
HERMES Results and Projections on Exclusive Photon and Meson Production

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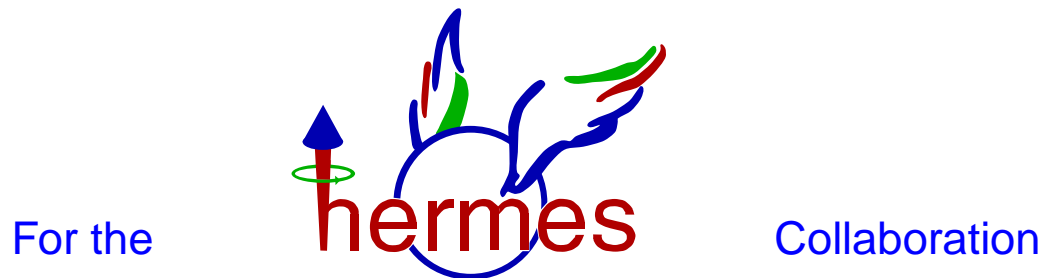
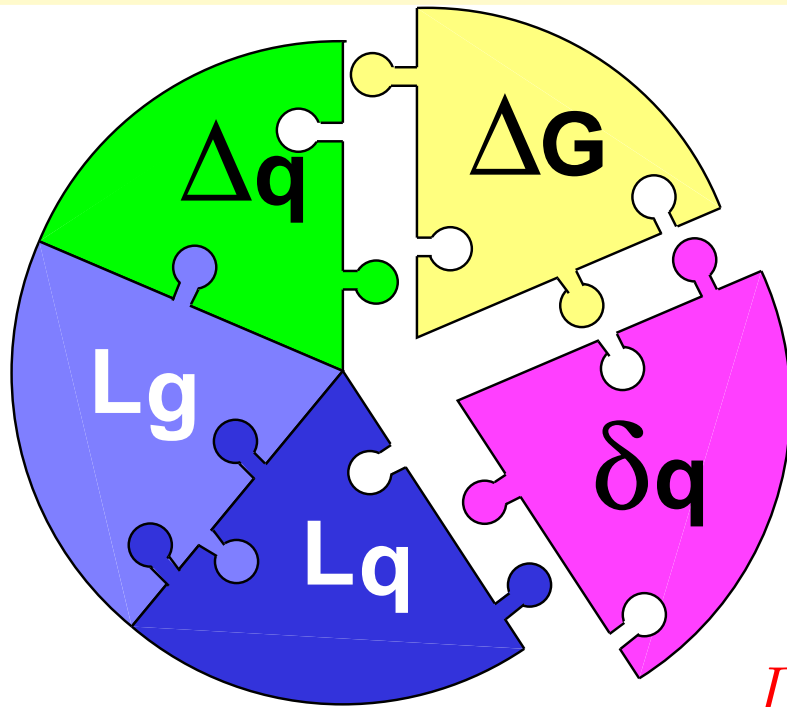


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Angular Momentum Structure of the Nucleon



Proton Spin

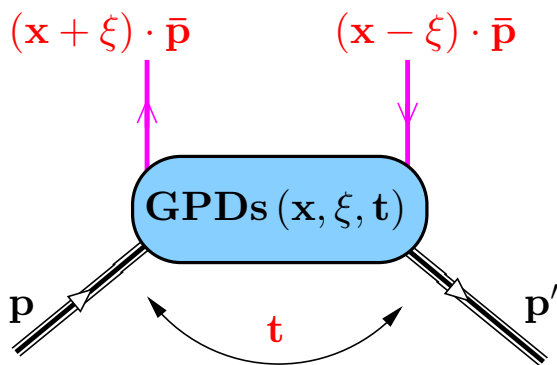
$$\frac{1}{2} = \frac{1}{2} \underbrace{(\Delta u + \Delta d + \Delta s)}_{\sim 30\% \text{ } J_q} + L_q + \underbrace{\Delta G + L_g}_{J_g}$$

Δq : known from DIS & SIDIS

ΔG : first indications from DIS and pp

L_q, L_g : unknown!

Generalized Parton Distributions $\Rightarrow J_q, J_g$

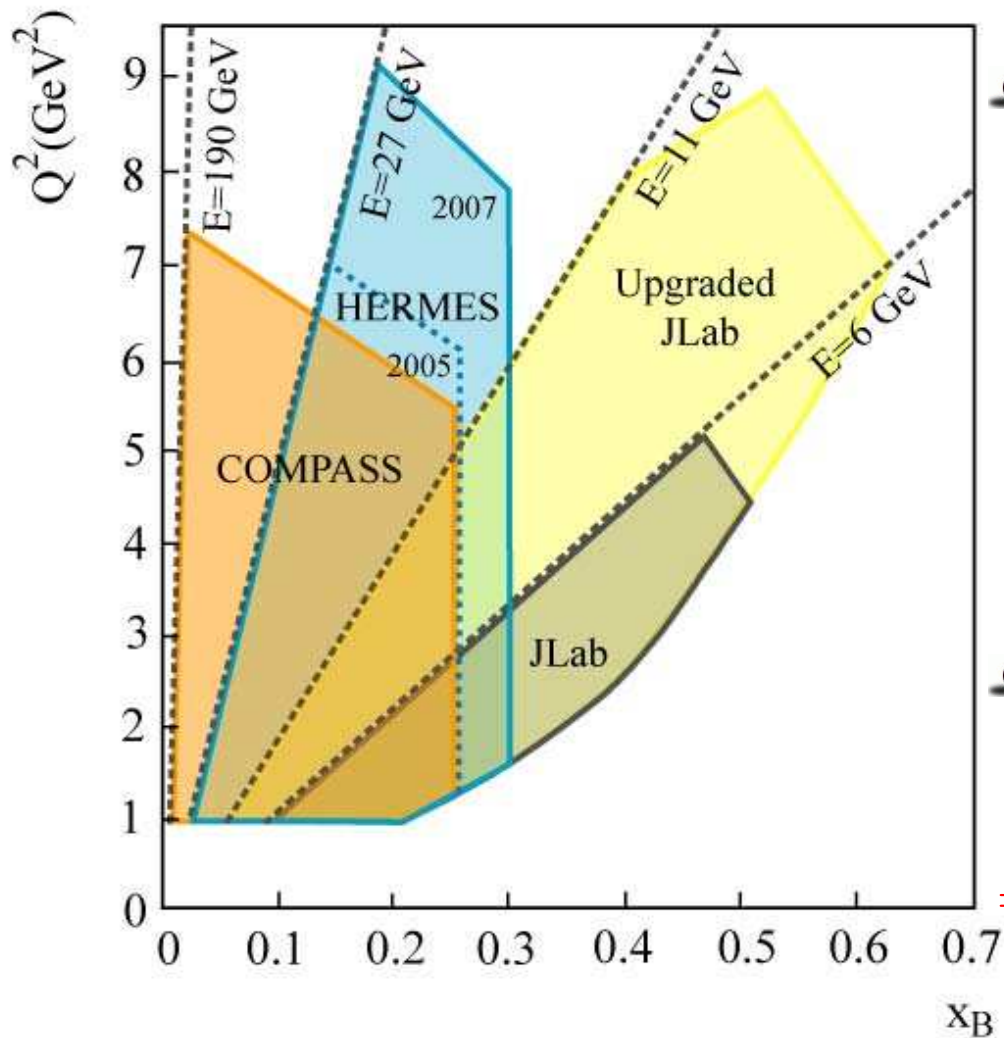


Ji's relation — Ji, PRL 78 (1997) 610

$$J_{q,g} = \frac{1}{2} \lim_{t \rightarrow 0} \int_{-1}^1 dx \cdot x \cdot [H_{q,g}(x, \xi, t) + E_{q,g}(x, \xi, t)]$$

Kinematic Coverage of DVCS Experiments

Fixed-target experiments



Fixed-target experiments:

$$x > 0.03, Q^2 < 10 \text{ GeV}^2$$

● COMPASS: low+medium x

● HERMES: medium x , higher Q^2

● JLab: medium+large x , lower Q^2

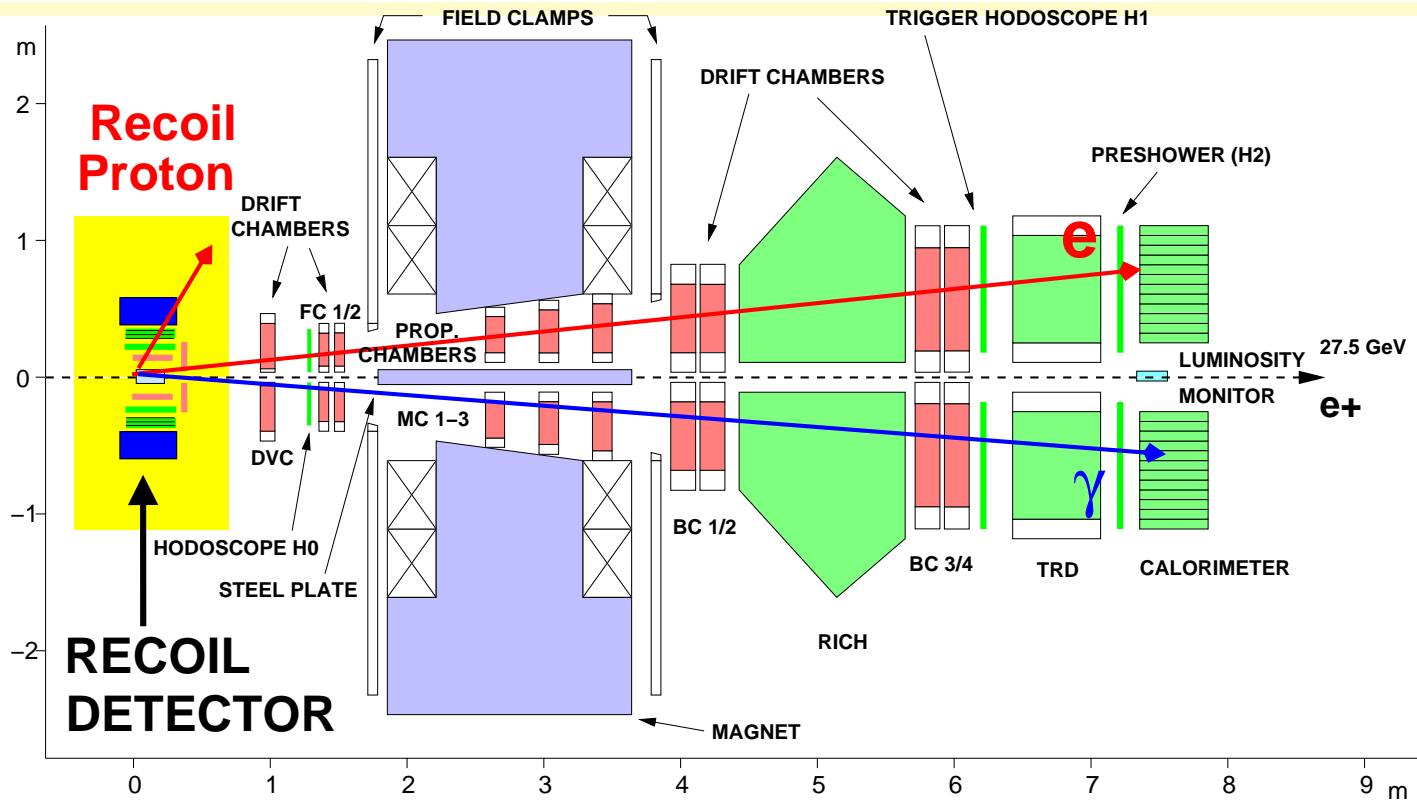
● JLab 11 GeV: larger x , higher Q^2

Collider experiments H1+ZEUS:

$$x < 0.01, Q^2 : 5 \dots 100 \text{ GeV}^2$$

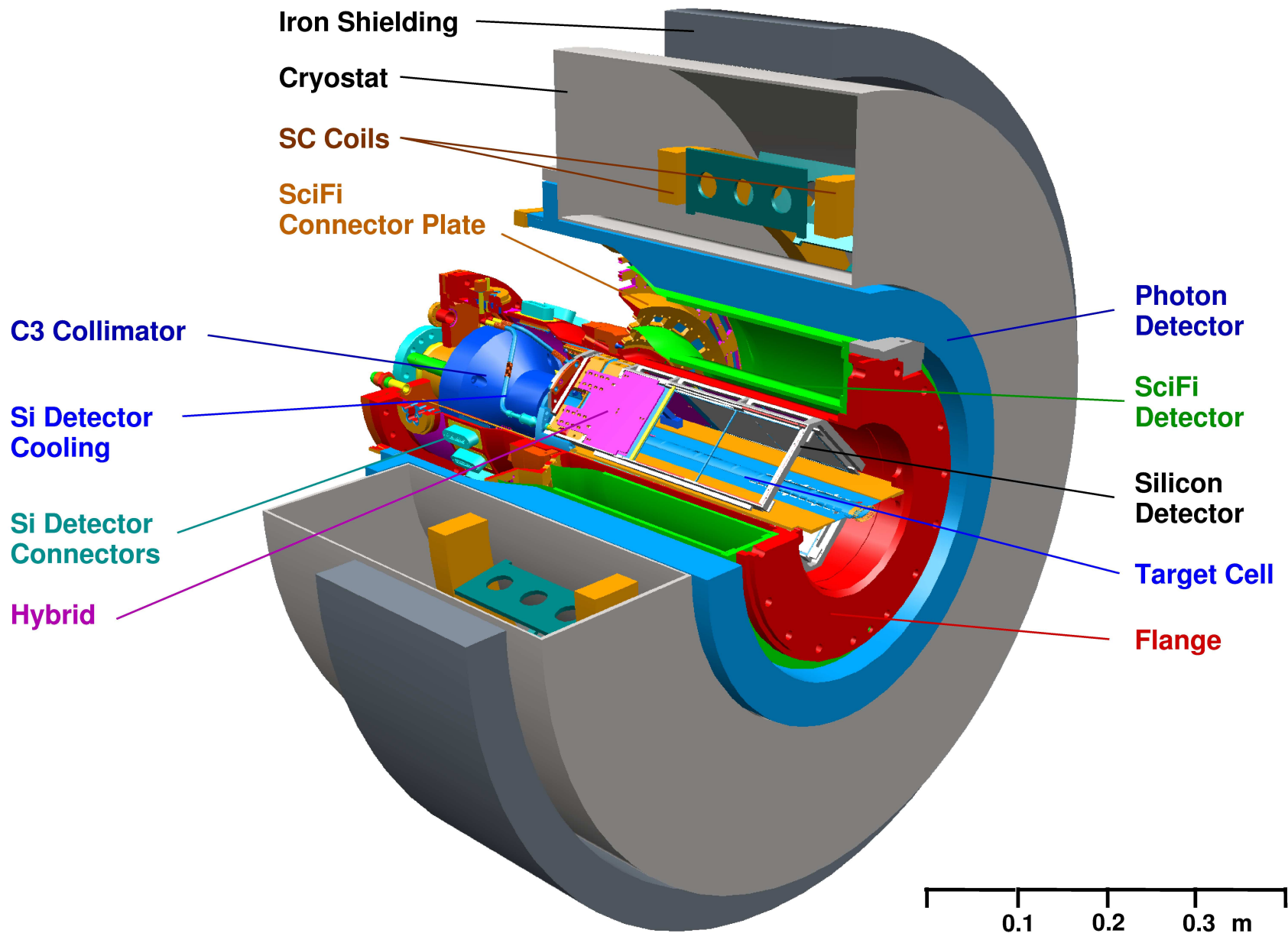
⇒ almost no overlap

The HERMES Spectrometer



- Pure gas target: **polarized H, D**; unpolarized H, D, N, Ne, Kr, Xe
- Forward spectrometer: $40 \text{ mrad} \leq \Theta \leq 220 \text{ mrad}$
- Tracking: $\mathcal{O}(50)$ tracking planes per half spectrometer: $\delta p/p \sim 2\%$, $\delta\Theta \leq 1 \text{ mrad}$
- PID for e^\pm : TRD, Preshower, Calorimeter
- PID for π^\pm, K^\pm, p : Dual-radiator Ring-imaging Cherenkov ($2 < p < 15 \text{ GeV}$)

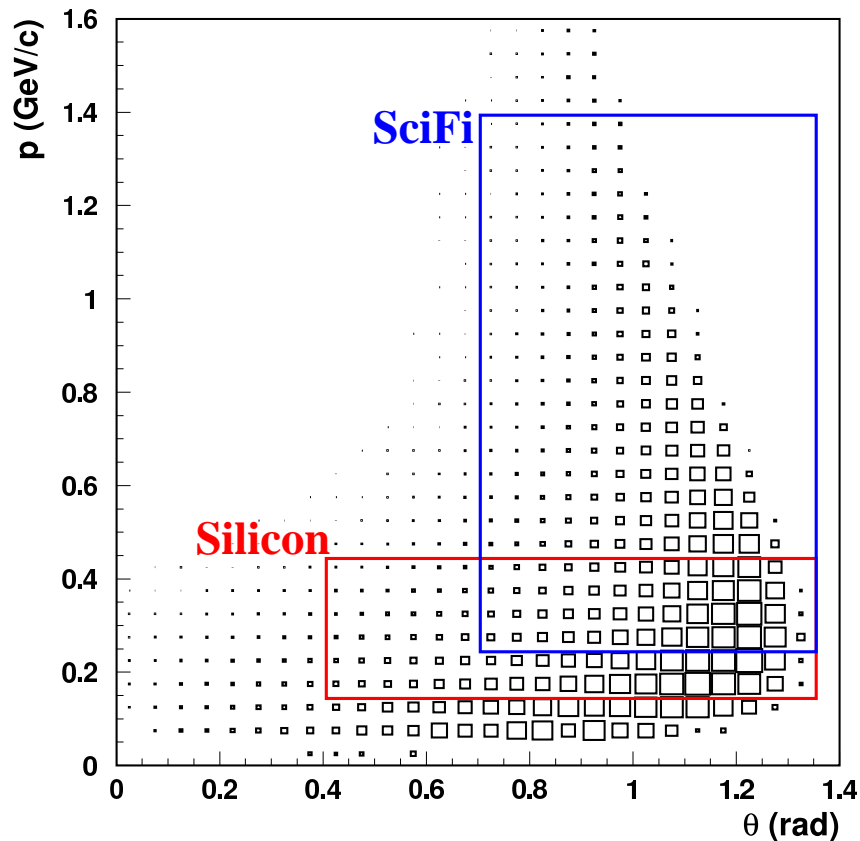
New Recoil Detector at HERMES



HERMES Recoil Detector Goals I

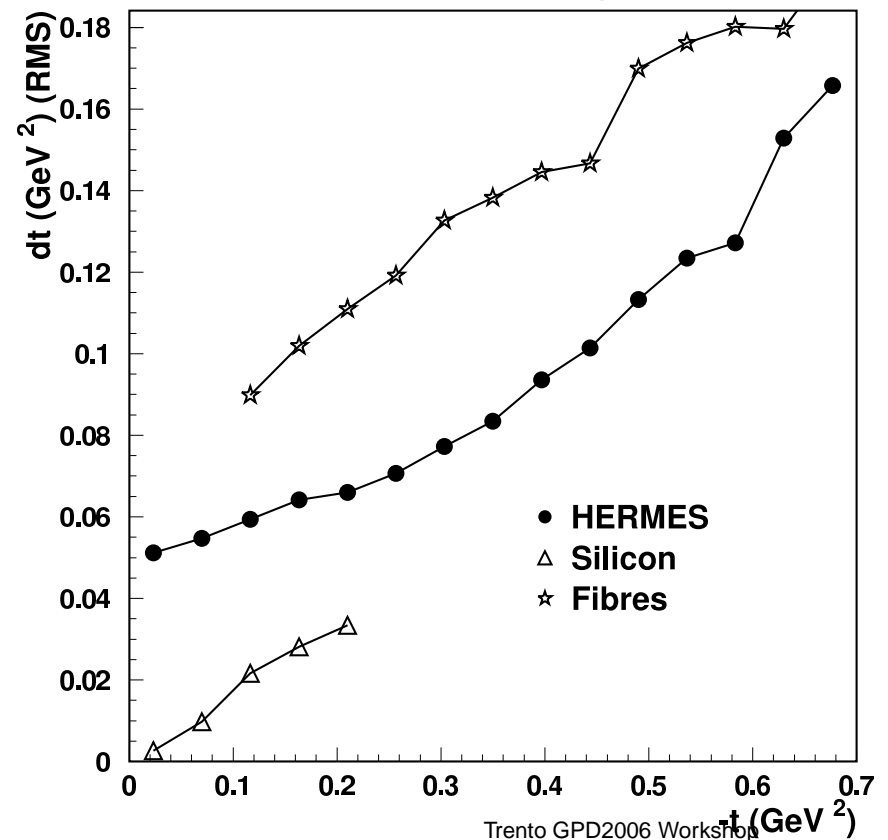
For the study of DVCS and exclusive meson production, detect over largest possible momentum range and at best possible t -resolution:

- Recoil protons (76% azim. acceptance, $135 < p < 1200$ MeV/c)
- Pions and protons from background processes (p/π PID via $\frac{dE}{dx}$)
- Photons from $\pi^0 \rightarrow \gamma\gamma$



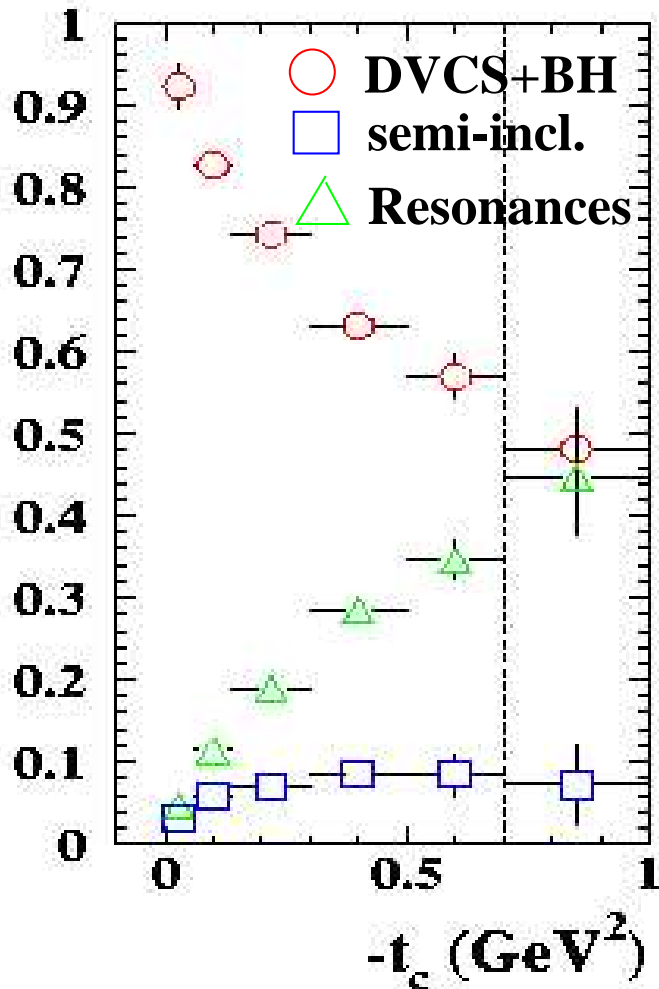
Wolf-Dieter Nowak (DESY), HERMES Collaboration

Resolution from Monte Carlo studies:



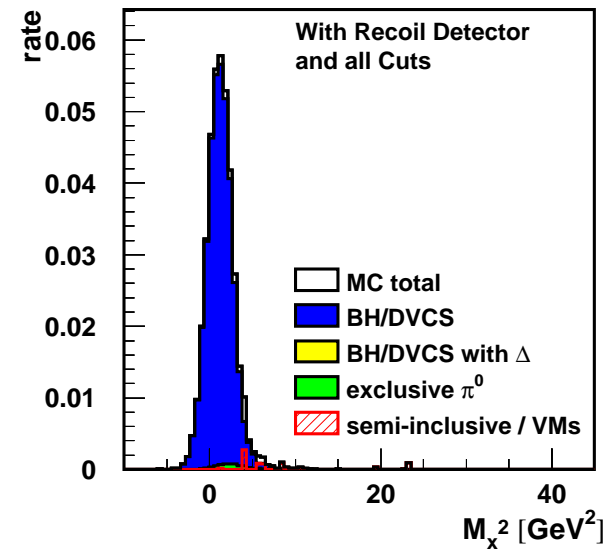
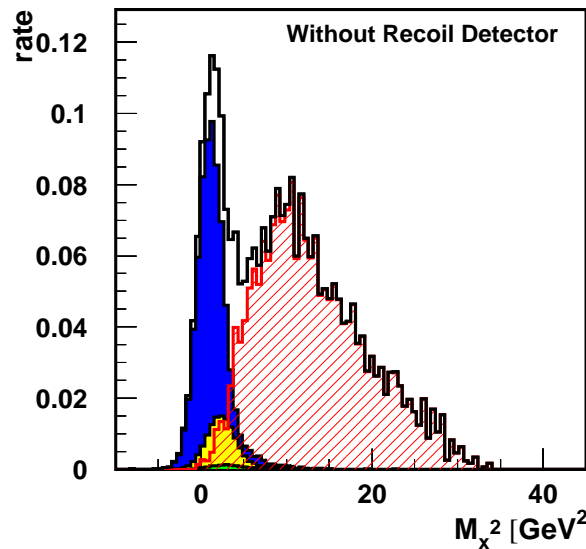
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HERMES Recoil Detector Goals II & Status



(Performance improvements shown for DVCS)

- Enhance signal fraction
- Reduce background contributions
 - semi-incl.: 5% \rightarrow \ll 1%,
 - associated prod.: 11% \rightarrow \sim 1%



Status:

- Commissioning delayed (target cell damaged by beam)
- Silicon detector electronics damaged (\Rightarrow repair under way)

Azimuthal Asymmetries in DVCS

DVCS–Bethe-Heitler Interference term \mathcal{I}

induces azimuthal asymmetries in cross-section:

- **Beam-charge asymmetry $A_C(\phi)$ [BCA]**

$$d\sigma(e^+, \phi) - d\sigma(e^-, \phi) \propto \text{Re}[F_1 \mathcal{H}] \cdot \cos \phi$$

- **Beam-spin asymmetry $A_{LU}(\phi)$ [BSA]**

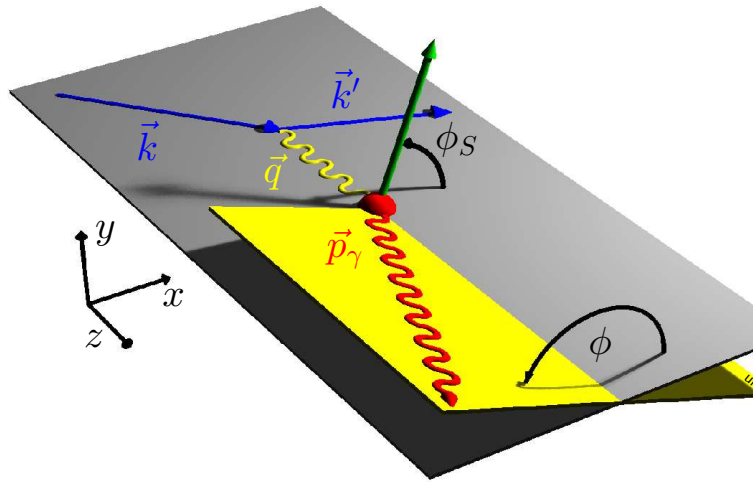
$$d\sigma(\vec{e}, \phi) - d\sigma(\overleftarrow{e}, \phi) \propto \text{Im}[F_1 \mathcal{H}] \cdot \sin \phi$$

- **Long. target-spin asymmetry $A_{UL}(\phi)$**

$$d\sigma(\overleftarrow{P}, \phi) - d\sigma(\overrightarrow{P}, \phi) \propto \text{Im}[F_1 \tilde{\mathcal{H}}] \cdot \sin \phi \quad \text{[LTSA]}$$

- **Transverse target-spin asymmetry $A_{UT}(\phi, \phi_s)$ [TTSA]:**

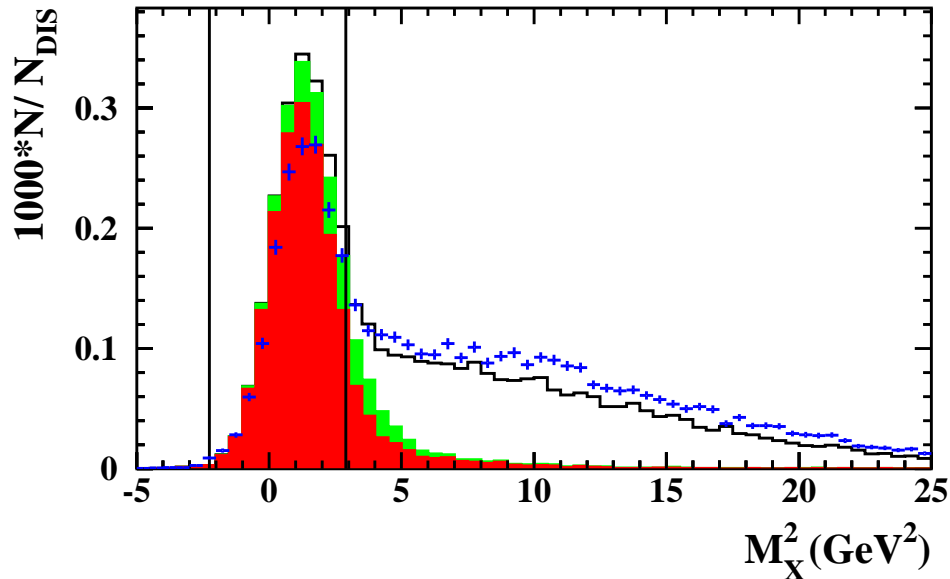
$$d\sigma(\phi, \phi_s) - d\sigma(\phi, \phi_s + \pi) \propto \text{Im}[F_2 \mathcal{H} - F_1 \mathcal{E}] \cdot \sin(\phi - \phi_s) \cos \phi \\ + \text{Im}[F_2 \tilde{\mathcal{H}} - F_1 \xi \tilde{\mathcal{E}}] \cdot \cos(\phi - \phi_s) \sin \phi$$



$(F_1, F_2$ are the Dirac and Pauli elastic nucleon form factors)

Exclusive DVCS Events at HERMES

REACTION : $e + p(d) \rightarrow e + \gamma (+X)$



$$5 < \theta_{\gamma^* \gamma} < 45 \text{ mrad}$$

$$-t < 0.7 \text{ GeV}$$

$$0.03 < x_B < 0.35$$

$$1 < Q^2 < 10 \text{ GeV}^2$$

$$W > 3 \text{ GeV}$$

$$\nu < 22 \text{ GeV}$$

$$-(1.5)^2 < M_X^2 < (1.7)^2 \text{ GeV}$$

- absolute normalization of data and Monte Carlo [solid line]
- elastic Bethe-Heitler process is main contribution in signal region
- associated Bethe-Heitler process is a small contribution
- semi-inclusive production is main background at higher M_X^2
- as recoiling proton not (yet) detected, missing mass cut used instead
- t calculated under assumption of exclusivity, via scattered lepton kinematics

HERMES Data Taking DVCS Statistics (in pb⁻¹)

HERA-I (1996-2000)	H	D	⁴ He	N ₂	Ne	Kr
BSA/BCA e ⁻	11	50	-	-	-	-
BSA/BCA e ⁺	240	320	30	50	86	30
contains LTSA (e ⁺)	50	170				

HERA-II (2002-2007)	H	TTSA (H)	D	Kr	Xe	H ^{rec.}	D ^{rec.}
BSA/BCA e ⁻	250 (BCA: _{pub} 10)	85	150	50	50	t.b.d.	t.b.d.
BSA/BCA e ⁺	820	60	200	55	30	750	200

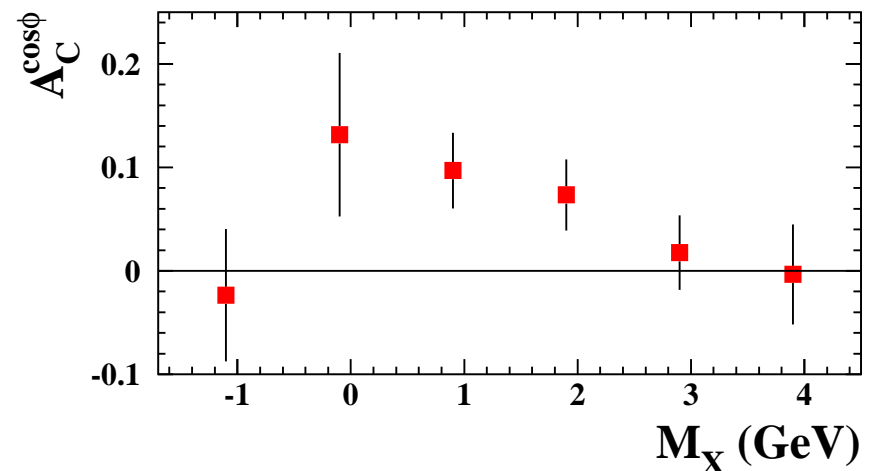
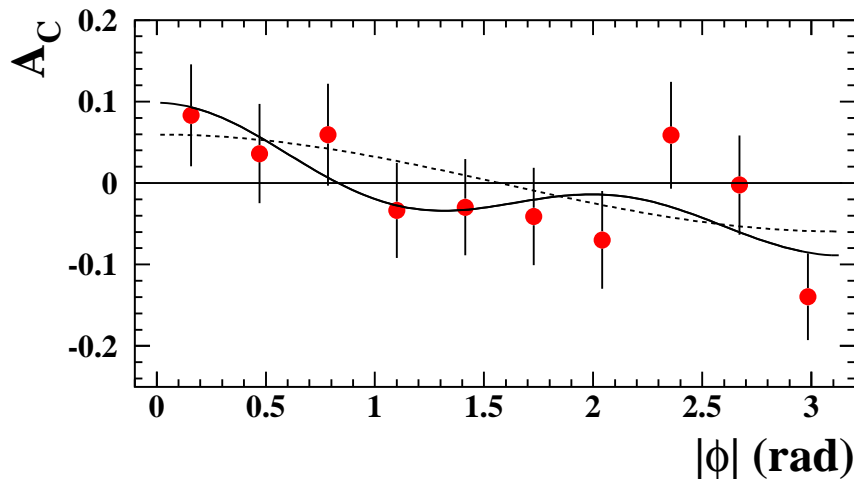
- Beam polarization $\langle P_{beam} \rangle$: only $\geq 30\%$ for HERA-II (while $\geq 50\%$ for HERA-I!)
- 2006-2007 running will more than double statistics on UNpolarized H target (23M \rightarrow 56M DIS events for BSA, huge improvement for BCA)
- target cell accident has most impact on BCA measurement (e⁻ data set)
- Recoil Detector expected to be in projected shape for e⁺ running middle 2006

Beam-charge Asymmetry vs. ϕ and M_X^2

$$A_C(\phi) = \frac{d\sigma^+(\phi) - d\sigma^-(\phi)}{d\sigma^+(\phi) + d\sigma^-(\phi)} \propto \text{Im}F_1 \mathcal{H} \cdot \cos \phi$$

⇒ extract 'amplitudes' by fitting in every ϕ -bin

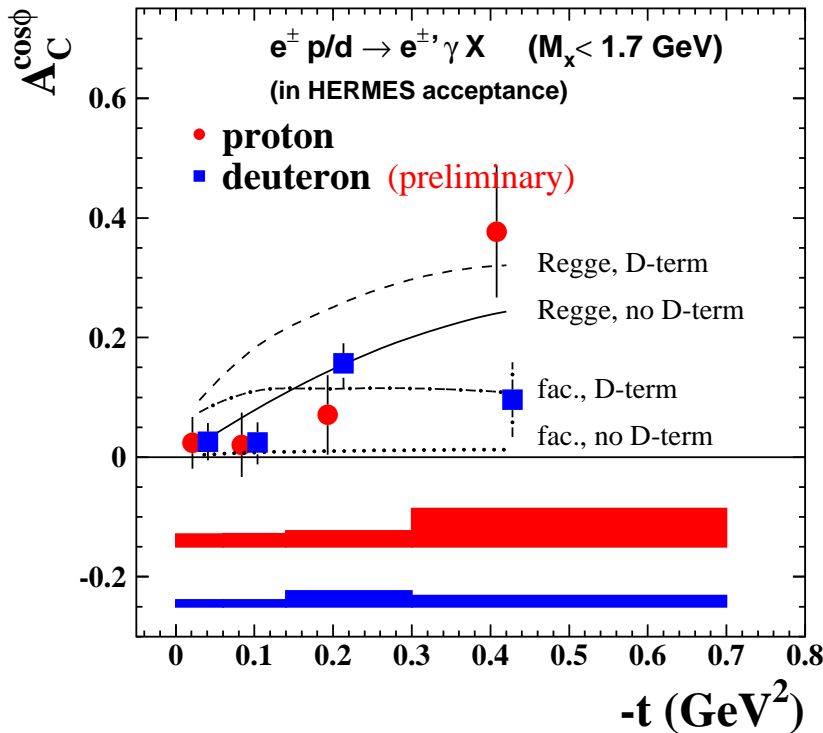
$$A_C(\phi) = \text{const.} + A_C^{\cos \phi} \cos \phi + A_C^{\cos 2\phi} \cos 2\phi + A_C^{\cos 3\phi} \cos 3\phi$$



- published results shown for **unpolarized proton** target [hep-ex/0605108, subm. to PRL]
- use *symmetrization* ($\phi \rightarrow |\phi|$) to get rid of sinusoidal terms
- $A_C^{\cos \phi} = 0.060 \pm 0.027$, other contributions insignificant (dashed = pure $\cos \phi$)
- asymmetry only in exclusive and 'associate' M_X^2 region (\rightarrow resol. smearing)
- preliminary deuteron data (not shown) completely consistent

Beam-charge Asymmetry vs. t

BCA t -dependence can distinguish different GPD model versions:



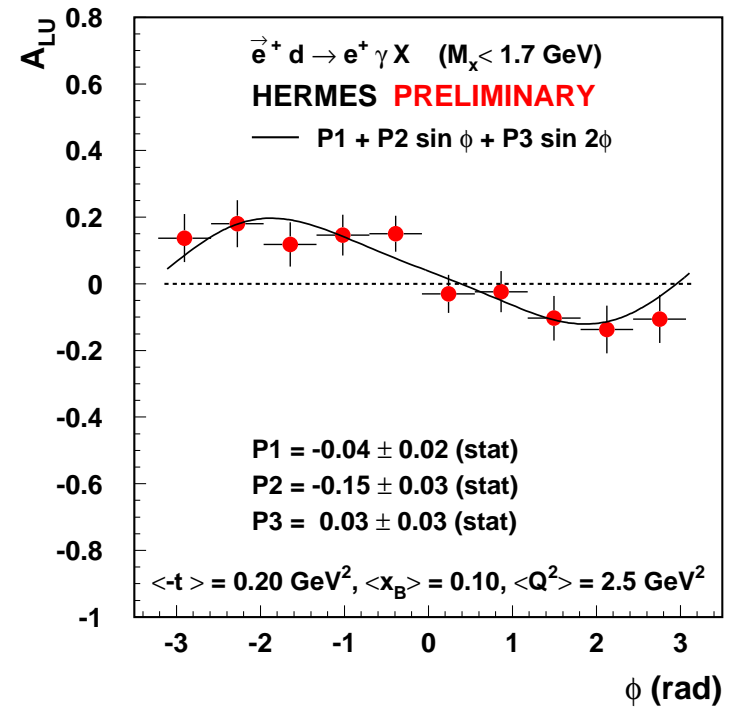
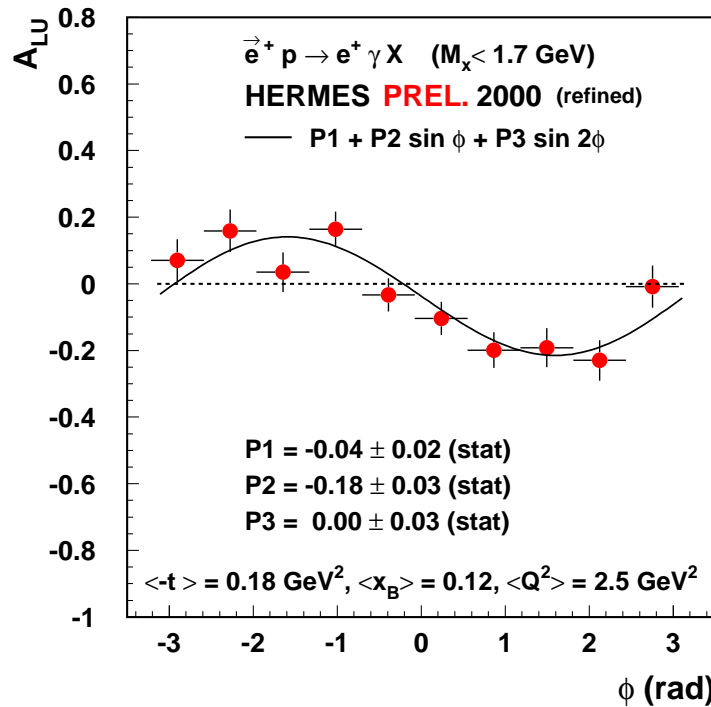
- $A_C^{\cos\phi}$: elastic + associated production
- d-data: contributions per t -bin of associated production: 5,11,18,29% \Rightarrow highest t -bin mostly affected
- GPD H dominates, \tilde{H} and E suppressed [Goeke, Polyakov, Vanderhaeghen, PPNP 47(2001)401]
- Curves (code [Vanderhaeghen, Guichon, Guidal]) calculated for 4 different parameter sets
- BCA insensitive to profile fct. parameters

- already HERA-I data disfavor Regge-inspired t -dependence with D-term
- much more precise BCA data from HERA-II (to be analyzed)
- reduction of background & associated contribution by recoil detector expected for e^+ sample, but still t.b.d. for e^- sample

Beam-spin Asymmetry vs. ϕ

$$A_{LU}(\phi) = \frac{1}{\langle |P_B| \rangle} \cdot \frac{d\sigma^{\rightarrow}(\phi) - d\sigma^{\leftarrow}(\phi)}{d\sigma^{\rightarrow}(\phi) + d\sigma^{\leftarrow}(\phi)} \propto \text{Im}F_1 \mathcal{H} \cdot \sin \phi$$

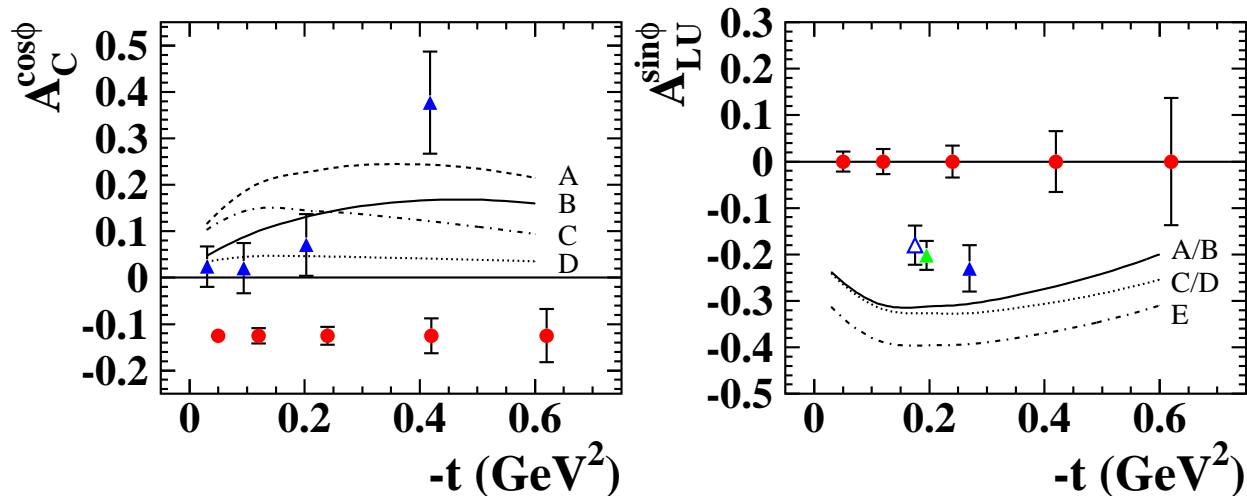
⇒ extract 'amplitudes' fitting per ϕ -bin $A_{LU}(\phi) = c + A_{LU}^{\sin \phi} \sin \phi + A_{LU}^{\sin 2\phi} \sin 2\phi$



- 1996-2000 proton and deuteron data analyzed ($P_B \approx 55\%$)
- expected $\sin \phi$ behaviour: significant $\sin \phi$ amplitudes on both targets
- other harmonics don't contribute significantly

BSA and BCA 1996-2007 Projections

HERMES hep-ex/0605108 ▲ HERMES hep-ex/0212019



PROTON TARGET:

- △ HERMES PRL 2001
- ▲ CLAS PRL 2001
- HERMES 1996-2007

- 1996-2000: $P_B \approx 55\%$, 2002-2007: $P_B \approx 35\%$
 - existing GPD model versions well distinguishable via t -dependence
 - statistics marginal for 2-dimensional dependences
 - reduced fraction of associated production by including Recoil Detector, but:
 - statistics lower by about factor 1.4 (efficiency)
 - ok for BSA, but t.b.d. for BCA (see above)
- ⇒ model comparison possible over *full* measured t -range (at least for BSA)

DVCS on Nuclear Targets

INCOHERENT PRODUCTION:

- nucleus breaks up & scattering occurs on **single nucleon**
- neutron e.m. form factor is small for small & medium t
 - BH neutron cross section small, hence also the interference term I
 - **asymmetry in incoherent nuclear DVCS similar to that on the proton**

COHERENT PRODUCTION:

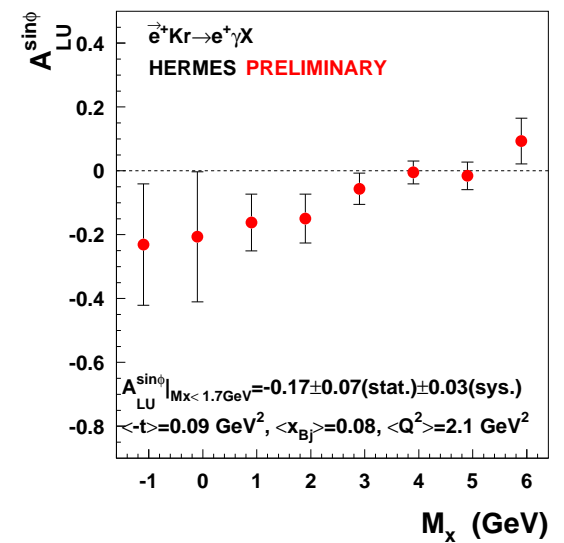
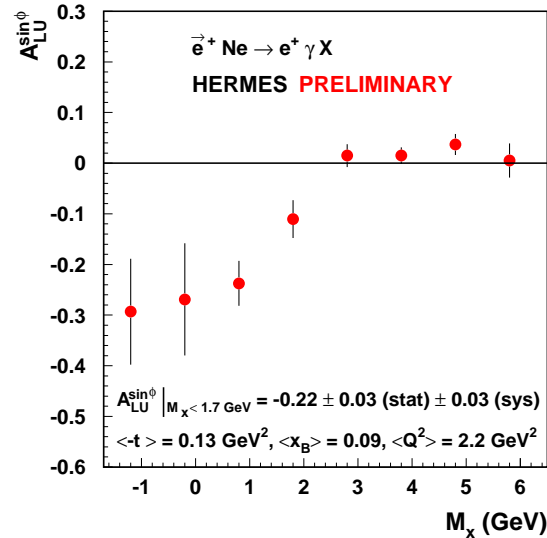
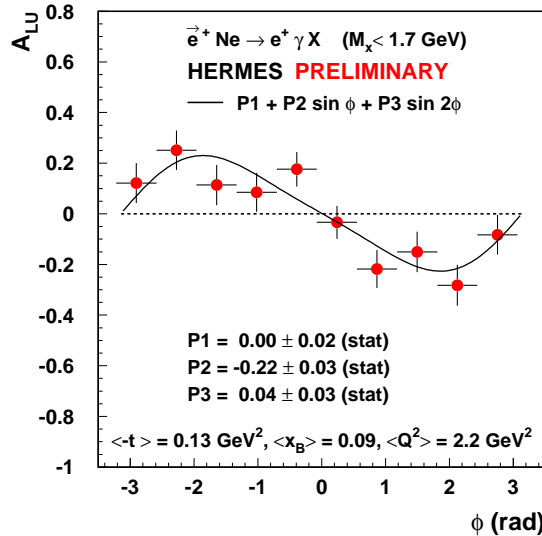
- scattering occurs on the **whole nucleus**
 - **coherent nuclear DVCS proceeds preferentially at very low t**
 - **obtain enriched samples by ‘separation cut’ at $t = 0.03...0.05 \text{ GeV}^2$**

GPD-based MODELS:

- describe modifications of parton-parton correlations in nuclear environment
 - **dynamical interplay within highly complex bound hadronic systems ?**
- tool to compare to theory predictions: $\frac{A_{LU}^{nucleus}}{A_{LU}^{proton}}$ (generalized EMC effect)

Beam-spin Asymmetry in Nuclear DVCS

- Preliminary results: clear $\sin \phi$ amplitude in exclusive region for Ne and Kr



- first model predictions available by Guzey&Strikhman and Liuti&Taneja

- uncertainties of released data still too large

- variety of nuclear DVCS data: ^2H , ^4He , ^{14}N , ^{20}Ne , $^{82-86}\text{Kr}$, $^{129-134}\text{Xe}$

→ presently being analyzed in order to facilitate study of:

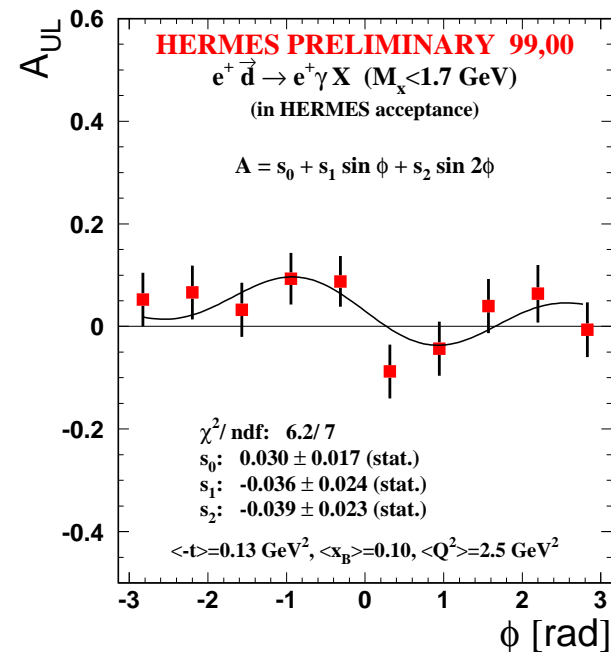
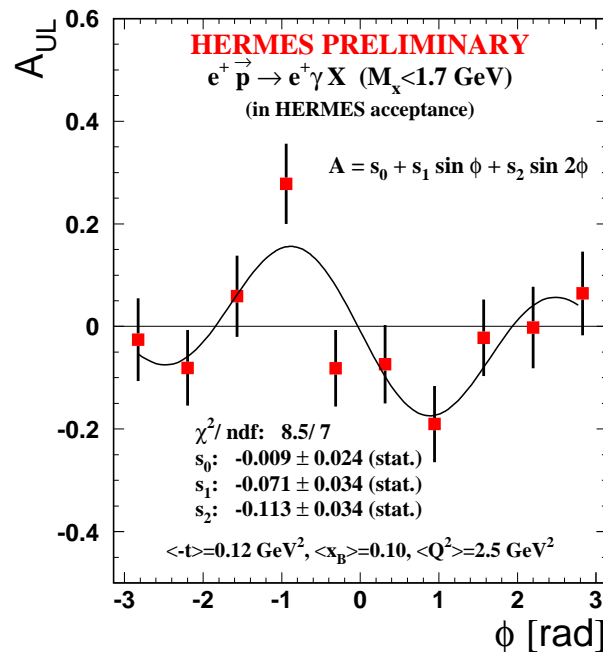
- t -dependence of BSA for different nuclei
- A -dependence of generalized EMC effect in coherent production

→ more model predictions are highly appreciated

Longitudinal Target-spin Asymmetry vs. ϕ

$$A_{UL}(\phi) = \frac{1}{\langle |P_L| \rangle} \cdot \frac{d\sigma^{\rightarrow}(\phi) - d\sigma^{\leftarrow}(\phi)}{d\sigma^{\rightarrow}(\phi) + d\sigma^{\leftarrow}(\phi)} \propto \text{Im} F_1 \tilde{\mathcal{H}} \sin \phi$$

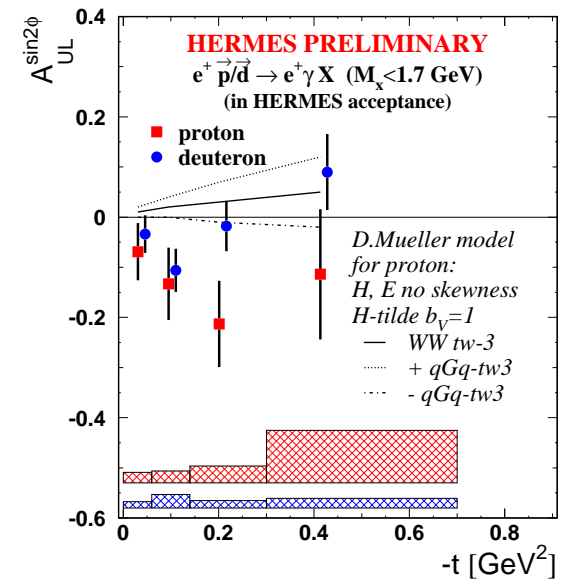
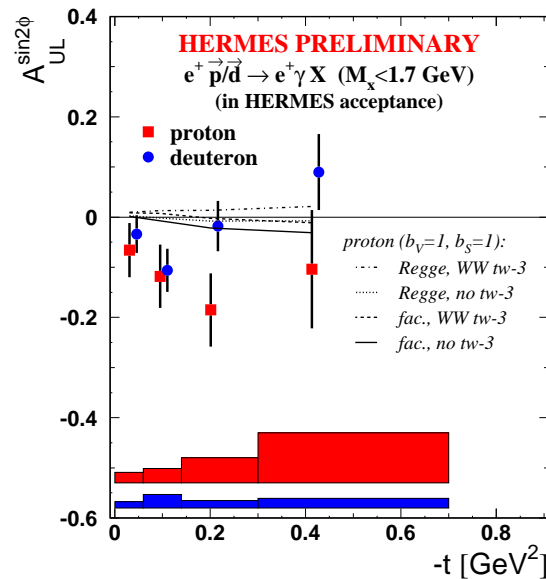
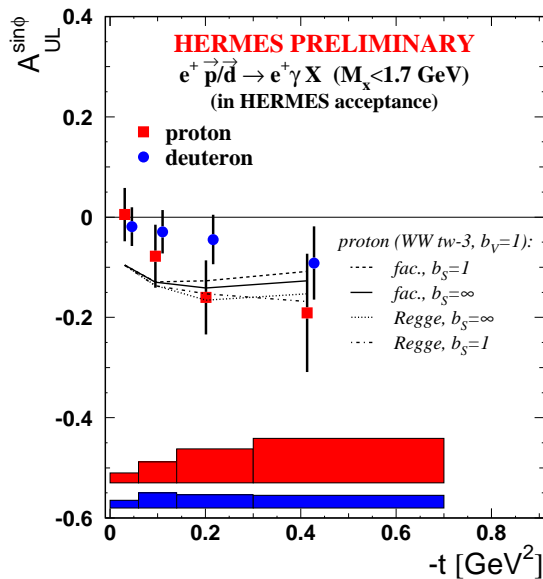
\Rightarrow extract 'amplitudes' fitting per ϕ -bin $A_{UL}(\phi) = c + A_{UL}^{\sin \phi} \sin \phi + A_{UL}^{\sin 2\phi} \sin 2\phi$



- FULL existing data set analyzed (1996-2000 data)
- expected $\sin \phi$ behaviour : 2σ (1.5σ) on proton (deuteron)
- unexpected, sizeable ($> 3\sigma$) $A_{UL}^{\sin 2\phi}$ on proton (1.7σ on deuteron) \Rightarrow twist-3 ?
 (π^0 background found to be responsible for *at most* a small fraction of it)

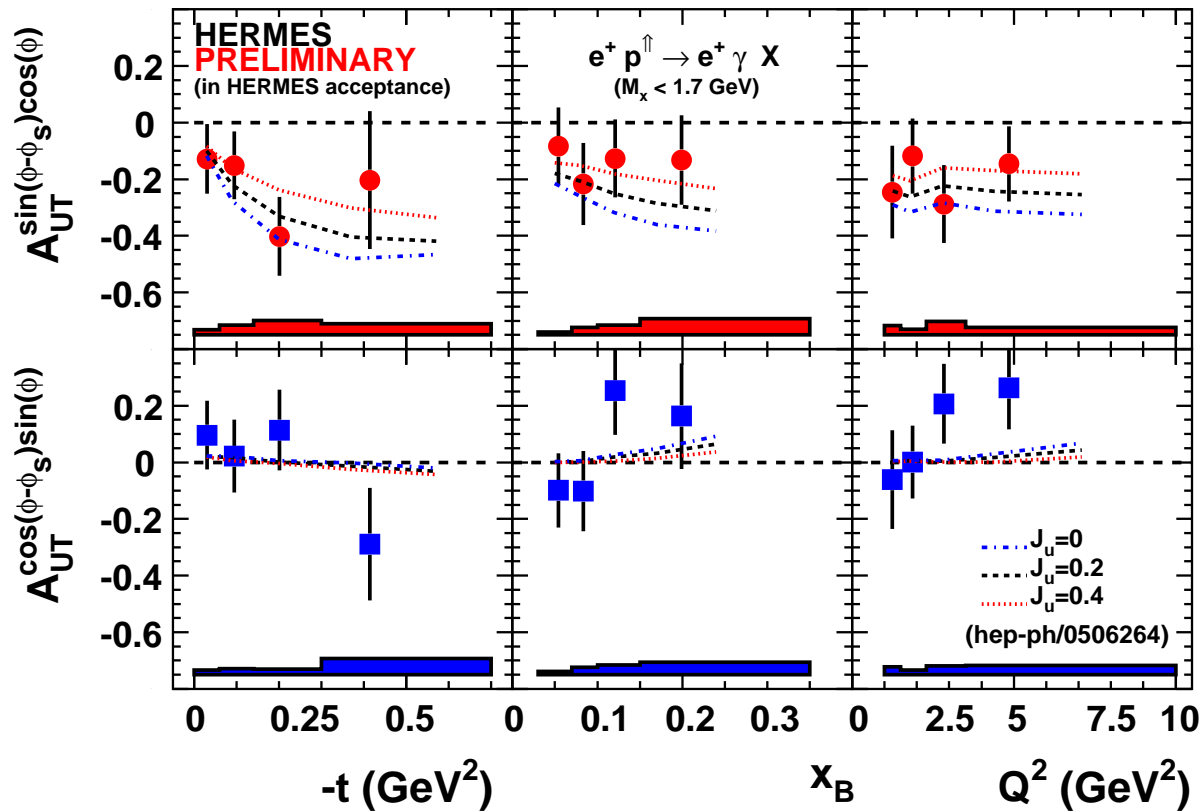
Longitudinal Target-Spin Asymmetry vs. t

- Twist-3 GPDs: WW-term + interaction-dep. (qGq) term: $F^3 = F_{WW}^3 + F_{qGq}^3$
- Existing models include only WW-terms of twist-3 GPDs



- Lowest t -bin: No effect from coherent prod. on deuteron (40% of statistics)
- higher t : $A_{UL}(ep) \neq A_{UL}(ed) \Rightarrow A_{UL}(ep) \neq A_{UL}(en)$
- Only Proton models exist: \rightarrow for $A_{UL}^{\sin \phi}$; VGG model does ok.
 \rightarrow for $A_{UL}^{\sin 2\phi}$: ● VGG (twist-3 only WW) fails completely
 ● D.Müller [priv.comm.]: Upper limits for qGq (dynamic) twist-3 corrections

DVCS TTSA: HERMES Data vs. Predictions



$$A_{UT}(\phi, \phi_S) = \frac{1}{\langle |P_T| \rangle}$$

$$\frac{d\sigma(\phi, \phi_S) - d\sigma(\phi, \phi_S + \pi)}{d\sigma(\phi, \phi_S) + d\sigma(\phi, \phi_S + \pi)} =$$

$$A_{UT}^{\sin(\phi - \phi_S) \cos \phi} \cdot \sin(\phi - \phi_S) \cos \phi + A_{UT}^{\cos(\phi - \phi_S) \sin \phi} \cdot \cos(\phi - \phi_S) \sin \phi$$

HERMES $e^+ p^\uparrow$ 2002-04:

- U: unpolarized beam
 - T: transv. pol. target
 - ca. 50% of total stat.
- [2004-05 data: $e^- p^\uparrow$]

STUDY sensitivity to J_u (with $J_d = 0$) [hep-ph/0506264, based on Prog.Part.Nucl.Phys.47]:

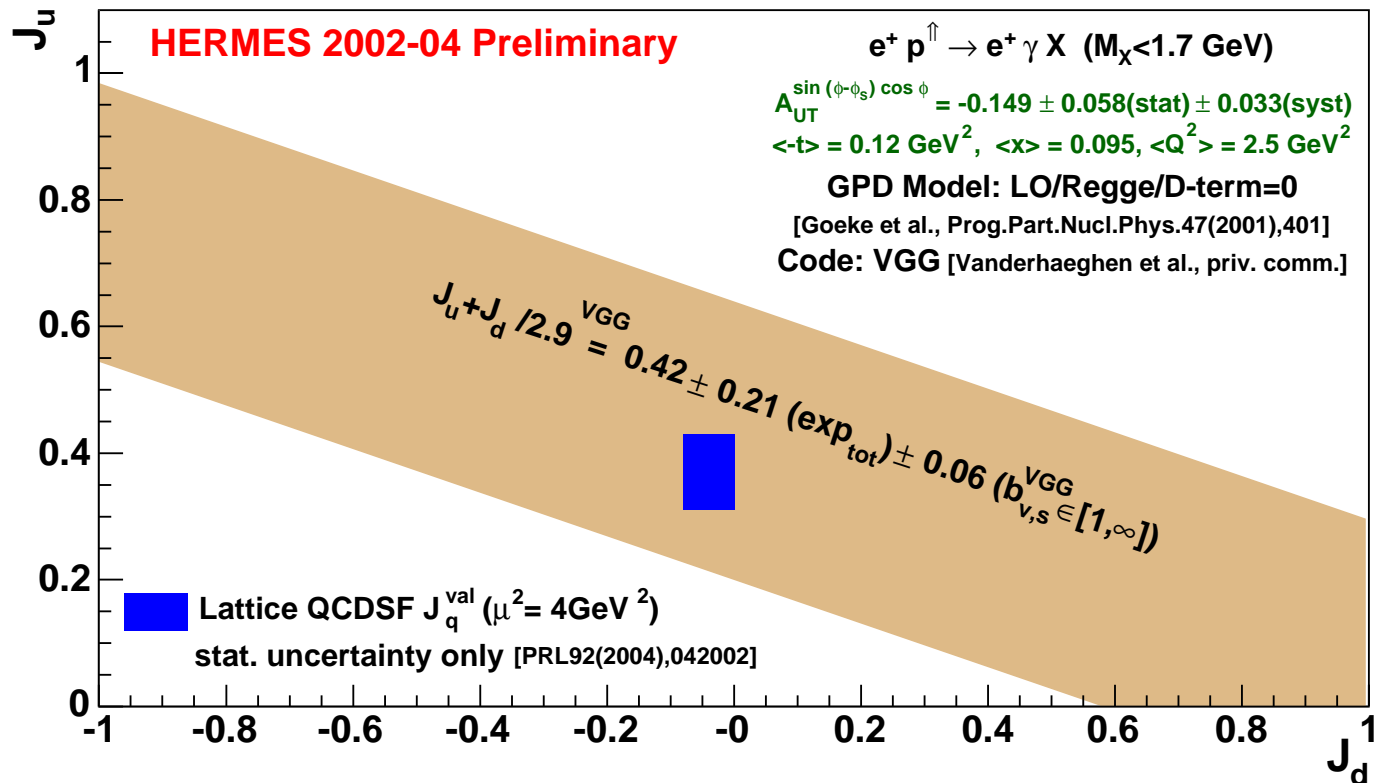
● $A_{UT}^{\sin(\phi - \phi_S) \cos \phi}$ found sensitive to J_u , while $A_{UT}^{\cos(\phi - \phi_S) \sin \phi}$ is not

● only weak sensitivity found to other GPD model parameters

(profile parameters, Regge/factorized ansatz for t -dependence)

Model-dependent Constraint on J_u vs J_d

Unbinned maximum likelihood fit to $A_{UT}^{\sin(\phi-\phi_S)\cos\phi}$ at average kinematics (fitting prel. HERMES data against VGG-model based calculations), leaving J_u and J_d as free parameters \Rightarrow model-dependent $1-\sigma$ constraint on J_u vs. J_d :

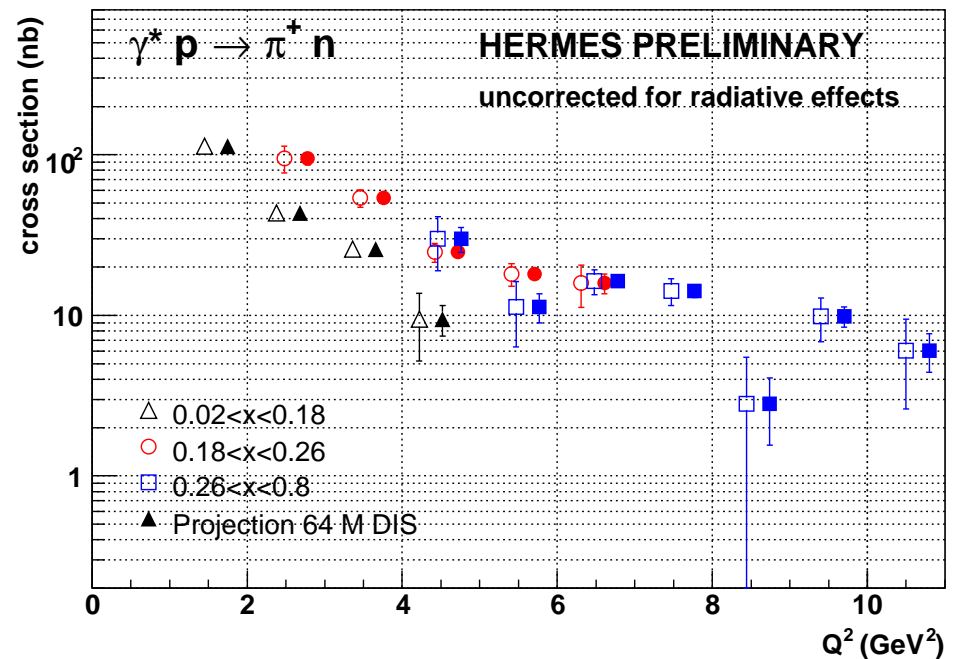
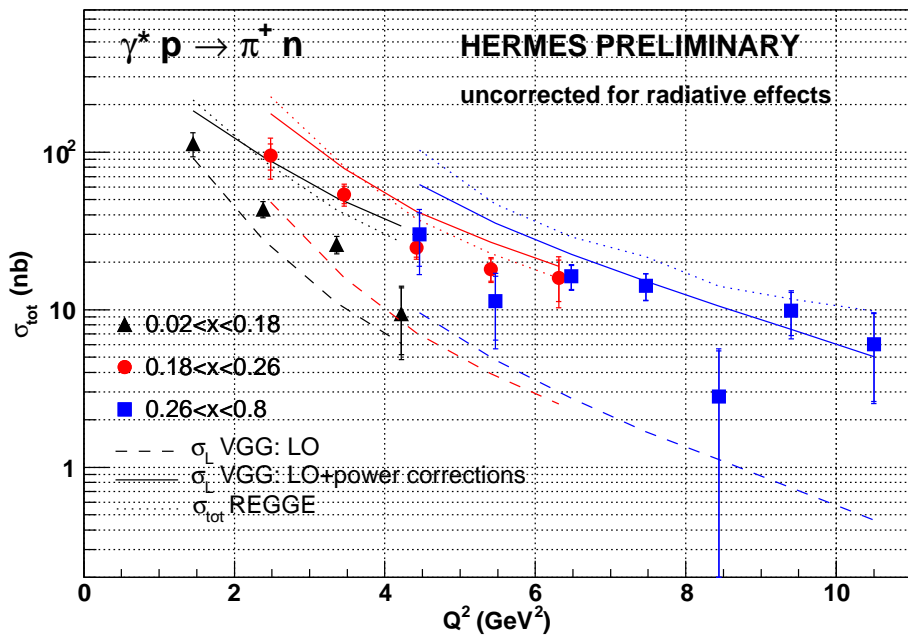


● Quenched **lattice calculation** done with pion masses 1070, 870, and 640 MeV, and then extrapolated linearly in m_π^2 to the physical value

● Uncertainties on VGG model parameters shown as separate uncertainty (± 0.06)

Exclusive π^+ Cross Section

- REACTION: $e p \rightarrow e \pi^+ n$ Access to polarized GPDs \tilde{H} and \tilde{E}
- $\sigma_{tot} = \sigma_T + \epsilon\sigma_L$; L/T separation at HERMES not possible ($0.80 < \epsilon < 0.96$)
- σ_T suppressed by $1/Q^2 \Rightarrow \sigma_L$ dominates at higher Q^2

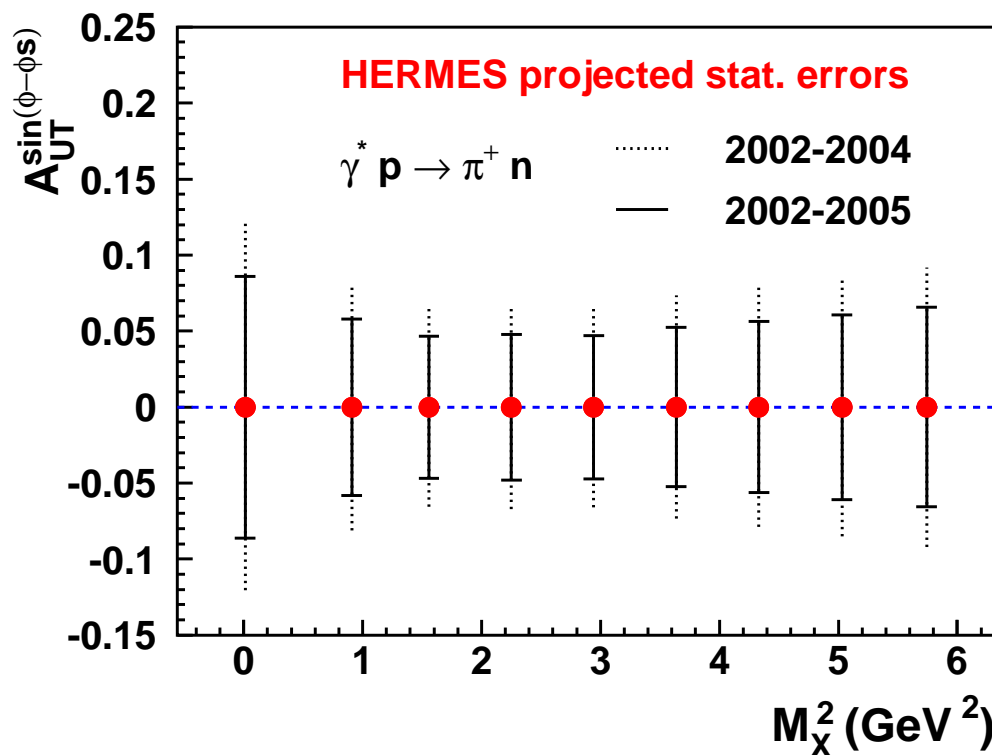
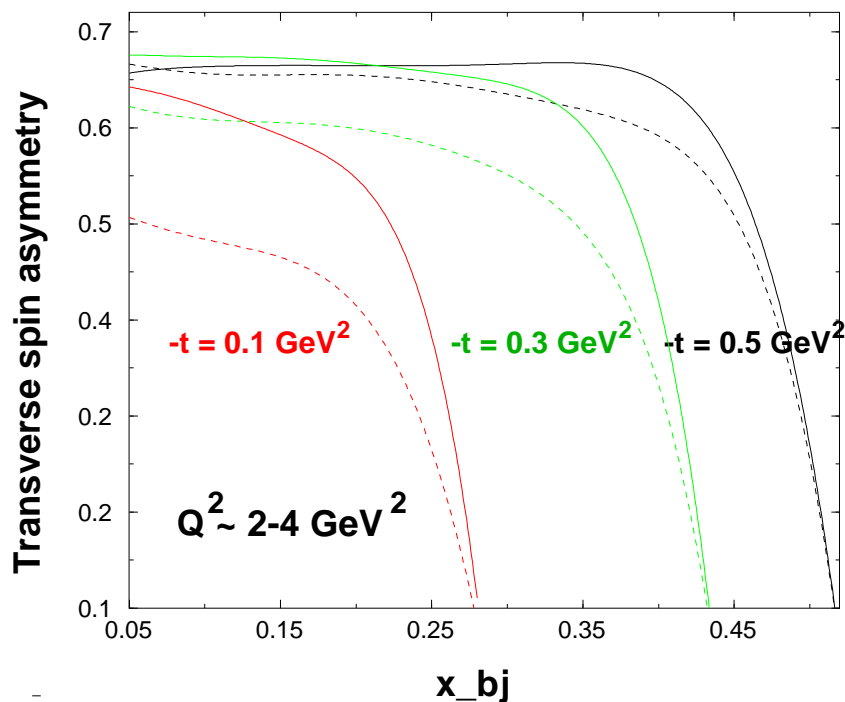


- VGG (LO+power corr.s) \approx Regge-based, BUT: data undershoot both models
- Factorization theorem $\rightarrow \sigma_L \propto 1/Q^6$: Kinematic $1/Q^4$ * Dynamic $1/Q^p$
- p fitted in the 3 x -bins: 1.9 ± 0.5 ; 1.7 ± 0.6 ; $1.5 \pm 1.0 \Rightarrow$ as expected

Transv. Target-spin Asymmetry in π^+ Production

- Large $A_{UT}^{\sin \phi - \phi_S}$ predicted [Frankfurt et al., PRL 84(2000)2589]

from interference of pseudo-scalar (\tilde{H}) and pseudo-vector (\tilde{E}) amplitudes



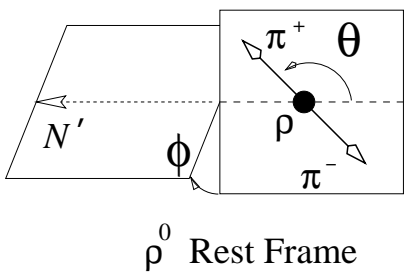
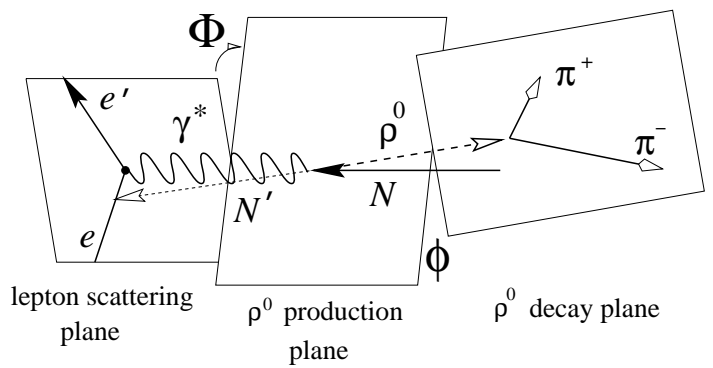
- background correction experimentally very difficult
- no released data available yet (PhD work going on)
- FULL data set (2002-2005): stat. errors may allow comparison with prediction

Transv. Target-spin Asymmetry in ρ^0 Production

See talk of A. Rostomyan

Vector Meson Production on Unpolarized Target

Photon-Nucleon CMS



REACTION : $e + p(d) \rightarrow e + \rho^0 (+X)$

$0.6 < M_{\pi^+\pi^-} < 1.0 \text{ GeV}$

$M_{K^+K^-} \geq 1.06 \text{ GeV}$

$-1.0 < \delta E < 0.6 \text{ GeV} \quad | \quad \text{diff.}$

$-t' < 0.4 \text{ GeV} \quad | \quad \text{excl.}$

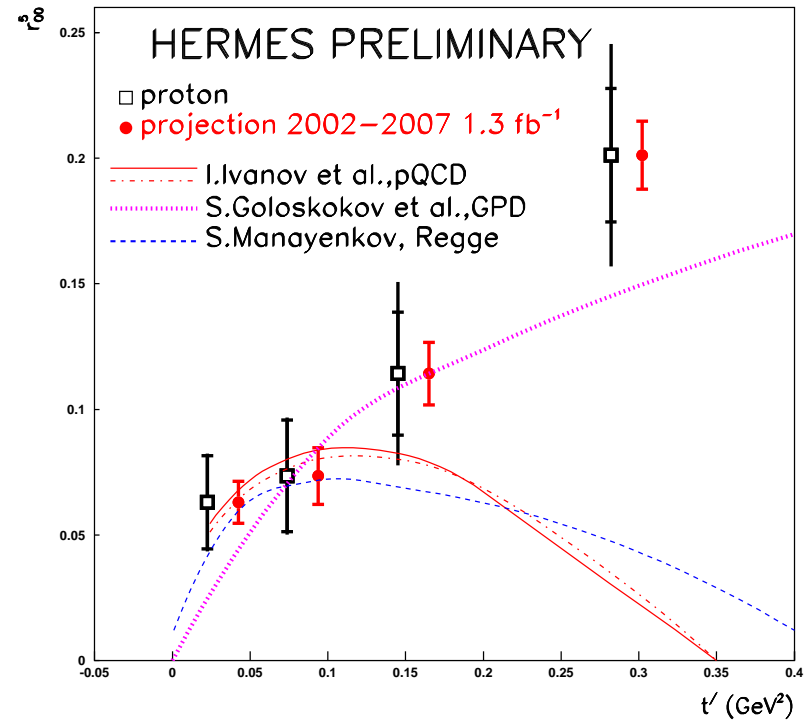
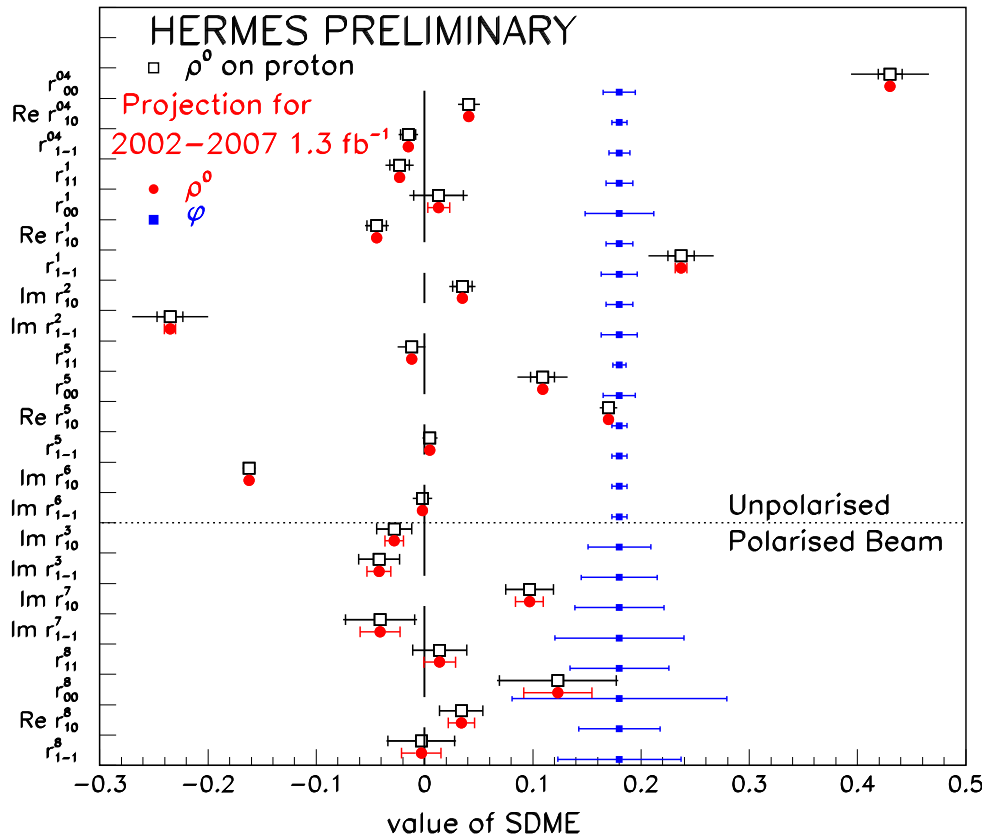
$1 < Q^2 < 5 \text{ GeV}^2$

$3 < W < 6.3 \text{ GeV}^2$

$y > 0.85$

- SDMEs from maximum likelihood fit minimizing difference between:
 - 3-dimensional $(\cos \Theta, \phi, \Phi)$ decay angle matrix of data
 - fully reconstructed high statistics Monte Carlo set
- 1996-2000 data analyzed; polarized beam ($P_B \approx 0.53$), UNpolarized target
- 15 'unpolarized' ρ^0 SDMEs measured, precision comparable to H1/ZEUS
- 8 polarized ρ^0 SDMEs measured for the first time

ρ^0 SDMEs & Projections for ρ^0 and ϕ SDMEs

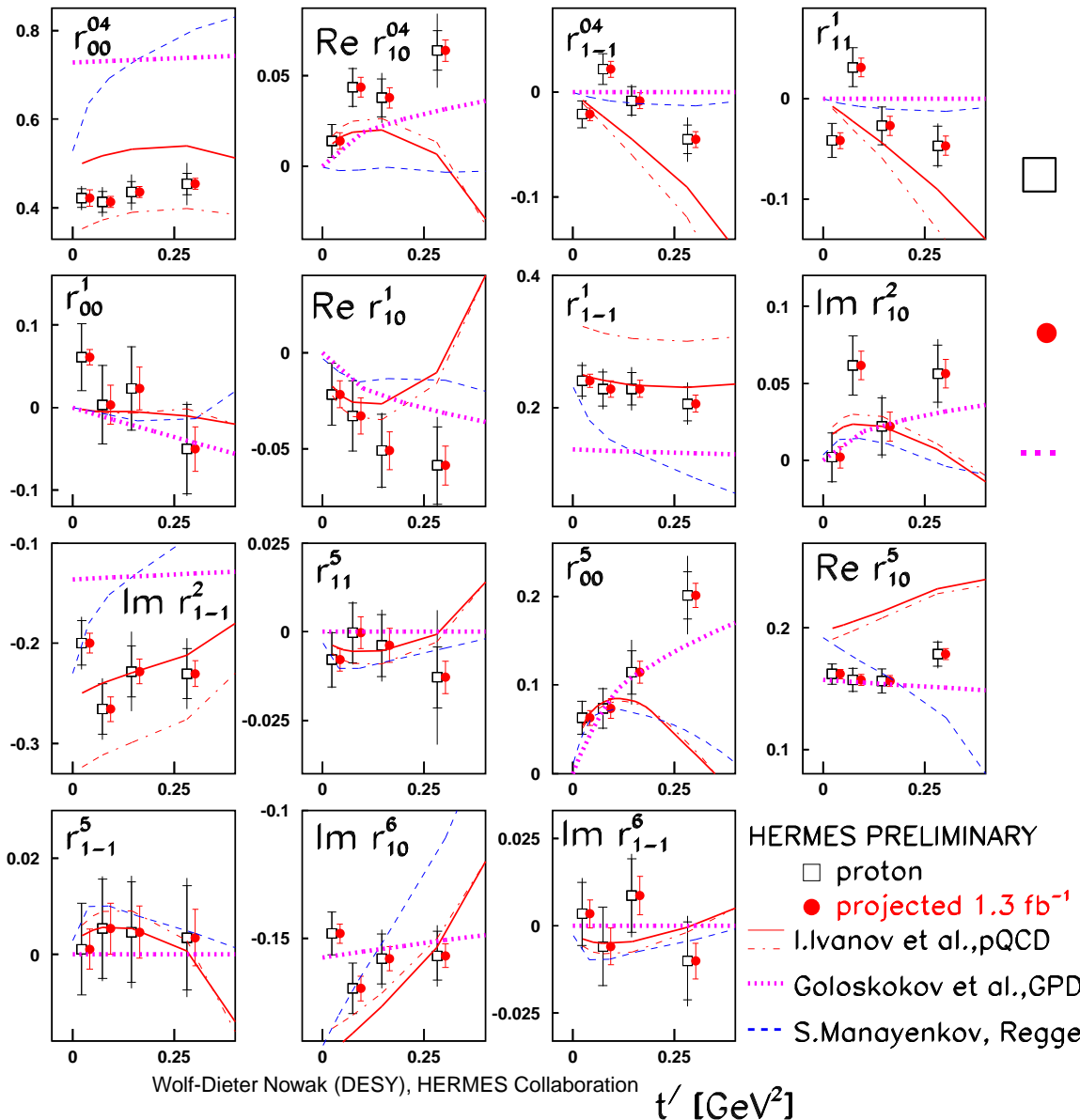


$$R = \frac{\sigma_L}{\sigma_T} \quad R^{SCHC} = \frac{1}{\epsilon} \frac{r_{04}^{00}}{1-r_{04}^{00}} \quad R^{NPE} = \frac{1}{\epsilon} \left\{ \frac{1}{2r_{1-1}^1 - r_{00}^1} - 1 \right\} \quad \epsilon = \frac{1-y}{1-y+y^2/2}$$

- statistically significant violation of SCHC found
- indication seen for existence of unnatural-parity-exchange amplitudes

BOTH: \Rightarrow existence of 2-quark exchange at intermediate energies

ρ^0 Spin-density-matrix Elements vs. t



□ 15 'unpolarized' SDMEs from HERMES 1996-2000 proton data

● Projection 1996-2007 data

⋯ GPD-based model ($Q^2 > 3 \text{ GeV}^2$)

2-gluon exchange only

⇒ waiting for inclusion of quark-exchange into GPD-based model

(hoping for lower Q^2 -limit then)

Summary and Outlook

- ▶ HERMES has been playing a **pioneering role** exploring the potential of exclusive photon/ meson production towards an **interpretation of the data in the context of Generalized Parton Distributions**. Measurements of azimuthal asymmetries with respect to beam spin and charge, and to (longitudinal and transverse) target polarization were performed. First constraints on GPD models were obtained, including a model-dependent **constraint on the u and d -quark total angular momentum**.
- ▶ the new **HERMES Recoil Detector**, combined with an unpolarized proton target, will lead to significant improvements in resolution and statistics for **measurements of azimuthal asymmetries in Deeply Virtual Compton Scattering**, and of the cross section in exclusive π^+ production.