



Polarized Structure Functions

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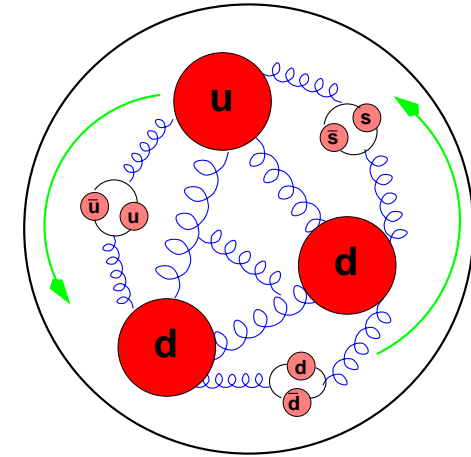
University of Colorado/Boulder

LP01 Rome 23-28 July 2001

Motivation

the spin structure of the nucleon:

$$\begin{aligned}\langle S_z^N \rangle &= \frac{1}{2} = J_q + J_g \\ &= \frac{1}{2} \Delta\Sigma + L_q + \Delta G + L_g\end{aligned}$$



$\Delta\Sigma$ is found to be **small** in inclusive DIS experiments

- ~1980: SLAC: connection of nucleon spin with quarks
- 1988: EMC: "spin crisis" $\Delta\Sigma = 0.12 \pm 0.17 \approx 0?$
- 1988–2000: SLAC, CERN, DESY: $\Delta\Sigma \approx 0.2 \dots 0.4 > 0$

possible contributions to $\langle S_z \rangle$ still **unknown**

- strange sea polarization Δ_s ?
- gluon polarization ΔG ?
- orbital angular momentum $L_{q,g}$?

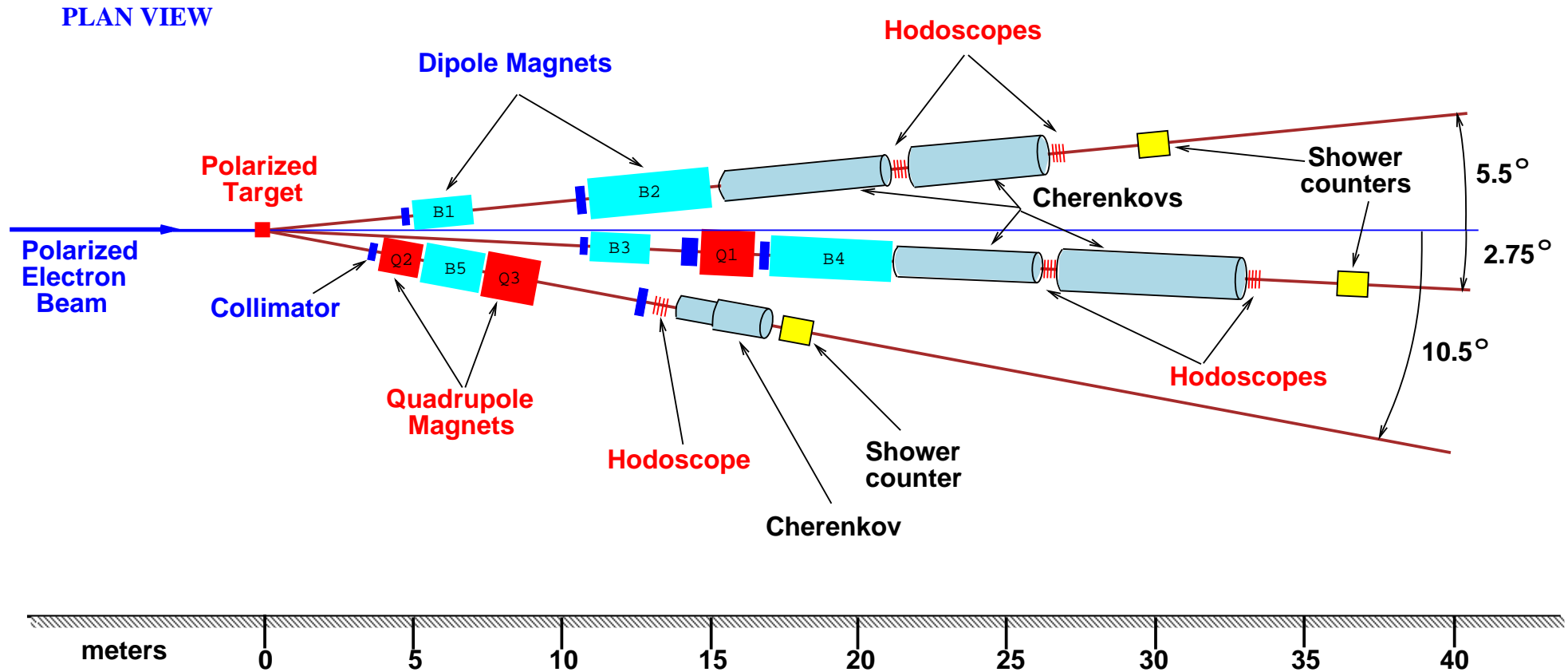
Outline

- Experiments and Kinematics
- Asymmetries and Structure Functions $g_{1,2}$
- QCD and Gluon Polarization ΔG
- Semi-inclusive Spin Physics
- Prospects of Current Experiments
- Conclusion

Deep Inelastic Ip and pp Spin Experiments

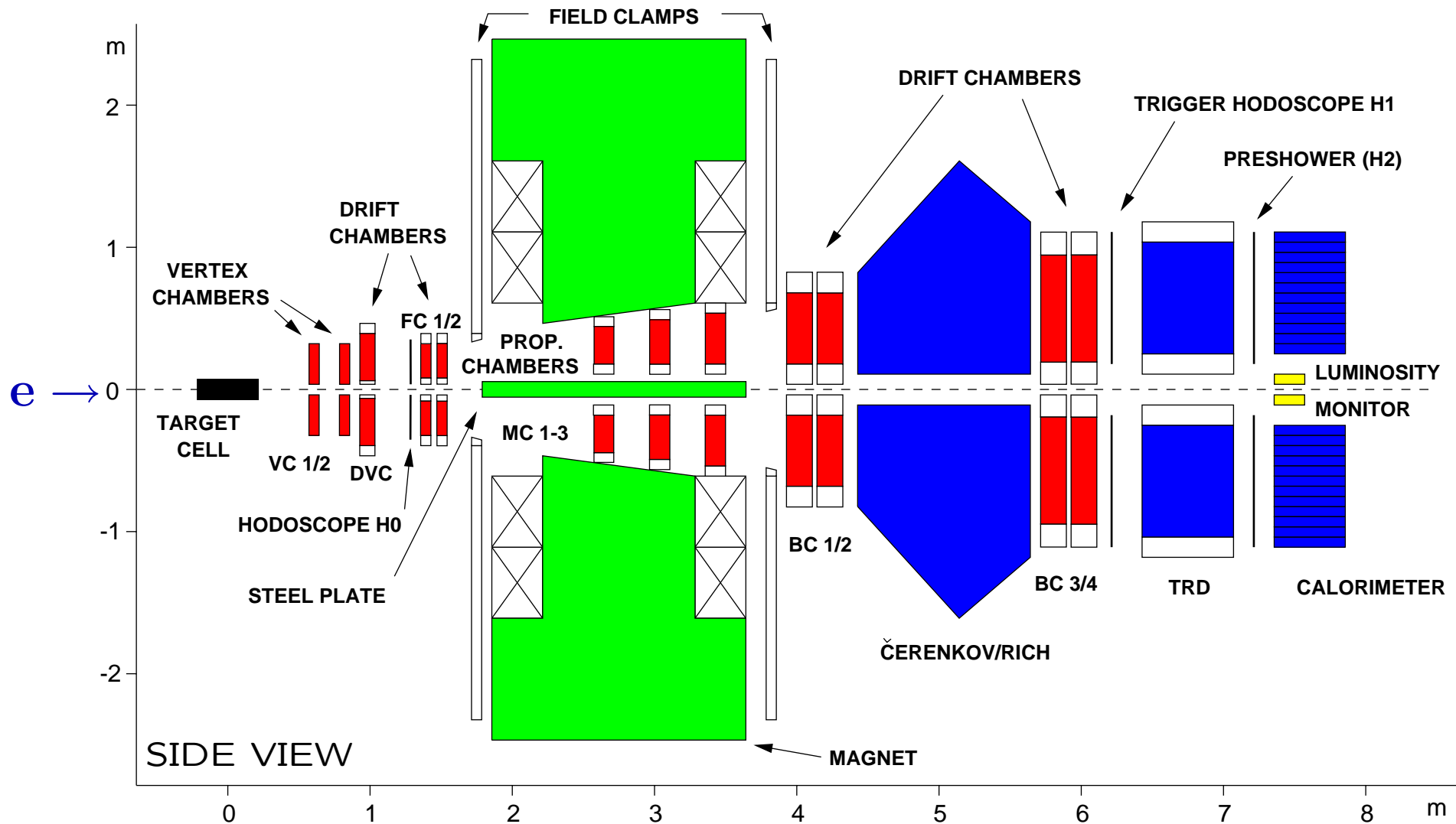
Lab	Exp.	Year	Beam	P %	Target	P %	f	
SLAC	E80	75	10-16 GeV e^-	85	H-butanol	50	0.13	
	E130	80	16-23 GeV e^-	81	H-butanol	58	0.15	
	E142	92	19-26 GeV e^-	39	^3He	35	0.35	
	E143	93	10-29 GeV e^-	85	NH_3	70	0.15	
						ND_3	25	0.24
	E154	95	48 GeV e^-	83	^3He	33	0.55	
	E155	97	48 GeV e^-	81	NH_3	90	0.15	
						LiD	22	0.36
	E155X	99	30 GeV e^-	83	NH_3	70	0.16	
					LiD	22	0.36	
CERN	EMC	85	200 GeV μ^+	79	NH_3	78	0.16	
	SMC	92	100 GeV μ^+	82	D-butanol	35	0.19	
		93	190 GeV μ^+	80	H-butanol	86	0.12	
		94/95		81	D-butanol	50	0.20	
		96		77	NH_3	89	0.16	
DESY	HERMES	95	28 GeV e^+	55	^3He	46	1.0	
		96/97		55	H	88	1.0	
		98	28 GeV e^-	55	D	85	1.0	
		99/00	28 GeV e^+	55	D	85	1.0	
DESY	HERMES	01	28 GeV e^+	55	H	85	1.0	
CERN	COMPASS	01	160 GeV μ^+	80	NH_3	90	0.16	
					LiD	50	0.50	
BNL	RHIC	01	200 GeV p	70	200 GeV p	70	1.0	

E155 Spectrometers

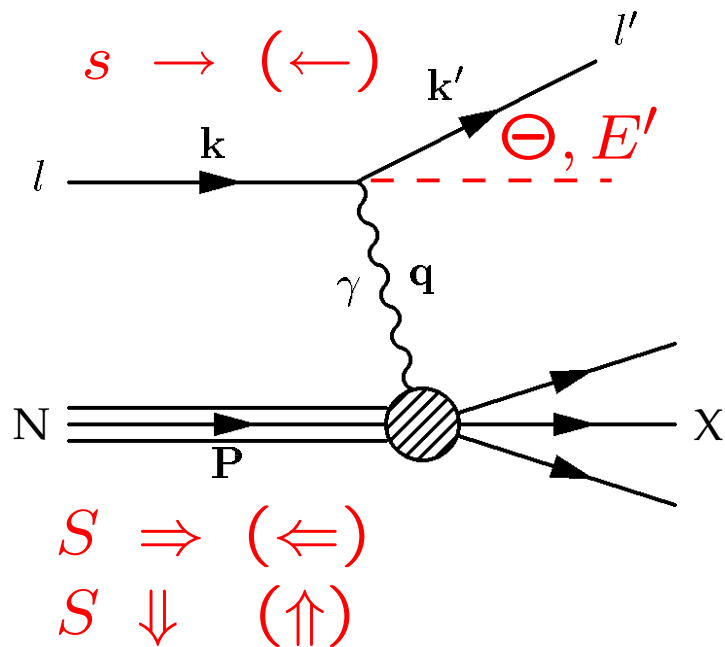


The HERMES Experiment at DESY

forward spectrometer ($0.04 < |\Theta| < 0.22$ mrad)



Deep Inelastic Lepton-Nucleon Scattering (DIS)



$$Q^2 = -q^2 \stackrel{\text{lab}}{=} 4EE' \sin^2 \frac{\Theta}{2}$$

$$y = \frac{Pq}{Pk} \stackrel{\text{lab}}{=} \frac{E - E'}{E}$$

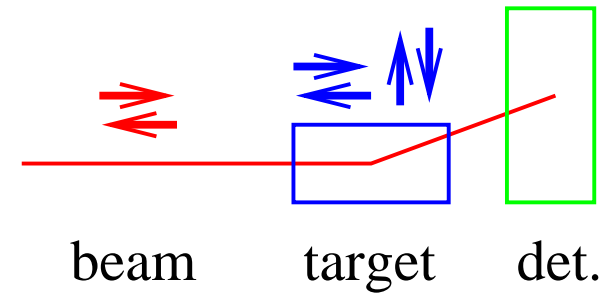
$$\nu \stackrel{\text{lab}}{=} E - E'$$

$$x = \frac{Q^2}{2Pq} \stackrel{\text{lab}}{=} \frac{Q^2}{2M\nu}$$

$$W^2 \stackrel{\text{lab}}{=} -Q^2 + M^2 + 2M\nu$$

Spin Structure Functions in DIS

form cross section asymmetries w.r.t.
orientations of beam and target spins



measured asymmetries:

$$A_{\parallel} = \frac{\sigma^{\leftarrow\leftarrow} - \sigma^{\rightarrow\rightarrow}}{\sigma^{\leftarrow\leftarrow} + \sigma^{\rightarrow\rightarrow}} \qquad A_{\perp} = \frac{\sigma^{\downarrow\rightarrow} - \sigma^{\uparrow\rightarrow}}{\sigma^{\downarrow\rightarrow} + \sigma^{\uparrow\rightarrow}}$$

relation to virtual photon asymmetries A_1 and A_2 :

$$A_{\parallel} = D(A_1 + \eta A_2) \qquad A_{\perp} = d(\zeta A_1 + A_2)$$

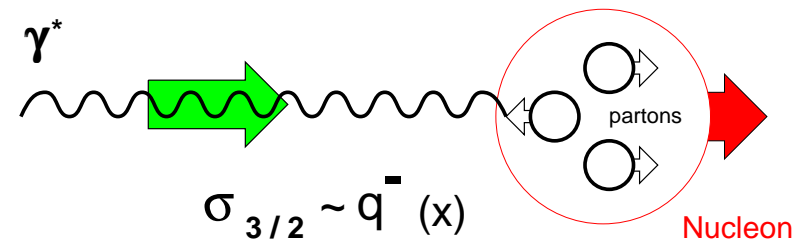
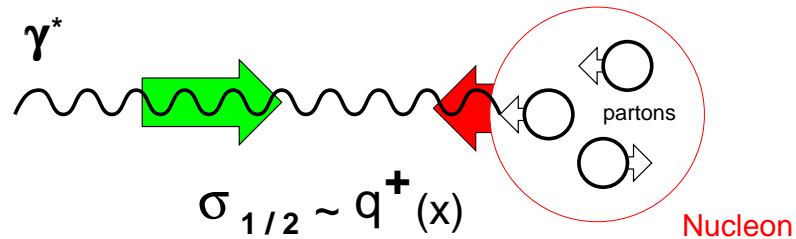
relation to spin structure functions g_1 and g_2 :

$$A_1 = \frac{(g_1 - \gamma^2 g_2)}{F_1} \approx \frac{g_1}{F_1} \qquad A_2 = \gamma \frac{(g_1 + g_2)}{F_1}$$

D, d : photon depolarization factors ($D \approx y$)

η, γ, ζ : kinematic factors ($\eta \approx \gamma \xrightarrow{Q^2 \gg M^2} 0$)

Partonic Interpretation



QPM:

$$q(x) = q^+(x) + q^-(x)$$

$$F_1(x) = \frac{1}{2} \sum_f e_f^2 q_f(x)$$

$$F_2 = 2xF_1$$

$$\Delta q(x) = q^+(x) - q^-(x)$$

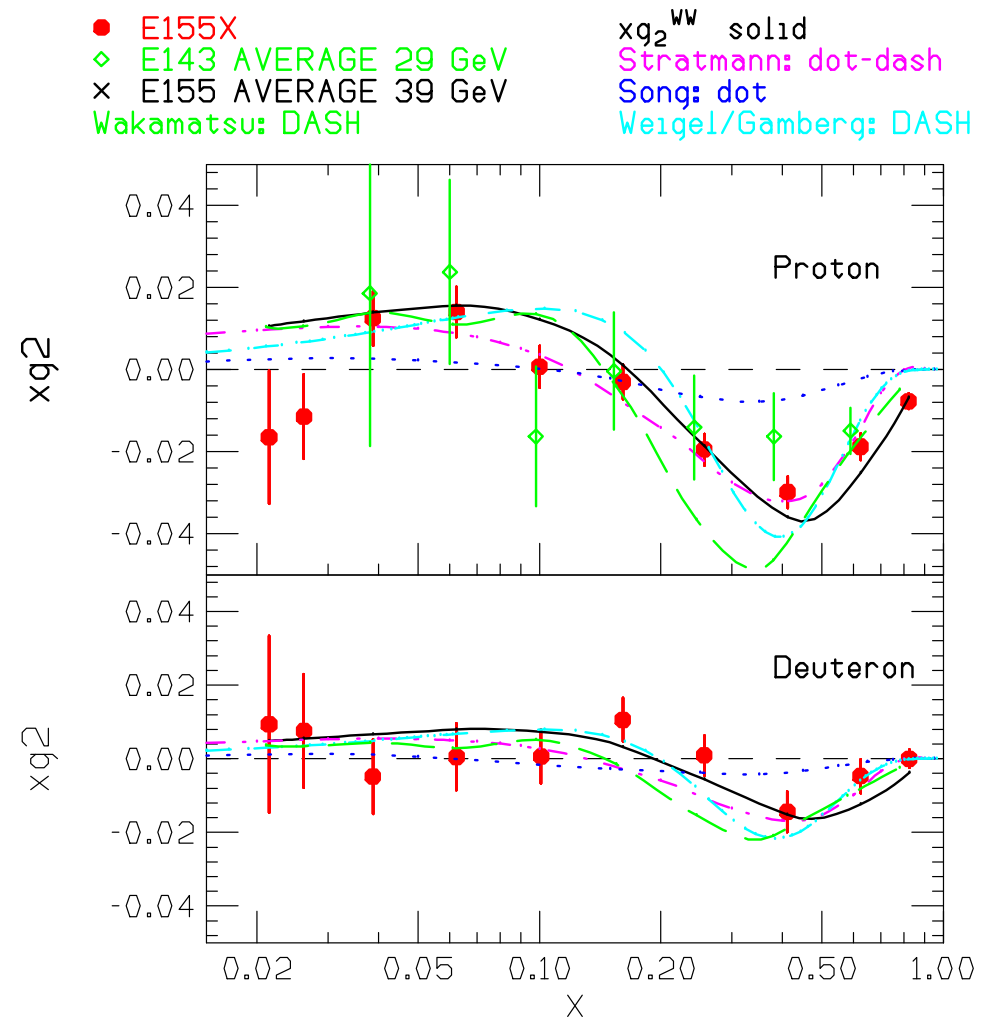
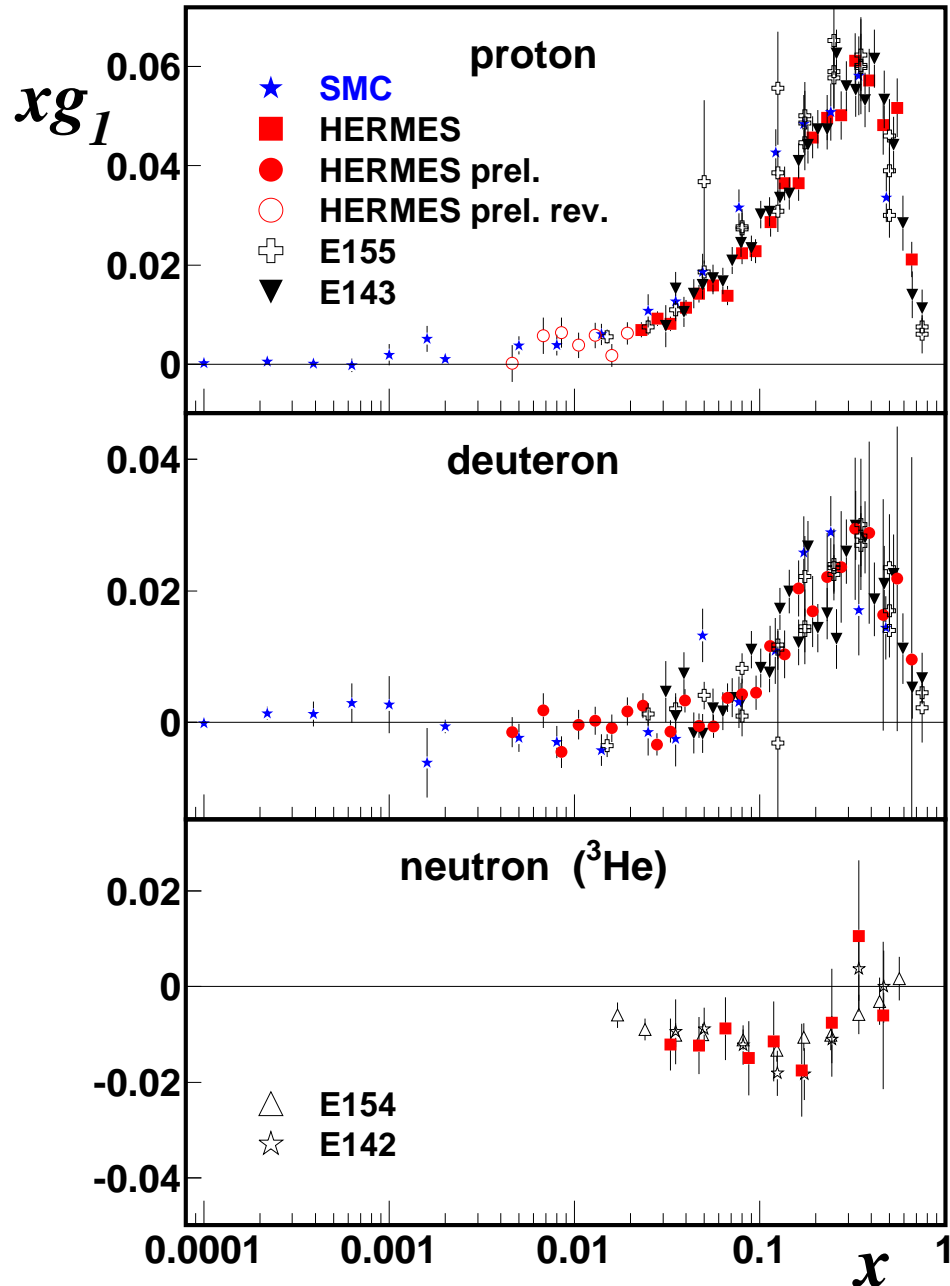
$$g_1(x) = \frac{1}{2} \sum_f e_f^2 \Delta q_f(x)$$

$$g_2 = 0$$

simple physical interpretation of g_1 in terms of quark helicity distributions $\Delta q_f(x)$

BUT no simple partonic picture for g_2

World Data on xg_1 and xg_2

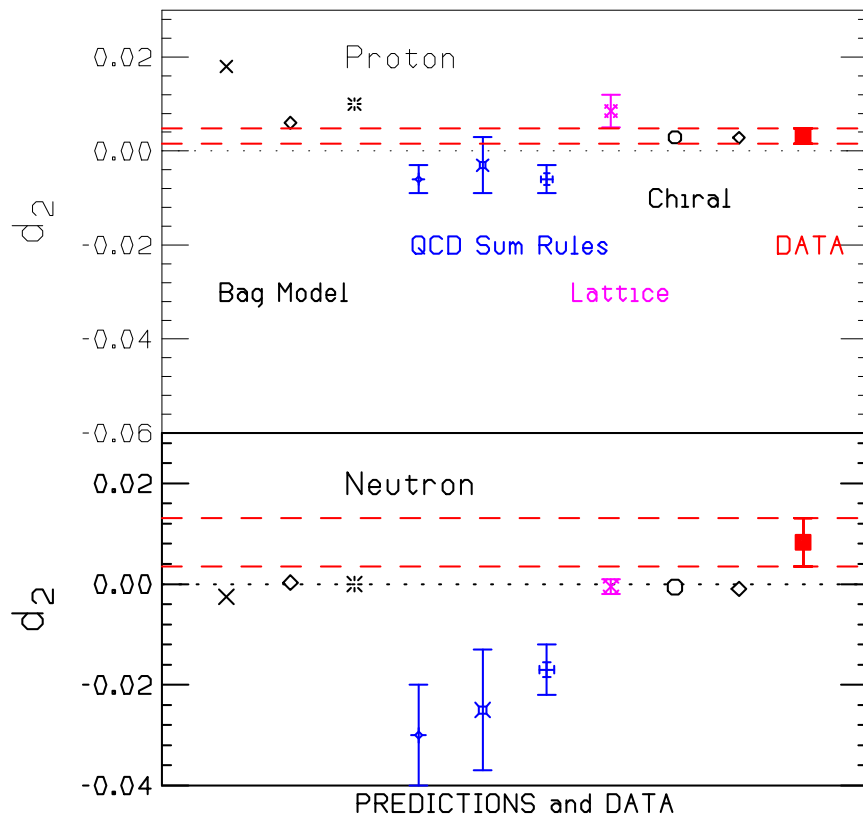


new: HERMES prelim., E155X
data at $\langle Q^2 \rangle$: 0.02-58 GeV²

Interpretations of g_2

$$g_2(x, Q^2) = g_2^{WW}(x, Q^2) + \overline{g_2}(x, Q^2)$$

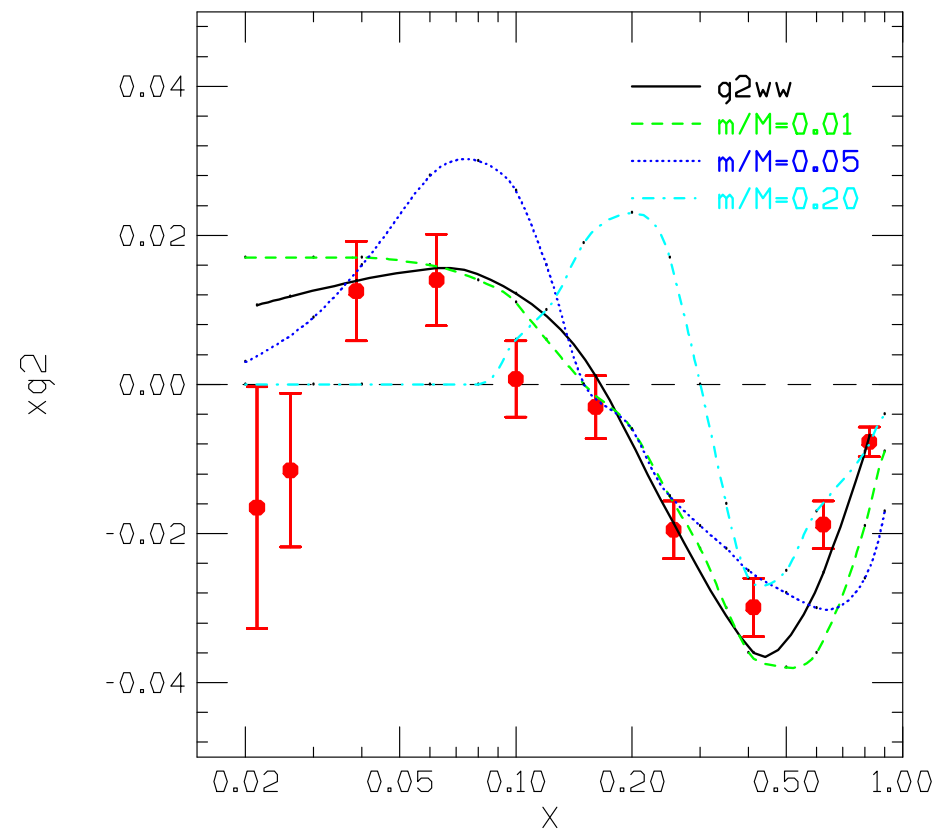
- g_2^{WW} includes twist-2 contribution: $g_2^{WW} \propto -g_1$
- $\overline{g_2}$ includes quark-gluon correlations \sim **twist-3** matrix element d_2



$d_2: 0.0032 \pm 0.0016$ (p)

$d_2: 0.0083 \pm 0.0048$ (n)

Roberts and Ross PROTON



sensitive to m_q/M

Interpretation of g_1 in NLO pQCD

g_1 well described in QCD improved QPM (NLO)

$$g_1^{p(n)} = \frac{1}{9} \left\{ \Delta C_{NS} \otimes \left[\pm \frac{3}{4} \Delta q_3 + \frac{1}{4} \Delta q_8 \right] + \Delta C_S \otimes \Delta \Sigma + 2N_f \Delta C_G \otimes \Delta G \right\}$$

with usual notations ($N_f = 3$):

$$\begin{aligned} \Delta \Sigma(x, Q^2) &= (\Delta u + \Delta \bar{u}) + (\Delta d + \Delta \bar{d}) + (\Delta s + \Delta \bar{s}) \\ \Delta q_3(x, Q^2) &= (\Delta u + \Delta \bar{u}) - (\Delta d + \Delta \bar{d}) = 6(g_1^p - g_1^n) \\ \Delta q_8(x, Q^2) &= (\Delta u + \Delta \bar{u}) + (\Delta d + \Delta \bar{d}) - 2(\Delta s + \Delta \bar{s}) \\ \Delta G(x, Q^2) &\quad \text{in NLO only; } \Delta C_G^0 = 0 \text{ in LO} \end{aligned}$$

in principle linear combinations of polarized PDF's and ΔG can be determined due to their different Q^2 evolution

BUT at NLO mixing of $\Delta \Sigma$ and $\Delta G \rightsquigarrow$ factorization scheme dependence :

\Rightarrow $\overline{\text{MS}}$ scheme: $\Delta \Sigma$ not conserved

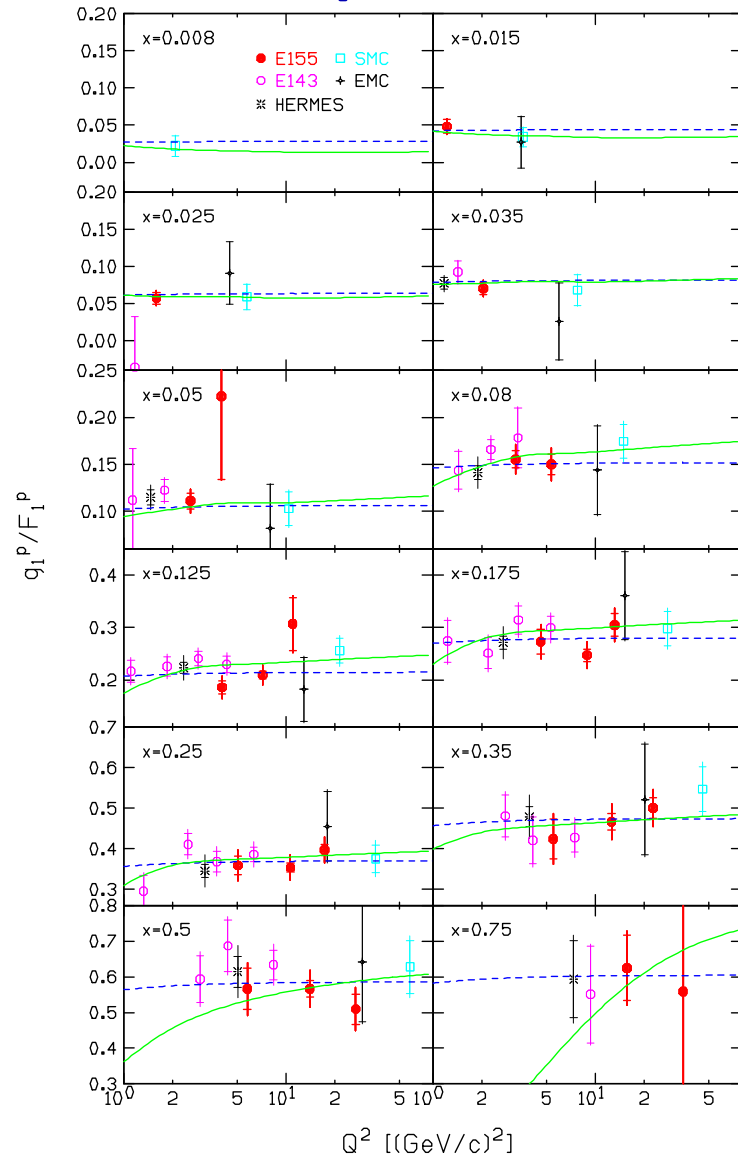
\Rightarrow $\overline{\text{AB}}$ or $\overline{\text{JET}}$ scheme: $\Delta \Sigma$ conserved

$$\Delta \Sigma(Q^2)_{\overline{\text{MS}}} = \Delta \Sigma_{\overline{\text{AB}}(\overline{\text{JET}})} - N_f \frac{\alpha_s(Q^2)}{2\pi} \Delta G(Q^2)$$

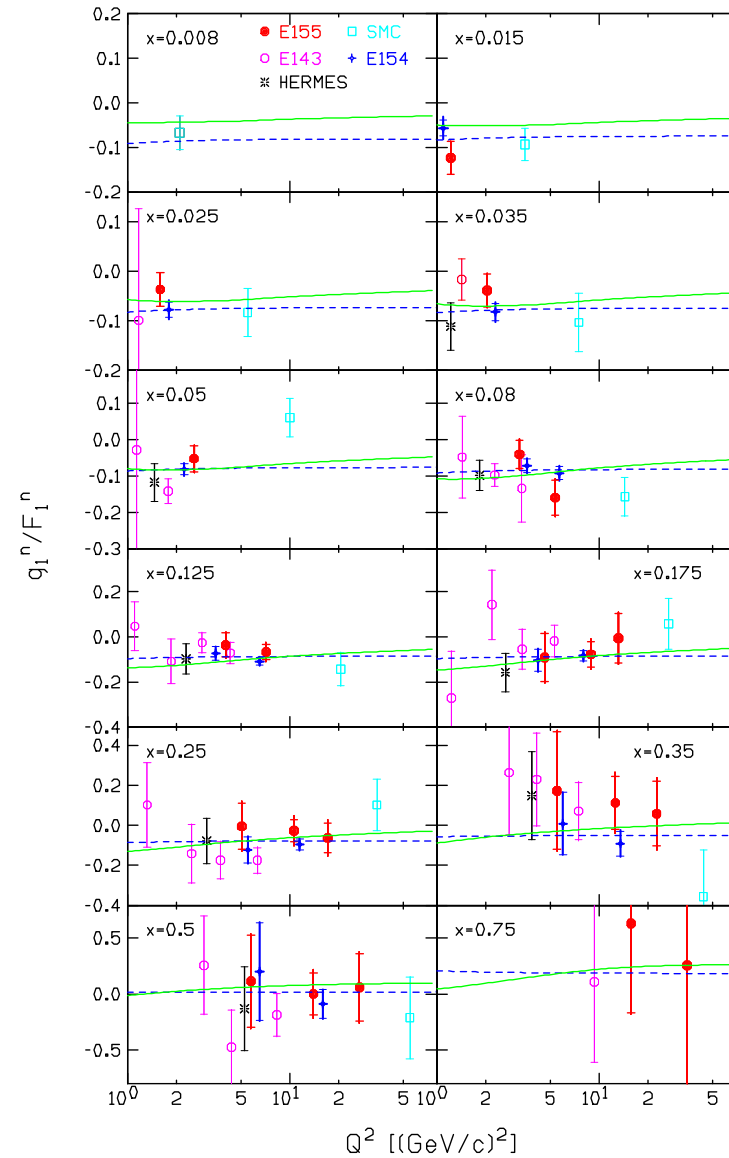
$$\Delta G(Q^2)_{\overline{\text{MS}}} = \Delta G(Q^2)_{\overline{\text{AB}}(\overline{\text{JET}})}$$

Q^2 Dependence of $A_1 \approx g_1/F_1$

proton



neutron



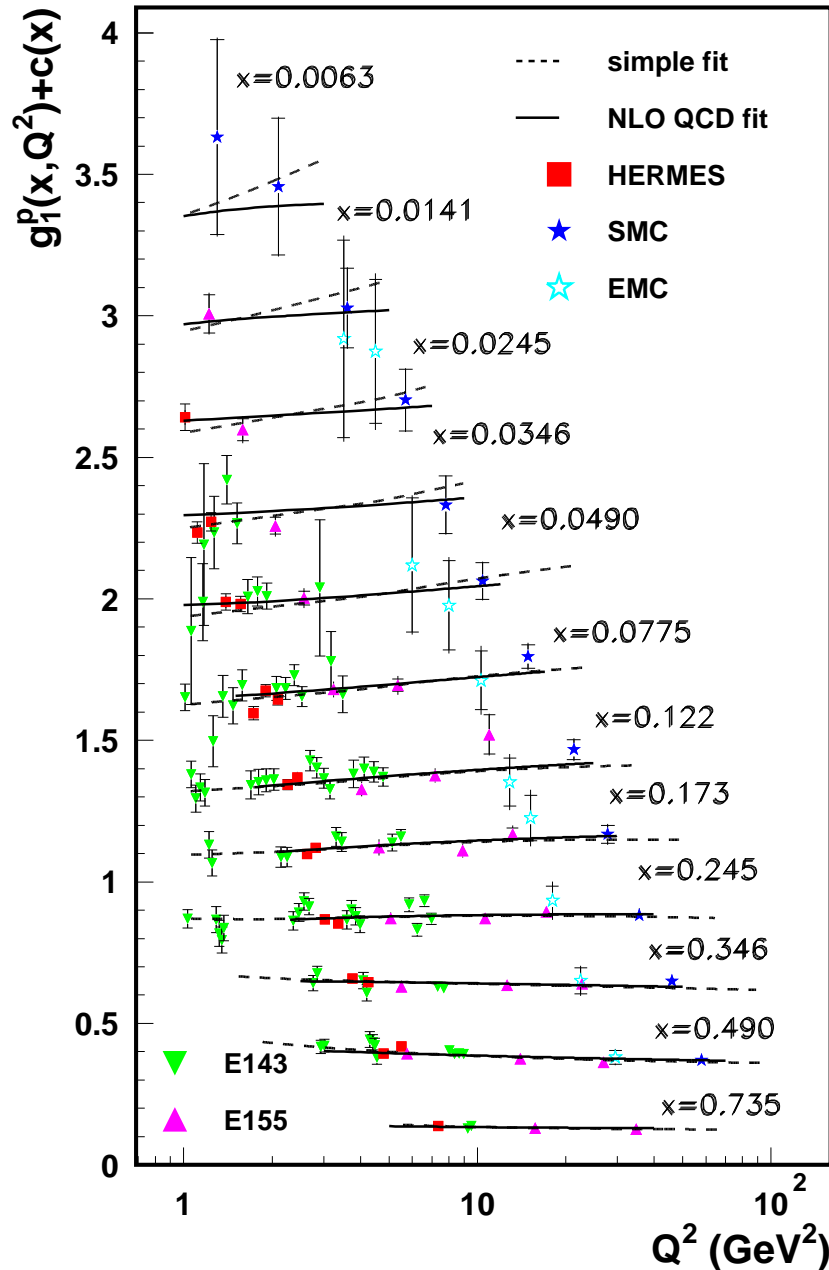
— simple fit

— NLO fit

[E155,
hep-ph/0007248]

\rightsquigarrow ratios $g_1/F_1^{p,n}$ independent of Q^2 in DIS region within current uncertainties

Q^2 Dependence of g_1^p



$\rightsquigarrow Q^2$ dependence of g_1^p
 similar to the Q^2
 dependence of F_1^p

NLO pQCD fit of $g_1^{p,d,n}$
 data with $Q^2 > 1$ GeV²

Fits to $g_1(x, Q^2)$ in NLO pQCD using $SU(3)_f$

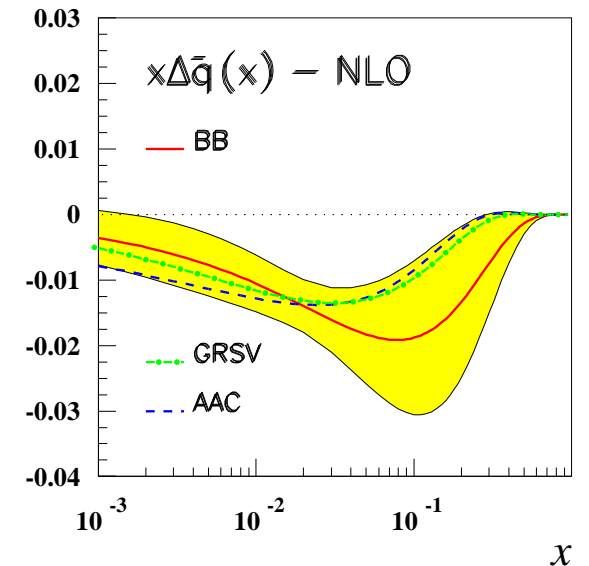
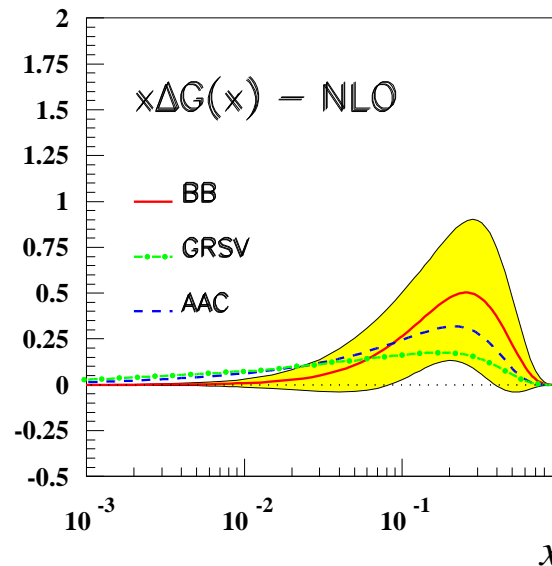
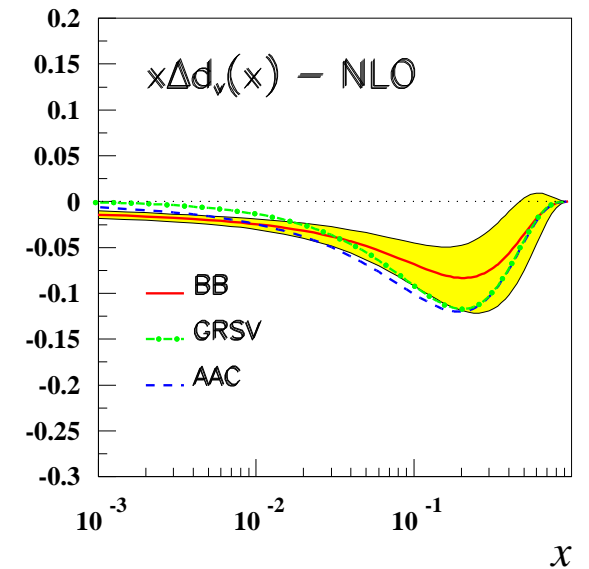
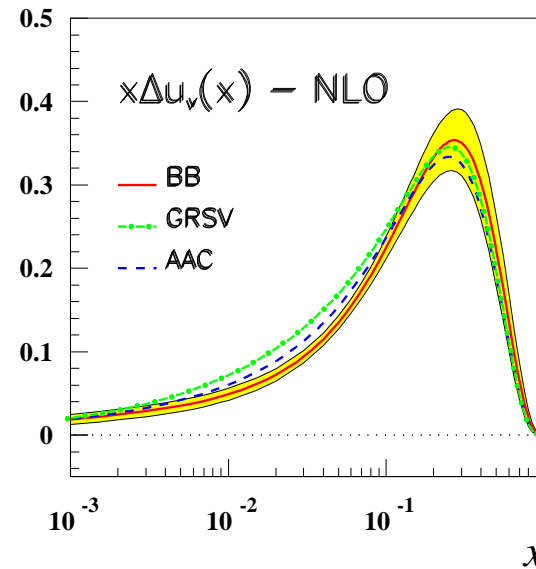
updated NLO QCD (\overline{MS}) fits at $Q^2 = 4 \text{ GeV}^2$

NEW: propagated statistical uncertainties (yellow bands - BB)

BB: Blümlein, Böttcher, DIS2001

GRSV: Glück et al., hep-ph/0011215

AAC: Goto et al., hep-ph/0001046



⇒ determination of $\alpha_s(M_Z^2) = 0.114 \pm 0.005(\text{stat}) \begin{matrix} +0.009 \\ -0.006 \end{matrix}(\text{scales})$ (BB)

Sensitivity to broken $SU(3)_f$

present NLO analyses use information from neutron and hyperon β -decays to constrain non-singlet first moments

$$a_3 = \Delta q_3 = F + D = 1.267 \pm 0.0035 \quad (\text{Bjorken sum rule})$$

$$a_8 = \Delta q_8 = 3F - D = 0.585 \pm 0.025$$

however, result for a_8 depends on assumption of $SU(3)$ flavour symmetry among hyperons, which is known to be inexact...

sensitivity to $SU(3)$ flavour symmetry breaking, in JET scheme,
 $Q^2 = 1 \text{ GeV}^2$ [Leader, Stamenov, Sidorov, DIS2001, hep-ph/0004106]

a_8	χ^2/dof	$\Delta\Sigma$	ΔG	$\Delta s + \Delta\bar{s}$
0.40	0.82	0.34 ± 0.05	0.13 ± 0.14	-0.02 ± 0.01
0.585	0.83	0.40 ± 0.04	0.57 ± 0.14	-0.06 ± 0.01
0.86	0.82	0.40 ± 0.06	0.84 ± 0.30	-0.15 ± 0.02

gluon and strange quark polarizations strongly dependent on $SU(3)$ symmetry assumption \rightsquigarrow direct measurements needed

HERMES : ΔG from Photon-Gluon Fusion (LO)

$\gamma g \rightarrow q\bar{q}$: select h^+h^- pairs with large $p_T^{h1} > 1.5$ GeV, $p_T^{h2} > 0.5$ GeV

\rightsquigarrow negative asymmetry $A_{||}$ interpreted in terms of $\Delta G/G$ (PGF) and $\Delta q/q$ (QCDC) contributions using PYTHIA model

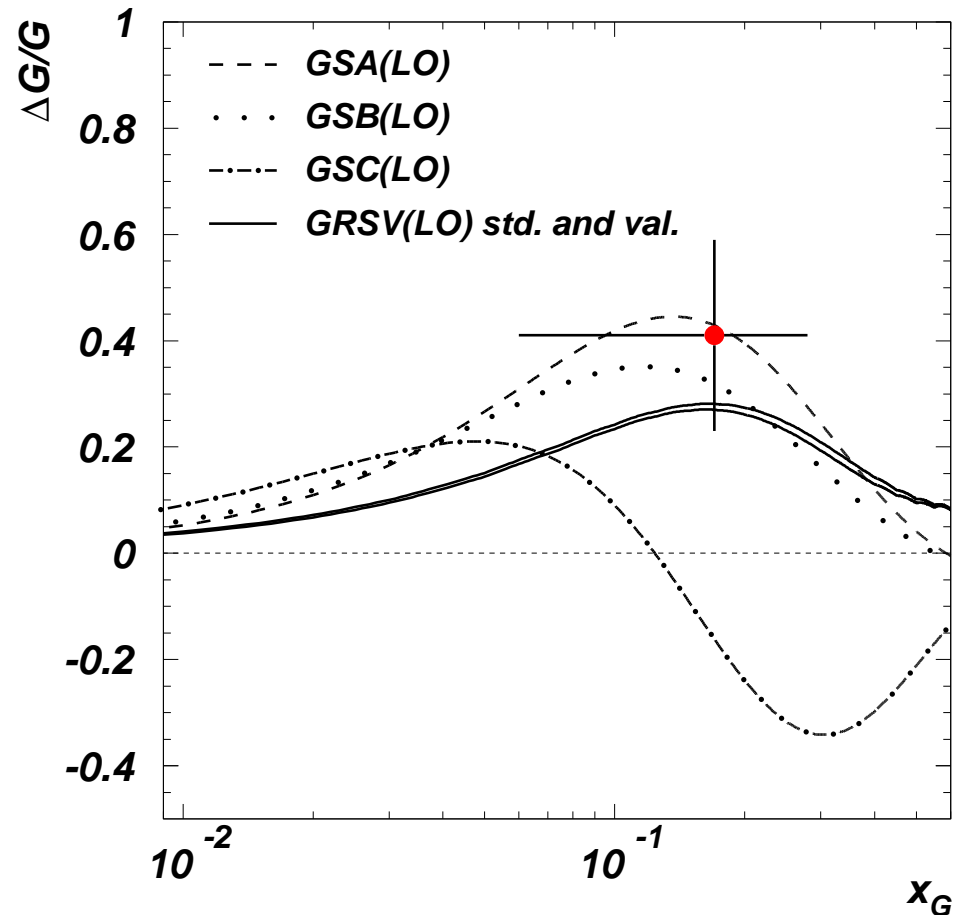
\rightsquigarrow $\Delta G/G$ positive
at $\langle x_g \rangle = 0.17$ and $\langle p_T^2 \rangle = 2.1$ GeV²

$$\Delta G/G = 0.41 \pm 0.18(\text{stat.}) \pm 0.03(\text{exp.syst.})$$

[HERMES, PRL84(2000)2584]

ongoing:

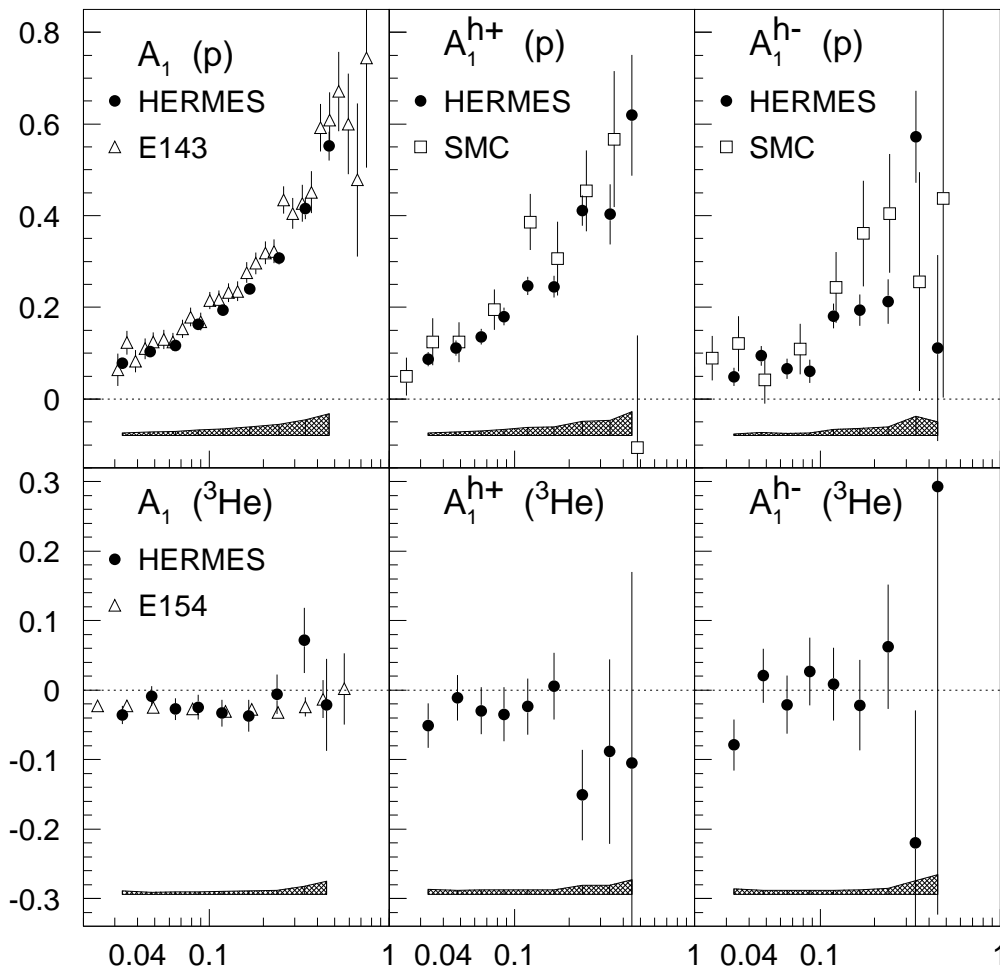
$\Delta G/G$ analysis based on new high statistics deuteron data of HERMES run periods 1998-2000



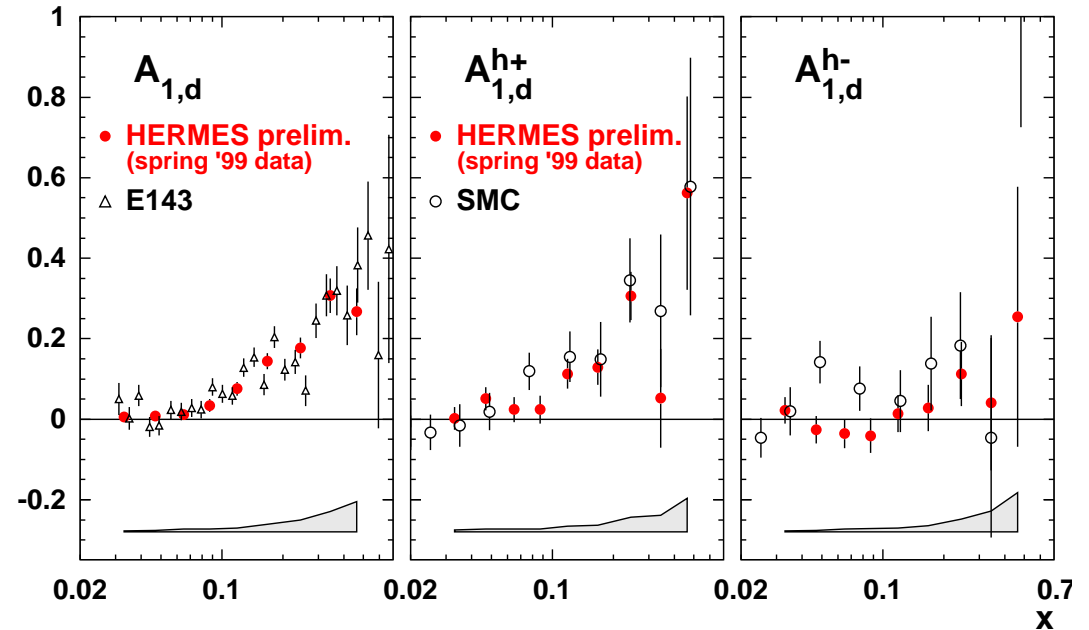
GS: Gehrmann, Stirling, PRD53(1996)6100

Semi-Inclusive Asymmetries

$$A_1^h \approx \frac{g_1^h}{F_1^h}(x, Q^2) = \frac{\int_{z_{min}}^1 dz \sum_q e_q^2 \Delta q(x, Q^2) \cdot D_q^h(z, Q^2)}{\int_{z_{min}}^1 dz \sum_q e_q^2 q(x, Q^2) \cdot D_q^h(z, Q^2)} \quad (\text{LO pQCD})$$



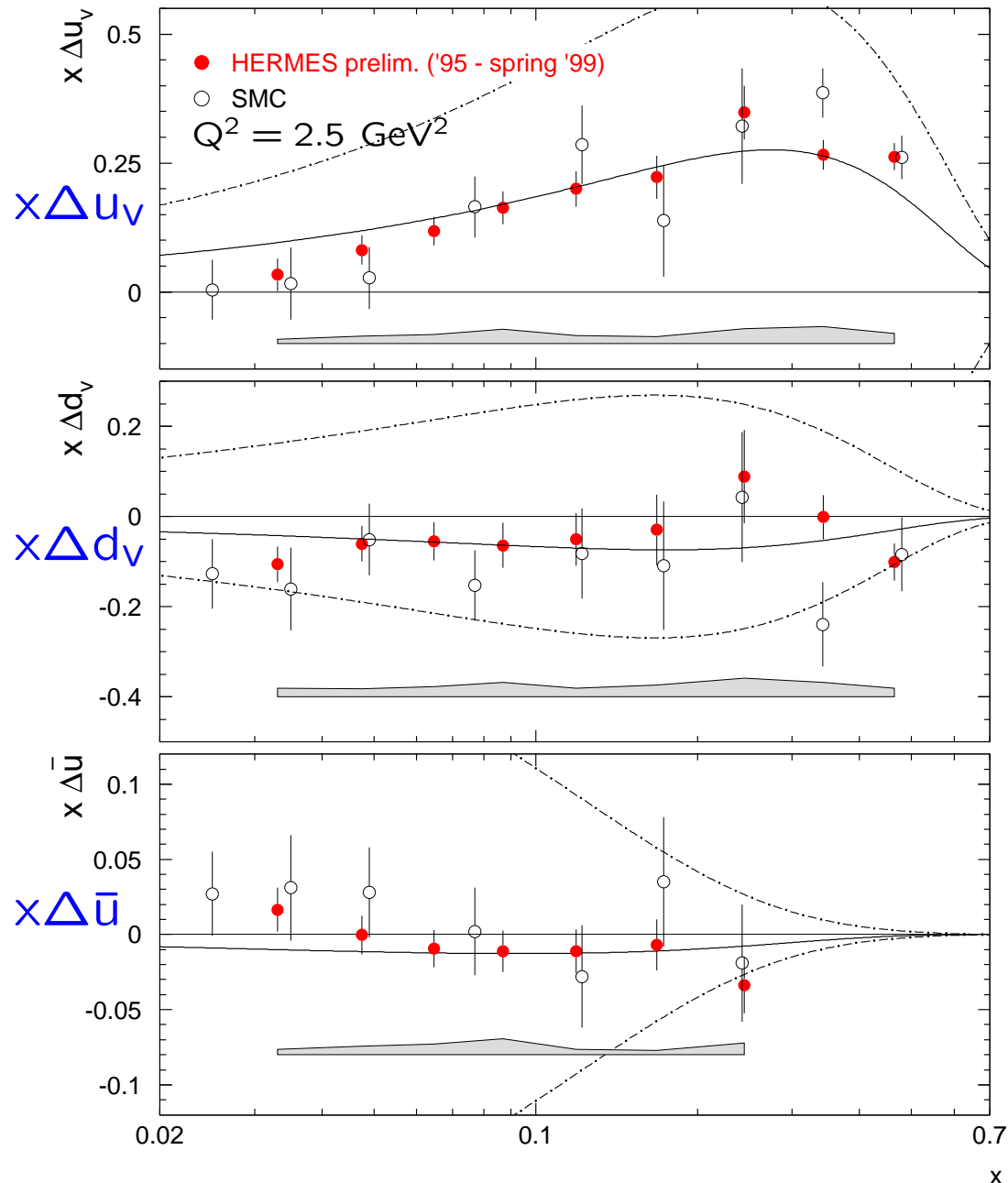
[HERMES, PLB464(99)123]



new: HERMES deuteron prelim.

bands: HERMES syst. uncertainty

Polarized Quark Distributions (LO)



flavour tagging:

$A_1(h^\pm)$ on **proton** dominated by $\Delta u(x)$

$A_1(h^\pm)$ on **neutron** dominated by $\Delta d(x)$

sensitivity to $\Delta \bar{u}$ and $\Delta \bar{d}$
 $\lesssim 10\%$ at $x < 0.2$

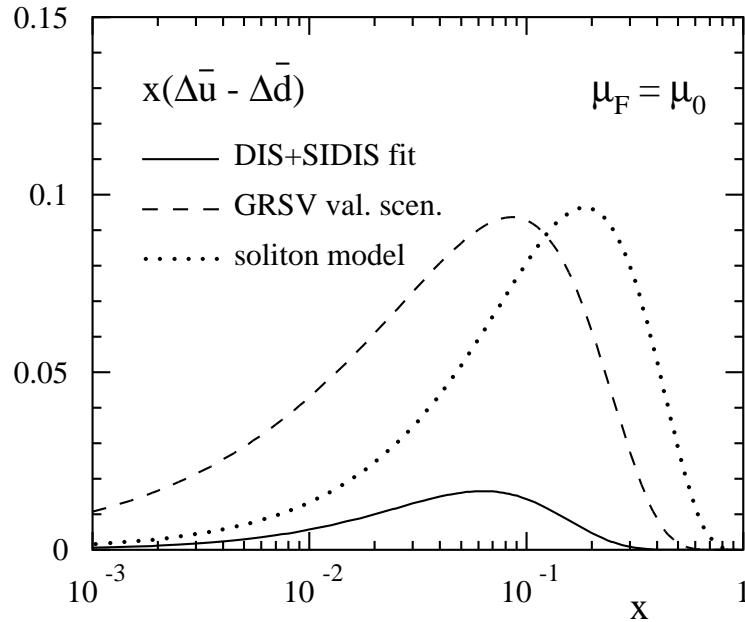
$$\Delta \Sigma = 0.30 \pm 0.04 \pm 0.09$$

[HERMES, PLB464(99)123]

polarized quark sea not yet separated

solid lines: GSA LO
 dashed lines: positivity limits
 bands: HERMES syst. uncertainty

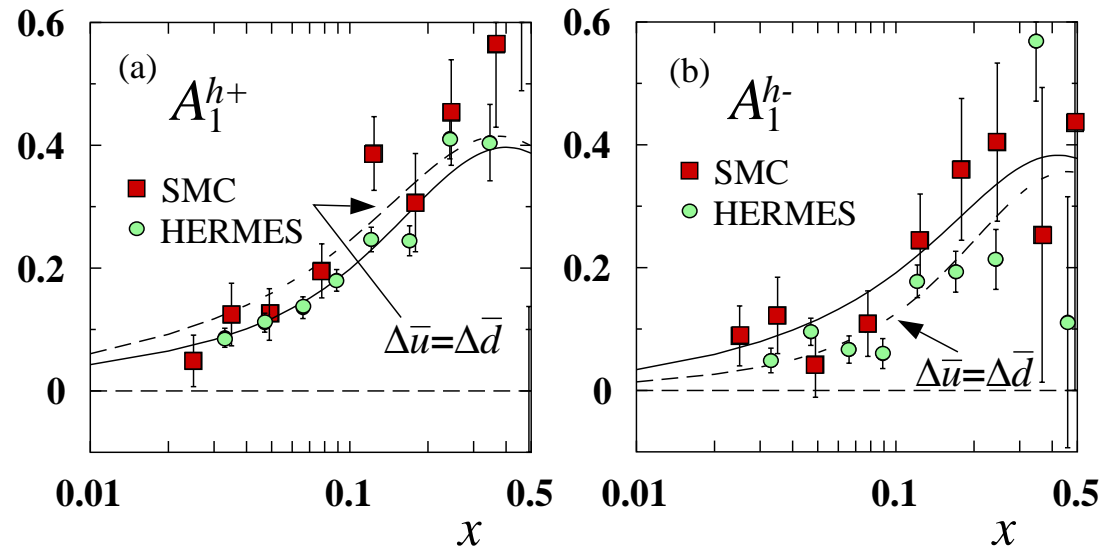
Symmetry of Polarized Sea?



theoretical conjecture (e.g. chiral Quark-Soliton-Model) and recent pQCD fit including semi-inclusive data indicate $\Delta\bar{u} \neq \Delta\bar{d}$ as in unpolarized case

[Stratmann, Vogelsang, hep-ph/0107064]

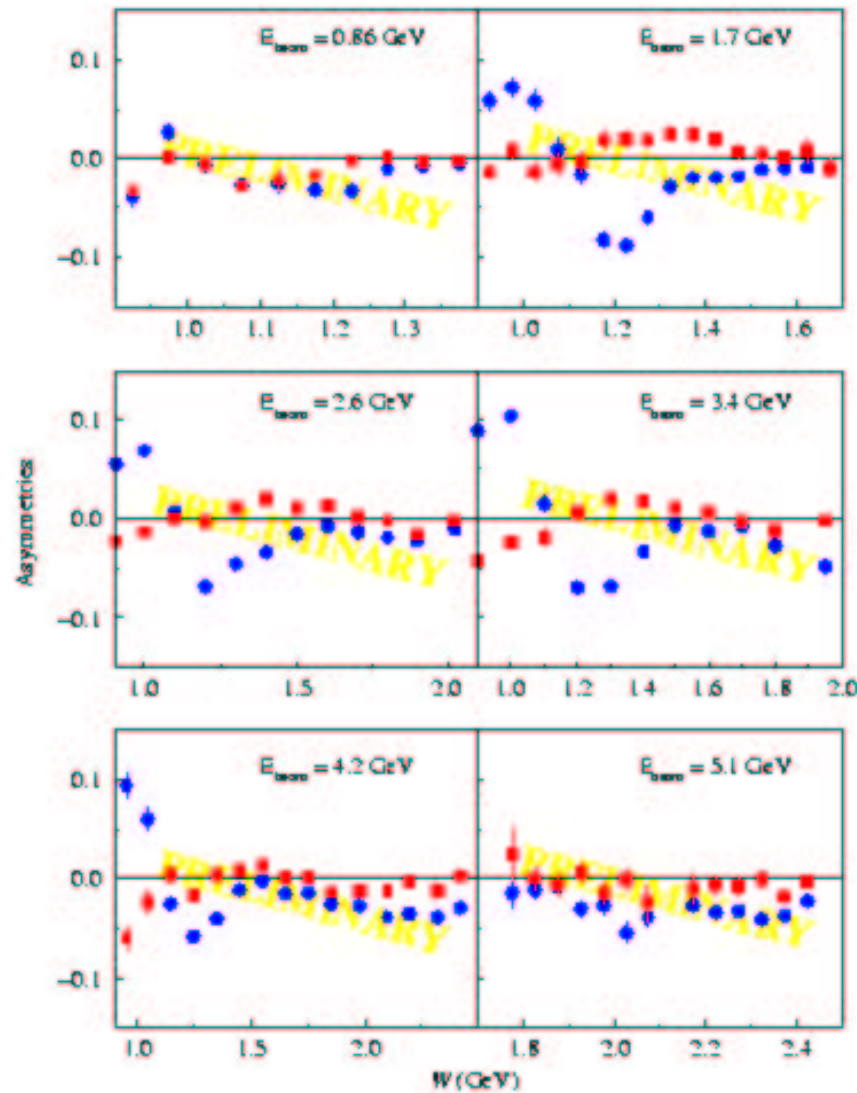
\rightsquigarrow high statistics deuteron data of HERMES being analyzed



Precision Measurements of A_1 and A_2 at Low W

He3 A_1 and A_2 asymmetries

Y. R. Robin, DIS 2000



■ A_1
■ A_2

JLAB: E91-023, E93-009, E94-010

polarized electron beam 0.8-5.7 GeV ($P \sim 70\%$) and polarized solid targets

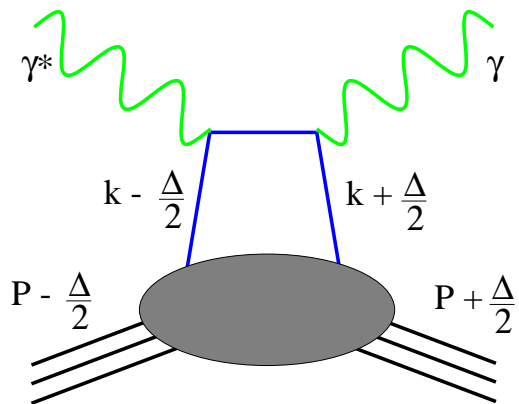
\rightsquigarrow p, d, and n

transition from DIS to Low $Q^2 \lesssim 1 \text{ GeV}^2$

resonance region $W \lesssim 2 \text{ GeV}$

[E94-010, DIS00]

Generalized Parton Distributions and DVCS



new observables in hard exclusive processes

Generalized Parton Distributions ($H, E, \tilde{H}, \tilde{E}$)

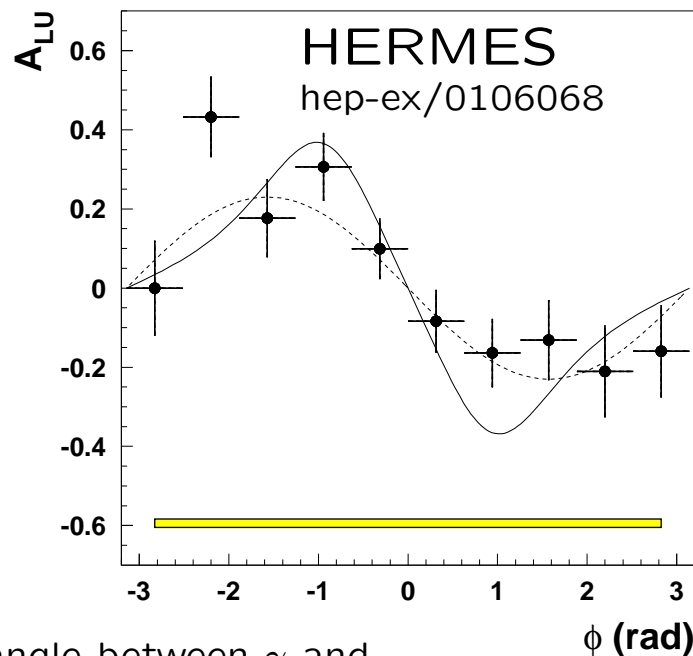
related to DIS structure functions, elastic form factors and angular momentum

$$J_q = \frac{1}{2} \Delta \Sigma + L_q = \frac{1}{2} \sum_q \int_{-1}^1 x dx (H^q + E^q) \quad [\Delta^2 = t = 0]$$

reversal of beam helicity: $d\sigma_{\leftarrow} - d\sigma_{\rightarrow} \propto \text{Im}(\mathcal{T}_{\text{DVCS}}) \mathcal{T}_{\text{BH}}$

$\leadsto \sin(\phi)$ dependence in LO leading twist: $A(\phi) = \alpha \text{sign}(e) \sin(\phi)$

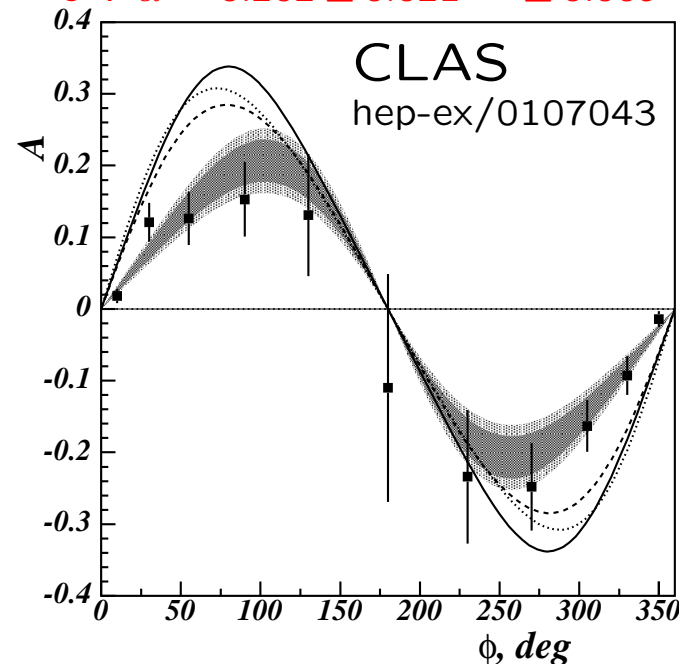
e^+ : $\alpha = -0.23 \pm 0.04^{\text{stat}} \pm 0.03^{\text{sys}}$



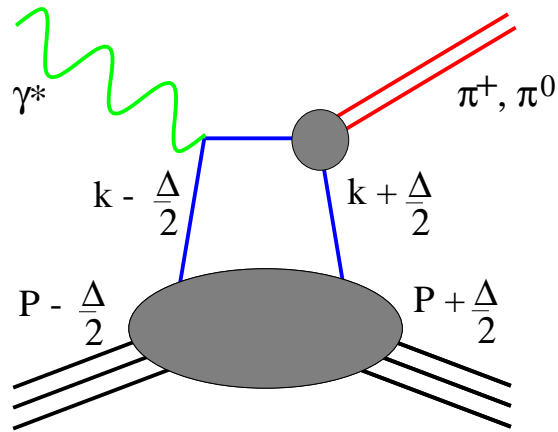
ϕ : angle between γ and e scattering planes

e^- : $\alpha = 0.202 \pm 0.021^{\text{stat}} \pm 0.009^{\text{sys}}$

NEW



Hard exclusive Pion Production



pseudoscalar mesons \rightsquigarrow pol. GPD's \tilde{E} , \tilde{H}
 reversal of transverse target spins:

$$d\sigma_{\downarrow} - d\sigma_{\uparrow} \sim \sin(\phi) \tilde{E} \tilde{H}$$

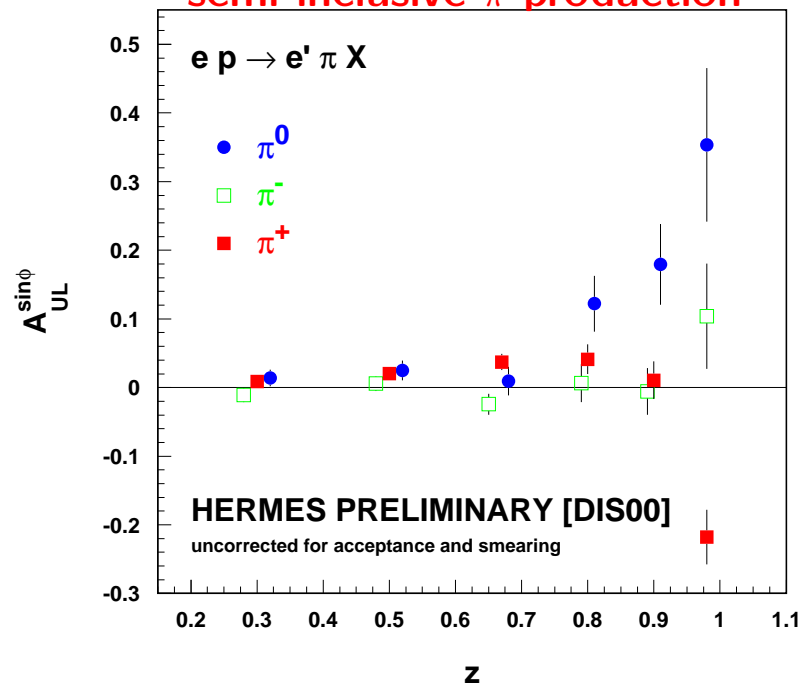
\tilde{E} : pion fragmentation function

\tilde{H} : $\rightarrow \Delta q$ in forward limit ($\Delta^2 = 0$)

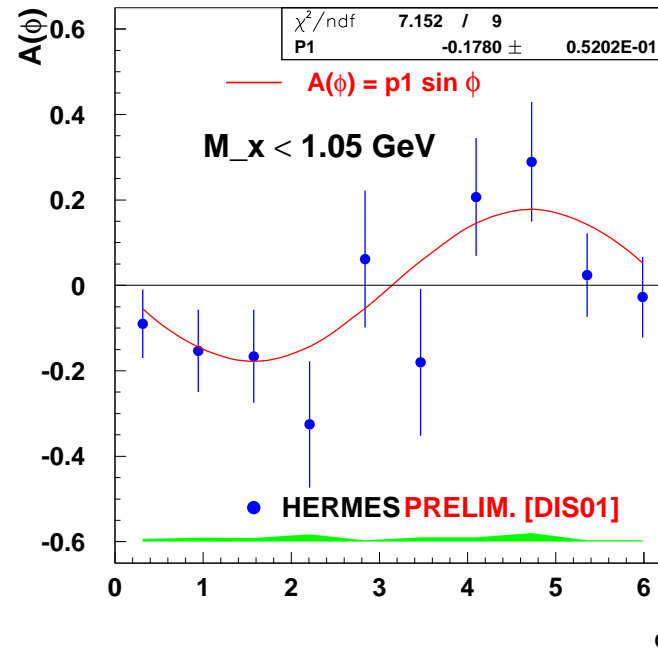
\rightsquigarrow large single-spin asymmetry

HERMES: long. target but transv. component w.r.t. γ^* about 20%

semi-inclusive π production



Exclusive π^+ lepton production on Hydrogen



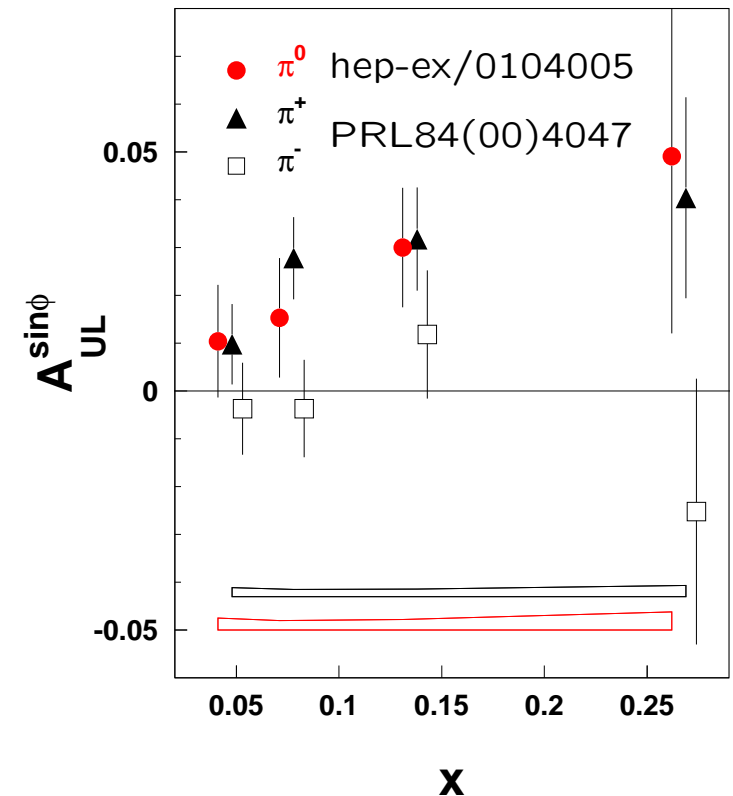
focus: transversity distribution $h_1(x) \sim \delta q(x)$ unmeasured
 first moment of $h_1(x) =$ tensor charge of nucleon
 $h_1(x)$ chiral-odd: NOT accessible in inclusive DIS
BUT in processes involving another chiral-odd object
 semi-inclusive DIS: fragmentation function H_1 chiral-odd

HERMES observation: single-spin
 azimuthal asymmetries in $e \vec{p} \rightarrow e' \pi X$
 favour non-zero transversity and fragmentation functions, $A_{UL}^{\sin\phi} \sim \sum_f e_f^2 h_1^f H_1^f$

\rightsquigarrow future measurements of δu , δd with transversely polarized targets (H^\uparrow , D^\uparrow)

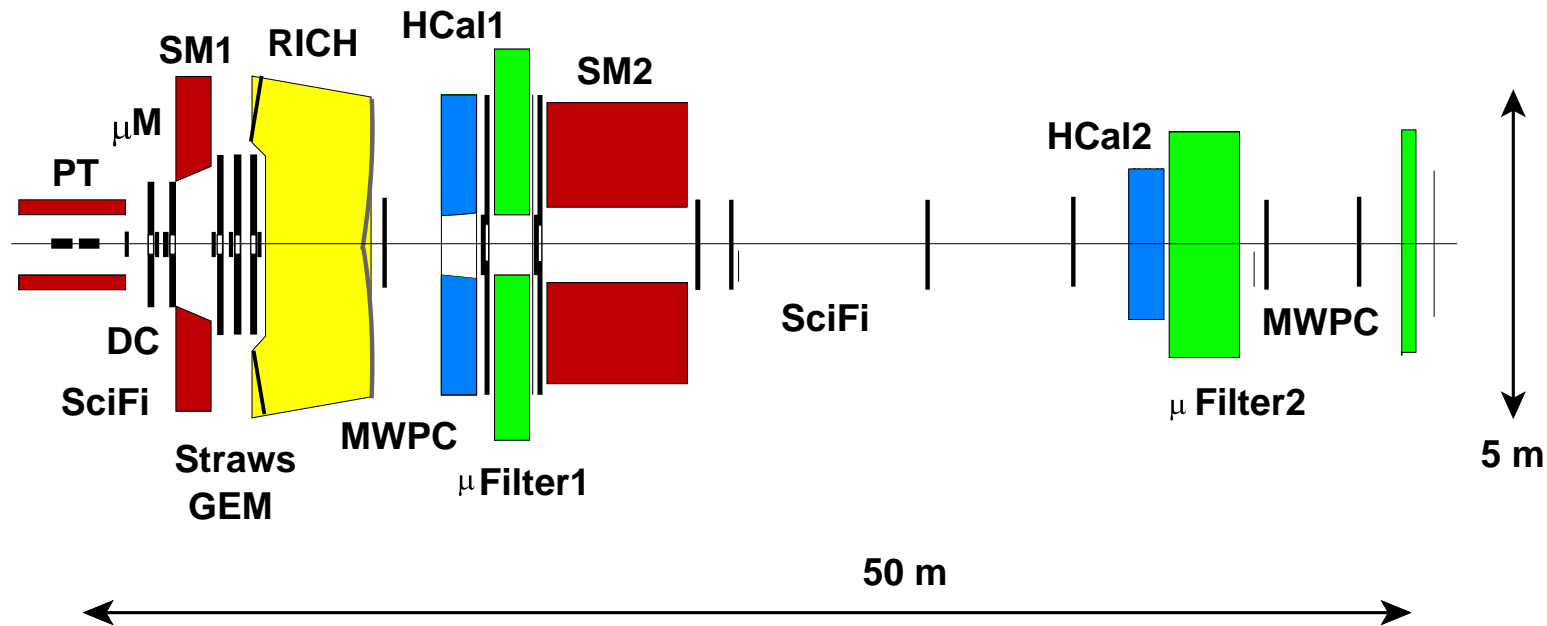
furthermore:

improved measurements of ΔG , Δq , hard exclusive processes ...



μN : COMPASS ≥ 2001

100-200 GeV $\vec{\mu}^\pm$ on NH_3 and ${}^6\vec{\text{Li}}\vec{\text{D}}$ targets, $2 \text{ fb}^{-1}/\text{y}$ ($5 \times \text{SMC}$)
new magnetic spectrometer for hadron and spin physics
use of SMC solenoid currently limits acceptance
primary goal: $\Delta G/G$ with ~ 0.1 accuracy using
open charm or pairs of high- p_T hadrons: $0.04 < x_g < 0.3$
furthermore: measurements of Δq , g_1 , h_1 ... at low x



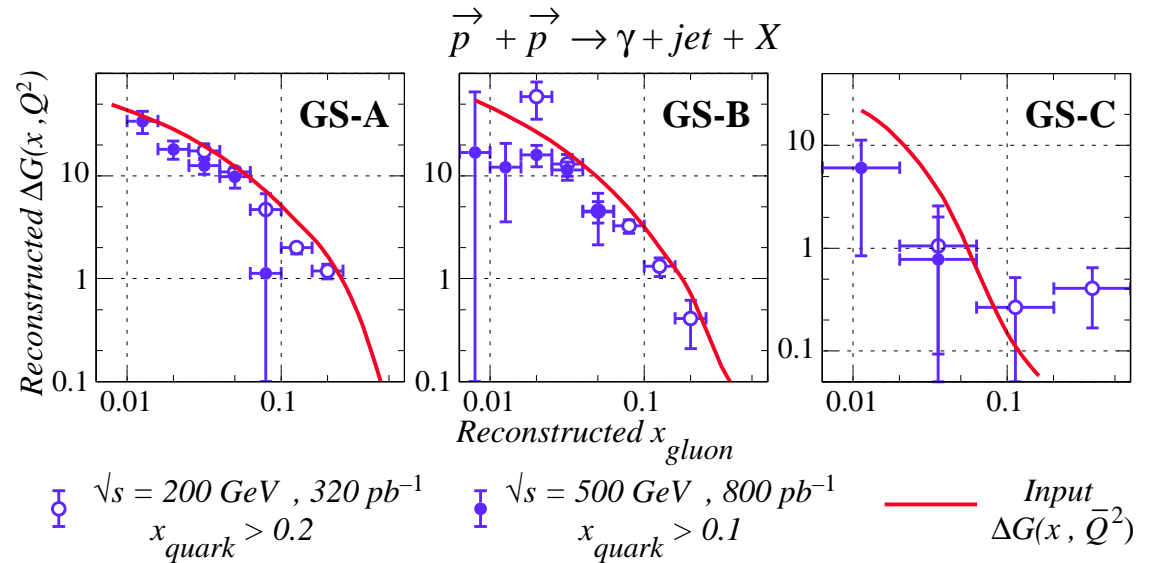
pp : STAR and PHENIX at RHIC ≥ 2001

$\sqrt{s} = 200\text{--}500$ GeV, luminosity $\simeq 0.3 \text{ fb}^{-1}/\text{y}$

polarization: 20% achieved in initial test (9/2000), design 70%

focus:

ΔG from direct photons
 $qg \rightarrow \gamma q$: $0.02 < x_g < 0.3$



sea quark polarizations from W^\pm production asymmetries in $\vec{p}p$:

$$u\bar{d} \rightarrow W^+ \rightarrow l^+ \nu$$

$$\sim \Delta u(x_1)/u(x_1)$$

$$\sim \Delta \bar{d}(x_1)/\bar{d}(x_1)$$

$$d\bar{u} \rightarrow W^- \rightarrow l^- \nu$$

$$\sim \Delta d(x_1)/d(x_1) \quad \text{if } x_1 \gg x_2$$

$$\sim \Delta \bar{u}(x_1)/\bar{u}(x_1) \quad \text{if } x_1 \ll x_2$$

Conclusion

- spin physics is an exciting and a rapidly developing field
- new data from DESY, JLAB, SLAC completes remarkably the QCD picture of the spin structure of the nucleon
 \rightsquigarrow GPD's and hard exclusive processes open a window to access $L_{q,g}$ for the first time
- new and more precise data will be soon available
 $\Rightarrow \Delta q, \Delta \bar{q}, \Delta G, \delta q, \delta \bar{q}$, hard exclusive processes
 ≥ 2001 high luminosity experiments:
 COMPASS, HERMES, JLAB, RHIC-Spin, [SLAC-E161]
- future ($\geq 2006?$)
 GPD's and hard exclusive processes $\leftrightarrow L_{q,g}$:
 eN fixed target: *CEBAF@12 GeV, ELFE/TESLA-N*
 $\Delta G, \Delta q, g_5 \dots$ at low x , high Q^2 :
 eN collider: *eRHIC/EIC, pol. HERA*