge target-spin asymmetry (Hydrogen/Deuterium):

$$A_{UL}(\phi, e_l) = \frac{[\sigma^{\rightarrow\rightarrow}(\phi, e_l) + \sigma^{\leftarrow\rightarrow}(\phi, e_l)] - [\sigma^{\rightarrow\leftarrow}(\phi, e_l) + \sigma^{\leftarrow\leftarrow}(\phi, e_l)]}{[\sigma^{\rightarrow\rightarrow}(\phi, e_l) + \sigma^{\leftarrow\rightarrow}(\phi, e_l)] + [\sigma^{\rightarrow\leftarrow}(\phi, e_l) + \sigma^{\leftarrow\leftarrow}(\phi, e_l)]}$$

Single-charge double-spin asymmetry (Hydrogen/Deuterium):

$$A_{LL}(\phi, e_l) = \frac{[\sigma^{\rightarrow\rightarrow}(\phi, e_l) + \sigma^{\leftarrow\leftarrow}(\phi, e_l)] - [\sigma^{\leftarrow\rightarrow}(\phi, e_l) + \sigma^{\rightarrow\leftarrow}(\phi, e_l)]}{[\sigma^{\rightarrow\rightarrow}(\phi, e_l) + \sigma^{\leftarrow\leftarrow}(\phi, e_l)] + [\sigma^{\leftarrow\rightarrow}(\phi, e_l) + \sigma^{\rightarrow\leftarrow}(\phi, e_l)]}$$

Single-charge beam-helicity asymmetry (Deuterium):

$$A_{L\leftarrow}(\phi, e_l) = \frac{[\sigma^{\rightarrow\rightarrow}(\phi, e_l) + \sigma^{\rightarrow\leftarrow}(\phi, e_l)] - [\sigma^{\leftarrow\rightarrow}(\phi, e_l) + \sigma^{\leftarrow\leftarrow}(\phi, e_l)]}{[\sigma^{\rightarrow\rightarrow}(\phi, e_l) + \sigma^{\rightarrow\leftarrow}(\phi, e_l)] + [\sigma^{\leftarrow\rightarrow}(\phi, e_l) + \sigma^{\leftarrow\leftarrow}(\phi, e_l)]}$$

Single-helicity (\leftarrow) beam-charge asymmetry (Deuterium):

$$A_{C\leftarrow}(\phi) = \frac{[\sigma^{+\rightarrow}(\phi) + \sigma^{+\leftarrow}(\phi)] - [\sigma^{-\rightarrow}(\phi) + \sigma^{-\leftarrow}(\phi)]}{[\sigma^{+\rightarrow}(\phi) + \sigma^{+\leftarrow}(\phi)] + [\sigma^{-\rightarrow}(\phi) + \sigma^{-\leftarrow}(\phi)]}$$

Deuterium (Hydrogen): unpolarized target

JHEP 11 (2009) 083

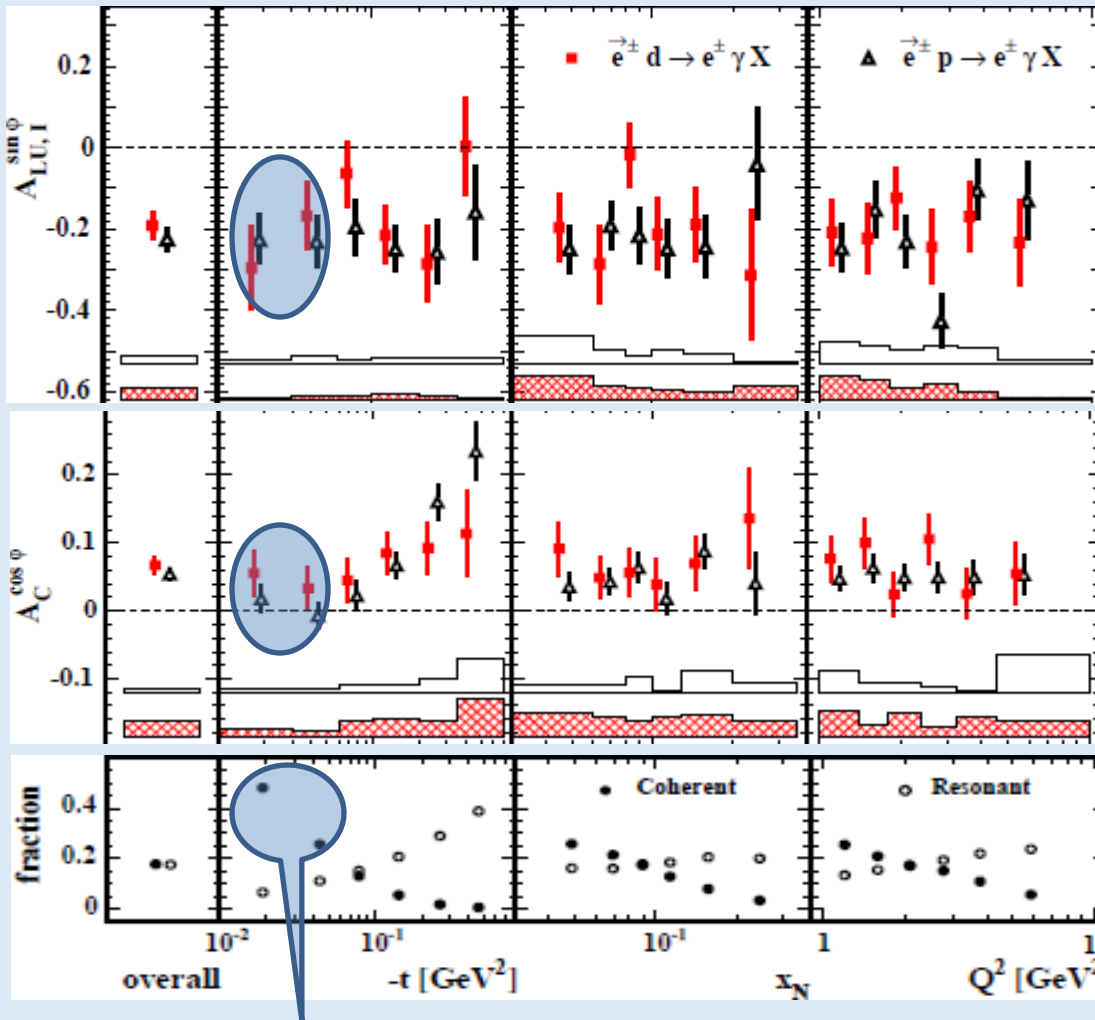
Nucl. Phys. B 829 (2010) 1

$\Im m(\mathcal{H})$

$\Im m(\mathcal{H}_1)$

$\Re e(\mathcal{H})$

$\Re e(\mathcal{H}_1)$

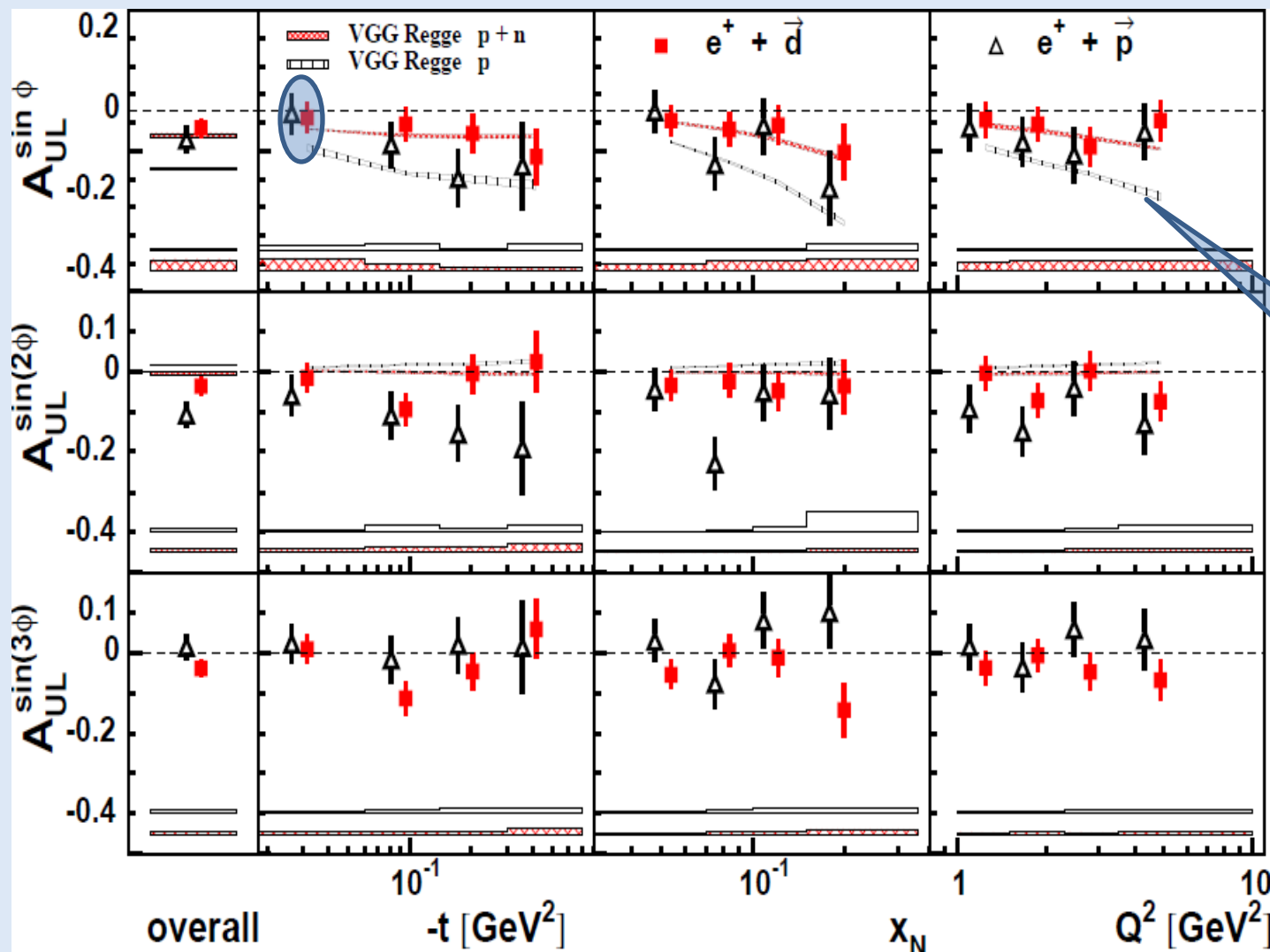


- $A_{LU,I,Coh}^{\sin \phi} = -0.29 \pm 0.18 \text{ (stat)} \pm 0.03 \text{ (syst)}$
- $A_{C,Coh}^{\cos \phi} = 0.11 \pm 0.07 \text{ (stat)} \pm 0.03 \text{ (syst)}$

Deuterium (Hydrogen): target-spin asymmetry

JHEP 11 (2009) 083

Nucl. Phys. B 842 (2011) 265



$\Im m(\tilde{H})$

$\Im m(\tilde{H}_1)$

VGG:

Phys. Rev. D60
(1999) 0940177

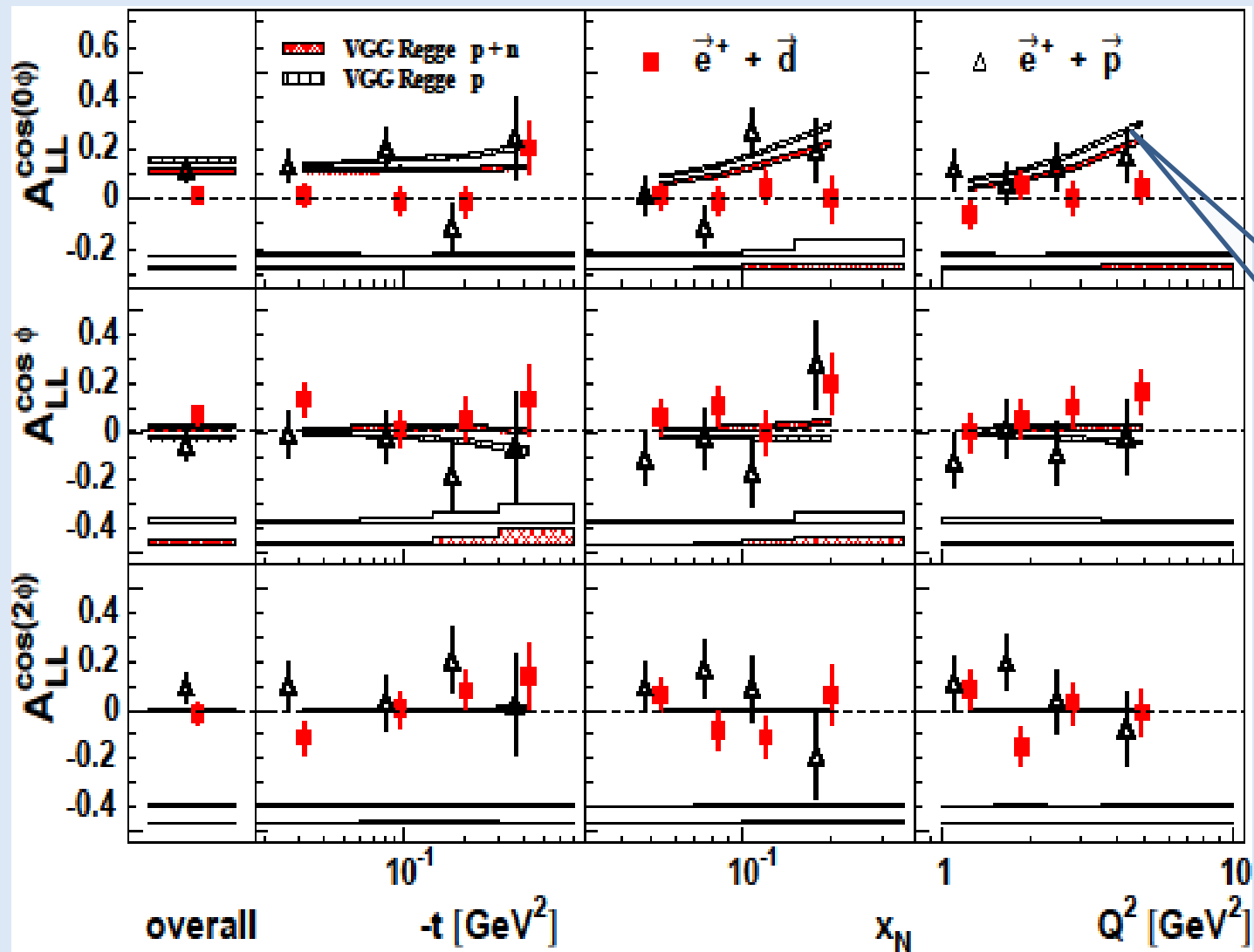
&

Prog. Nucl. Phys.
47 (2001) 401

Deuterium (Hydrogen): double-spin asymmetry

JHEP 11 (2009) 083

Nucl. Phys. B 842 (2011) 265

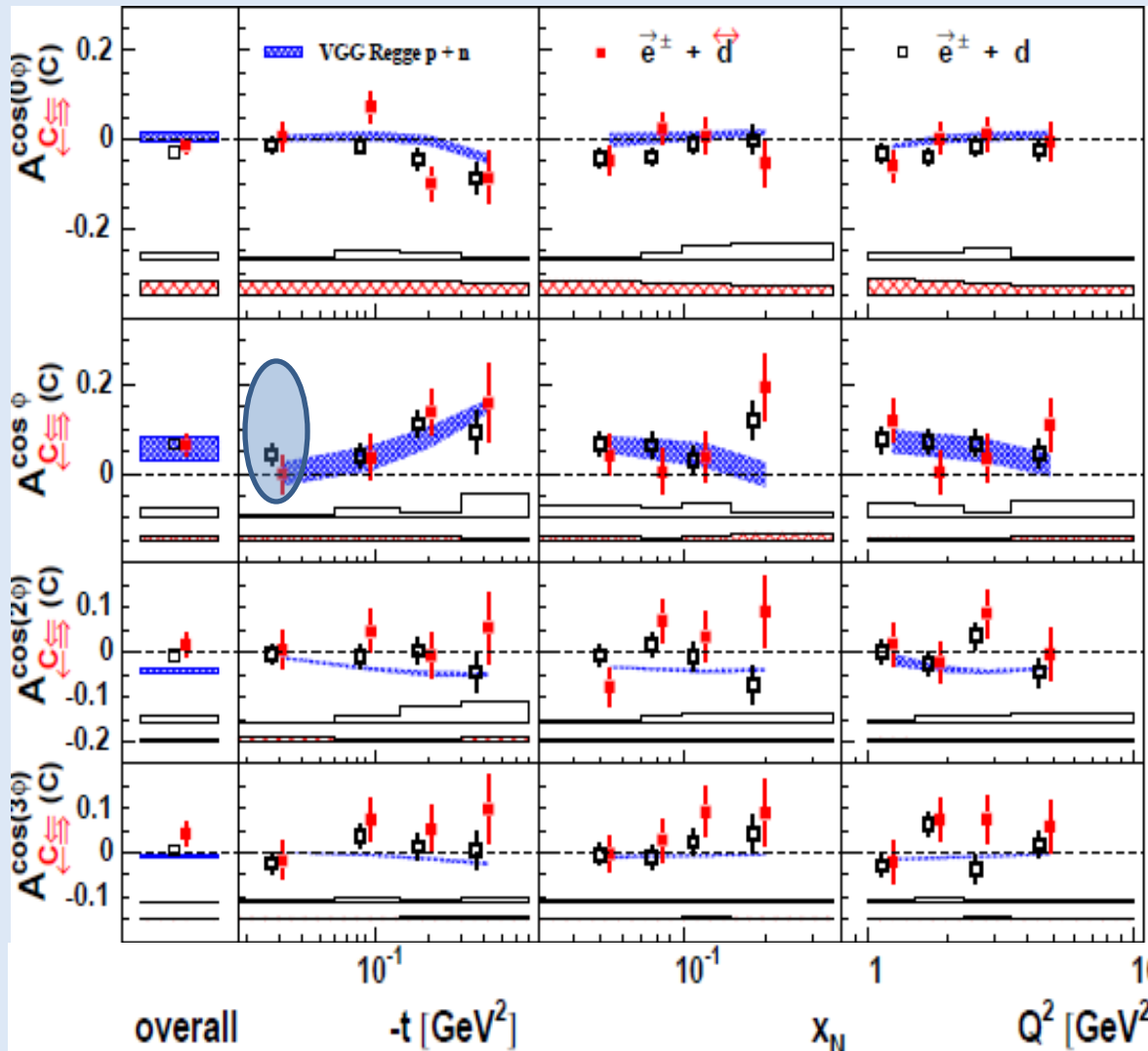


$\propto (BH)$

VGG:
 Phys. Rev. D60
 (1999) 0940177
 &
 Prog. Nucl. Phys.
 47 (2001) 401

$A_C (A_{C\leftrightarrow})$ on (un)polarized Deuterium

Nucl. Phys. B 842 (2011) 265



For coherent scattering

$$\Re(\mathcal{H}_1)$$

$$\Re(\mathcal{H}_1 - \frac{1}{3}\mathcal{H}_5)$$

$$\Im(\mathcal{H}_5)$$

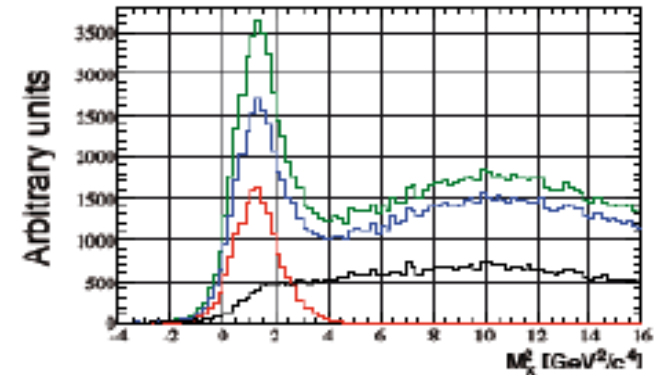
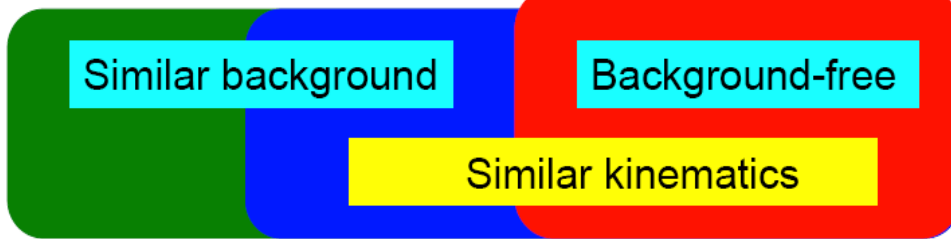
$A_{LZZ} \sin \phi$ amplitude:
 $0.074 \pm 0.196 \pm 0.022$
 ($-t < 0.06 \text{ GeV}^2$, 40% coherent)

DVCS with recoil detector

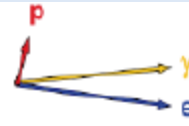
Without Recoil Detector

In Recoil Detector acceptance

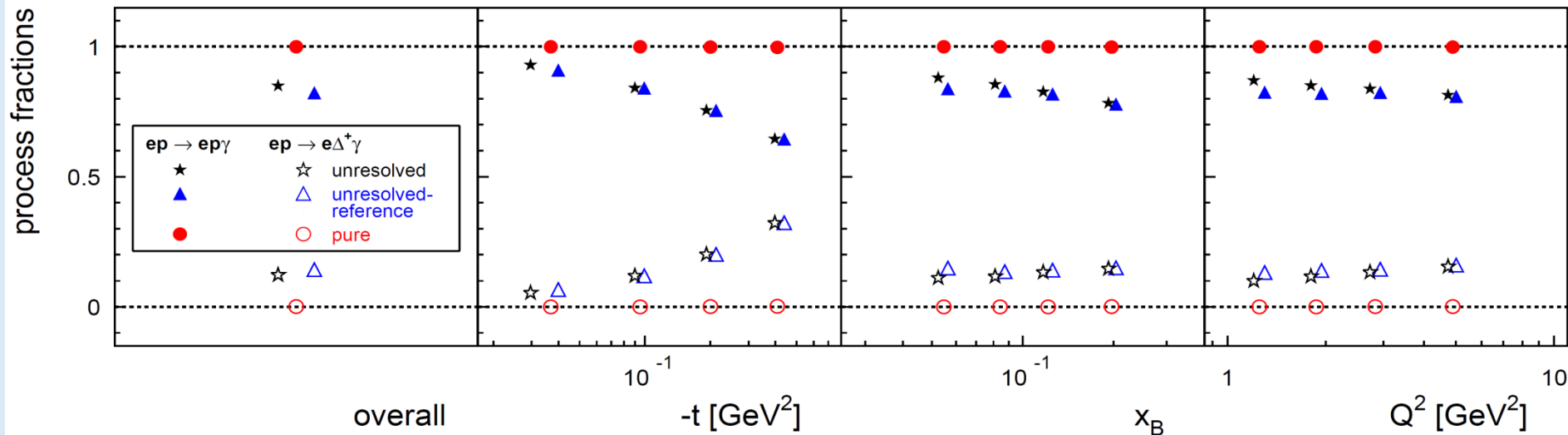
With Recoil Detector



Kinematic event fitting technique: all 3 particles
In the final state detected should satisfy
4-constraints on energy-momentum conservation



- No requirement for Recoil
- Charged recoil track in acceptance
- Kinematic fit probability > 1 %
- Kinematic fit probability < 1 %



Missing mass distribution: exclusivity with RD

Without Recoil Detector

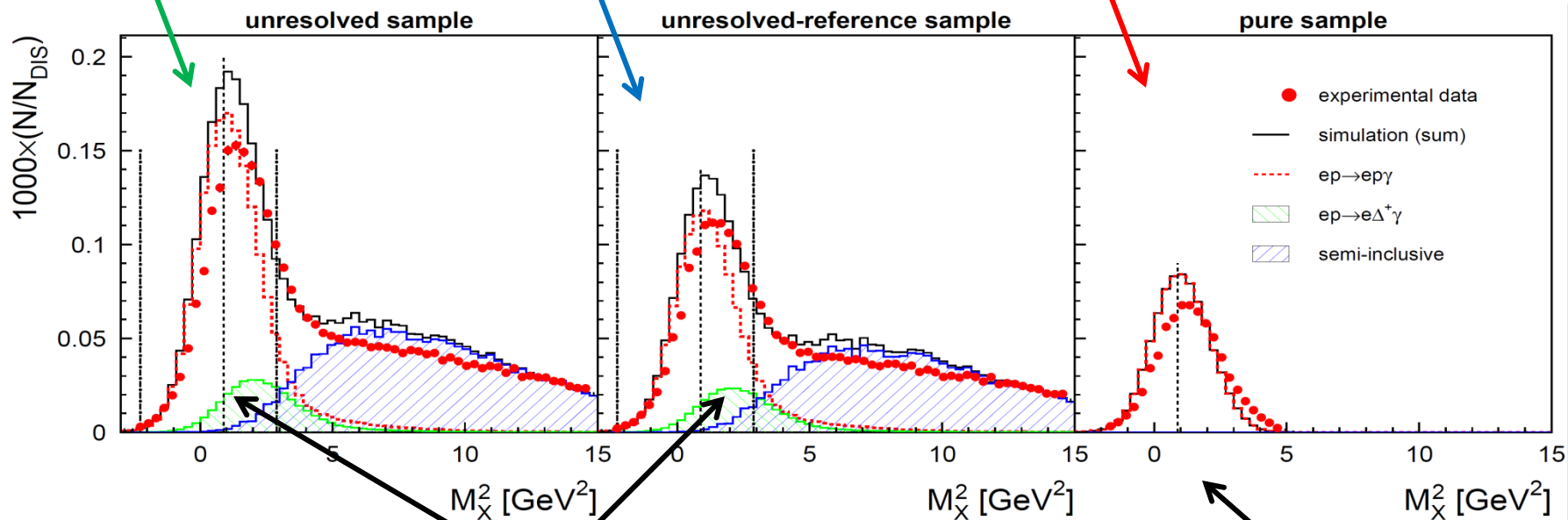
In Recoil Detector acceptance

With Recoil Detector

Similar background

Background-free

Similar kinematics



Associated processes ($e p \rightarrow e' \gamma \Delta^+$)

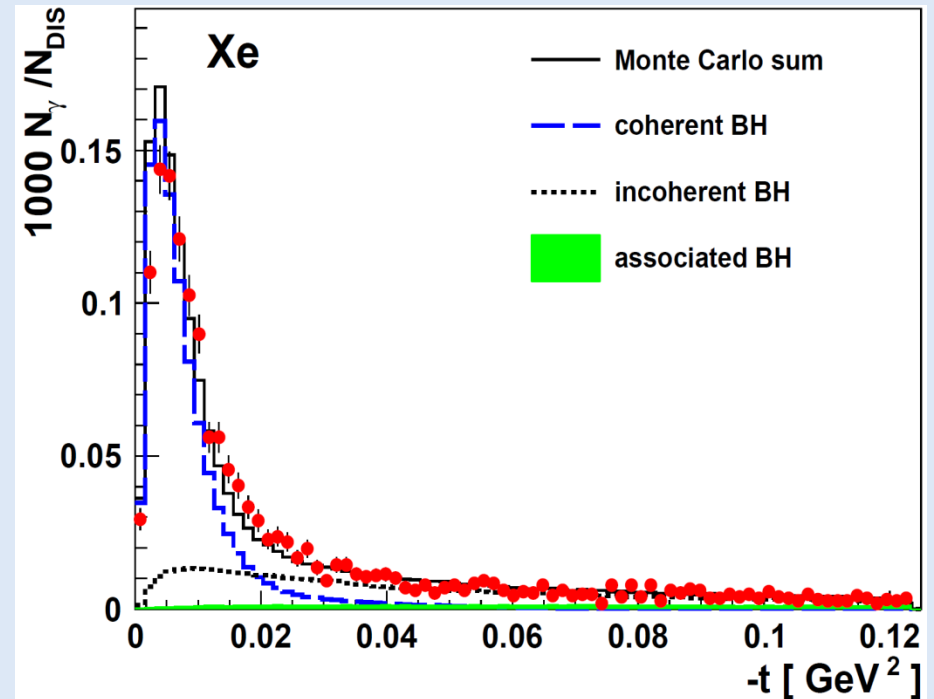
Pure $e p \rightarrow e' \gamma p$

$$\text{Missing mass: } M_X^2 = (q + P - q')^2 = M^2 + 2M(v - E_\gamma + t)$$

Beam-charge /spin asymmetries on heavier nuclei

Phys. Rev. C 81 (2010) 035202

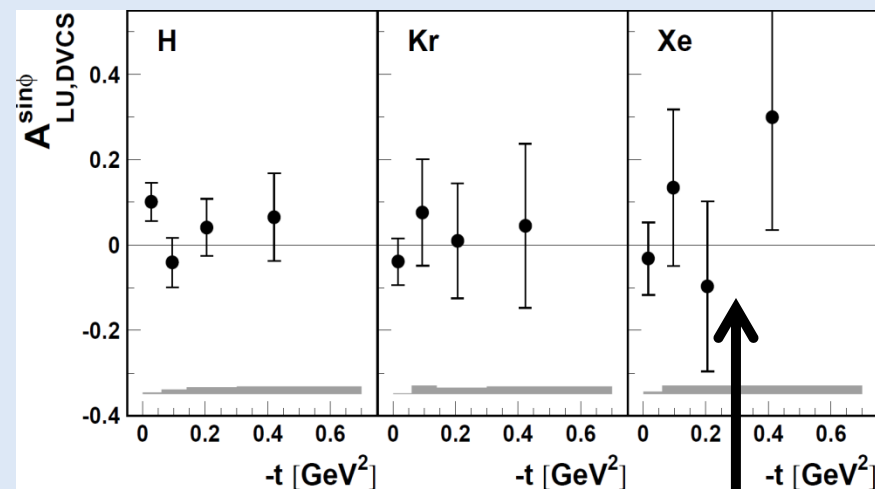
Target	Spin	L (pb ⁻¹)
¹ H	1/2	227
He	0	32
N	1	51
Ne	0	86
Kr	0	77
Xe	0, 1/2, 3/2	47



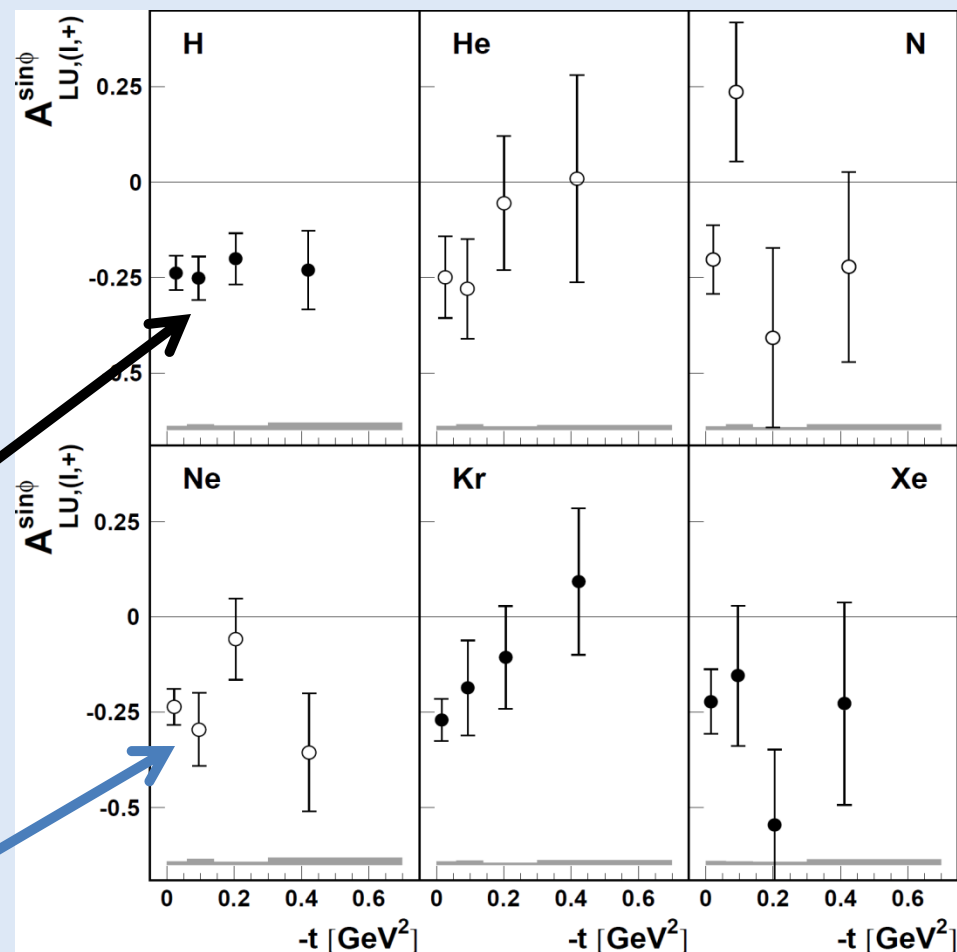
- Separation of coherent-enriched and incoherent-enriched data samples by t -cutoffs : similar average kinematics
- Coherent-enriched samples: $\approx 65\%$
- Incoherent enriched samples: $\approx 60\%$

Leading amplitudes of asymmetries on nuclei

Leading amplitude of
Beam-charge asymmetry



Leading amplitudes of
Beam-helicity asymmetry

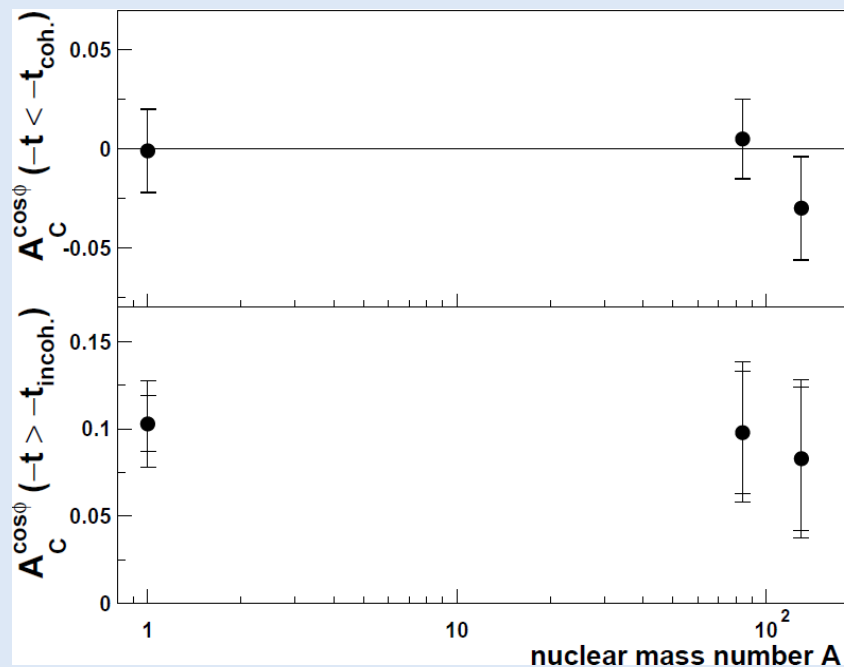


● Two beam charges available

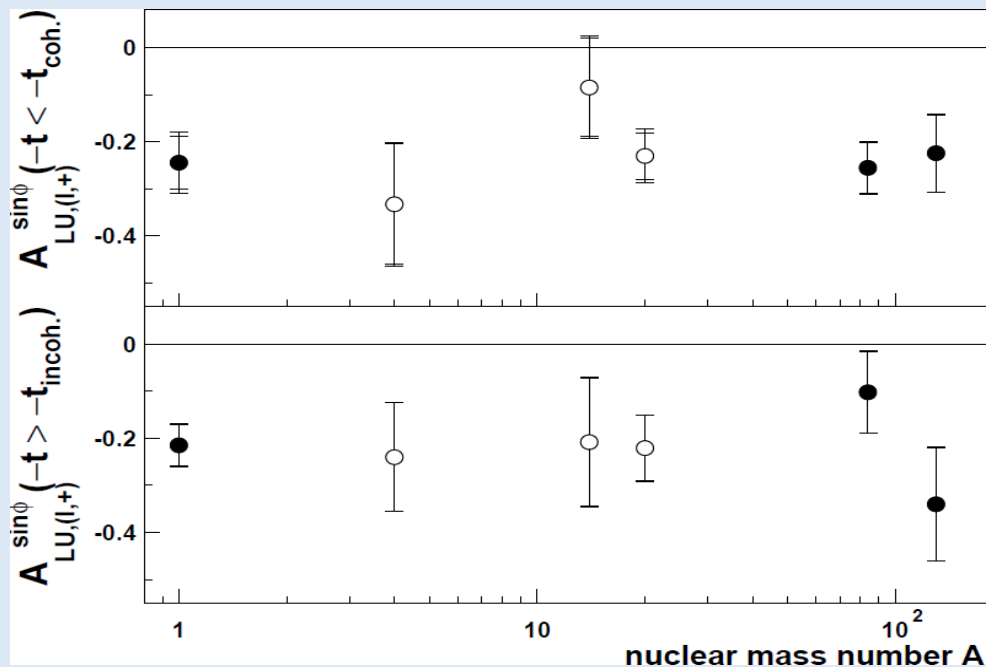
○ Only one beam charge available:
single-charge asymmetry without
entanglement of squared DVCS
and Interference terms

Nuclear-mass dependence of asymmetries

$A_C^{\cos\phi}$ vs. A



$A_{LU}^{\sin\phi}$ vs. A

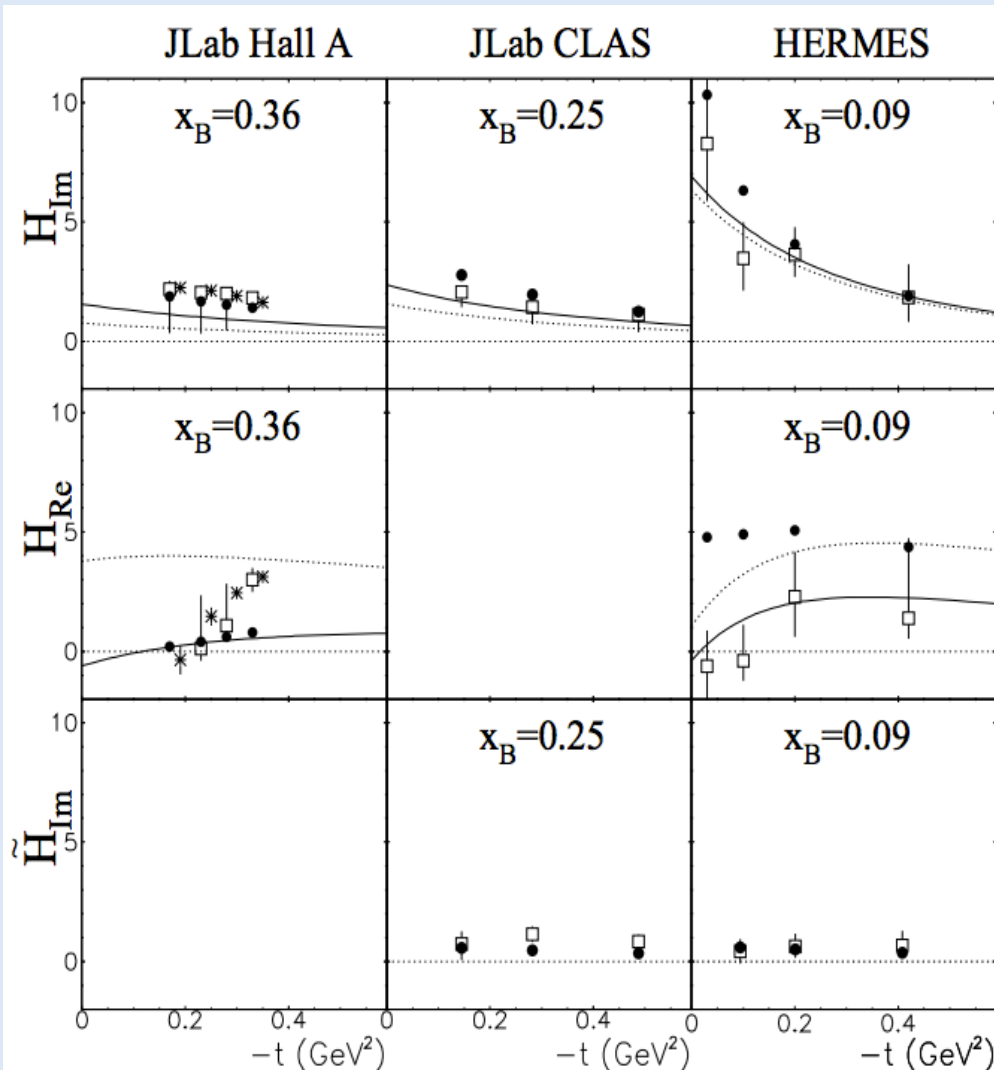


$$A_{LU}^A / A_{LU}^H$$



Coherent-enriched: 0.91 ± 0.19
 Incoherent-enriched: 0.93 ± 0.23

M. Guidal ICHEP Proc. (2010) 148



CFFs are extracted from experimental measurements

- VGG model:
GPD H in this model is not consistent with experimental results.
- M. Guidal, ICHEP Proc. (2010) 148
- ★ H. Moutarde, Phys. Rev. **D** 79 (2009)

Curves:

K. Kumericki, D. Muller
Nucl. Phys. **B** 841 (2010)

D. Müller: e-Print, arXiv:1405.2817 [hep-ph]

